

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: March 12, 2012

In re Application of:

Brian Hanechak

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12248998

Filed: 10-Oct-2008 Attorney Docket No: 05-004 DIV

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed March 12, 2012 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU  $^{3625}$  for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce					
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313(	CATION FROM ISSUE AFTER PAYMENT OF					
Application Number	12248998						
Filing Date	10-Oct-2008						
First Named Inventor	Brian Hanechak						
Art Unit	3625						
Examiner Name	YOGESH GARG						
Attorney Docket Number	05-004 DIV						
Title	PRODUCT DESIGN SYSTEM AND METHOD						
withdraw an application from issue, a	om issue for further action upon petition by applicant must file a petition under this secuns why withdrawal of the application from	tion including the fee set forth in § 1.17(h) and a					
APPLICANT HEREBY PETITIONS TO WI	ITHDRAW THIS APPLICATION FROM ISSUE (	JNDER 37 CFR 1.313(c).					
are unpatentable, an amendment to s claims to be patentable; (b) Consideration of a request for con	nims, which must be accompanied by an ur such claim or claims, and an explanation as nitinued examination in compliance with § 1	nequivocal statement that one or more claims s to how the amendment causes such claim or 1.114 (for a utility or plant application only); or e in favor of a continuing application, but not a					
Petition Fee							
Applicant claims SMALL EN	Applicant claims SMALL ENTITY status. See 37 CFR 1.27.						
Applicant is no longer claim	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).						
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.						
Applicant(s) status remains as	s other than SMALL ENTITY						
Reason for withdrawal from issue							

One or more claims are unpatentable								
Consideration of a request for c	Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)							
Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).								
RCE request, submission, and fee.	RCE request, submission, and fee.							
·	37 CFR 1.4(d)(4) that : , and fee have already been filed in the above-identified application on							
Are attached.								
THIS PORTION MUST BE COMPLETE	ED BY THE SIGNATORY OR SIGNATORIES							
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:							
<ul> <li>An attorney or agent registered in this application.</li> </ul>	to practice before the Patent and Trademark Office who has been given power of attorney							
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.							
A sole inventor								
A joint inventor; I certify that I ar	m authorized to sign this submission on behalf of all of the inventors							
A joint inventor; all of whom are signing this e-petition								
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71								
Signature	/Jessica Costa/							
Name	Jessica Costa							
Registration Number 41065								



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

BUTZEL LONG
IP DOCKETING DEPT
350 SOUTH MAIN STREET
SUITE 300
ANN ARBOR MI 48104

MAILED

JAN 12 2011

OFFICE OF PETITIONS

In re Application of

LAMBRIGHT, Michael Application No. 12/249,002 Filed: October 10, 2008

Attorney Docket No. 121046-0006

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed December 28, 2010.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request was signed by Christopher Mitchell on behalf of all attorneys of record who are associated with customer No. 35684. All attorneys/agents associated with the Customer Number 35684 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to the inventor Michael Lambright at the address indicated below.

Telephone inquiries concerning this decision should be directed to Tredelle Jackson at 571-272-2783.

/Tredelle D. Jackson/ Paralegal Specialist Office of Petitions

cc:

MICHAEL LAMBRIGHT 3025 REGENT SQUARE COURT #2 GOSHEN IN 46526



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

KENING LI PINSENT MASONS LLP c/o FOUNTAIN LAW GROUP, INC 18201 Von Karman Avenue, Suite 960 Irvine CA 92612

MAILED

OCT 2 0 2010

In re Application of Pan et al.

Application No. 12/249,024

Filed: October 10, 2008

Attorney Docket No. 636576-07018

For: IRON

OFFICE OF PETITIONS

ON PETITION

This decision is mailed in response to the petition, filed July 21, 2010, under 37 CFR 1.137(b) to revive the above-identified application.

The petition under 37 CFR 1.137(b) is **GRANTED**.

This application was held abandoned for failure to timely reply to the Notice to File Missing Parts of Nonprovisional Application ("Notice"), mailed October 28, 2008, which required applicants to submit an executed oath/declaration, the basic filing fee, search fee, examination fee, and surcharge within two months from the mail date of the Notice. This period was extendable under 37 CFR 1.136(a).

On January 22, 2009, applicants filed an executed declaration and a \$130.00 one month extension of time. On February 4, 2009, the Office mailed a Notice of Incomplete Reply because the January 22, 2009 reply did not include the basic filing fee, search fee, examination fee, and surcharge. On March 26, 2009, applicants authorized the Office to charge \$1,220.00 to a credit card, this fee was to fully pay the basic filing fee, search fee, examination fee, and surcharge. On December 8, 2009, the Office mailed a Notice of Abandonment because applicants failed to timely file a reply to the October 28, 2008 Notice. The application became abandoned on January 29, 2009.

Petitioners have submitted a proper reply to the October 28, 2008 Notice in the form of the basic filing fee, search fee, examination fee, executed declaration, and surcharge, an acceptable statement of the unintentional nature of the delay in responding to the October 28, 2008 Notice, and the \$1,620.00 petition fee. Accordingly, the petition under 37 CFR 1.137(b) is granted.

Regarding fees, petitioners request refund of the \$130.00 one month of extension of time fee, paid on January 22, 2009 because the extension of time fee had no effect on keeping the instant application alive. The Office will not refund this fee because it was properly due when petitioners paid it. Petitioners filed a partial response to the October 28, 2008 Notice and a petition for a one month extension of time on January 22, 2009. The extension of time was due when it was submitted. It is unfortunate that petitioners did not file a complete response to the October 28, 2008 Notice by January 28, 2009. However, this does not change the fact that a one month extension of time fee was due when petitioners filed their partial response to the October 28, 2008 Notice. The \$130.00 one month extension of time fee paid on January 22, 2009 will not be refunded.

However, the \$662.00 partial payment of a three month extension of time fee charged to petitioners' deposit account on accounting date July 13, 2009 will be refunded to petitioners' deposit account. The Office attempted to collect a three month extension of time for the March 26, 2009 response on July 13, 2009. However, petitioners' deposit account had insufficient funds. Apparently the Office charged as much of the \$1,110.00 three month extension of time fee as the balance of the deposit account would allow. The \$662.00 partial payment of a three month extension of time fee will be refunded to petitioners' deposit account.

After the mailing of this decision, the file will be returned to the Office of Patent Application Processing for further pre-examination processing.

Telephone inquiries may be directed to the undersigned at (571) 272-3230.

Shirene Willis Brantley
Senior Petitions Attorney

Office of Petitions



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

THE DOW CHEMICAL COMPANY / DOBRUSIN & THENNISCH PC 29 W. LAWRENCE STREET, SUITE 210 PONTIAC, MI 48342

MAILED

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OFFICE OF PETITIONS

**DECISION ON PETITION** 

In re Application of

Huide D. Zhu et al

Application No. 12/249,030

Filed: October 10, 2008

Attorney Docket No. 66132A-US-NP (1062-

158)

This is a decision on the petition, filed November 8, 2011 under 37 CFR 1.181 (no fee) requesting withdrawal of the holding of abandonment in the above-identified application.

The petition is **GRANTED**.

This application was held abandoned for failure to reply to the final Office action mailed March 17, 2011, which set a three (3) month shortened statutory period for reply. A Notice of Abandonment was mailed on October 3, 2011.

Petitioner asserts that the Office action dated March 17, 2011 was not received.

A review of the written record indicates an irregularity in the mailing of the Office action of March 17, 2011. In this regard, the Office received a change of address on March 16, 2011, prior to the mailing of the Office action of March 17, 2011. Office records were not updated to reflect this new change of address. Accordingly, as the Office action was mailed to an incorrect address, the Notice of Abandonment mailed October 3, 2011 is hereby vacated and the holding of abandonment withdrawn.

This application is being referred to the Technology Center technical support staff of Art Unit 1746 for remailing the Office action of March 17, 2011 and resetting the period for reply.

Telephone inquiries concerning this decision should be directed to Irvin Dingle at (571) 272-3210.

Irvin Dingle

Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 WWW.USDTO.GOV

K.P. CORRELL AND ASSOCIATES, L.L.P. 270 BELLEVUE AVE., #326
NEWPORT RI 02840

MAILED
AUG 2 9 2011
OFFICE OF PETITIONS

In re Application of

Jeffery Commeau

Application No. 12/249,136

Filed: October 10, 2008

Attorney Docket No. COMM0001U1US

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed August 12, 2011, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to timely pay the issue and publication fees on or before June 7, 2011, as required by the Notice of Allowance and Fee(s) Due, mailed March 7, 2011. Accordingly, the date of abandonment of this application is June 8, 2011. The Notice of Abandonment was mailed June 22, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of payment of the issue fee of \$755 and the publication fee of \$300, (2) the petition fee of \$810; and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to Office of Data Management for processing into a patent.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/249,171	10/10/2008	Jason Simione	9005-P0002	1213	
23334 FLEIT GIBBO	7590 03/30/2011 NS GUTMAN BONGINI &	& BIANCO P.L.	EXAM	INER	
ONE BOCA C	OMMERCE CENTER		ANDERSON	, AMBER R	
BOCA RATO	'EST 77TH STREET, SUIT N, FL 33487	EIII	ART UNIT	PAPER NUMBER	
		•	3765	-	
			NOTIFICATION DATE	DELIVERY MODE	
			03/30/2011	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ptoboca@fggbb.com



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

FLEIT GIBBONS GUTMAN BONGINI & BIANCO P.L. One Boca Commerce Center 551 Northwest 77<sup>th</sup> Street, Suite 111 Boca Raton, FL 33487

Art Unit 3765

In re Application of: Jason SIMIONE et al. Serial No. 12/249,171

Filed: October 10, 2008

For: Camouflage Pattern Applied to a Surface

**DECISION ON PETITION** 

This is a decision to petitioner's request to permit color photographs filed October 14, 2008.

Under 37 CFR 1.84(a)(2), the petitioner must file (i) the fee set forth in 37 CFR 1.17(h), (ii) three (3) sets of color photographs and (iii) an amendment to the specification, if necessary. Petitioner has satisfied all of the requirements of (i) through (iii) above.

The use of color photographs is provided in MPEP 608.02 with reference to color drawings as part of 37 CFR 1.84(a)(2), the rule states "on rare occasions, color drawings may be necessary as the **only** practical medium by which to disclose the subject matter to be sought to be patented in a utility ...application."

The Office believes that color photographs are not the only medium by which the subject matter to be patented can be disclosed. It appears that black and white drawings would be sufficient to show the overlaying of different shapes and colors as defined in the specification and as clearly shown in Fig. 1-4.

## The petition is denied.

/Gary L. Welch/

Gary L. Welch Supervisory Patent Examiner Art Unit 3765 (571) 272-4996 (571) 273-4996 fax



Commissioner for Patents United States Patent and Trademark Office

P.O. Box 1450 Alexandria, VA 22313-1450

VA 22313-1430

YOUNG & THOMPSON 209 Madison Street Suite 500 Alexandria VA 22314

MAILED JAN 1:8 2012

**OFFICE OF PETITIONS** 

UNDER 37 CFR 1.47(a) ·

**DECISION REFUSING STATUS** 

In re Application of

Stephane Jayet and Olivier Chamley

Application No. 12/249,181

Filed: October 10, 2008

Attorney Dkt. 0579-1200

For: METHOD FOR SECURELY

UPDATING AN AUTORUN PROGRAM

AND PORTABLE ELECTRONIC ENTITY

**EXECUTING IT** 

This is in response to the petition under 37 CFR 1.47(a), filed April 3, 2009.

The petition is **GRANTED**.

Petitioner has shown that the non-signing inventor Jayet have refused to join in the filing of the above-identified application.

The application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in 37 CFR 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

Deposit account no 25-0120 will be charged the \$200.00 petition fee.

This application is being referred to art unit 3621 processing for processing in the normal course of business.

Telephone inquiries should be directed to the undersigned at (571) 272-3215.

Charlema Grant

Attorney Advisor

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

MAILED
JAN 18 2012
OFFICE OF PETITIONS

Stephane-Jayet 12, rue Gerald de Nerval 69330 Meyzieu France

In re Application of Stephane Jayet and Olivier Chamley Application No. 12/249,181 Filed: October 10, 2008

Attorney Dkt. 0579-1200

For: METHOD FOR SECURELY UPDATING AN AUTORUN PROGRAM AND PORTABLE

**ELECTRONIC ENTITY EXECUTING IT** 

Dear Sir:

You are named as a joint inventor in the above-identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (571) 272-3215. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to the Certification Division at (571) 272-3150 or 1 (800) 972-6382 (outside the Washington, DC area).

Charlema Grant Petitions Attorney Office of Petitions

Chrarlein

Cc: YOUNG & THOMPSON

209 Madison Street

Suite 500

Alexandria VA 22314



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

# NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON VA 22203

MAILED

OCT 0 6 2010

In re Patent No. 7,770,554

770,554

Issued: August 10, 2010 Application No. 12/249,223

Filed: October 10, 2008

Attorney Docket No. AMK-249-509

OFFICE OF PETITIONS

ON PETITION

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28. On September 1, 1998, the Court of Appeals for the Federal Circuit held that 37 CFR 1.28(c) is the sole provision governing the time for correction of the erroneous payment of the issue fee as a small entity. See DH Technology v. Synergystex International, Inc. 154 F.3d 1333, 47 USPQ2d 1865 (Fed. Cir. Sept. 1, 1998).

The Office no longer investigates or rejects original or reissue applications under 37 CFR 1.56. 1098 Off. Gaz. Pat. Office 502 (January 3, 1989). Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby **ACCEPTED**.

This patent is no longer entitled to small entity status. Accordingly, all future fees paid in this patent must be paid at the large entity rate.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-7751.

Joan Olszewski

**Petitions Examiner** 

Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/249,238	10/10/2008	Mark P. Wentland	0094.045E	1338
	7590	•	EXAM	INER
5 COLUMBIA	CIRCLE		DESAI,	RITA J
ALBANY, NY	12203		ART UNIT	PAPER NUMBER
			1625	
			MAIL DATE	DELIVERY MODE
			09/17/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

# SEP 1 7 2010

HESLIN ROTHENBERG FARLEY & MESITI PC 5 COLUMBIA CIRCLE ALBANY NY 12203

In re Application of:

Mark P. Wentland

Serial No.: 12/249,238

Filed: October 10, 2008

Attorney Docket No.: 0094.045E

: PETITION DECISION

This is in response to the petition under 37 CFR § 1.181, filed April 12, 2010, requesting that the finality of the Office action of December 10, 2009 be withdrawn. The delay in responding to this petition is regretted but it has only just come to the attention of the Deciding Official.

### **BACKGROUND**

The examiner mailed a restriction requirement on April 20, 2009 wherein the original 33 claims were divided into two groups.

On May 19, 2009, applicant elected Group I.

On July 9, 2009, the examiner mailed a non-final Office action setting a three month statutory limit for reply. At the time of this non-final Office action, claims 1-36 were pending. The examiner rejected claims 1-15 and 34 and withdrew claims 16-33, 35 and 36 from consideration. The examiner rejected claims 1-9, 14 and 15 under 35 USC 112, first paragraph, as non-enabling. Claims 1-15 and 34 were rejected under 35 USC 103 (a) as being unpatentable over Dondio Giulio et al. Claims 1-15 were also rejected under 35 USC 103 (a) as being unpatentable over Hussain in view of Dondio Giulio et al.

In reply to the non-final Office action of July 9, 2009, applicant filed a response on October 8, 2009. The response submitted by applicants included remarks and arguments traversing the rejections made in the non-final Office action.

On December 10, 2009, the examiner mailed a final Office action setting a three month statutory limit for reply. At the time of this final Office action, 1-36 were pending. The examiner rejected claims 1-13 and 15 and withdrew claims 16-33, 35 and 36 from consideration. The examiner indicated claims 14 and 34 as allowed. The examiner also indicated that the restriction has been made final, that the 35 USC 112 rejection still stands, and the rejection under 35 USC 103 over Dondio still stands. The examiner indicated the 35 USC 103 over Hussain US 4,464,378 in view of Dandio as been withdrawn. The examiner concluded by saying claims 15 and 34 may be allowable, claims 14 and 34 may be allowable, and claims 1-13 and 15 are rejected.

On March 16, 2010, applicant filed an amendment after final.

On April 5, 2010, the examiner entered the after final amendment for purposes of appeal and indicated the 35 USC 112 rejection was overcome.

In response thereto, applicant filed a petition on April 12, 2010, requesting that the finality of the Office action of December 10, 2009 be withdrawn.

### DISCUSSION

The petition and the file history have been carefully considered.

In the petition filed by applicants on April 12, 2010, applicants request reconsideration of the final Office action mailed by the examiner on December 10, 2009. Specifically, applicant argues that "Applicants request that the finality of the office action be withdrawn. This is necessary given the new grounds of rejection, lack of clarity and obvious errors in the office action. The withdrawal of finality is necessary for the following reasons:

- 1. Claim 34 is rejected for the first time in the Advisory Action;
- 2. Claims 14 and 15 are indicated as allowable in the Final Action, yet were rejected in the Advisory Action;
- 3. The treatment of rejected claims 1-13 is not clear from the Final Office Action; and
- 4. The treatment and status of claims 14 and 15 is not clear in the Final Office Action."

Applicant also notes "it is simply not clear from the Final Office Action or Advisory Action if Claims 15 and 34 are rejected and, if so, why. Withdrawal of the finality and a complete statement of rejection is respectfully requested."

Applicant's arguments are well-taken and found persuasive because the final Office action of December 10, 2009 and the Advisory Action of April 5, 2010 are confusing and without clarity.

In view of the evidence, the final Office action issued December 10, 2009 is in error. Consequently, the finality of the Office action will be withdrawn in favor of applicant.

### **DECISION**

# The petition is **GRANTED**.

The Office action mailed December 10, 2009 is hereby vacated to the extent that it was made "final" and the Office action is now considered to be a non-final Office action. The after final amendment of March 16, 2010 will also be entered. This application will be returned to the examiner for issuance of a clear and accurate Office action. The Appeal Brief will be held in abeyance.

Should there be any questions about this decision please contact Marianne C. Seidel, by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

Remy Yucel

Remopred

Director, Technology Center 1600

PTO/SB/20PCT-EP (05-10)

Approved for use through 01/31/2012. OMB 0651-0058
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Papenwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM BETWEEN THE EUROPEAN PATENT OFFICE (EPO) AND THE USPTO										
Application No:	12/243,243   rilling date:   10/10/08									
First Named Inventor: Martin Neal Adams										
Title of the Invention: VALVE	LOADER METHOD, SY	STEM,	AND APPARATUS							
HTTP://WWW.USPTO.GO	OV/EBC/EFS_HELP.HTML	AVAILABLE								
APPLICANT HEREBY ABOVE-IDENTIFIED A	REQUESTS PARTICIPATION IN THE PC APPLICATION SPECIAL UNDER THE PCT	T-PPH PROC T-PPH PROG	GRAM AND PETITIONS TO MAKE THE FRAM.							
domestic/ foreign priorit priority claim in the corr to (4) above, or (6) a U.	by to the corresponding PCT application, or responding PCT application, or	(4) a national	ng PCT application, or (2) a national stage entry cation, or (3) a national application that claims application which forms the basis for the on of a U.S. application that satisfies one of (1) visional application which forms the basis for							
The corresponding PCT application number(s) is/are:  PCT/US2008/079650  The international filing date of the corresponding PCT application(s) is/are:  10/10/08										
I. List of Required Documents:  a. A copy of the latest international work product (WO/ISA, WO/IPEA, or IPER) in the above-identified corresponding PCT application(s)  Is attached  Is not attached because the document is already in the U.S. application.  b. A copy of all claims which were indicated as having novelty, inventive step and industrial applicability in the above-identified corresponding PCT application(s).  Is not attached.  Is not attached because the document is already in the U.S. application.  c. English translations of the documents in a. and b. above are attached (if the documents are not in the English language). A statement that the English translation is accurate is attached for the document in b. above.										

[Page 1 of 2]
This collection of information is required by 35 U.S.C. 119, 37 CFR 1.55, and 37 CFR 1.102(d). The information is required to obtain or retain a benefit by the public, which is to file (and by preparing, and submitting the completed application form to the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

Approved for use through 01/31/2012. OMB 0651-0058
U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

F	REQUE	ST FOR PARTICIPATI BETWEEN TH	ON IN THE PCT-PPH PILOT PROGRAM E EPO AND THE USPTO
Application No.			(continued)
Application No.:	12/24	19,243	
First Named Inventor:	Martir	Neal Adams	
d. (1) An infor WO/ISA Is attac	, <b>440</b> /16	disclosure statement lis EA, IPER) of the corresp	sting the documents cited in the international work products (ISR, ponding PCT application.
Has al	ready b	een filed in the above-ider	ntified U.S. application on 05/01/10
Alean	acried.		S. patents or U.S. patent application publications) entified U.S. application on
II. Claims Corres			walled o.e. application on
Claims in US Applic	cation	Patentable Claims in the corresponding PCT Application	Explanation regarding the correspondence
18	<del>-</del>	1	Same or similar scope
19		2	Same or similar scope
20		3	Same or similar scope
21		4	Same or similar scope
22		5	Same or similar scope
23		6	Same or similar scope
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29		12	Same or similar scope
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33		16	Same or similar scope
34		17	Same or similar scope
35		18	Same or similar scope
ll. All the claims i orresponding P(	in the CT app	US application sufficie lication.	ently correspond to the patentable claims in the
ignature a	7		1/12/2011
ame Print/Typed) Thon	nas	Y. Yee	Date ///3/26// Registration Number 57,013
<del>-/-//</del>	~		Registration Number 57,U13

# **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting
  a request involving an individual, to whom the record pertains, when the individual has requested
  assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law
  enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# **EXHIBIT A**

# INTERNATIONAL PRELIMINARY REPORT OF PATENTABILITY (IPER)

# PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

Tο

KNOBBE, MARTENS, OLSON & BEAR, LLP 2040 Main Street, 14th Floor Irvine, CA 92614 ETATS-UNIS D'AMERIQUE

# PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(PCT Rule 71.1)

Date of mailing (day/month/year)

23.12.2009

Applicant's or agent's file reference SPIRTN.044VPC

IMPORTANT NOTIFICATION

International application No. PCT/US2008/079650

International filing date (day/month/year) 10.10.2008

Priority date (day/month/year)

12.10.2007

Applicant Spiration Inc.

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:



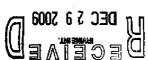
European Patent Office Gitschiner Str. 103 D-10958 Berlin Tel. +49 30 25901 - 0 Fax: +49 30 25901 - 840 Authorized Officer

Geier, Adolf

Tel. +49 30 25901-706



KNOBBE, MARTENS OLSON & BEAR, LLP



# **PATENT COOPERATION TREATY**

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTIO	N c	ee Form PCT/IPEA/416
SPIRTN.044VPC	1 On to On the Lit Ad the	, de	SE FORM FOTHE 24410
International application No.	International filing date (day/n		Priority date (day/month/year)
PCT/US2008/079650	10.10.2008		12.10.2007
International Patent Classification (IPC) or	national classification and IPC		
INV. A61F2/84			. :
Applicant	· · · · · · · · · · · · · · · · · · ·		-
Spiration Inc.			
This report is the international p     Authority under Article 35 and tr	reliminary examination report, ansmitted to the applicant acc	established by this is cording to Article 36.	nternational Preliminary Examining
2. This REPORT consists of a total	I of $\underline{5}$ sheets, including this co	over sheet.	
3. This report is also accompanied	•		
a. 🖾 sent to the applicant and	·	<del></del>	
	ning rectifications authorized t	which have been ame by this Authority (see	ended and are the basis of this report Rule 70.16 and Section 607 of the
			ers contain an amendment that goes ted in item 4 of Box No. I and the
1	Bureau only) a total of (indica	ite type and number o	of electronic carrier(s)) , containing a
sequence listing and/or to	ables related thereto, in electr	onic form only, as inc	licated in the Supplemental Box
Helating to Sequence Lis	sting (see Section 802 of the A	Administrative instruc	uoris).
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4. This report contains indications	relating to the following items	:	
Box No.   Basis of the re	eport		
☐ Box No. II Priority			
☐ Box No. III Non-establish	ment of opinion with regard to	novelty, inventive st	ep and industrial applicability
Box No. IV Lack of unity			
Box No. V Reasoned sta applicability; €	itement under Article 35(2) wit citations and explanations sup	th regard to novelty, i porting such stateme	nventive step or industrial int
☐ Box No. VI Certain docur	ments cited	-	
☐ Box No. VII Certain defec	ts in the international applicati	ion	
☐ Box No. VIII Certain obser	vations on the international ap	oplication	
Date of submission of the demand	Da	te of completion of this	report
	·		
2009-08-12	23	3.12.2009	
Name and mailing address of the internat	ional Au	thorized officer	code Feinorga
preliminary examining authority:  European Patent Office Git	schiner Str. 103		in the second se
D-10958 Berlin Tel. +49 30 25901 - 0		maro, Henrique	
Fax: +49 30 25901 - 840	Те	lephone No. +49 30 259	901-562

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/US2008/079650

_	Box	No. I	Basis of	the report		· · · · · · · · · · · · · · · · · · ·						
1.	With	n regar	d to the lar	nguage, thi	s report is	based on						
		the int	ernational	application	in the lang	guage in w	hich it was fi	led				
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		☐ pul		the interna	itional app	lication (ur	d 23.1(b)) nder Rule 12. Rules 55.2(a		or 55.3(a))			
2.	hav	e been		to the rece	iving Offic	e in respor	olication, this nse to an invi is report):					
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# INTERNATIONAL PRELIMINARY REPORT **ON PATENTABILITY**

International application No. PCT/US2008/079650

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

<u>1-20</u>

Claims No:

Inventive step (IS)

Yes: Claims

<u>1-20</u>

No: Claims

Industrial applicability (IA)

Yes: Claims

1-20

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

### Re Item V.

Reference is made to the following document:

- D1: WO 03/034946 A (SCIMED LIFE SYSTEMS INC [US]; STINSON JONATHAN S [US]) 1 May 2003 (2003-05-01)
- D2: WO 99/59503 A (AMERICAN MED SYST [US]; STAEHLE BRADFORD G [US]; FERRAZZO ANTHONY J [U) 25 November 1999 (1999-11-25)
- D3: US-A-5 676 671 (INOUE KANJI [JP]) 14 October 1997 (1997-10-14)
- D4: US 2003/225445 A1 (DERUS PATRICIA M [US] ET AL) 4 December 2003 (2003-12-04)
- 1. In the light of the documents cited in the search report, it seems that the subject-matter of independent claim 1 meets the criteria mentioned in Article 33(1) PCT, i.e. it appears to be novel, involve an inventive step and to be industrially applicable. The reasons are the following:

None of the prior art loading systems disclosed in D1-D4 comprise a valve loader comprising two open cavities; one configured to receive an interchangeable medical device cartridge and a second configured to receive the deployment apparatus: The systems disclosed in D1,D2 comprise no valve loader; the cartridge is directly attached to the deployment apparatus. In D3, the technical feature identified as "cartridge 6" and the "funnel 18" correspond, respectively to the valve loader and the cartridge of the present application; the valve loader (6) disclosed in D3 does not comprise a second open cavity; one can also recognize that the system used in the present application is more user friendly to the practitioner. In the device disclosed in D4, "cartridge 30" could be the valve loader 106 of the present application; no extra separate cartridge is provided. With relation to the previous the described loading system is therefore new.

None of the previous loading systems are so flexible (multiple cartridges can be used with a single valve loader and easily loaded into the deployment catheter) or easy to use as the one disclosed. This technical advantage is provided by the two separate cavities of the valve loader and the use of a separate cartridge; the prior art systems are so different that it would be impossible for the person skilled in the art to arrive to the proposed system; the subject matter of claim 1 is therefore also inventive.

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/US2008/079650

2. Assuming that the "first open cavity of a valve loader" is "the first open cavity of the valve loader as defined in claim 1", in the light of the documents cited in the search report, independent claim 10 also seems to be new and inventive.

# **EXHIBIT B**

# A COPY OF ALL PENDING CLAIMS WHICH WERE INDICATED AS HAVING NOVELTY, INVENTIVE STEP, AND INDUSTRIAL APPLICABILITY IN THE CORRESPONDING PCT APPLICATION

medical device 500. The position of the valve or medical device 500 is stabilized at the target location during deployment with the stabilization wire 722.

[0132] In certain embodiments, after the valve or medical device 500 has been deployed, the deployment catheter 102 can be retrieved from the body of the patient, and be reloaded with another valve or medical device 500 using the foregoing process. With the foregoing process, the deployment catheter 102 can be reloaded with different valves or medical devices 500 having different shapes, sizes, and/or types. The foregoing process also allows the valve or medical device 500 to remain in a default configuration, as opposed to a substantially compressed or stressed configuration, thereby reducing the possible failure, wear, and/or deterioration of the valve or medical device 500. In other words, the cartridge 104 stores the valve or medical device 500 in a non-compressed state, which reduces wear and tear on the medical device 500 and reduces the likelihood of an adverse clinical event relating to the materials or structure of the medical device 500.

[0133] Although this invention has been disclosed in the context of certain embodiments and examples, it will be understood by those skilled in the art that the present invention extends beyond the specifically disclosed embodiments to other alternative embodiments and/or uses of the invention and obvious modifications and equivalents thereof. In addition, while several variations of the invention have been shown and described in detail, other modifications, which are within the scope of this invention, will be readily apparent to those of skill in the art based upon this disclosure. It is also contemplated that various combinations or sub-combinations of the specific features and aspects of the embodiments may be made and still fall within the scope of the invention. It should be understood that various features and aspects of the disclosed embodiments can be combined with, or substituted for, one another in order to form varying modes or embodiments of the disclosed invention. Thus, it is intended that the scope of the present invention herein disclosed should not be limited by the particular disclosed embodiments described above.

### WHAT IS CLAIMED IS:

1. A loading system comprising a valve loader (106), a deployment apparatus (102) and a cartridge (104) configured to house a deployable medical device, the valve loader comprising an outer housing structure (202) and a first open cavity (214), the first open cavity configured the cartridge and the housing structure comprising a loading

plunger (220) configured to direct the deployable medical device from the cartridge to a discharge end of the housing structure, the discharge end having a second cavity (218) configured to receive a deployment apparatus into which the deployable medical device may be loaded.

- 2. The loading system of Claim 1, wherein the second cavity comprises a funnel-shaped channel positioned between the first open cavity (214) and the discharge end, the funnel-shaped channel configured to permit the passage of the deployable medical device therethrough when the plunger directs the deployable medical device from the cartridge to the discharge end for loading into a deployment apparatus.
- 3. The loading system of any of Claims 1-2, wherein the plunger is configured to engage the deployable medical device housed within the cartridge.
- 4. The loading system of any of Claims 1-3, wherein the housing structure further comprises a clamp (228A, 228B) configured to secure the deployment apparatus to the housing structure when loading the deployable medical device into the deployment apparatus.
- 5. The loading system of any of Claims 1-4, wherein the housing structure further comprises a lock (326, 314) to prevent undesired release of the deployment apparatus prior to correct loading of the deployable medical device into the deployment apparatus.
- 6. The loading system of any of Claims 1-5, wherein the cartridge comprises a stop (431) to signal the user that the actuator has traveled to the correct position for completely loading the deployable medical device into the deployment apparatus.
- 7. The loading system of any of Claims 1-6, wherein the cartridge comprises a means for providing an audible sound to signal the user that the actuator has traveled to the correct position for completely loading the deployable medical device into the deployment apparatus.
- 8. The loading system of any of Claims 4-7, wherein the housing structure further comprises a means for providing an audible sound to signal the user that the deployment apparatus has been locked into the housing clamp and that loading may commence.
- 9. The loading system of any of Claims 1-8, wherein the housing structure further comprises a safety apparatus (222) to protect the actuator from being damaged by

improper installation or removal of the at least one interchangeable medical device cartridge within the cavity.

- 10. An interchangeable medical device cartridge (104) comprising an enclosure for interchangeable use in the first open cavity of a valve loader of a loading system, the enclosure configured to house a deployable medical device that may be directed by the loading system into a deployment apparatus (102).
- 11. The interchangeable medical device cartridge of Claim 10, further comprising within the enclosure a tapered lumen (412) for compressing the deployable medical device prior to loading into the deployment apparatus.
- 12. The interchangeable medical device cartridge of Claim 11, wherein the tapered lumen comprises a proximal end having a larger diameter than the distal end.
- 13. The interchangeable medical device cartridge of Claim 11, wherein the tapered lumen is more tapered at a distal end than at a proximal end.
- 14. The interchangeable medical device cartridge of any of Claims 10-13, further comprising at least one release tab (434) that is integrally formed with the enclosure, the at least one release tab configured to lock the interchangeable medical device cartridge within the valve loader.
- 15. The interchangeable medical device cartridge of any of Claims 10-14, wherein the enclosure comprises a mating shape corresponding to a cavity (214) within the valve loader, the mating shape configured to allow the interchangeable medical device cartridge to be inserted into the cavity in one direction.
- 16. The interchangeable medical device cartridge of any of Claims 10-15, wherein the enclosure comprises a recess 440 configured to receive a locking mechanism 222 for retaining the interchangeable medical device cartridge in the valve loader.
- 17. The interchangeable medical device cartridge of any of Claims 10-16, further comprising the deployable medical device 500 within the enclosure.
- 18. The interchangeable medical device cartridge of Claim 17, wherein the deployable medical device is a lung volume reduction device.
- 19. The loading system of any of Claims 1-9, further comprising the at least one interchangeable medical device cartridge of any of Claims 10-18.
- 20. A method of loading a deployable medical device into a deployment apparatus, the method comprising inserting an interchangeable medical device cartridge of any of Claims 10-18 into a loading system of any of Claims 1-9, and activating an

actuator within the loading system to direct a medical device within the interchangeable medical device cartridge through said loading system and into the deployment apparatus.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

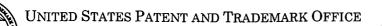
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/249,243	10/10/2008	Martin Neal Adams	SPIRTN.044A	1345
<sup>20995</sup> KNOBBE MA 2040 MAIN ST	7590 01/18/201 RTENS OLSON & BE		EXAM	INER
FOURTEENT	H FLOOR	ART UNIT	PAPER NUMBER	
IRVINE, CA 9	22614		3767	
		<del></del>		
			NOTIFICATION DATE	DELIVERY MODE
			01/18/2011	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

jcartee@kmob.com efiling@kmob.com eOAPilot@kmob.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE CA 92614

In re Application of

ADAMS, MARTIN NEAL et al : DECISION ON REQUEST TO Application No. 12/249,243 : PARTICIPATE IN PATENT Filed: Oct. 10, 2008 : PROSECUTION HIGHWAY

Attorney Docket No SPIRTN.044A : PILOT PROGRAM AND PETITION

For: VALVE LOADER METHOD, SYSTEM: TO MAKE SPECIAL UNDER

AND APPARATUS : 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed Jan. 13, 2011 to make the above-identified application special.

The request and petition are Granted.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must disclose an eligible relationship to one or more PCT applications filed in the JPO, EPO, KIPO or USPTO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the PCT application(s) latest international work product (the written opinion or the IPER) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the PCT application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of the latest international work product from the PCT application containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the PCT examiner in the international work product along with copies of documents except U.S. patents or U.S. patent application publications.

In light of the petition being properly submitted, the request to participate in the PPH program and the petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

The applicant is encouraged to cite and submit all relevant prior art references, if any, to facilitate examination in this application.

All other inquiries concerning the examination or status of the application should be directed to Kevin Sirmons, the SPE of Art Unit 3767 and (571)272-4965 for Class 604/264 and also accessible in the PAIR system at http://www.uspto.gov/ebc.index.html.

All other inquiries concerning the examination or status of the application should be directed to the Patent Application Information Retrieval (PAIR) system.

This application will be forwarded and docketed to an examiner for action on the merits commensurate with this decision.

Telephone inquiries concerning this decision should be directed to Henry C. Yuen, at 571-272-4856.

The petition is granted.

/Henry C. Yuen/

Henry C. Yuen, Special Programs Examiner Technology Center 3700 – Mechanical Engineering, Manufacturing and Products 571-272-4856



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/249,325	10/10/2008	James Nicholas Watson	H-RM-01263-02/COV-27-02 1512	
80883 7590 02/17/2011 Nellcor Puritan Bennett LLC		•	EXAM	INER
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6135 Gunbarro Boulder, CO 8			ART UNIT	PAPER NUMBER
Boulder, CO o	0501		2857	
			NOTIFICATION DATE	DELIVERY MODE
	•		02/17/2011	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ip.legal@covidien.com



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Paper Number: 20110215

Nellcor Puritan Bennett LLC

ATTN: IP Legal

6135 Gunbarrel Avenue

Boulder CO 80301

In re Application of:

James Nicholas Watson et al.

Serial No.: 12/249,325

Filed: 10/10/2008

Attorney Docket No.: H-RM-01263-02/COV-27-02

**DECISION ON PETITION** 

FOR USE OF COLOR DRAWINGS UNDER 37

C.F.R 1.84(a)(2)

This is a decision in response to the updated petition under 37 C.F.R. 1.84(a)(2), filed 10/10/2008. The petition fee has been paid.

The petition is **GRANTED**.

The Petition sets forth an explanation regarding why the color drawings are necessary, the required fee, the required paragraph is provided in the specification and includes three sets of color drawings.

Accordingly, the color drawings are accepted as formal drawings in the above-referenced application.

Drew A. Dunn, Supervisory Patent Examiner

Technology Center 2800

Semiconductors, Electrical and Optical

Systems and Components



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/249,368	10/10/2008	JEFFREY WU	J&J 5340 USNP 1594	
7	7590 11/19/2010		EXAM	INER
PHILIP S. JOHNSON JOHNSON & JOHNSON ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003			FRAZIER, BARBARA S	
			ART UNIT	PAPER NUMBER
			1611	
			NOTIFICATION DATE	DELIVERY MODE
			11/19/2010	ELECTRONIC

## **DECISION GRANTING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment is recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is granted.

The express abandonment is recognized. Any previously paid search fee and excess claims fee are hereby refunded.

Telephone inquiries should be directed to the Office of Data Management at (571) 272-4200.

Memes

Patent Rublication Branch
Office of Data Management

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Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
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QUARLES & BRADY LLP 411 E. WISCONSIN AVE SUITE 2040 MILWAUKEE, WI 53202-4494

MAILED

NOV 2 1 2011

OFFICE OF PETITIONS

**DECISION ON PETITION** 

In re Application of

Theresa GRATSCH et al. Application No. 12/249,452

Filed: October 10, 2008

Attorney Docket No. 920357.00007

This is a decision on the petition under 37 CFR 1.137(b), filed November 3, 2011, to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the final Office action mailed April 29, 2011, which set a shortened statutory period for reply of three (3) months. Accordingly, the above-identified application became abandoned on July 30, 2011.

The above-identified application is being revived solely for purposes of continuity. As continuity has been established by this decision reviving the above-identified application, the above-identified application is again abandoned in favor of continuing application No. 13/283,342, filed October 27, 2011.

An extension of time under 37 CFR 1.136 must be filed prior to the expiration of the maximum extendable period for reply. See In re Application of S., 8 USPQ2d 1630, 1631 (Comm'r Pats. 1988). Since the \$635 extension of time fee submitted with the petition on November 3, 2011 was subsequent to the maximum extendable period for reply, this fee is unnecessary and will be credited to petitioner's deposit account.

The application file does not indicate a change of address has been filed in this case, although the address given on the petition differs from the address of record. A change of address should be filed in this case in accordance with MPEP 601.03. A courtesy copy of this decision is being mailed to the address noted on the petition. However, until otherwise instructed, all future correspondence regarding this application will be mailed solely to the address of record.

Telephone inquiries concerning this decision should be directed to Joanne Hama at (571) 272-2911 or in her absence, the undersigned at (571) 272-7099.

Petitions Examiner
Office of Petitions

cc: Sara D. Vinarov, J.D., Ph. D. Quarles & Brady LLP 300 North LaSalle Street Suite 4000 Chicago, IL 60654



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Alexandria, VA 22313-1450

BOOTH UDALL, PLC 1155 W. Rio Salado Pkwy. Suite 101 Tempe AZ 85281

MAILED

AUG 27 2010

OFFICE OF PETITIONS

In re Application of

Herb Gerard et al.

Application No. 12/249,481

Filed: October 10, 2008

Attorney Docket No. 1375.002

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed July 2, 2010, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed October 5, 2009, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on January 6, 2010. A Notice of Abandonment was mailed on April 28, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$810 and (3) a proper statement of unintentional delay. Accordingly, the amendment is accepted as being unintentionally delayed.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272-4618.

This application is being referred to Technology Center AU 3752 for appropriate action by the Examiner in the normal course of business on the reply received July 2, 2010.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions



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BOOTH UDALL, PLC 1155 W. RIO SALADO PKWY. SUITE 101 TEMPE AZ 85281

**MAILED** 

OCT 2 2 2010

OFFICE OF PETITIONS

In re Application of

Gerard et al.

Application No. 12/249,481

Filed: October 10, 2008

Attorney Docket No. 1375.002

**DECISION ON PETITION** 

This is a decision on the petition under 37 CFR 1.102(c)(1), filed October 6, 2010, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **DISMISSED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required

Any papers filed in the application must be signed by:

- (1) A registered patent attorney or patent agent of record appointed in compliance with § 1.32(b);
- (2) A registered attorney or agent not of record who acts in a representative capacity under the provisions of § 1.34;
- (3) An assignee as provided for under § 3.71(b); or
- (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with §3.71.

In the instant petition for expedition due to age the petition was not signed by the applicant.

Further, petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14).

Additionally, the address given on the petition differs from the address of record. A courtesy copy of this decision is being mailed to the address given on the petition; however, the Office will mail all future correspondence solely to the address of record.

Further correspondence with respect to this matter should be addressed as follows:

By Mail: Mail Stop. PETITION

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

By hand:

U.S. Patent and Trademark Office

Customer Service Window, Mail Stop Petitions

Randolph Building 401 Dulany Street Alexandria, VA 22314

By FAX:

(571) 273-8300

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski Petitions Examiner Office of Petitions

cc: Herb Gerard

1718 S. Longmore #47 Mesa AZ 85202



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Paper No.

BOOTH UDALL, PLC 1155 W. Rio Salado Pkwy. Suite 101 Tempe AZ 85281 MAILED OCT 20 2011

OFFICE OF PETITIONS

In re Application of

Gerard et al.

Application No. 12/249,481

Filed: October 10, 2008

Attorney Docket Number:

1375.002

Title: EXTENDABLE SPRINKLER

DEVICE

DECISION ON PETITION

UNDER 37 C.F.R. § 1.137(B)

This is a decision on the petition pursuant to 37 C.F.R. \$ 1.137(b), filed on August 9, 2011, to revive the above-identified application.

This petition pursuant to 37 C.F.R. § 1.137(b) is GRANTED.

The above-identified application became abandoned for failure to reply within the meaning of 37 C.F.R § 1.113 in a timely manner to the final Office action mailed November 15, 2010, which set a shortened statutory period for reply of three months. A first after-final amendment was received on February 15, 2011, and an advisory action was mailed on March 16, 2011. A second after-final amendment was filed on May 12, 2011 along with a three-month extension of time, and an advisory action was mailed on May 31, 2011. No additional extensions of time under the provisions of 37 C.F.R § 1.136(a) were available, and no further responses were received. Accordingly, the above-identified application became abandoned on May 16, 2011. A notice of abandonment was mailed on July 27, 2011.

:

A grantable petition pursuant to 37 C.F.R. § 1.137(b) must be accompanied by:

(1) The reply required to the outstanding Office action or notice, unless previously filed;

- (2) The petition fee as set forth in 37 C.F.R.
  § 1.17(m);
- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional, and;
- (4) Any terminal disclaimer (and fee as set forth in . 37 C.F.R. § 1.20(d)) required pursuant to paragraph (d) of this section.

With this petition, Petitioner has submitted the petition fee and the proper statement of unintentional delay. Petitioner has further submitted an amendment that has been considered by the Examiner. A communication from the Examiner has been included with this decision.

The first, second, and third requirements of Rule 1.137(b) have been satisfied. The fourth requirement of Rule 1.137(b) is not applicable, as a terminal disclaimer is not required.<sup>1</sup>

The Technology Center will be notified of this decision. The Technology Center's support staff will notify the Examiner of this decision, so that the amendment that was received with the present petition can be processed.

Telephone inquiries **regarding this decision** should be directed to the undersigned at (571) 272-3225.

Paul Shanoski Senior Attorney Office of Petitions

Encl. Miscellaneous Office Communication

<sup>1 &</sup>lt;u>See</u> Rule 1.137(d).

<sup>2</sup> Petitioner will note that all practice before the Office should be in writing, and the action of the Office will be based exclusively on the written record in the Office. See 37 C.F.R. § 1.2. As such, Petitioner is reminded that no telephone discussion may be controlling or considered authority for any further action(s) of Petitioner.



## UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

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Alexandria, Virginia 22313-1450

APPLICATION NO./	EII INC DATE	FIRST MANER WAVENITOR	T
	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.
CONTROL NO.		PATENT IN REEXAMINATION	
10/040 401			

12/249,481

10 October 2008

GERARD ET AL.

1375.002

BOOTH UDALL, PLC 1155 W. Rio Salado Pkwy. Suite 101 Tempe, AZ 85281 EXAMINER

TREVOR E. MCGRAW

ART UNIT PAPER

3752

10132011

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

The amendment filed 08/09/2011 is OK to enter upon revival.

/T. E. M./ Examiner, Art Unit 3752



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

DORR, CARSON & BIRNEY, P.C. 501 SOUTH CHERRY STREET SUITE 800 DENVER CO 80246 MAILED
JUN 08 2011
OFFICE OF PETITIONS

In re Application of

Laurel R. Martin

Application No. 12/249,537

Filed: October 10, 2008

Attorney Docket No. 8777/2

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed May 13, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed October 13, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on January 14, 2011. A Notice of Abandonment was mailed on April 18, 2011.

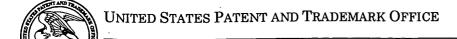
The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$1,620 and (3) a proper statement of unintentional delay. Accordingly, the amendment is accepted as being unintentionally delayed.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272-4618.

This application is being referred to Technology Center AU 3776 for appropriate action by the Examiner in the normal course of business on the reply received May 13, 2011.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

FISH & RICHARDSON P.C. P.O BOX 1022 MINNEAPOLIS MN 55440-1022

## MAILED

OCT 19 2011

In re Application of

Hubertus Notohamiprodjo, et al.

Application No. 12/249,647

Filed: October 10, 2008

Attorney Docket No. MP2467.D1 / 13361-

0212001

OFFICE OF PETITIONS

**DECISION GRANTING PETITION** 

: UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed, October 18, 2011 to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

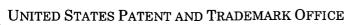
Petitioner is advised that the issue fee paid on September 21, 2011 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance. \(^1\)

Telephone inquiries should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to Technology Center AU 2858 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed amendment.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

DORSEY & WHITNEY LLP – NEW YORK (PT/18 ATTENTION: INTELLECUAL PROPERTY/PATENT DEPARTMENT 250 PARK AVENUE NEW YORK NY 10177-1500

MAILED

MAY 202011

OFFICE OF PETITIONS

In re Application of

**CAVANAUGH** 

Application No. 12/249,694

Filed: October 10, 2008

Attorney Docket No. 190826/US – 485192-00001

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed April 8, 2011.

#### The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request was signed by Benjamin Y. Han on behalf of the attorneys of record associated with Customer No. 30873.

The attorneys of record associated with Customer No. 30873 have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address indicated below until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/Diane C. Goodwyn/ Diane C. Goodwyn Petitions Examiner Office of Petitions

cc: GERARD V. CAVANAUGH C/O EPLANET UNITED LLC 155 EAST LANCASTER AVENUE WAYNE PA 19087



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/249,694 10/10/2008 Gerard V. Cavanaugh

190826/US - 485192-00001 **CONFIRMATION NO. 2251** 

30873

DORSEY & WHITNEY LLP - NEW YORK (PT/18)

ATTENTION: INTELLECUAL PROPERTY/PATENT DEPARTMENT

250 PARK AVENUE

NEW YORK, NY 10177-1500

**POWER OF ATTORNEY NOTICE** 

Date Mailed: 05/18/2011

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 04/08/2011.

 The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/dcgoodwyn/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313(	ATION FROM ISSUE AFTER PAYMENT OF		
Application Number	12249742			
Filing Date	10-Oct-2008			
First Named Inventor	Charles Barber			
Art Unit	2887			
Examiner Name				
Attorney Docket Number	H28187			
Title	READING APPARATUS HAVING PARTIAL F	RAME OPERATING MODE		
An application may be withdrawn from issue for further action upon petition by the applicant. To request that the Office withdraw an application from issue, applicant must file a petition under this section including the fee set forth in § 1.17(h) and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary.  APPLICANT HEREBY PETITIONS TO WITHDRAW THIS APPLICATION FROM ISSUE UNDER 37 CFR 1.313(c).				
are unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for con	aims, which must be accompanied by an unsuch claim or claims, and an explanation as attinued examination in compliance with § 1.	equivocal statement that one or more claims to how the amendment causes such claim or .114 (for a utility or plant application only); or e in favor of a continuing application, but not a		
Petition Fee				
Applicant claims SMALL EN	Applicant claims SMALL ENTITY status. See 37 CFR 1.27.			
Applicant is no longer claim	ing SMALL ENTITY status. See 37 CFR 1.27(g	ງ)(2).		
Applicant(s) status remains a	s SMALL ENTITY.			
Applicant(s) status remains as	s other than SMALL ENTITY			
Reason for withdrawal from issue				

One or more claims are unpatentable					
Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)					
Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).					
RCE request, submission, and fee.					
I certify, in accordance with 37 CFR 1.4(d)(4) that:  The RCE request ,submission, and fee have already been filed in the above-identified application on					
Are attached.					
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES				
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:				
An attorney or agent registered in this application.	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.				
An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.					
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors					
A joint inventor; all of whom are signing this e-petition					
○ The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71					
Signature	/George S. Blasiak/				
Name	George S. Blasiak				
Registration Number	37283				



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: April 2, 2012

In re Application of:

**DECISION ON PETITION Charles Barber** UNDER CFR 1.313(c)(2)

Application No: 12249742

Filed: 10-Oct-2008

Attorney Docket No: H28187

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed April 2, 2012

, to withdraw the above-identified

application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 2887 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/249,753	10/10/2008	Harish Kumar Sampangi Rama	67272-8124.US01 2393	
77042 Perkins Coie I	7590 02/04/20 J.P		EXAM	INER
P.O. Box 1208			HAMZA, FARUK	
Seattle, WA 9	8111-1208		ART UNIT	PAPER NUMBER
			2442	
			NOTIFICATION DATE	DELIVERY MODE
		•	02/04/2011	ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentprocurement@perkinscoie.com

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

### MAILED

Jordan M. Becker Perkins Coie LLP P.O. Box 1208 Seattle WA 98111-1208

FEB 03 2011

DIRECTOR OFFICE
TECHNOLOGY CENTER 2400

In re Application of: RAMA, H.K.S. et. al.

Application No. **12/249,753** Filed: October 10, 2008

Atty Docket No.: 67272-8124.US01

Title of the Invention:

LIMITING SIMULTANEOUS DATA

TRANSFER AND EFFICIENT THROTTLE

**MANAGEMENT** 

DECISION ON PETITION TO WITHDRAW RESTRICTION REQUIREMENT UNDER 37 C.F.R. § 1.181

This is a decision on the Petition under 37 C.F.R. §1.181 filed 12/09/10 regarding a restriction requirement made in office action mailed September 1, 2010.

This petition is **GRANTED**.

#### **RULES AND REGULATIONS**

#### MPEP §806.05(d): Subcombinations Usable Together

Two or more claimed subcombinations, disclosed as usable together in a single combination, and which can be shown to be separately usable, are usually restrictable when the subcombinations do not overlap in scope and are not obvious variants.

#### MPEP §806.04(f): Restriction Between Mutually Exclusive Species

Where two or more species are claimed, a requirement for restriction to a single species may be proper if the species are **mutually exclusive**. Claims to different species are mutually exclusive if one claim recites limitations disclosed for a first species but not a second, while a second claim recites limitations disclosed only for the second species and not the first. This may also be expressed by saying that to require restriction between claims limited to species, the claims must not overlap in scope

#### MPEP §806.05(j): Related Products; Related Processes

To support a requirement for restriction between two or more related product inventions, or between two or more related process inventions, both two-way distinctness and reasons for insisting on restriction are necessary, i.e., separate classification, status in the art, or field of search. See MPEP § 808.02. See MPEP § 806.05(c) for an explanation of the requirements to establish two-way distinctness as it applies to inventions in a combination/subcombination

Serial No.: 12/249,753 Decision on Petition

relationship. For other related product inventions, or related process inventions, the inventions are distinct if (A) the inventions as claimed do not overlap in scope, i.e., are mutually exclusive; (B) the inventions as claimed are not obvious variants; and (C) the inventions as claimed are either not capable of use together or can have a materially different design, mode of operation, function, or effect. See MPEP § 802.01. The burden is on the examiner to provide an example to support the determination that the inventions are distinct, but the example need not be documented. If applicant either proves or provides convincing evidence that the example suggested by the examiner is not workable, the burden is on the examiner to suggest another viable example or withdraw the restriction requirement.

#### MPEP §806.03 Single Embodiment, Claims Defining Same Essential Features

Where the claims of an application define the same essential characteristics of a single disclosed embodiment of an invention, restriction there between should never be required. This is because the claims are not directed to distinct inventions; rather they are different definitions of the same disclosed subject matter, varying in breadth or scope of definition.

#### MPEP §806.05(c) Combination and Subcombination

To support a requirement for restriction between combination and subcombination inventions, both two-way distinctness and reasons for insisting on restriction are necessary, i.e., there would be a serious search burden if restriction were not required as evidenced by separate classification, status, or field of search. See MPEP § 808.02. The inventions are distinct if it can be shown that a combination as claimed: (A) does not require the particulars of the subcombination as claimed for patentability (to show novelty and unobviousness), and (B) the subcombination can be shown to have utility either by itself or in another materially different combination. When these factors cannot be shown, such inventions are not distinct.

#### MPEP §806.05(d) Subcombinations Usable Together

Two or more claimed subcombinations, disclosed as usable together in a single combination, and which can be shown to be separately usable, are usually restrictable when the subcombinations do not overlap in scope and are not obvious variants. To support a restriction requirement where applicant separately claims plural subcombinations usable together in a single combination and claims a combination that requires the particulars of at least one of said subcombinations, both two-way distinctness and reasons for insisting on restriction are necessary. Each subcombination is distinct from the combination as claimed if: (A) the combination does not require the particulars of the subcombination as claimed for patentability (e.g., to show novelty and unobviousness), and (B) the subcombination can be shown to have utility either by itself or in another materially different combination.

#### **DECISION**

The claims as filed 10/10/08 has been reviewed and found not restrictable in accordance with the rules and regulations above mentioned. Specifically, (at least) claims 1, 7 and 14, (A) the inventions as claimed have overlap in scope; (B) the inventions as claimed are obvious variants; and (C) the inventions as claimed do not have a materially different design, mode of operation, function, or effect. Thus, a prima facie requirement for restriction has not been set forth.

For the above-mentioned reasons, the petition is granted.

The restriction requirement is hereby WITHDRAWN.

The application will be forwarded to the examiner for consideration on the merits of all pending claims.

Any inquiry regarding this decision should be directed the undersigned whose telephone number is (571) 272-3902. If attempts to reach the undersigned by telephone are unsuccessful, Kim Huynh, Quality Assurance Specialist, can be reached at (571) 272-4147.

/Beatriz Prieto/

Beatriz Prieto, Quality Assurance Specialist Technology Center 2400 Network, Multiplexing, Cable and Security



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

INTELLECTUAL PROPERTY GROUP FREDRIKSON & BYRON, P.A. 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS MN 55402

MAILED

SEP 3 0 2010

In re Application of

**OFFICE OF PETITIONS** 

Shaun E. Sullivan

Application No. 12/249,776

**DECISION ON PETITION** 

Filed: October 10, 2008

TO WITHDRAW FROM RECORD

Attorney Docket No. 57952.2.2

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed August 18, 2010.

The request is APPROVED.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The request was signed by Eric J. Snustad on behalf of all attorneys/agents associated with customer 22859. All attorneys/agents associated with customer number 22859 have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address has been changed and is copied below.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at 571-272-4618.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

cc: Spin Energy Corporation 494 State Street, Suite 500 Salem, OR 97301



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY, DOCKET NO./TITLE

12/249,776

10/10/2008

Shaun E. Sullivan

57952.2.2

CONFIRMATION NO. 2440 POWER OF ATTORNEY NOTICE

Date Mailed: 09/29/2010

22859
INTELLECTUAL PROPERTY GROUP
FREDRIKSON & BYRON, P.A.
200 SOUTH SIXTH STREET, SUITE 4000
MINNEAPOLIS, MN 55402

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/18/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/kainabinet/	
Office of Data Management, Application Assistance Unit (571)	272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Carl Kukkonen (SAP / Business Objects) Mintz Levin Cohn Ferris Glovsky Popeo PC 3580 Carmel Mountain Rd., Suite 300 San Diego CA 92130

MAILED
APR 25/2011
OFFICE OF PETITIONS

In re Application of

Adam Binnie

Application No. 12/249,815

Filed: October 10, 2008

Attorney Docket No. BOBJ-191/01US

304661-2494

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 CFR § 1.36(b), filed March 11, 2011.

The request is **NOT APPROVED**.

A review of the file record indicates that Cliff Z. Liu, Jason C. Fan, and William S. Galliani does not have power of attorney or was ever given power of attorney in the above-identified application. Accordingly, the request to withdraw under 37 CFR § 1.36(b) is not applicable.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-4584.

/JoAnne Burke/ JoAnne Burke Petitions Examiner Office of Petitions

cc: William S. Galliani 777 Sixth Street NW Suite 1100

Washington, DC 20001

Doc Code: PET.AUTO Document Description: Petition	automatically granted by EFS-Web	PTO/SB/83 U.S. Patent and Trademark Office Department of Commerce	
Electronic Petition Request	REQUEST FOR WITHDRAWAL AS ATTORNE CORRESPONDENCE ADDRESS	EY OR AGENT AND CHANGE OF	
Application Number	12249822		
Filing Date	10-Oct-2008		
First Named Inventor	Alexander Bakman		
Art Unit	3627		
Examiner Name	LUNA CHAMPAGNE		
Attorney Docket Number	099257-0105		
Title	METHOD, SYSTEM AND APPARATUS FOR CA	ALCULATING CHARGEBACK FOR VIRTUALIZED	
	orney or agent for the above identified paten associated with Customer Number:	t application and 48329	
The reason(s) for this request are	those described in 37 CFR:		
10.40(b)(4)			
Certifications			
I/We have given reasonable intend to withdraw from em	notice to the client, prior to the expiration of the ployment	response period, that the practitioner(s)	
I/We have delivered to the control to which the client is entitled	lient or a duly authorized representative of the cli	ient all papers and property (including funds)	
✓ I/We have notified the clien:	t of any responses that may be due and the time f	rame within which the client must respond	
Change the correspondence addre properly made itself of record purs	ess and direct all future correspondence to the firs suant to 37 CFR 3.71:	st named inventor or assignee that has	
Name	VKERNEL CORPORATION		
Address	300 BRICKSTONE SQUARE SUITE 503		
City	ANDOVER		
State	MA		
Postal Code	01810		
Country US			

Signature	/McKenna, Christopher/
Name	McKenna, Christopher



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: April 18, 2012

In re Application of : DECISION ON REQUEST TO WITHDRAW AS

Alexander Bakman ATTORNEY/AGENTOF RECORD

Application No: 12249822

Filed: 10-Oct-2008

Attorney Docket No: 099257-0105

This is an electronic decision on the Request to Withdraw as attorney or agent of record under 37 CFR § 1.36(b), filed April 18, 2012

The request is **APPROVED.** 

The request was signed by McKenna, Christopher (registration no. 53302) on behalf of all attorneys/agents associated with Customer Number 48329 have been withdrawn.

Since there are no remaining attorneys of record, all future communications from the Office will be directed to the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71, with correspondence address:

Name VKERNEL CORPORATION

Name2

Address 1 300 BRICKSTONE SQUARE

Address 2 SUITE 503
City ANDOVER

State MA
Postal Code 01810
Country US

As a reminder, requester is required to inform the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71 of the electronically processed petition.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Aaron R. Cathcart 4940 Haley Farms Drive Cumming GA 30028 MAILED DEC 05 2011

OFFICE OF PETITIONS

In re Application of Aaron Renwick Cathcart Application No. 12/249,835 Filed: October 10, 2008 Attorney Docket No.

DECISION ON PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(c)(1)

This is a decision on the petition under 37 CFR 1.102(c)(1), filed November 14, 2011, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required

The instant petition includes a statement by inventor Aaron Cathcart, attesting to his/her age. The above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at 571-272-4618.

The application is being forwarded to the Office of Publications for action on the merits commensurate with this decision.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions **Doc Code: PET.GREEN** 

**Document Description: Petition for Green Tech Pilot** 

Approved for use through 01/31/2011. OMB 0651-0062

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### PETITION TO MAKE SPECIAL UNDER THE GREEN TECHNOLOGY PILOT PROGRAM

**XIC004 US2** Number:

Application Number 12/249,874 Filing date: Oct. 10, 2008

First Named Gerard Harbers

Title: Illumination Device with Light Emitting Diodes

APPLICANT HEREBY REQUESTS TO PARTICIPATE IN THE GREEN TECHNOLOGY PILOT PROGRAM FOR THE ABOVE-IDENTIFIED APPLICATION. See Instruction Sheet on page 2.

This petition must be timely filed electronically using the USPTO electronic filing system, EFS-Web.

By filing this petition:

Applicant is requesting early publication: Applicant hereby requests early publication under 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d) accompanies this request.

- 2. By filing this petition: applicant is agreeing to make an election without traverse in a telephonic interview and elect an invention that meets the eligibility requirements set forth in the notice titled "Pilot Program for Green Technologies Including Greenhouse Gas Reduction," as modified by the notice titled "Elimination of Classification Requirement in the Green Technology Pilot Program," each of which was published in the Federal Register, if the Office determines that the claims are not obviously directed to a single invention.
- 3. This request is accompanied by statements of special status for the eligibility requirement.
- 4. The application contains no more than three (3) independent claims and twenty (20) total claims.
- 5. The application does not contain any multiple dependent claims.
- Other attachments: Preliminary Amendment; Declaration of Mark Pugh 6.

Signature / Michael J. Halbert/	Date 11/5/2010			
Name (Print/Typed) Michael J. Halbert	Registration Number 40,633			
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below*.				
*Total of 4 forms are submitted.				

The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# Instruction Sheet for Petition to Make Special Under the Green Technology Pilot Program

(Not to be Submitted to the USPTO)

The following is a summary of the requirements (for more information see the notices (i) "Pilot Program for Green Technologies Including Greenhouse Gas Reduction" and (ii) "Elimination of Classification Requirement in the Green Technology Pilot Program," available on the USPTO web site at http://www.uspto.gov/patents/init\_events/green\_tech.jsp):

- (1) The application must be a non-reissue, non-provisional utility application filed under 35 U.S.C. 111(a), or an international application that has entered the national stage in compliance with 35 U.S.C. 371. The application must be previously filed before the publication date of the notice cited above. Reexamination proceedings are excluded from this pilot program.
- (2) The application must contain three or fewer independent claims and twenty or fewer total claims. The application must not contain any multiple dependent claims. For an application that contains more than three independent claims or twenty total claims, or multiple dependent claims, applicant must file a preliminary amendment in compliance with 37 CFR 1.121 to cancel the excess claims and/or the multiple dependent claims at the time the petition to make special is filed.
- (3) The claims must be directed to a single invention that materially enhances the quality of the environment, or that materially contributes to: (1) the discovery or development of renewable energy resources; (2) the more efficient utilization and conservation of energy resources; or (3) green house gas emission reduction (see the eligibility requirements of sections II and III of the notice (i) cited above). The petition must include a statement that, if the USPTO determines that the claims are directed to multiple inventions (e.g., in a restriction requirement), applicant will agree to make an election without traverse in a telephonic interview, and elect an invention that meets the eligibility requirements in section II or III of the notice (i) cited above.
- (4) The petition to make special must be timely filed electronically using the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Green Tech Pilot" on the EFS-Web screen. Applicant should use form PTO/SB/420, which is available as a Portable Document Format (PDF) fillable form in EFS-Web and on the USPTO Web site.
- (5) The petition to make special must be filed at least one day prior to the date that a first Office action (which may be an Office action containing only a restriction requirement) appears in the Patent Application Information Retrieval (PAIR) system. Applicant may check the status of the application using PAIR.
- (6) The petition to make special must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d).

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/249,874	10/10/2008	Gerard Harbers	XIC004 US2	2643
	7590 11/24/2010 Patent Group LLP		EXAM	INER
18805 Cox Ave			MCMILLAN	, JESSICA L
Suite 220 Saratoga, CA 9	5070		ART UNIT	PAPER NUMBER
			2875	-
				<u> </u>
			MAIL DATE	DELIVERY MODE
			11/24/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Silicon Valley Patent Group LLP 18805 Cox Avenue Suite 220 Saratoga CA 95070

In re Application of

HARBERS et al : DECISION ON PETITION
Application No. 12/249,874 : TO MAKE SPECIAL UNDER
Filed: October 10, 2008 : THE GREEN TECHNOLOGY

Attorney Docket No. XIC004 US2 : PILOT PROGRAM

This is a decision on the petition under 37 CFR 1.102, filed on November 05, 2010, to make the above-identified application special under the pilot program for applications pertaining to Green Technologies as set forth 74 Federal Register Notice 64666 (December 8, 2009) and amended by 75 Federal Register Notice 28554 (May 21, 2010) and 75 Federal Register Notice 69049 (November 10, 2010).

#### The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 64666 must be directed to a nonprovisional application filed under 35 USC 111(a) or be a national stage entry under 35 USC 371, exclusive of any reissue applications.

In order to qualify for special status, the following requirements must be met. 1) The application must have no more than 3 independent claims and no more than 20 total claims. 2) The application must not contain any multiple dependent claims. 3) The petition must state the basis for seeking special status, i.e., the claimed invention either: A) materially enhances the quality of the environment or B) materially contributes to: i) the discovery or development of renewable energy resources, ii) the more efficient utilization and conservation of energy resources, or iii) greenhouse gas emission reduction. 4) If the disclosure is not clear on its face that the claimed invention materially contributes under category (A) or (B), the petition must be accompanied by a statement by the applicant, assignee, or an attorney/agent registered to practice before the Office explaining how the materiality standard is met. 5) A statement that applicant will agree to make an election without traverse in a telephonic interview if a restriction requirement is made by the examiner. 6) The petition to make special must be filed electronically. 7) The petition must be filed at least one day prior to the date that a first Office Action appears in the Patent Application Information Retrieval (PAIR) system. 8) The petition must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and include the publication fee as set forth in 37 CFR 1.18(d).

The requirement for a fee for consideration of the petition to make special for applications pertaining to Green Technologies has been waived.

The instant petition complies with items 1 - 8 above. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquires concerning this decision should be directed to Lee W. Young at 571-272-4549.

The application is being forwarded to the Technology Center Art Unit 2875 for action in its regular turn.

Lee W. Young

Quality Assurance Specialist Technology Center 2800

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

DAFFER MCDANIEL LLP P.O. BOX 684908 AUSTIN, TX 78768

**MAILED** 

DEC 202010

**OFFICE OF PETITIONS** 

In re Application of Hosny Ibrahim Sabry Application No. 12/250,112

Filed: October 13, 2008

Attorney Docket No. 5771-00100

DECISION ON PETITION TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed November 1, 2010.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request was signed by Kevin L. Daffer on behalf of all attorneys of record who are associated with customer No. 35617. All attorneys/agents associated with the Customer Number 35617 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address indicated below.

There is an outstanding Office action mailed August 30, 2010 that requires a reply from the applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

cc:

HOSNY IBRAHIM SABRY 27 B MANSHEIET EL AKRY ST HELIOPOLIS, CAIRO EGYPT



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/250,112

10/13/2008

Hosny Ibrahim Sabry

5771-00100 **CONFIRMATION NO. 3146** 

**POWER OF ATTORNEY NOTICE** 

35617 DAFFER MCDANIEL LLP P.O. BOX 684908 **AUSTIN, TX 78768** 



Date Mailed: 12/20/2010

# NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/01/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/amwise/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/250,136	10/13/2008	Patrick Wipplinger	BOEING 08-0788	3190
74532 Hayes Solowa	7590 02/16/2012 v P C	EXAMINER		
4640 E. Skyline Drive			LABBEE	S, EDNY
Tucson, AZ 85	5/18		ARȚ UNIT	PAPER NUMBER
			2612	·····
	·			
			NOTIFICATION DATE	DELIVERY MODE
			02/16/2012	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

admin@hayes-soloway.com nsoloway@hayes-soloway.com sbronson@hayes-soloway.com



# UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

February 14, 2012

Hayes Soloway P.C. 4640 E. Skyline Drive Tucson AZ 85718

In re Application of

WIPPLINGER, PATRICK., Et Al.

Application No: 12/250136

Filed: 10/13/2008

Attorney Docket No: BOEING 08-0788

: DECISION ON PETITION

This is a decision on the Petition to Accept Color Drawings under 37 C.F.R 1.84 (a) (2), received in the United States Patent and Trademark Office (USPTO) October 13, 2008.

The petition is **DISMISSED**.

A grantable petition under 37 C.F.R. 1.84(a) (2) must be accompanied by the following.

- 1. The fee set forth under 37 C.F.R. 1.17(h).
- 2. Three (3) sets of the color drawings in question, (One (1) set for EFW filings, and
- 3. The specification containing the following language as the first paragraph in that portion of the specification relating to the brief description of the drawings

"The patent or application file contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawing(s) will be provided by the Office upon request and payment of the necessary fee."

The petition did not meet the following requirement(s).  $1 \square 2 \square 3 \triangledown$ 

A renewed petition filed under 37 C.F.R. 1.84 (a) (2) must be filed within TWO (2) MONTHS of this decision. If a renewed petition is not filed within the TWO (2) Months of this decision the drawings will be printed in black and white.

Telephone inquires relating to this decision may be directed to the undersigned in the Office of Data Management at 571- 576-1565.

/Bernadette Queen/ Quality Control Specialist Office of Data Management Publications Branch Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

Iment Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-EP (05-10)

Approved for use through 01/31/2012. OMB 0651-0058

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Г-РРН) PILOT PROGRAM BETWEEN		ON TREATY - PATENT PROSECUTION OPEAN PATENT OFFICE (EPO) AND		
Application No:	12/250,158	Filing date:	October 13, 2008		
First Named Inventor:	Raymond E. Davis				
Title of the Invention: Utility (	Cutter				
SUBMITTED VIA EFS-W	ARTICIPATION IN THE PCT-PPH PILOT PROGRIZEB. INFORMATION REGARDING EFS-WEB IS OV/EBC/EFS_HELP.HTML				
	REQUESTS PARTICIPATION IN THE PC APPLICATION SPECIAL UNDER THE PCT				
of another PCT application domestic/ foreign priori priority claim in the conto (4) above, or (6) a U	ation which claims priority to the correspondi ity to the corresponding PCT application, or responding PCT application, or (5) a continu	ing PCT appl (4) a nationa uing applicati	ng PCT application, or (2) a national stage entry ication, or (3) a national application that claims application which forms the basis for the on of a U.S. application that satisfies one of (1) visional application which forms the basis for		
The corresponding Papplication number(s					
	The international filing date of the corresponding PCT application(s) is/are:  October 12, 2009				
corresponding Is attached	atest international work product (WO/ISA PCT application(s)		·		
	ed because the document is already in the L				
	laims which were indicated as having noved corresponding PCT application(s).	velty, invent	ive step and industrial applicability in the		
Is <u>not</u> attache	ed because the document is already in the L	J.S. application	on.		
	ntions of the documents in a. and b. above tatement that the English translation is ac		ed (if the documents are not in the English tached for the document in b. above.		

Approved for use through 01/31/2012. OMB 0651-0058 U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM BETWEEN THE EPO AND THE USPTO					
		(continued)			
Application No.: 1	2/250,158				
First Named Inventor:	Raymond E. Davis				
d. (1) An information disclosure statement listing the documents cited in the international work products (ISR, WO/ISA, WO/IPEA, IPER) of the corresponding PCT application.  Is attached  Has already been filed in the above-identified U.S. application on  (2) Copies of all documents (except) for U.S. patents or U.S. patent application publications)  Are attached.  Have already been filed in the above-identified U.S. application on  February 22, 2010  February 22, 2010  II. Claims Correspondence Table:					
Claims in US Applica	Patentable Claims in the corresponding PCT Application	Explanation regarding the correspondence			
1-20	1-20	US claims 1-20 are the same as the corresponding claims 1-20			
		in the corresponding PCT application.			
III. All the claims in the US application sufficiently correspond to the patentable claims in the corresponding PCT application.					
/Mathous K March 24 2011					
	Signature / Matthew K. Wernli / Date March 24, 2011				
Name (Print/Typed) Matthew K. Wernli Registration Number 63,173					

### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

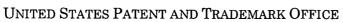
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/250,158	10/13/2008	Raymond E. Davis	23365-0030001	3242
26231 FISH & RICH	7590 03/28/2011 ARDSON P.C. (DA)		EXAM	INER
P.O. BOX 1022	2		NGUYEN,	PHONG H
MINNEAPOL	IS, MN 55440-1022		ART UNIT	PAPER NUMBER
			3724	· · · · · · · · · · · · · · · · · · ·
		•		
			NOTIFICATION DATE	DELIVERY MODE
			03/28/2011	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FISH & RICHARDSON P.C. (DA) P.O. BOX 1022 MINNEAPOLIS MN 55440-1022

In re Application of

DAVIS, RAYMOND E. et al : DECI Application No. 12/250,158 : PART

Filed: Oct. 13, 2008

Attorney Docket No. 23365-0030001

For:

UTILITY CUTTER

DECISION ON REQUEST TO

PARTICIPATE IN PATENT

PROSECUTION HIGHWAY

DIL OT DD OCD AND DETER

: PILOT PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

: 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed March 24, 2010 to make the above-identified application special.

The request and petition are granted.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must disclose an eligible relationship to one or more PCT applications filed in the JPO, EPO, KIPO or USPTO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the PCT application(s) latest international work product (the written opinion or the IPER) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the PCT application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of the latest international work product from the PCT application containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the PCT examiner in the international work product along with copies of documents except U.S. patents or U.S. patent application publications.

In light of the petition being properly submitted, the request to participate in the PPH program and the petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

The applicant is encouraged to cite and submit all relevant prior art references, if any, to facilitate examination in this application.

All other inquiries concerning the examination or status of the application should be directed to Boyer Ashley, the SPE of Art Unit 3724 and (571)272-4502 for Class 30/162 and also accessible in the PAIR system at http://www.uspto.gov/ebc.index.html.

All other inquiries concerning the examination or status of the application should be directed to the Patent Application Information Retrieval (PAIR) system.

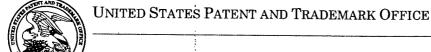
This application will be forwarded and docketed to an examiner for action on the merits commensurate with this decision.

Telephone inquiries concerning this decision should be directed to Henry C. Yuen, at 571-272-4856.

The petition is granted.

/Henry C. Yuen/

Henry C. Yuen, Special Programs Examiner Technology Center 3700 – Mechanical Engineering, Manufacturing and Products 571-272-4856



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

REED SMITH LLP
101 Second Street
Suite 1800
SAN FRANCISCO CA 94105

MAILED

MAR 19 2012

OFFICE OF PETITIONS

In re Application of Seery et al.

Application No. 12/250,242

Filed: October 13, 2008

Attorney Docket No. 361421.00300

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed February 17, 2012, to revive the above-identified application.

The petition is **GRANTED**.

This above-identified application became abandoned for failure to timely file a reply to a non-final Office action mailed August 9, 2011. The Office Action set a three (3) month shortened statutory period for reply. No extensions of time were obtained under the provisions of 37 CFR 1.136(a). Accordingly, this application became abandoned on November 10, 2011. This decision precedes the mailing of a Notice of Abandonment.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment (2) the petition fee of \$930.00, and (3) a statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3215.

This application is being referred to Technology Center AU 3635 for appropriate action by the Examiner in the normal course of business on the reply received

Charlema Grant

Attorney Advisor

Office of Petitions

### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

VICTORIA L. BOYD GENENCOR INTERNATIONAL, INC. 925 PAGE MILL ROAD PALO ALTO, CA 94304-1013

MAILED

MAR 08 2011

In re Application of Dunn et al.

Application No. 12/250,316 Filed: October 13, 2008

Attorney Docket No. GC 699-D1-C1

OFFICE OF PETITIONS

: DECISION ON PETITION

UNDER 37 CFR 1.78(a)(3)

This is a decision on the petition under 37 CFR 1.78(a)(3), filed March 1, 2011, to accept an unintentionally delayed claim under 35 U.S.C. § 120 for the benefit of priority to the prior-filed nonprovisional Application No. 10/028,244, set forth in the amendment filed concurrently with the instant petition. This is also a decision under 37 CFR 1.182, filed March 1, 2011, to expedite the petition under 37 CFR 1.1.78(a)(3).

The petition under 1.182 to expedite is **GRANTED**.

The petition under 1.78(a)(3) is **GRANTED**.

A petition for acceptance of a claim for late priority under 37 CFR 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR 1.78(a)(2)(ii). In addition, the petition under 37 CFR 1.78(a)(3) must be accompanied by:

- the reference required by 35 U.S.C. § 120 and 37 CFR 1.78(a)(2)(i) of the prior-filed application(s), unless previously submitted;
- (2) the surcharge set forth in  $\S 1.17(t)$ ; and
- a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2)(ii) and the date the claim was filed was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional.

The reference to add the prior-filed application on page one following the first sentence of the specification has been included in a concurrently filed amendment. However, the amendment is not acceptable as drafted since it improperly incorporates by reference the prior-filed Application No. 10/028,244. Petitioner's attention is directed to <u>Dart Industries v. Banner</u>, 636 F.2d 684, 207 USPQ 273 (C.A.D.C. 1980), where the court drew a distinction between a permissible 35 U.S.C. § 120 statement and the impermissible introduction of new matter by way of incorporation by reference in a 35 U.S.C. § 120 statement. However, the Office will accept the priority claim as shown in the application data sheet (ADS) filed concurrently.

The instant nonprovisional application was filed after November 29, 2000, and the claim for priority herein is submitted after expiration of the period specified in 37 CFR § 1.78(a)(2)(ii). See 35 U.S.C. § 120. Accordingly, having found that the instant petition satisfies the conditions of 37 CFR § 1.78(a)(3) for acceptance of an unintentionally delayed claim for priority under 35 U.S.C. § 120, the petition to accept an unintentionally delayed claim of benefit to the prior-filed application is granted.

The granting of the petition to accept the delayed benefit claim to the prior-filed application under 37 CFR § 1.78(a)(3) should not be construed as meaning that the instant application is entitled to the benefit of the filing date of the prior-filed application. In order for the instant application to be entitled to the benefit of the prior-filed application, all other requirements under 35 U.S.C. §120 and 1.78(a)(1) and (a)(2) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed application should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed application noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the instant application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the above-noted, prior-filed application, accompanies this decision on petition.

Any questions concerning this matter may be directed to Alicia Kelley at (571) 272-6059.

This matter is being referred to Technology Center Art Unit 1652 for appropriate action on the ADS submitted March 1, 2011, including consideration by the examiner of the claim under 35 U.S.C. § §120 and 37 CFR 1.78(a)(2) for the benefit of the prior-filed nonprovisional application.

/Liana Walsh/ Liana Walsh Petitions Examiner Office of Petitions



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450

ſ	APPLICATION	FILING or	GRP ÄRT				
ı	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
	12/250,316	10/13/2008	1652	1090	GC 699-D1-C1	2	2

VICTORIA L. BOYD GENENCOR INTERNATIONAL, INC. 925 PAGE MILL ROAD PALO ALTO, CA 94304-1013 CONFIRMATION NO. 3562 CORRECTED FILING RECEIPT



Date Mailed: 03/08/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Nigel Dunn-Coleman, Los Gatos, CA; Frits Goedegebuur, Vlaardingen, NETHERLANDS; Michael Ward, San Francisco, CA; Jian Yao, Sunnyvale, CA;

# **Power of Attorney:**

Richard Ito--32242
Janet Castaneda--33228
Margaret Horn, 32401

H. Anderton Jr.--40895 Victoria Boyd--43510

Margaret Horn--33401 Christopher Stone--35696 Kamrin MacKnight--38230

### Domestic Priority data as claimed by applicant

This application is a CON of 11/329,498 01/10/2006 PAT 7,449,319 which is a DIV of 10/028,244 12/18/2001 PAT 7,045,331

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

#### If Required, Foreign Filing License Granted: 10/27/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 12/250,316** 

Projected Publication Date: Not Applicable

Non-Publication Request: No Early Publication Request: No

page 1 of 3

Title

EGVII Endoglucanase and Nucleic Acids Encoding The Same

**Preliminary Class** 

435

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

I hereby certify that this correspondence is being filed via EFS-Web with the United States Patent and Trademark Office on February 4, 2011	<u>PATENT</u> Docket No.: 026258-003430US Client Ref. No.: ECC-0204-US-4
TOWNSEND and TOWNSEND and CREW LLP	
By: /Kelly Mak/	

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kevin Kimmich et al.

Application No.: 12/250,384

Filed: October 13, 2008

For: PREDICTIVE ADAPTIVE CODING AND MODULATION

Customer No.: 61668

Confirmation No.: 3684

Examiner:

Banks Harold, Marsha

Denise

Art Unit:

2482

PETITION TO MAKE SPECIAL PURSUANT TO

37 C.F.R. §1.102

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby petitions the Commissioner to make special the above-identified application in accordance with the Patent Application Backlog Reduction Stimulus Plan, as most recently published in the Federal Register on November 22, 2010 at 75 Fed. Reg. 71072, pursuant to 37 C.F.R. §1.102. Applicant states the following:

- a) the basis under which special status is being sought is express abandonment of another copending application, pursuant to the Patent Application Backlog Reduction Stimulus Plan;
- b) a copy of the letter of express abandonment and the statements accompanying the letter of express abandonment from the copending application that is expressly abandoned, as filed with the USPTO, is attached as exhibit A;

Kevin Kimmich et al.

Application No.: 12/250,384

Page 2

- c) both the instant application and the expressly abandoned application are currently commonly assigned to Viasat, Inc., and were so assigned prior to October 1, 2009;
- d) the application that is expressly abandoned is application serial number 12/170,644, which was filed on July 10, 2008;
- e) applicant hereby certifies that applicant has not filed petitions in more than fourteen other applications requesting special status under the Patent Application Backlog Reduction Stimulus Plan program; and
- f) applicant hereby agrees to make an election without traverse in a telephonic interview if the Office determines that the claims of the instant application are directed to two or more independent and distinct inventions.

Below are the applications involved in this Patent Application Exchange Program transaction. Please notify the undersigned immediately if you notice any inconsistencies between this information and the information on file.

Application being Advanced	Application being Abandoned
Serial Number: 12/250,384	Serial Number: 12/170,644
Title: PREDICTIVE ADAPTIVE CODING AND MODULATION  First Named Inventor: Kimmich	Title: WIRELESS DISTRIBUTION OF PASSIVE OPTICAL NETWORK SIGNALS
Filing Date: 13-Oct-2008	First Named Inventor: Lubin Filing Date: 10-Jul-2008
Attorney Docket: 026258-003430US  Client Docket: ECC-0204-US-4	Attorney Docket: 026258-003210US Client Docket: ECC-0273-US-2

Kevin Kimmich et al.

Application No.: 12/250,384

Page 3

Pursuant to the conditions for being accorded special status for examination under the Patent Application Backlog Stimulus Reduction Plan, applicant believes no fee is required for the instant petition. Applicant respectfully requests that this petition to make special pursuant to 37 C.F.R. §1.102 be granted.

Respectfully submitted,

Preetam B. Pagar Reg. No. 57,684

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300 PBP:pbp

63068170 v1

# EXHIBIT A

Mailroom date of February 4, 2011 as downloaded from PAIR

Electronic Acl	knowledgement Receipt
EFS ID:	9377150
Application Number:	12170644
International Application Number:	
Confirmation Number:	1446
Title of Invention:	WIRELESS DISTRIBUTION OF PASSIVE OPTICAL NETWORK SIGNALS
First Named Inventor/Applicant Name	McAael Lorre Lubin
Customer Number:	61668
Filer:	Preetam Baburao Pagar/Kelly Mak
Filer Authorized By:	Preetam Baburao Pagar
Attorney Docket Number:	026258-003210US
Receipt Date:	04-FEB-2011
Filing Date:	10-JUL-2008
Time Stamp:	15:11:18
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
	Letter Express Abandonment of the	026258_003210US_Express_Ab	80901	no	1
1	application	and on ment.pdf	78a107a7173e2f3f1efb1fe043a5ee9965ec9 647	110	
Warnings:	, , , , , , , , , , , , , , , , , , ,				

		Total Files Size (in bytes)	1,	62706	
Information	:				
Warnings:					
2	Letter Express Abandonment of the application	026258_003210US_Statement. pdf	81805 ac1c3a1511a88e86b571a7e714b8df1e93e 6fbbb	no	2

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

# New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

# New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledge new Receipt will establish the international filing date of the application.

# EXPRESS ABANDONMENT UNDER 37 CFR 1.138

File the petition electronically using EFS-Web Or Mail the petition to: Mail Stop Express Abandonment Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	12/170,644
Filing Date	July 10, 2008
First Named Inventor	Lubin, Michael Lorre
Art Unit	2613
Examiner Name	Vanderpuye, Kenneth
Attorney Docket Number	026258-003210US

Please <b>check only one</b> of boxes 1 or 2 below:  (If no box is checked, this paper will be treated as a request for express abandonment as if box 1 is checked.)					
Express Abandonment I request that the above-identified application be expressly abandoned as of the filing date of this paper.					
Express Abandonment in Favor of a Continuing Application I request that the above-identified application be expressly abandoned as of the filing date accorded the continuing application filed previously or herewith.					
<b>NOTE:</b> A paper requesting express abandonment of an application is not effective unless and until an appropriate USPTO official recognizes and acts on the paper. See the Manual of Patent Examining Procedure (MPEP), section 711.01.					
TO AVOID PUBLICATION, PLEASE USE FORM PTO SERVA INSTEAD OF THIS FORM.					
TO REQUEST A REFUND OF SEARCH FEE AND EXCESS CLAIMS FEE (IF ELIGIBLE), USE FOR PTO/SB/24B INSTEAD OF THIS FORM.	<b>₹M</b>				
assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)  attorney or agent of record. Attorney or agent registration number is 57,684  attorney or agent acting under 37 CFR 1.34, who is authorized under 37 CFR 1.138(b) because the application is expressly abandoned in favor of a continuing application (box 2 above must be checked). Attorney or agent registration number is					
/Preetam B. Pagar/ February 4, 2011 Signature Date					
Preetam B. Pagar 415-576-0200 Typed or printed name Telephone Number					
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Subn forms if more than one signature is required, see below.	nit multiple				

EFS-Web with the	t this correspondence is being filed via United States Patent and Trademark Office y 4, 2011	
FOWNSEND and	TOWNSEND and CREW LLP	
Rv.	/Kelly Mak/	

PATENT Docket No.: 026258-003210US Client Ref. No.: ECC-0273-US-2

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael Lorre Lubin et al.

Application No.: 12/170,644

Filed: July 10, 2008

For: WIRELESS DISTRIBUTION OF

PASSIVE OPTICAL NETWORK

**SIGNALS** 

Customer No.: 61668

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Pursuant to the Patent Application Backlog Reduction Stimulus Plan, as most recently published in the Federal Register on November 22, 2010 at 75 Fed. Reg. 71072, applicant hereby expressly abandons the instant application. A petition for express abandonment of the instant application pursuant to 37 C.F.R. §1.138(a) is filed concurrently with this paper. Pursuant to the requirements of the Patent Application Backlog Reduction Stimulus Plan, applicant hereby makes the following statements:

a) applicant has not and will not file an application that claims the benefit of the instant expressly abandoned application under any provision of Title 35, United States Code;

Confirmation No.: 1446

Examiner: Vanderpuye, Kenneth N.

Art Unit: 2613

11. 2013

STATEMENT PURSUANT
TO PATENT APPLICATION
BACKLOS REDUCTION

**Y**ULUS PLAN

Michael Lorre Lubin et al. Application No.: 12/170,644 Page 2

rage 2

b) applicant agrees not to request a refund of any fees paid in the instant expressly abandoned application; and

c) applicant has not and will not file a new application that claims the same invention claimed in the instant expressly abandoned application.

Below are the applications involved in this Patent Application Exchange Program transaction. Please notify the undersigned immediately if you notice any inconsistencies between this information and the information on file.

Application being Advanced

Serial Number: 12/250,384

Title: PREDICTIVE ADAPTIVE CONTIN

AND MODULATION

First Named Inventor: Kimmich

Filing Date: 13-Oct-2008

Attorney Docket: 026258-003430US

Client Docket: ECC-0204-US-4

pplication being Abandoned

(ial Number: 12/170,644

title: WIRELESS DISTRIBUTION OF

PASSIVE OPTICAL NETWORK

**SIGNALS** 

First Named Inventor: Lubin

Filing Date: 10-Jul-2008

Attorney Docket: 026258-003210US

Client Docket: ECC-0273-US-2

Respectfully submitted,

/Preetam B. Pagar/

Preetam B. Pagar Reg. No. 57,684

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor

San Francisco, California 94111-3834

Tel: (415) 576-0200

Fax: (415) 576-0300

PBP:pbp

63067901 v1



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

TOWNSEND AND TOWNSEND AND CREW LLP/VIASAT VIASAT, INC. (CLIENT #017018)
TWO EMBARCADERO CENTER
8TH FLOOR
SAN FRANCISCO CA 94111

**MAILED**FEB 1 4 2011

OFFICE OF PETITIONS

In re Application of

KIMMICH, et al.

Application No. 12/250,384 Filed: October 13, 2008

Attorney Docket No. 026258-003430US

DECISION ON PETITION

TO MAKE SPECIAL 37 CFR 1.102

This is a decision on the petition under 37 CFR 1.102, filed February 4, 2011, to make the above-identified application special under the Patent Application Backlog Reduction Stimulus Plan which is a pilot program set forth at 74 Federal Register Notice 62285 (November 27, 2009) and 75 Federal register Notice 36063 (June 24, 2010).

# The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 62285 and 75 FR 36063 must be directed to a nonprovisional application filed prior to October 1, 2009.

The USPTO will accord special status for examination under Patent Application Backlog Reduction Stimulus Plan under the following conditions:

- (1) The application for which special status is sought is a nonprovisional application that has an actual filing date earlier than October 1, 2009;
- (2) The applicant has another copending nonprovisional application that has an actual filing date earlier than October 1, 2009, and is complete under 37 CFR 1.53;
- (3) The application for which special status is sought and the other copending nonprovisional application either are owned by the same party as of October 1, 2009, or name at least one inventor in common;
- (4) The applicant files a letter of express abandonment under 37 CFR 1.138(a) in the copending nonprovisional application before it has been taken up for examination and
  - a) includes a statement that the applicant has not and will not file a new application that claims the same invention claimed in the expressly abandoned application;

- b) includes a statement that the applicant has not and will not file an application that claims the benefit of the expressly abandoned application under any provision of title 35, United States Code, and
- c) includes a statement that the applicant agrees not to request a refund of any fees paid in the expressly abandoned application; and
- (5) The applicant files a petition under 37 CFR 1.102 in the application for which special status is sought that
  - a) includes a specific identification of the relationship between the applications that qualifies the application for special status;
  - b) identifies, by application number if available, the application that is being expressly abandoned;
  - c) provides a statement certifying that applicant has not filed petitions in more than fourteen (14) other applications requesting special status under this program; and
  - d) provides a statement that applicant agrees to make an election without traverse in a telephonic interview if the Office determines that the claims of the application to be made special are directed to two or more independent and distinct inventions.

The requirement for a fee for consideration of the petition to make special for applications pertaining to Patent Application Backlog Reduction Stimulus Plan has been waived.

The instant petition complies with the conditions required under Patent Application Backlog Reduction Stimulus Plan. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquires concerning this decision should be directed to Brian W. Brown at 571-272-5338.

All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

The application is being forwarded to the Office of Patent Application Processing for further processing commensurate with this decision.

Brian W. Brown Petitions Examiner Office of Petitions



### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834

MAILED

JUL 1 4 2011

OFFICE OF PETITIONS

In re Application of

Koray Sahin et al.

Application No. 12/250,398

Filed: October 13, 2008 Attorney Docket No. 026705-001400US **DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 5, 2011.

### The request is **NOT APPROVED.**

The Office will no longer accept address changes to a new practitioner or law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record cannot be approved because a proper forwarding address was not provided. The request to change the correspondence address should be that of the: (1) the first named inventor; or (2) an assignee of the entire interest under 37 C.F.R 3.71.

All future communications from the Office will continue to be directed to the above-identified address until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-4618.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FOLEY HOAG, LLP Patent Group World Trade Center West 155 Seaport Blvd. Boston, MA 02110

MAILED
MAR 28:2011
OFFICE OF PETITIONS

In re Application of

Renu Gupta

Application No. 12/250,412

Filed: October 13, 2008

Attorney Docket No. 127234-010000

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed February 10, 2011.

The request is **NOT APPROVED** because it is moot.

A review of the file record indicates that the power of attorney to Foley Hoag, LLP has been revoked by the assignee of the patent application on March 10, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: GREENBERG TRAURIG, LLP 200 Park Avenue P.O. Box 677 Florham Par, NJ 07932

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# LOCKE LORD BISSELL & LIDDELL LLP **600 TRAVIS SUITE 2800 HOUSTON TX 77002-3095**

MAILED MAR 12 2012

**OFFICE OF PETITIONS** 

In re Application of Robert M. Welch et al.

Application No. 12/250,443

Filed: October 13, 2008

Attorney Docket No. 0016422-201US

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 7, 2012.

### The request is not approved.

The Office will no longer approve requests from practitioners to withdraw from applications where the requesting practitioner is acting, or has acted, in a representative capacity pursuant to 37 CFR 1.34. In these situations, the practitioner is responsible for the correspondence the practitioner files in the application while acting in a representative capacity. As such, there is no need for the practitioner to obtain the Office's permission to withdraw from representation. However, practitioners acting in a representative capacity, like practitioners who have a power of attorney in the application, remain responsible for noncompliance with 37 CFR 1.56, as well as 37 CFR 10.18, with respect to documents they file.

A review of the file record indicates that D. Brit Nelson, and attorneys/agents associated with customer number 22904 do not have power of attorney, but has acted in a representative capacity in this patent application. See 37 C.F.R. § 10.40.

The request to change the correspondence address should be that of the: (1) the first named signing inventor; or (2) an assignee of the entire interest under 37 C.F.R 3.71. In order to change the address, Form no. PTO/SB/122, should be filed.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-4618

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

Docket No.: APL-P6615US1 (PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mike NUGENT et al.

Application No.: 12/250,502

Confirmation No.: 3897

Filed: October 13, 2008

Art Unit: 2628

For: SEAMLESS DISPLAY MIGRATION

Examiner: Kee M. Tung

# PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102

Mail Stop PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the expansion and extension of the Patent Application Backlog Reduction Stimulus Plan ("Project Exchange") published in 75 Fed. Reg. 36063 (June 24, 2010), Applicants request that this application ("'502 application") be accorded special status for examination.

The conditions of Project Exchange are satisfied in connection with this request as follows:

Special status is being sought for the '502 application based on the express abandonment of copending U.S. Patent Application No. 11/824,453 ("'453 application"), filed June 28, 2007, and entitled "LIGHT DIFFUSER." A copy of a Letter of Express Abandonment that is being concurrently filed in the '453 application is attached in the Appendix;<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> This petition is submitted under the assumption that the conditions of Project Exchange can be met in connection with this request. Should the Office determine that the conditions of Project Exchange cannot be met in connection with this request (e.g., due to the 10,000-application limit having been reached), please disregard this Petition and notify the undersigned.

- The '502 application and the '453 application are commonly owned by Apple Inc., a
   California corporation with a place of business at 1 Infinite Loop, Cupertino,
   California 95014;
- Applicants have not filed petitions in more than fourteen other applications requesting special status under Project Exchange; and
- Applicants agree to make an election without traverse in a telephonic interview if the
  Office determines that the claims of the '502 application are directed to two or more
  independent and distinct inventions.

Although the fee for this petition is waived under Project Exchange, the Commissioner is authorized to charge any fee due in connection with this filing to Deposit Account No. 03-1952 referencing docket no. 106842802900.

Dated: |0/1/10

Respectfully submitted,

Brott Alton

Registration No.: 42,258

Attorney of Record Customer No. 62096 (408) 974-6524

Attachment

# <u>APPENDIX</u>

Docket No.: P4672US5 (PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

M. Evans HANKEY et al.

Application No.: 11/824,453

Confirmation No.: 4483

Filed: June 28, 2007

Art Unit: 2614

For: LIGHT DIFFUSER

Examiner: Curtis A. Kuntz

# WRITTEN DECLARATION OF EXPRESS ABANDONMENT PURSUANT TO 37 CFR 1.138(a) IN EXCHANGE FOR SPECIAL STATUS IN U.S. PATENT APPLICATION NO. 12/250,502

Mail Stop EXPRESS ABANDONMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the expansion and extension of the Patent Application Backlog Reduction Stimulus Plan ("Project Exchange") published in 75 Fed. Reg. 36063 (June 24, 2010), Applicants request that this application ("'453 application") be expressly abandoned as of the filing date of this paper.

The conditions of Project Exchange are satisfied in connection with this request as follows:

 the '453 application is being expressly abandoned in exchange for special status being accorded for U.S. Patent Application No. 12/250,502 ("'502 application"), filed
 October 13, 2008, and entitled "SEAMLESS DISPLAY MIGRATION." A Petition to Make Special under 37 CFR 1.102 is being filed concurrently herewith in the '502 application;'

- Applicants have not and will not file an application that claims the benefit of the '453
  application under any provision of title 35, United States Code;
- Applicants agree not to request a refund of any fees paid in the '453 application; and
- Applicants have not and will not file a new application that claims the same invention claimed in the '453 application (the phrase "same invention" having the same meaning as used in the context of statutory double patenting under 35 USC 101).

Although no fee is believed due for this petition, the Commissioner is authorized to charge any fee due in connection with this filing to Deposit Account No. 03-1952 referencing docket no. 106842802900.

Dated:

10/1/10

Respectfully submitted,

Brett Alten

Registration No.: 42,258

Attorney of Record Customer No. 82427 (408) 974-6524

<sup>&</sup>lt;sup>1</sup> This declaration is submitted under the assumption that the conditions of Project Exchange can be met in connection with this request. Should the Office determine that the conditions of Project Exchange cannot be met in connection with this request (e.g., due to the 10,000-application limit having been reached), please disregard this declaration and notify the undersigned.



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

PVF -- APPLE INC. c/o PARK, VAUGHAN & FLEMING LLP 2820 FIFTH STREET DAVIS CA 95618-7759

MAILED

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OFFICE OF PETITIONS

In re Application of

NUGENT, et al. Application No. 12/250,502

Filed: October 13, 2008

Attorney Docket No. APL-P6615US1

DECISION ON PETITION TO MAKE SPECIAL

37 CFR 1.102

This is a decision on the petition under 37 CFR 1.102, filed October 5, 2010, to make the above-identified application special under the Patent Application Backlog Reduction Stimulus Plan which is a pilot program set forth at 74 Federal Register Notice 62285 (November 27, 2009) and 75 Federal register Notice 36063 (June 24, 2010).

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 62285 and 75 FR 36063 must be directed to a nonprovisional application filed prior to October 1, 2009.

The USPTO will accord special status for examination under Patent Application Backlog Reduction Stimulus Plan under the following conditions:

- (1) The application for which special status is sought is a nonprovisional application that has an actual filing date earlier than October 1, 2009;
- (2) The applicant has another copending nonprovisional application that has an actual filing date earlier than October 1, 2009, and is complete under 37 CFR 1.53;
- (3) The application for which special status is sought and the other copending nonprovisional application either are owned by the same party as of October 1, 2009, or name at least one inventor in common;
- (4) The applicant files a letter of express abandonment under 37 CFR 1.138(a) in the copending nonprovisional application before it has been taken up for examination and
  - a) include a statement that the applicant has not and will not file a new application that claims the same invention claimed in the expressly abandoned application;
  - b) includes with the letter of express abandonment a statement that the applicant has not and will not file an application that claims the benefit of the

expressly abandoned application under any provision of title 35, United States Code, and

- c) the applicant agrees not to request a refund of any fees paid in the expressly abandoned application; and
- (5) The applicant files a petition under 37 CFR 1.102 in the application for which special status is sought that
  - a) includes a specific identification of the relationship between the applications that qualifies the application for special status;
  - b) identifies, by application number if available, the application that is being expressly abandoned;
  - c) provides a statement certifying that applicant has not filed petitions in more than fourteen (14) other applications requesting special status under this program; and
  - d) provides a statement that applicant agrees to make an election without traverse in a telephonic interview if the Office determines that the claims of the application to be made special are directed to two or more independent and distinct inventions.

The requirement for a fee for consideration of the petition to make special for applications pertaining to Patent Application Backlog Reduction Stimulus Plan has been waived.

The instant petition complies with the conditions required under Patent Application Backlog Reduction Stimulus Plan. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquires concerning this decision should be directed to Brian W. Brown at 571-272-5338.

All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

The application is being forwarded to the Office of Patent Application Processing for further processing commensurate with this decision.

Brian W. Brown Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO CA 94304-1018 MAILED
DEC 0 8 2010
OFFICE OF PETITIONS

In re Application of Laxmi Iyer et al.

Application No. 12/250,516

Filed: October 13, 2008

Attorney Docket No. 627052000200

**NOTICE** 

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28.

The Office no longer investigates or rejects original or reissue applications under 37 CFR 1.56. 1098 Off. Gaz. Pat. Office 502 (January 3, 1989). Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby ACCEPTED.

This application is no longer entitled to small entity status. Accordingly, all future fees paid in this application must be paid at the large entity rate.

Inquiries related to this communication should be directed to the undersigned at (571) 272-2783.

/Tredelle D. Jackson/ Paralegal Specialist Office of Petitions



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria. Virginia 22313-1450 www.uspto.gov

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/250,561  29122  ALSTON & B PIONEER HI- BANK OF AN 101 SOUTH T		10/14/2008	ROBERT LEE SEGEBART	035718/385524	3997	
		7590 07/27/2011		EXAN	1INER	
	PIONEER HI-	BRED INTERNATIONAL	L, INC.	ZHEI	NG, LI	
29122 7590 07/27/2011 ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000		ART UNIT	PAPER NUMBER			
		·		ERT LEE SEGEBART 035718/385524 3997  EXAMINER  ZHENG, LI		
					25, 11, 12, 14, 14, 14, 14, 14, 14, 14, 14, 14, 14	
				MAIL DATE	DELIVERY MODE	
				07/27/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

JUL 2 7 2011

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 **CHARLOTTE NC 28280-4000** 

In re Application of:

Robert Segebart

Serial No.: 12/250,561

Filed: October 14, 2008 Attorney Docket No.: 035718/385524

: PETITION DECISION

This is in response to the petition under 37 CFR § 1.59(b), filed May 23, 2011, to expunge information from the above identified application. This application has not been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, received by the Patent Office on May 26, 2011 (certificate of mail date of 5/23/11), be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

This is an examined application which is currently under non-final rejection. As such the information provided has been reviewed, in part, but proceedings in the application have not been terminated. As stated in M.P.E.P. 724, upon allowance or other action closing prosecution in an application, petition may be made for return of Proprietary information. The information cannot be expunged at this time.

The petition is **DISMISSED**. Petitioner may resubmit the petition subsequent to a Notice of Allowability or ex parte Quayle action being mailed in the application. No additional petition fee will be required at that time.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

/MC Seidel/ Marianne C. Seidel, Quality Assurance Specialist Technology Center 1600



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	AMED INVENTOR ATTORNEY DOCKET NO. CONFIRMAT		
APPLICATION NO. FILING DATE FIRST NAMED INVENTOR  12/250,561 10/14/2008 ROBERT LEE SEGEBART  29122 7590 10/03/2011  ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000		ROBERT LEE SEGEBART	035718/385524	3997	
	7590 10/03/2011 ON & BIRD LLP EER HI-BRED INTERNATIONAL, INC. OF AMERICA PLAZA OUTH TRYON STREET, SUITE 4000		EXAMINER		
PIONEER HI-	BRED INTERNATIONAL	, INC.	ZHEN	IG, LI	
	12/250,561 10/14/2008 ROBERT LEE SEGEBA  29122 7590 10/03/2011  ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000	000	ART UNIT	PAPER NUMBER	
12/250,561 10/14/2008 ROBERT LEE SEGEBART  29122 7590 10/03/2011  ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000		1638			
			MAIL DATE	DELIVERY MODE	
			10/03/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



OCT 0 3 2011

Commissioner for Patents
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ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Robert L. Segebert

Serial No.: 12/250,561

Filed: October 14, 2008

Attorney Docket No.: 035718/385524

: PETITION DECISION

This is in response to the renewed petition under 37 CFR § 1.59(b), filed September 6, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the material received by the Patent Office on May 26, 2011 be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is **GRANTED**.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

/MC Seidel/ Marianne C. Seidel, Quality Assurance Specialist Technology Center 1600



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/250,565	10/14/2008	ROBERT LEE SEGEBART	035718/385508	4001	
29122	7590 10/13/2011	EXAMINER			
29122 7590 10/13/2011 ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000			ZHENG, LI		
		ART UNIT PAPER N			
	NC 28280-4000		1638		
			MAIL DATE	DELIVERY MODE	
			10/13/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



OCT 1 3 2011

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Robert L. Segebart

Serial No.: 12/250,565

Filed: October 14, 2008

Attorney Docket No.: 035718/385508

: PETITION DECISION

This is in response to the renewed petition under 37 CFR § 1.59(b), filed July 21, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the material received by the Patent Office on July 25, 2011 be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is <u>GRANTED</u>.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

/MC Seidel/ Marianne C. Seidel, Quality Assurance Specialist Technology Center 1600



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

NVIDIA C/O MURABITO, HAO & BARNES LLP TWO NORTH MARKET STREET THIRD FLOOR SAN JOSE, CA 95113 MAILED

JUL 22 2011

OFFICE OF PETITIONS

In re Application of

Aditya Mittal, et al.

Application No. 12/250,566

Filed: October 14, 2008

Attorney Docket No.: P003933

**DECISION ON PETITION** 

This is a decision on the petition, filed June 22, 2011, under 37 CFR 1.181 (no fee) requesting withdrawal of the holding of abandonment in the above-identified application.

#### The petition is **GRANTED**.

This application was held abandoned for failure to timely pay the issue and publication fees on or before November 12, 2010, as required by the Notice of Allowance and Fee(s) Due (Notice), mailed August 12, 2010.

Petitioner asserts that the Notice dated August 12, 2010 was not received.

A review of the application file reveals no irregularities in the mailing of the Notice mailed June 11, 2009. Thus, there is a strong presumption that the correspondence was properly mailed to the applicant at the correspondence address of record. In the absence of demonstrated irregularities in mailing of this Notice, petitioner must submit evidence to overcome this presumption. The following showing is required:

Practitioner must state that the Office action was not received at the correspondence address of record, and that a search of the practitioner's record(s), including any file jacket or the equivalent, and the application contents, indicates that the Office action was not received. A copy of the record(s) used by the practitioner where the non-received Office action would have been entered had it been received is required.

A copy of the practitioner's record(s) required to show non-receipt of the Office action should include the master docket for the firm. That is, if a three month period for reply was set in the nonreceived Office action, a copy of the master docket report showing all replies docketed for a date three months from the mail

date of the nonreceived Office action must be submitted as documentary proof of nonreceipt of the Office action. If no such master docket exists, the practitioner should so state and provide other evidence such as, but not limited to, the following: the application file jacket; incoming mail log; calendar; reminder system; or the individual docket record for the application in question.

Petitioner has adequately supported his claim of non-receipt with such evidence.

In view of the above, the Notice of Abandonment of August 12, 2010 is hereby <u>vacated</u> and the holding of abandonment <u>withdrawn</u>.

This application is being referred to the Technology Center AU 2111 technical support staff for <u>re-mailing</u> the Notice of Allowability and the Notice of Allowance and Fee(s) Due of August 12, 2010. The period for paying the issue and publication fees and submitting corrected drawings will be reset to expire three (3) months from the date the Notices are re-mailed. This period is not extendable under the provisions of 37 CFR 1.136.

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/250,568	10/14/2008	EDWIN MICHAEL GROTE	035718/385506	4005	
29122 7590 08/25/2011 ALSTON & BIRD LLP BIONEED IN TERMATION AT INC		EXAMINER ZHENG, LI			
ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000					
			ART UNIT	PAPER NUMBER	
			1638		
		•	MAIL DATE	DELIVERY MODE	
	•		08/25/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

#### AUG 2 5 2011

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

**Edwin Grote** 

Serial No.: 12/250,568

Filed: October 14, 2008 Attorney Docket No.: 035718/385506

: PETITION DECISION

This is in response to the petition under 37 CFR § 1.59(b), filed August 15, 2011, to expunge information from the above identified application. This application has not been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, submitted to the Patent Office on August 15, 2011, be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

This is an examined application which is currently under non-final rejection. As such the information provided has been reviewed, in part, but proceedings in the application have not been terminated. As stated in M.P.E.P. 724, upon allowance or other action closing prosecution in an application, petition may be made for return of Proprietary information. The information cannot be expunged at this time.

The petition is **DISMISSED**. Petitioner may resubmit the petition subsequent to a Notice of Allowability or *ex parte Quayle* action being mailed in the application. No additional petition fee will be required at that time.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 703-872-9306.

/MC Seidel/ Marianne C. Seidel, Quality Assurance Specialist Technology Center 1600



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	. CONFIRMATION NO.	
12/250,568	10/14/2008	EDWIN MICHAEL GROTE	035718/385506	4005	
29122 ALSTON & BI	7590 11/08/2011	EXAM	INER		
PIONEER HI-E	BRED INTERNATIONA	L, INC.	ZHEN	IG, LI	
B. I 01 . I	ERICA PLAZA RYON STREET, SUITE	4000	ART UNIT	PAPER NUMBER	
	NC 28280-4000		1638		
			MAIL DATE	DELIVERY MODE	
			MAIL DATE 11/08/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Grote et al.

: PETITION DECISION

Serial No.: 12/250,568 Filed: October 14, 2008

Attorney Docket No.: 035718/385506

This is in response to the renewed petition under 37 CFR § 1.59(b), filed October 28, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the material submitted to the Patent Office on August 15, 2011 be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is **GRANTED**.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

/MC Seidel/ Marianne C. Seidel, Quality Assurance Specialist Technology Center 1600

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

VERIZON
PATENT MANAGEMENT GROUP
1320 North Court House Road
9th Floor
ARLINGTON VA 22201-2909

MAILED MAY 06 2011

**OFFICE OF PETITIONS** 

In re Application of

Kotrla et al.

Application No.: 12/250587

Filing or 371(c) Date: 10/14/2008

Attorney Docket Number:

20080200

DECISION ON

**PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed March 18, 2011, to revive the above-identified application.

This Petition is hereby **granted**.

The above-identified application became abandoned for failure to timely and properly reply to the Notice of Allowance and Issue Fee Due, mailed November 30, 2010. The Notice set a non-extendable three (3) month period for reply. No reply having been received, the application became abandoned March 1, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of payment of the issue fee and the publication fee; (2) the petition fee; and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this matter should be directed to the undersigned at (571) 272-3232.

This application is being referred to the Office of Data Management for processing into a patent.

/DLW/

Derek L. Woods Attorney Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Patent No.

: 7,951,843 B2

Ser. No.

: 12/250,622

Inventor(s)

: Ho

Issued

: May 31, 2011

Title

: AMIDE LINKED MODULATORS OF¥SECRETASE

Docket No. : PRD 2896USNP

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based solely on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing incorrect or erroneous assignment data, before issuance of a Certificate of Correction, under 37 CFR 1.323 (see Manual of Patent Examining Procedures (M.P.E.P) Chp. 1400, sect. 1481). This procedure is required at any time after the issue fee is paid, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1. 17(i) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of the date the assignment was submitted for recordation.

In the Request, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail:

Mail Stop PETITIONS Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand:

Customer Service Window

Mail Stop Petitions Randolph Building 40l Dulany Street Alexandria, VA 22314

By fax:

(703) 872-9306

ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

#### **Ennis Young**

For Mary Diggs
Decisions & Certificates
of Correction Branch
(571) 272-3435 or (703) 756-1814



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR APPLICATION NO. FILING DATE 4132 HUANG199 10/14/2008 Jason HUANG 12/250.634 **EXAMINER** 12/08/2011 7590 NGUYEN, VU Q Browdy and Neimark, PLLC 1625 K Street, N.W. PAPER NUMBER ART UNIT **Suite 1100** Washington, DC 20006 3657 **DELIVERY MODE** MAIL DATE PAPER 12/08/2011

#### **DECISION GRANTING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment is recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is granted.

The express abandonment is recognized. Any previously paid search fee and excess claims fee are hereby refunded.

Telephone inquiries should be directed to the Office of Data Management at (571) 272-4200.

Patent Publication Branch Office of Data Management



TEXAS INSTRUMENTS INCORPORATED P O BOX 655474, M/S 3999 DALLAS TX 75265

MAILED

NOV 232011

OFFICE OF PETITIONS

In re Application of

Robert B. STASZEWSKI et al.

Application No. 12/250,646

Filed: October 14, 2008

Attorney Docket No. TI-35737

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed November 09, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, October 19, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on January 20, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$1,860.00, and (3) a proper statement of unintentional delay. Accordingly, the reply to the non-final Office action of October 19, 2010 is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-4231.

This application is being referred to Technology Center AU 2474 for appropriate action by the Examiner in the normal course of business on the reply received.

Michelle R. Eason Paralegal Specialist

Office of Petitions



WPAT, PC Intellectual Property Attorneys 2030 Main Street, Suite 1300 Irvine, CA 92614

MAILED

AUG 05 2010

OFFICE OF PETITIONS

In re Application of Chien-Chih Yeh

Application No. 12/250,693

Filed: October 14, 2008

Attorney Docket No. 18206-087

**DECISION ON PETITION** 

This is a decision on the petition under 37 CFR 1.137(b), filed June 9, 2010, to revive the above-identified application.

In response to the decision mailed June 3, 2010, petitioner submits the present renewed petition along with the \$810 petition fee.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of \$755 for payment of the issue fee and \$300 for payment of the publication fee; (2) the petition fee of \$810; and (3) a proper statement of unintentional delay. Therefore, the petition is **GRANTED**.

This application file is being referred to the Office of Data Management for further processing into a patent.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3226

Andreal Smith

Petitions Examiner Office of Petitions





HEWLETT-PACKARD COMPANY Intellectual Property Administration 3404 E. Harmony Road Mail Stop 35 FORT COLLINS CO 80528

MAILED DEC 2 0 2011

OFFICE OF PETITIONS

In re Application of

Cherkasova, et al.

Application No. 12/250,748 Filed: October 14, 2008

Attorney Docket No. 82243181

**DECISION ON PETITION** 

UNDER 37 CFR 1.78(a)(6)

This is a decision on the petition under 37 CFR 1.78(a)(6), filed December 9, 2011, to accept an unintentionally delayed claim under 35 U.S.C. §119(e) for the benefit of the prior-filed provisional application set forth in the concurrently filed amendment.

#### The petition is **GRANTED**.

A petition under 37 CFR 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after expiration of the period specified in 37 CFR 1.78(a)(5)(ii) and must be filed during the pendency of the nonprovisional application. In addition, the petition must be accompanied by:

- (1) the reference required by 35 U.S.C. § 119(e) and 37 CFR 1.78(a)(5)(i) to the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in  $\S 1.17(t)$ ; and
- a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

Additionally, the instant nonprovisional application must be pending at the time of filing of the reference to the prior-filed provisional application as required by 37 CFR 1.78(a)(5)(ii). Further, the nonprovisional application claiming the benefit of the prior-filed provisional application must have been filed within twelve months of the filing date of the prior-filed provisional application.

All of the above requirements having been satisfied, the late claim for priority under 35 U.S.C. § 119(e) is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed application under 37 CFR 1.78(a)(6) should not be construed as meaning that this application is entitled

to the benefit of the filing date of the prior-filed application. In order for this application to be entitled to the benefit of the prior-filed application, all other requirements under 35 U.S.C. §119(e) and 37 CFR 1.78(a)(4) and (a)(5) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed application should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed application noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed provisional application, accompanies this decision on petition.

Any inquiries concerning this decision may be directed to the undersigned at (571) 272-3205. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

The application is being forwarded to Technology Center AU 2857 for consideration by the examiner of the claim under 35 U.S.C. §119(e) for the benefit of priority to the prior-filed provisional application.

/ALESIA M. BROWN/

Alesia M. Brown Attorney Advisor Office of Petitions

**ATTACHMENT**: Corrected Filing Receipt



NUMBER

12/250,748

#### United States Patent and Trademark Office

GRP ART

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450

www.uspio.gov			
ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS	

10/14/2008 2857 1090 82243181

FIL FEE REC'D

**CONFIRMATION NO. 4351** 

22879
HEWLETT-PACKARD COMPANY
Intellectual Property Administration
3404 E. Harmony Road
Mail Stop 35
FORT COLLINS, CO 80528

FILING or

371(c) DATE



**CORRECTED FILING RECEIPT** 

Date Mailed: 12/19/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Ludmila Cherkasova, Sunnyvale, CA; Ningfang Mi, Williamsburg, VA; Mehmet Kivanc Ozonat, Mountain View, CA;

Julie A. Symons, Santa Clara, CA;

Power of Attorney: The patent practitioners associated with Customer Number 022879

Domestic Priority data as claimed by applicant

This appln claims benefit of 61/048,157 04/25/2008

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 10/29/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/250,748** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Using Application Performance Signatures For Characterizing Application Updates

#### **Preliminary Class**

702

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage, facilitate, and accelerate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.



E I DU PONT DE NEMOURS AND COMPANY LEGAL PATENT RECORDS CENTER BARLEY MILL PLAZA 25/1122B 4417 LANCASTER PIKE WILMINGTON DE 19805

MAILED NOV 23 2010

OFFICE OF PETITIONS

In re Application of

Yaw-Ming A. TSAI et al.

Application No. 12/250,759

Effective Date: October 14, 2008 Attorney Docket No. UC0764USNA **DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed October 15, 2010, to revive the above-identified application.

The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the Notice to File Missing Parts of Nonprovisional Application mailed October 29, 2008. The Notice set a period for reply of two (2) months from the mail date of the Notice. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on December 30, 2008.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of the oath/declaration and required fees; (2) the petition fee of \$1,620.00; and (3) a proper statement of unintentional delay. Accordingly, the reply to the Notice to File Missing Parts of October 29, 2008 is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to Michelle R. Eason at 571-272-4231.

This application is being referred to the Office of Patent Application Processing for further processing.

Michelle R. Eason Paralegal Specialist Office of Petitions



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
12/250,818	10/14/2008	Robert P. Morris	I532/US	4482	
49277 7590 06/06/2011 SCENERA RESEARCH, LLC		EXAMINER			
5400 Trinity Ro		VU, KIM Y			
Suite 303			ART UNIT	PAPER NUMBER	
Raleigh, NC 27	7007		2435		
			MAIL DATE	DELIVERY MODE	
			06/06/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Kevin L. Wingate SCENERA RESEARCH, LLC 5400 Trinity Road Suite 303 Raleigh NC 27607

In re Application of: MORRIS, Robert P.

Application No. 12/250,818 Filed: October 14, 2008 Docket No. I532/US

Title: METHOD AND SYSTEM FOR

PROCESSING A MEDIA STREAM

**MAILED** 

JUN 03 2011

DIRECTOR OFFICE
TECHNOLOGY CENTER 2400

DECISION ON PETITION FOR SUSPENSION UNDER 37 C.F.R. § 1.103(a)

This is a decision on petition for suspension of action by Office filed May 25, 2011 under 37 CFR § 1.103(a) for a period of SIX MONTHS. This request is accompanied by a showing of good and sufficient cause for the suspension of action, as well as the fee set forth in §1.17(g).

#### This petition is **GRANTED**.

Any inquiry regarding this decision should be directed the undersigned whose telephone number is (571) 272-3902. If attempts to reach the undersigned by telephone are unsuccessful, Kim Huynh, Quality Assurance Specialist, can be reached at (571) 272-4147.

/Beatriz Prieto/

Beatriz Prieto, Quality Assurance Specialist Technology Center 2400,

Network, Multiplexing, Cable and Security



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

F. CHAU & ASSOCIATES, LLC 130 WOODBURY ROAD WOODBURY NY 11797

MAILED

JAN 26 2011

In re Application of Jeffrey D. Brawner

OFFICE OF PETITIONS

Application No. 12/251,027

**DECISION ON PETITION** 

Filed: October 14, 2008

Attorney Docket No. 8002A-264T(VIT)

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed January 3, 2011, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to timely pay the issue and publication fees on or before June 24, 2010, as required by the Notice of Allowance and Fee(s) Due, mailed March 24, 2010. Accordingly, the date of abandonment of this application is June 25, 2010. A Notice of Abandonment was mailed on July 13, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of payment of the issue fee of \$1,510 and the publication fee of \$300 and RCE, with fee of \$810 (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay. Accordingly, the issue and publication fees are accepted as being unintentionally delayed.

The payment of the issue and publication fee is required. As authorized the fees will be charged to petitioner's deposit account.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272-4618.

This application is being referred to Technology Center AU 3632 for appropriate action by the Examiner in the normal course of business on the RCE received January 3, 2011.

/Kimberly A. Inabinet/

Kimberly A. Inabinet Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MICHAUD-Kinney Group, LLP 306 Industrial Park Road Suite 206 Middletown, CT 06457

MAILED

OCT 26 2011

OFFICE OF PETITIONS

In re Application of John J. Daniels

Application No. 12/251,046

Filed: October 14, 2008 Attorney Docket No. 1424-0012 NOTICE

This is a Notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28, filed on September 28, 2011.

The Office no longer investigates or rejects original or reissue applications under 37 CFR 1.56. 1098 Off. Gaz. Pat. Office 502 (January 3, 1989). Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby <u>ACCEPTED</u>. Therefore, status as a small entity has been removed and all future fees paid must be submitted at the large entity rate.

The "REVOCATION OF POWER OF ATTORNEY AND APPOINTMENT OF NEW ATTORNEY" filed on October 17, 2011, has not been accepted since petitioner failed to comply with the requirement of 37 CFR 3.73(b)<sup>1</sup>. However, in accordance with 37 CFR 1.34(a), the signature of Bruce L. Adams appearing on the request shall constitute a representation to the United States Patent and Trademark Office that he is authorized to represent the particular party in whose behalf he acts. Therefore, a courtesy copy of this

<sup>&</sup>lt;sup>1</sup> 37 CFR 3.73(b) provides that: (1) when an assignee seeks to take action in a matter before the Office, the assignee must establish its ownership of the property to the satisfaction of the Commissioner; (2) ownership is established by submitting to the Office, in the Office file related to the matter in which action is sought to be taken, documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment submitted for recording) or by specifying (e.g., reel and frame number) where such evidence is recorded in the Office; (3) the submission establishing ownership must be signed by a party authorized to act on behalf of the assignee; and (4) documents submitted to establish ownership may be required to be recorded as a condition to permitting the assignee to take action in a matter pending before the Office.

decision is being mailed to petitioner. Thereafter, all future communications from the Office will be directed solely to the address of record unless otherwise instructed.

Inquiries related to this communication should be directed to the undersigned at (571) 272-

B226

Andrea Smith

Petitions Examiner
Office of Petitions

CC:

Bruce L. Adams Adams & Wilks

17 Battery Place - Suite 1231

New York, NY 10004



49698

**SUITE 206** 

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Post 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/251,046

MICHAUD-Kinney Group LLP 306 INDUSTRIAL PARK ROAD

MIDDLETOWN, CT 06457

10/14/2008

John J. Daniels

1424-0012 **CONFIRMATION NO. 4884** 

**IMPROPER CPOA LETTER** 

Date Mailed: 10/21/2011

#### NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/17/2011. The Power of Attorney in this application is not accepted for the reason(s) listed below:

• The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.

/amsmith/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED

BiPar Sciences Inc. c/o Morrison & Foerster LLP 755 Page Mill Road

JAN 27 2012

Palo Alto CA 94304

OFFICE OF PETITIONS

In re Application of

Hood et al.

Application No. 12/251,061

LETTER REGARDING

Filed: October 14, 2008

PATENT TERM ADJUSTMENT

Attorney Docket No. 686472000721: Title: USE OF BI-ARYL META- :

PYRIMIDINE INHIBITORS OF KINASES:

N

This is a decision on the "APPLICATION FOR PATENT TERM ADJUSTMENT UNDER 37 CFR § 1.705," filed January 19, 2012. Applicants request that the patent term adjustment indicated on the Notice of Allowance and Issue Fee Due be corrected from one hundred and nineteen (119) days to one hundred and sixty-five (165) days.

:

The request for reconsideration of the patent term adjustment is  $\ensuremath{\mathbf{GRANTED}}$ .

The Office has updated the PAIR screen to reflect that the correct Patent Term Adjustment (PTA) determination at the time of the mailing of the Notice of Allowance is **one hundred and sixty-five (165)** days. A copy of the updated PAIR screen, showing the correct determination, is enclosed.

A Notice of Allowance and Issue Fee Due was mailed on October 20, 2011, with an indication that the patent term adjustment to date was 119 days.

Applicants have disputed one period of delay: the 46-day reduction associated with the filing of a supplemental response on August 26, 2011. 37 CFR § 1.704(c)(8) indicates that the submission of a supplemental reply, other than a supplemental reply expressly requested by the examiner, after a reply has been filed, will result in a period of adjustment set forth in

§ 1.703, and the reduction will constitute the number of days, if any, beginning on the day after the date the initial reply was filed and ending on the date that the supplemental reply or other such paper was filed.

A non-final Office action was mailed on January 13, 2011, and a response was received on July 11, 2011 along with a three-month extension of time so as to make timely the response. A supplemental response was subsequently received on August 26, 2011, which resulted in a reduction of 46 days, pursuant to 37 CFR § 1.704(c)(8). Applicants have asserted that this reduction was improper, as the submission "had been expressly requested by the Examiner in a telephonic interview held on August 22, 2011 and confirmed by Examiner Interview Summary Record mailed on August 26, 2011." It is noted that the Examiner mailed an Interview Summary on August 26, 2011, which confirms that "Examiner recommends that the applicants file an additional IDS statement...[t]his will assist in putting the application in better condition for allowance."

The record supports a conclusion that any patent issuing from this patent is not subject to a terminal disclaimer.

Applicants' argument has been considered and has been found to be persuasive.

The reduction of 46 days has been removed, and a reduction of 0 days has been entered.

In view thereof, the correct patent term adjustment at the time of the mailing of the notice of allowance is one hundred and sixty-five (165) days (254 days of Office delay minus 89 days of Applicant delay).

The Office acknowledges submission of the \$200.00 fee set forth in 37 CFR 1.18(e). No additional fees are required.

Applicants are reminded that any delays by the Office pursuant to 37 CFR 1.702(a)(4) and 1.702(b) and any applicant delays under 37 CFR 1.704(c)(10) will be calculated at the time of the issuance of the patent and applicants will be notified of the revised patent term adjustment to be indicated on the patent in

<sup>1</sup> Petition, page 2. See also page 3.

the Issue Notification letter that is mailed to applicants approximately three weeks prior to issuance.

The Office of Data Management has been advised of this decision. This matter is being referred to the Office of Data Management for issuance of the patent.

Telephone inquiries regarding this matter should be directed to Senior Attorney Paul Shanoski at (571) 272-3225.

Paul Shanoski

Senior Attorney

Office of Petitions

Enclosure: Copy of updated PAIR screen



## Patent

#### Term

## Adjustments

Best Available Cop

PTA/PTE Information Patent Term Adjustment Patent Term Extension

Application Number\*: 12251061

Search Explanation of PTA Calculation Explanation of PTE Calculation

### PTA Calculations for Application: 12251061

í,		
	Application Filing Date 10/14/2008	OverLapping Days Between (A and B) or (A and C)
	Issue Date of Patent	Non-Overlapping USPTO Delays: 254
į	A Delays 254	PTO Manual Adjustment 46
	B Delays 0	Applicant Delay (APPL) 135
	C Delays 0	Total PTA (days) 165

#### \* - Sorted Column

#### File Contents History

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Action lumber	Action Recorded Date	Action Due Date	Action Code	<u>Action</u> <u>Description</u>	<u>Duration</u> <u>PTO</u>	Duration APPL	Paren Actio
2	01/23/2012		P028	Adjustment of PTA Calculation by PTO	46		0
1	10/20/2011		MN/=.	Mail Notice of Allowance	_		0
0	10/17/2011		OAR	Office Action Review			0
9	10/17/2011		IREV	Issue Revision Completed			0
8 7	10/17/2011		DVER	Document Verification			0
, 5	10/17/2011 10/11/2011	•	N/=. CNTA	Notice of Allowance Data Verification Completed			0
5	10/02/2011		FWDX	Allowability Notice  Date Forwarded to Examiner			0
4	09/29/2011		A.NE	Amendment after Final Rejection			Ö
3	09/26/2011		MEXIA	Mail Applicant Initiated Interview Summary			0
2	09/20/2011		OAR	Office Action Review			0
	09/19/2011		EXIA	Interview Summary- Applicant Initiated			0
	09/15/2011		ELC_RVW	Electronic Review			0
	09/15/2011		EML_NTF	Email Notification			0
	09/15/2011 09/12/2011		MCTFR	Mail Final Rejection (PTOL - 326)			0
_	09/11/2011		OAR CTFR	Office Action Review Final Rejection			0
	08/26/2011	07/11/2011		Information Disclosure Statement (IDS) Filed		46	53
	08/26/2011	,,i	IDSC	Information Disclosure Statement (195) Filed  Information Disclosure Statement considered		<u>46</u>	0
	08/26/2011		WIDS	Information Disclosure Statement (IDS) Filed			ŏ
	08/26/2011		EML_NTR	Email Notification			0
0	08/26/2011		MEXIN	Mail Examiner Interview Summary (PTOL - 413)			0
	08/22/2011		OAR	Office Action Review			0
	08/22/2011	•	OAR	Office Action Review			0
	08/22/2011		EXIE	Interview Summary - Examiner Initiated			0
	08/22/2011		EXIN	Examiner Interview Summary Record (PTOL - 413)			0
-	07/28/2011 07/11/2011		FWDX IDSC	Date Forwarded to Examiner			0
	07/11/2011	04/13/2011		Information Disclosure Statement considered Response after Non-Final Action		90	0 41
	07/11/2011	04,13,2011	XT/G	Request for Extension of Time - Granted		89	0
	07/11/2011		CFRPT	Corrected filing receipt			0
	07/11/2011		RCAP	Reference capture on IDS			0
9	07/11/2011		M844	Information Disclosure Statement (IDS) Filed			0
	07/11/2011		WIDS	Information Disclosure Statement (IDS) Filed			0
	06/23/2011		EML_NTR	Email Notification			0
	06/23/2011		MEXIN	Mail Examiner Interview Summary (PTOL - 413)			0
	06/17/2011		OAR	Office Action Review			0
	06/17/2011 01/13/2011		EXIN	Examiner Interview Summary Record (PTOL - 413)			0
	01/13/2011		ELC_RVW EML_NTF	Electronic Review Email Notification			0
	01/13/2011		MCTNF	Mail Non-Final Rejection			0
	01/11/2011		CTNF	Non-Final Rejection			0
•	11/29/2010		FWDX	Date Forwarded to Examiner			0
	11/23/2010		IDSC	Information Disclosure Statement considered			0
	11/23/2010		ELC.	Response to Election / Restriction Filed			0
	11/23/2010		XT/G	Request for Extension of Time - Granted			0
	11/23/2010		RCAP	Reference capture on IDS			0
	11/23/2010		M844	Information Disclosure Statement (IDS) Filed			.0
	11/23/2010 10/22/2010		WIDS PA	Information Disclosure Statement (IDS) Filed			0
	10/21/2010		C.AD	Change in Power of Attorney (May Include Associate POA)  Correspondence Address Change			0
	08/25/2010		ELC_RVW	and the state of t			0
	08/25/2010		EML_NTF	Email Notification			0
•	08/25/2010	12/14/2009		Mail Restriction Requirement	<u>254</u>		0.5
	08/20/2010		CTRS	Restriction/Election Requirement			0
	11/20/2009			Email Notification			0
	11/19/2009			PG-Pub Issue Notification			0
	05/07/2009		DOCK	Case Docketed to Examiner in GAU			0
	02/03/2009			IFW TSS Processing by Tech Center Complete			0
	12/30/2008 12/30/2008		_	Email Notification . Filing Receipt - Updated			0
	12/29/2008		OIPE	Application Dispatched from OIPE			0
	12/18/2008		IDSC	Information Disclosure Statement considered			0
	12/18/2008		RCAP	Reference capture on IDS			0
	12/18/2008		M844	Information Disclosure Statement (IDS) Filed			0
	12/18/2008		FLFEE	Payment of additional filing fee/Preexam			0

11	12/18/2008		A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic	0
10	12/18/2008	CORRDRW	Applicant has submitted new drawings to correct Corrected Papers problems	0
9	12/18/2008	WIDS	Information Disclosure Statement (IDS) Filed	0
8	10/30/2008	ELC_RVW	Electronic Review	0
7	10/30/2008	EML_NTF	Email Notification-	0
6	10/30/2008	EML_NTR	Email Notification	ο̈́
5	10/30/2008	INCD	Notice MailedApplication IncompleteFiling Date Assigned	0
4	10/30/2008	FLRCPT.O	Filing Receipt	0
3	10/16/2008	L194	Cleared, by OIPE CSR	0
2	10/14/2008	SCAN	IFW Scan & PACR Auto Security Review	0
1	10/14/2008	IEXX	Initial Exam Team nn	0
0.5	10/14/2008	EFILE	Filing date	0

Export to: Excel

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Alexandria, VA 22313-1450
www.uspto.gov

TEXAS INSTRUMENTS INCORPORATED P O BOX 655474, M/S 3999 DALLAS, TX 75265

MAILED

MAY 03 2011

OFFICE OF PETITIONS

In re Application of

Tsfaty et al.

Application No. 12/251,079

Filed: October 14, 2008

Attorney Docket No. TI-64896

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed April 12, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed September 30, 2010 which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on December 31, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an Amendment, (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6059.

This application is being referred to Technology Center AU 2464 for appropriate action by the Examiner in the normal course of business on the reply received April 12, 2011.

Alicia Kelley-Collier
Petitions Examiner

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

RISSMAN HENDRICKS & OLIVERIO, LLP 100 CAMBRIDGE STREET SUITE 2101 BOSTON MA 02114

MAILED
OCT 1 8 2011
OFFICE OF PETITIONS

In re Application of

Fleischmann et al.

Application No. 12/251,104 : DECISION ON PETITION

Filed: October 14, 2008

Attorney Docket No. H003-7006US0

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed October 8, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, March 17, 2011, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on June 20, 2011. A Notice of Abandonment was mailed October 5, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an Amendment, (2) the petition fee of \$1,860.00, and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751.

This application is being referred to Technology Center AU 1743 for appropriate action by the Examiner in the normal course of business on the reply received.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

Patent No. : 7,933,122 B2 Serial No. : 12/251,161

Inventor(s) : Curtis R. Richardson, et. al.

Issued : April 26, 2011

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322.

Respecting the alleged errors in column 16 lines 54 and 58, claims 35 and 36 cannot depend on claim 37, per say Examiner.

In view of the foregoing, your request in this matter is hereby denied.

A certificate of correction will issue to correct the remaining errors noted in your request.

Further correspondence concerning this matter should be filed and directed to Decisions and Certificates of Correction Branch. Any response must be filed within a four week period with the sum of \$100.00

Eva James
For Mary Diggs
Decisions & Certificate
of Correction Branch
(571-272-3422 or 703-756-1580)

Kelly Frazier Otter Products LLC 401 West Mountain Ave., Suite 200 Fort Collins, CO 80521

eJ.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

ARENT FOX LLP 1050 CONNECTICUT AVENUE NW, SUITE 400 WASHINGTON, DC 20036

**MAILED** 

JAN 24 2011
OFFICE OF PETITIONS

In re Application of **Hiroki UCHIDA**, et al.

Application No. 12/251,172 Filed: October 14, 2008

Attorney Docket No. 10126-00021

DECISION GRANTING PETITION UNDER 37 CFR 1.313(c) (2)

This is a decision on the renewed petition under 37 CFR 1.313(c)(2), filed January 20, 2011, to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on December 29, 2010 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries should be directed to the undersigned at (571) 272-7253.

This application is being referred to Technology Center AU 2835 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed information disclosure statement.

/Monica Graves/ Petitions Examiner, Office of Petitions

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). <u>Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.</u>



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

William N. Beckon 1700 Madrone Ln Davis, CA 95618

**MAILED** 

JUL 15 2011

OFFICE OF PETITIONS

In re Application of William Nelson Beckon Application No. 12/251,314 Filed: October 14, 2008

ON PETITION

Filed: October 14, 2008 Attorney Docket No. N/A

This is a decision on the petition under 37 CFR 1.137(b), filed June 16, 2011, to revive the above-identified application, which is being treated as a petition under 37 CFR 1.181 (no fee), to withdraw the holding of abandonment in the above-identified application<sup>1</sup>.

This application was held abandoned for failure to file a reply to the non-final Office action mailed May 14, 2010, which set a shortened statutory period for reply of three (3) months. Since no extensions of time under the provisions of 37 CFR 1.136(a) was obtained, the application became abandoned after midnight on August 14, 2010. A Notice of Abandonment was mailed on November 26, 2010.

Petitioner states "I checked for mail at the U.S. Embassy and the Fulbright Office in Kyiv the day before I left Ukraine on July 19, so I know that the notice from the USPTO had not reached those offices by that time. When it did reach the U.S. Embassy in Kyiv, eventually it was sent on the Fulbright Office in Kyiv, which held it until they accumulated a large batch of my mail, and then sent it as a parcel by surface mail to my U.S. (current) address. The result of all this was that I did not receive this crucial notification from the USPTO until long after the expiration of the 3 month "statutory period for reply". Thus, I was unable to file a timely reply. Furthermore, the rejection of my claims is clearly without merit, as I will point out in detail when my abandonment of my application is rescinded. For these reasons, I request a waiver of the fee for the petition for revival of my application."

It is noted that petitioner states that the Office action dated May 14, 2010, was delayed in reaching him and as a result, he did not receive his mail "until long after the expiration of the 3 month "statutory period for reply." However, no evidence of this delay has been submitted; and even if submitted, a review of the official file record shows that the Office action was mailed on May 14, 2010, to petitioner's current U.S. home address. Therefore, petitioner must submit evidence to show that the U.S. Postal Service/U.S. Embassy in Kyiv caused the delay that prevented petitioner from timely responding to the Office action.

In view of the above, the present petition is dismissed.

<sup>&</sup>lt;sup>1</sup> Since no petition fee was submitted with the present petition and since petitioner requests waiver of the petition fee, this petition is properly being treated as a petition under 37 CFR 1.181.

Any request for reconsideration of this decision should be submitted within **two (2) months** from the mail date of this decision and be entitled "Renewed Petition to Withdraw the Holding of Abandonment under 37 CFR 1.181." See 37 CFR 1.181(f).

## **ALTERNATE VENUE**

If petitioner cannot produce the necessary evidence to withdraw the holding of abandonment or simply does not wish to, an unintentional petition to revive under 37 CFR 1.137(b) along with the \$810 petition fee and a reply to the Office action of May 14, 2010, may be filed. The filing of a petition under 37 CFR 1.137(b) cannot be intentionally delayed and therefore must be filed promptly. A person seeking revival due to unintentional delay cannot make a statement that the delay was unintentional unless the entire delay, including the date it was discovered that the application was abandoned until the filing of the petition to revive under 37 CFR 1.137(b), was unintentional. A statement that the delay was unintentional is not appropriate if petitioner intentionally delayed the filing of a petition for revival under 37 CFR 1.137(b).

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(571) 273-8300

Attn: Office of Petitions

By hand:

**Customer Service Window** 

Randolph Building 401 Dulany Street Alexandria, VA 22314

Telephone inquiries related to this decision may be directed to the undersigned at (571) 272-3226.

Andrea Smith

Petitions Examiner
Office of Petitions



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

William N. Beckon 1700 Madrone Ln Davis, CA 95618

MAILED

NOV 07 2011

OFFICE OF PETITIONS

In re Application of William Nelson Beckon Application No. 12/251,314

Filed: October 14, 2008

Attorney Docket No. N/A

**ON PETITION** 

This is a decision on the renewed petition under 37 CFR 1.181, filed September 9, 2011, to withdraw the holding of abandonment in the above-identified application.

The petition is again dismissed.

A review of the record shows that a non-final Office action was mailed May 14, 2010, which set a three month period for reply, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on August 15, 2010. A Notice of Abandonment was mailed on November 26, 2010.

Petitioner argues that once the Office action reached the U.S. Embassy in Kyiv and was forwarded to the Fulbright Office in Kyiv, it was long after the expiration of the three months period for reply. In support of this argument, petitioner provided a letter from a Director of Institute of International Education, Ukraine, Fulbright Program in Ukraine.

While it is noted that petitioner states that the Office action dated May 14, 2010, was delayed in reaching him in the U.S., neither the present petition nor the evidence presented indicates when the Office action was actually received by petitioner. Even if a date of receipt of the Office action is provided, a review of the official file record fails to indicate that the United States Patent and Trademark Office (USPTO) caused the delay that prevented petitioner from responding timely to the Office action.

Further, extensions of time under the provisions of 37 CFR 1.136 was available. Petitioner could have purchased up to three months to carry the response due date until

November 14, 2010. Since no extension of time was purchased and no response was filed by November 14, 2010, this application was properly held abandoned.

Petitioner is encouraged to file an unintentional petition under 37 CFR 1.137(b) along with the \$930 petition fee and a reply to the Office action of May 14, 2010. The filing of a petition under 37 CFR 1.137(b) cannot be intentionally delayed and therefore must be filed promptly. A person seeking revival due to unintentional delay cannot make a statement that the delay was unintentional unless the entire delay, including the date it was discovered that the application was abandoned until the filing of the petition to revive under 37 CFR 1.137(b), was unintentional. A statement that the delay was unintentional is not appropriate if petitioner intentionally delayed the filing of a petition for revival under 37 CFR 1.137(b).

Any request for reconsideration of this decision should be submitted within **two (2) months** from the mail date of this decision and be entitled "Renewed Petition to Withdraw the Holding of Abandonment under 37 CFR 1.181." See 37 CFR 1.181(f).

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(571) 273-8300

Attn: Office of Petitions

By hand:

**Customer Service Window** 

Randolph Building 401 Dulany Street Alexandria, VA 22314

Telephone inquiries related to this decision may be directed to the undersigned at

Andrea Smith

(**5**71) 272-3226.

Petitions Examiner
Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/251,345	10/14/2008	Kazuhiko YOSHIZAWA	16869N-071020US	5408
20350 VII DATDICK	7590 03/22/2011 TOWNSEND & STOCK	EXAMINER CHIN, VIVIAN C		
	CADERO CENTER			
EIGHTH FLO	OR SCO, CA 94111-3834	ART UNIT	PAPER NUMBER	
BANTIONEL			2614	
			NOTIFICATION DATE	DELIVERY MODE
			03/22/2011	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Docket@kilpatricktownsend.com ipefiling@kilpatricktownsend.com jlhice@kilpatrick.foundationip.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834

In re Application of

YOSHIZAWA, KAZUHIKO, et al. : DECISION ON REQUEST TO Application No. 12/251,345 : PARTICIPATE IN PATENT

Filed: October 14, 2008 : PROSECUTION HIGHWAY Attorney Docket No. 16869N-071020US : PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(d)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(d), filed January 27, 2011, to make the above-identified application special.

The request and petition are **DISMISSED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the JPO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the JPO application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of all the office actions from each of the JPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the JPO examiner in the JPO office action along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH program and petition is deficient as follows:

Petitioner has not complied with item 2 above. An English translation of allowed/patentable claims from JP Application No. 2007-10957 has been submitted, but a copy of the actual allowable/patentable claims from JP Application No. 2007-10957 has not been submitted.

Applicant is given a time period of **ONE MONTH or THIRTY DAYS**, whichever is longer, from the mailing date of this decision to correct the deficiencies. **NO EXTENSION OF TIME UNDER 37 CFR 1.136 IS PERMITTED.** If the deficiencies are not corrected with the time period given, the application will await action in its regular turn.

Any response to this decision must be submitted via EFS-web.

Telephone inquiries concerning this decision should be directed to Doris To at 571-272-7629.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

Pursuant to the "Notice regarding the Elimination of the Fee for Petition to Make Special Filed Under the Patent Prosecution Highway (PPH) Programs" published in the Federal Register on May 25, 2010 (75 Fed. Reg. 29312), the fee under 37 CFR 1.17(h) for the petition to make special under the Patent Prosecution Highway (PPH) programs has been eliminated. The application is being forwarded to the TC Tech Support Staff to process a refund of \$130.00.

/Doris To/

Doris To Quality Assurance Specialist Technology Center 2600 Communications



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

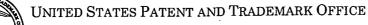
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/251,345	10/14/2008	Kazuhiko YOSHIZAWA	86889-759476(071020US)	5408
20350 KII PATRICK	7590 04/18/2011 TOWNSEND & STOCKT	EXAMINER KURR, JASON RICHARD		
	CADERO CENTER			
EIGHTH FLO	OR ISCO, CA 94111-3834		ART UNIT	PAPER NUMBER
DI II VII II II I			2614	,
			NOTIFICATION DATE	DELIVERY MODE
		•	04/18/2011	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Docket@kilpatricktownsend.com ipefiling@kilpatricktownsend.com jlhice@kilpatrick.foundationip.com





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834

In re Application of

YOSHIZAWA, KAZUHIKO et al.

Application No. 12/251,345

Filed: October 14, 2008 Attorney Docket No.

86889-759476(071020US)

DECISION ON REQUEST TO

PARTICIPATE IN PATENT

PROSECUTION HIGHWAY

PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(a)

This is a decision on the renewed request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed April 13, 2011 to make the above-identified application special.

The request and petition are **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the JPO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the JPO application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of all the office actions from each of the JPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the JPO examiner in the JPO office action along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH program and petition now comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Doris To at 571-272-7629.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

The application will be forwarded to the examiner for action on the merits commensurate with this decision.

/Doris To/

Doris To Quality Assurance Specialist Technology Center 2600 Communications



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date April 20, 2012 In re Application of Gilbert Masters

Application No. 12251449

Filed: 14-Oct-2008

DECISION ON PETITION UNDER CFR 1.137(b)

Attorney Docket No. MARC-100u

This is an electronic decision on the petition under 37 CFR 1.137(b), April 20, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition a	utomatically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request		AN APPLICATION FOR PATENT NALLY UNDER 37 CFR 1.137(b)
Application Number	12251449	
Filing Date	14-Oct-2008	
First Named Inventor	Gilbert Masters	
Attorney Docket Number	MARC-100u	
Title	Electrical Energy Usage Monitoring	g System
United States Patent and Traden		imely and proper reply to a notice or action by the the day after the expiration date of the period set for tained.
APPLICANT HEREBY PETITIONS F	OR REVIVAL OF THIS APPLICATION	
NOTE: A grantable petition requi (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disc design applications; and (4) Statement that the entire del	laimer fee - required for all utility and pla	nt applications filed before June 8, 1995; and for all
Petition Fee		
O	TITY status. See 37 CFR 1.27.	
Applicant is no longer claim	ing SMALL ENTITY status. See 37 CFR 1.2	7(g)(2).
<ul><li>Applicant(s) status remains</li></ul>	as SMALL ENTITY.	
0	as other than SMALL ENTITY.	
<ol> <li>Reply and/or fee         I certify, in accordance with         already been filed in the abo     </li> </ol>	37 CFR 1.4(d)(4) that the amendment and ove-identified application on	d response have
<ul> <li>Amendment and response</li> </ul>	are attached	
RCE request, submission, and fee.		
I certify, in accordance with already been filed in the abo	37 CFR 1.4(d)(4) that the RCE Request, Sulve-identified application on	bmission, and Fee have
RCE Request, Submission, a	nd Fee are attached	
Notice of Appeal	na i ee ale attached	

	I certify, in accordance with 37 CFR 1.4(d)(4) that the Notice of Appeal and Fee have already been filed in the above-identified application on						
Notice of Appeal and Fee are at	tached						
•	. Terminal Disclaimer is not required, since the Electronic Petition format is not support for Design applications and pplications filed before June 8, 1995. Please file using regular petition format for review by the Office of Petitions.						
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.							
THIS PORTION MUST BE COMPLETED	D BY THE SIGNATORY OR SIGNATORIES						
I certify, in accordance with 37 CFR 1	I.4(d)(4) that I am:						
<ul> <li>An attorney or agent registered t in this application.</li> </ul>	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.						
An attorney or agent registered t	o practice before the Patent and Trademark Office, acting in a representative capacity.						
A sole inventor							
A joint inventor; I certify that I am	n authorized to sign this submission on behalf of all of the inventors						
A joint inventor; all of whom are s	signing this e-petition						
The assignee of record of the enti	ire interest that has properly made itself of record pursuant to 37 CFR 3.71						
Signature	/Sherman D. Pernia/						
Name	Sherman D Pernia						
Registration Number	34404						



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/251,493	10/15/2008	Thomas Osche	66711032 / 06.103P-US	5715	
25005 Intellectual Pr	7590 12/12/2011		EXAM	IINER	
Dewitt Ross &	& Stevens SC		LAVERT, NICOLE F		
2 East Mifflin Suite 600	Street		ART UNIT	PAPER NUMBER	
Madison, WI	53703-2865	·	3762		
,			NOTIFICATION DATE	DELIVERY MODE	
,			12/12/2011	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket-ip@dewittross.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Intellectual Property Dept. Dewitt Ross & Stevens SC 2 East Mifflin Street Suite 600 Madison WI 53703-2865

In re Application of: OSCHE, THOMAS

Serial No. 12/251,493 Filed: Oct. 15, 2008

Docket: 66711032 / 06.103P-US

Title:

DEVICE FOR DETERMINING AN AFTERCARE APPOINTMENT FOR

THE SUPPLY OF AN

IMPLANTABLE MEDICAL DEVICE

DECISION ON PETITION UNDER 37 CFR § 1.181

This is a decision on the petition filed on November 28, 2011 by which petitioner requests reconsideration and withdrawal of the restriction requirement mailed June 24, 2011, and that non-elected claim 18 be rejoined and examined on the merits. This petition is being considered pursuant to 37 CFR 1.144 and 37 CFR 1.181, and no fee is required.

The petition is granted.

In finding petitioner's points of argument persuasive and after consulting with the examiner, the requested relief is granted. The restriction requirement mailed on June 24, 2011 is hereby withdrawn. Non-elected claim 18 will be rejoined with the elected invention as set forth in claims 1-17. The examiner will issue an Office action to rejoin and treat the non-elected claim 18 on the merits after the applicant files a response to the outstanding Office action of September 26, 2011.

The application is being forwarded to the examiner via Supervisory Patent Examiner of Art Unit 3762 awaiting a response to the outstanding Office action. Any inquiry regarding this decision should be directed to Henry C. Yuen, Special Programs Examiner, at (571) 272-4856.

PETITION GRANTED.

Angela D. Sykes, Director Technology Center 3700

~	12/14/18	Paper No.:
DATE	: <u>4/17/10</u>	cgc mailroom date 11/30/
TO SPE OF	: ART UNIT <u>JOIP</u>	CgC mailroom date
SUBJECT		
-	-	rtificate of correction within 7 days.
FOR IFW FII		
the IFW app		corrections as shown in the <b>COCIN</b> document(s) in atter should be introduced, nor should the scope or
	elete the response (see bel	ow) and forward the completed response to scannin
FOR PAPER	FILES:	
		corrections as shown in the attached certificate of see below) and forward it with the file to:
Rando	cates of Correction Bran olph Square – 9D10-A Location 7580	ich (CotC)
	* •	Virginia Tolbert
		Certificates of Correction Branch
		571-272-0460
	•	Thank You For Your Assista
	for issuing the above-ide on the appropriate box.	entified correction(s) is hereby:
	Approved	All changes apply.
Ž	Approved in Part	Specify below which changes do not apply.
	Denied	State the reasons for denial below.
~ <u> </u>		<i>*</i>

/Paul N. Dickson/.

AU 3616



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/251,566	10/15/2008	Masahiko KATAYAMA	ONKYP0143US	5840
43076 MARK D. SAI	7590 09/28/201 RALINO (GENERAL)	EXAMINER BEHM, HARRY RAYMOND		
RENNER, OT	TO, BOISSELLE & SK			
	AVENUE, NINETEEN , OH 44115-2191	NTH FLOOR	ART UNIT	PAPER NUMBER
	, -		2838	
			MAIL DATE	DELIVERY MODE
			09/28/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MARK D. SARALINO (GENERAL) RENNER, OTTO, BOISSELLE & SKLAR, LLP 1621 EUCLID AVENUE, NINETEENTH FLOOR **CLEVELAND OH 44115-2191** 

In re Application of

**DECISION ON REQUEST TO** :

Masahiko KATAYAMA

PARTICIPATE IN PATENT

**Application No. 12/251,566** 

PROSECUTION HIGHWAY

Filed: 15 October 2008

PILOT PROGRAM AND PETITION

Attorney Docket No. ONKYP0143US

TO MAKE SPECIAL UNDER

For: AUDIO APPARATUS,

37 CFR 1.102(d)

SWITCHING POWER SUPPLY, AND SWITCHING CONTROL

**METHOD** 

A decision dismissing the petition to make the above-identified application special for participation in the Patent Prosecution Highway (PPH) pilot program under 37 CFR 1.102(d), was mailed on 9 June 2010. The decision set a non-extendable time period of ONE MONTH or THIRTY DAYS, whichever is longer, from the mailing date to corrected deficiency/deficiencies in the petition.

As of 15 September 2010, neither a reply nor a renewed petition has been received. Accordingly, the application is no longer eligible for the Patent Prosecution Highway (PPH) program.

The application will remain in its regular status and will be taken up by the examiner for action in its regular turn.

Petitioner is reminded that a single opportunity to perfect the petition is given. Therefore, further petitions for participation in the Patent Prosecution Highway (PPH) pilot program in this application will not be entertained.

Response must be faxed to Michael Day at 571-273-1568.

Telephone inquiries concerning this decision should be directed to Michael Day at 571-272-1568.

Decision on Petition

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://uspto.gov/ebc/index.html.

Michael Day

**TQAS** 

Technology Center 2800- Semiconductors, Electrical & Optical Systems & Components

	SPE RESPONS	E FOR CERTIFICATE OF CORRECTION  Paper No.:
ATE	:4/26/11	
D SPE OF	: ART UNIT	
UBJECT	: Request for Certificate of Co	orrection for Appl. No.: 12/251,572 Patent No. 7,754,713
	٠.	CofC mailroom date 4/20/11
lease respo	ond to this request for a	certificate of correction within 7 days.
OR IFW FI		
ne IFW app neaning of t	dication image. No new the claims be changed.	es/corrections as shown in the <b>COCIN</b> document(s) in matter should be introduced, nor should the scope or
lease comp	plete the response (see nent code COCX.	below) and forward the completed response to scanning
OR PAPER		
lease revie	w the requested chang Please complete this fo	es/corrections as shown in the attached certificate of rm (see below) and forward it with the file to:
Rand	ficates of Correction E Iolph Square – 9D10-A Location 7580	3ranch (CofC)
		Ernest C. White, LIE
		Certificates of Correction Branch
		703-756-1814
⊺hank You	For Your Assistance	
The reques	For Your Assistance at for issuing the above on on the appropriate box.	e-identified correction(s) is hereby:
The reques	st for issuing the abov on on the appropriate box.	re-identified correction(s) is hereby:  All changes apply.
The reques	et for issuing the above on on the appropriate box.  Approved	
The reques	st for issuing the aboven on the appropriate box. Approved Approved in Part	All changes apply.  Specify below which changes do not apply.
The reques	st for issuing the aboven on the appropriate box. Approved Approved in Part Denied	All changes apply.
The reques	st for issuing the aboven on the appropriate box. Approved Approved in Part Denied	All changes apply.  Specify below which changes do not apply.
The reques	st for issuing the aboven on the appropriate box. Approved Approved in Part Denied	All changes apply.  Specify below which changes do not apply.  State the reasons for denial below.
The reques	st for issuing the aboven on the appropriate box. Approved Approved in Part Denied	All changes apply.  Specify below which changes do not apply.  State the reasons for denial below.  JAMES O. WILSON
The reques	st for issuing the aboven on the appropriate box. Approved Approved in Part Denied	All changes apply.  Specify below which changes do not apply.  State the reasons for denial below.

		Paper No.:
DATE	:4/26/11	- <del></del>
TO SPE OF	: ART UNIT1624	•
SUBJECT	: Request for Certificate of Con	rection for Appl. No.: 12/251.572 Patent No. 7,754,713
	•	CofC mailroom date 4/20/11
Please resp	ond to this request for a	certificate of correction within 7 days.
FOR IFW F	ILES:	
the IFW app		s/corrections as shown in the <b>COCIN</b> document(s) in matter should be introduced, nor should the scope or
	plete the response (see the nent code COCX.	pelow) and forward the completed response to scanning
FOR PAPE	R FILES:	
Please revie correction.	ew the requested change: Please complete this form	s/corrections as shown in the attached certificate of (see below) and forward it with the file to:
	lolph Square – 9D10-A Location 7580	•
	Location 7500	Ernest C. White, LIE
	Location 7500	
		Certificates of Correction Branch
Thank You	For Your Assistance	Certificates of Correction Branch 703-756-1814
Thank You The reques	For Your Assistance	Certificates of Correction Branch
Thank You The reques	For Your Assistance It for issuing the above-	Certificates of Correction Branch 703-756-1814  Identified correction(s) is hereby:
Thank You The reques	For Your Assistance It for issuing the above- on the appropriate box.  Approved	Certificates of Correction Branch 703-756-1814  Identified correction(s) is hereby:  All changes apply.
Thank You The reques Note your decision	For Your Assistance It for issuing the above- in on the appropriate box.  Approved  Approved in Part	Certificates of Correction Branch 703-756-1814  identified correction(s) is hereby:  All changes apply.  Specify below which changes do not apply.
Thank You The reques Note your decision	For Your Assistance It for issuing the above- It on the appropriate box.  Approved  Approved in Part  Denied	Certificates of Correction Branch 703-756-1814  Identified correction(s) is hereby:  All changes apply.
Thank You The reques Note your decision	For Your Assistance It for issuing the above- It on the appropriate box.  Approved  Approved in Part  Denied	Certificates of Correction Branch 703-756-1814  identified correction(s) is hereby:  All changes apply.  Specify below which changes do not apply.
Thank You The reques Note your decision	For Your Assistance It for issuing the above- It on the appropriate box.  Approved  Approved in Part  Denied	Certificates of Correction Branch 703-756-1814  identified correction(s) is hereby:  All changes apply.  Specify below which changes do not apply.
Thank You The reques Note your decision	For Your Assistance It for issuing the above- It on the appropriate box.  Approved  Approved in Part  Denied	Certificates of Correction Branch 703-756-1814  Identified correction(s) is hereby:  All changes apply.  Specify below which changes do not apply.  State the reasons for denial below.
Thank You The reques Note your decision	For Your Assistance It for issuing the above- In on the appropriate box.  Approved  Approved in Part  Denied  :	Certificates of Correction Branch 703-756-1814  Identified correction(s) is hereby:  All changes apply.  Specify below which changes do not apply.  State the reasons for denial below.  JAWES 0. WILSON  SUPERVISORY PATENT EXAMINED
Thank You The reques Note your decision	For Your Assistance It for issuing the above- In on the appropriate box.  Approved  Approved in Part  Denied  :	Certificates of Correction Branch 703-756-1814  identified correction(s) is hereby:  All changes apply.  Specify below which changes do not apply.  State the reasons for denial below.  JAIWES O. WILSON



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000

MAILED

JUL 19 2011

In re Application of

Daniel J. Cosgrove

Application No. 12/251,578

Filed: October 15, 2008 Attorney Docket No. 1883D OFFICE OF PETITIONS

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b) filed June 24, 2011, which is being treated as a request to withdraw from employment in a proceeding before the Office under 37 C.F.R. § 10.40.

The request is **DISMISSED**.

A review of the file record indicates that Alston & Bird LLP, customer number 00826, does not have power of attorney in this patent application. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is not applicable.

The request to change the correspondence address of record is not accepted in view of Alston & Bird LLP not having power of attorney. See MPEP §§ 601.03 and 405.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Currently, there is an outstanding Office action mailed June 9, 2011 that requires a reply.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-7751.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions

cc: PIONEER HI-BRED INTERNATIONAL, INC.

7250 N.W. 62ND AVENUE

P.O. BOX 552

JOHNSTON IA 50131-0552



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/251,601	10/15/2008	Jose V. Re 1405-		5895	
32905 IONIDI E & AS	7590 06/20/201	EXAMINER			
858 HAPPY C	NDLE & ASSOCIATES, P.C.  8 HAPPY CANYON ROAD, SUITE 230  IBRAHIM, MEDINA AHMED			DINA AHMED	
CASTLE ROC	K, CO 80108		ART UNIT	PAPER NUMBER	
	,		1638		
			NOTIFICATION DATE	DELIVERY MODE	
			06/20/2011	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JondleOA@jondlelaw.com



JUN 2 0 2011

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

JONDLE & ASSOCIATES P.C. 858 HAPPY CANYON ROAD SUITE 230 **CASTLE ROCK CO 80108** 

In re Application of:

Jose V. Re

Serial No.: 12/251,601 Filed: October 15, 2008

Attorney Docket No.: 1405-042

: PETITION DECISION

This is in response to the petition under 37 CFR § 1.59(b), filed April 27, 2011, to expunge information from the above identified application. This application has not been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, submitted to the Patent Office on April 27, 2011, be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

This is an examined application which is currently under non-final rejection. As such the information provided has been reviewed, in part, but proceedings in the application have not been terminated. As stated in M.P.E.P. 724, upon allowance or other action closing prosecution in an application, petition may be made for return of Proprietary information. The information cannot be expunged at this time.

The petition is **DISMISSED**. Petitioner may resubmit the petition subsequent to a Notice of Allowability or ex parte Quayle action being mailed in the application. No additional petition fee will be required at that time.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

Marianne C. Seidel Quality Assurance Specialist Technology Center 1600



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/251,601	10/15/2008	Jose V. Re	1405-042	5895		
32905 IONDLE & AS	7590 02/06/2012 SSOCIATES, P.C.		EXAMIN	INER		
858 HAPPY C	ANYON ROAD, SUITE 23	ROAD, SUITE 230 IBRAHIM, MEDINA AHMED				
CASTLE ROC	K, CO 80108		ART UNIT	PAPER NUMBER		
			1638			
			NOTIFICATION DATE	DELIVERY MODE		
			02/06/2012	ELECTRONIC		

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JondleOA@jondlelaw.com



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

## FEB 0 6 2012

JONDLE & ASSOCIATES P.C. 858 HAPPY CANYON ROAD SUITE 230 CASTLE ROCK CO 80108

In re Application of:

Jose V. Re

Serial No.: 12/251,601 Filed: October 15, 2008

Attorney Docket No.: 1405-042

: PETITION DECISION

This is in response to the renewed petition under 37 CFR § 1.59(b), filed January 24, 2012, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the material submitted to the Patent Office on April 27, 2011 be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is <u>GRANTED</u>.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

/MC Seidel/ Marianne C. Seidel, Quality Assurance Specialist Technology Center 1600



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

U.S. ARMY RDECOM-ARDEC

Attn: Lori Andrews RDAR-GCL / BLDG 3 PICATINNY ARSENAL, DOVER NJ 07806-5000

MAILED

JAN 1 02011

**OFFICE OF PETITIONS** 

In re Application of Ernest Baker et al.

Application No. 12/251,611

**DECISION ON PETITION** 

Filed: October 15, 2008

Attorney Docket No. 2008-010

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed December 21, 2010, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to timely pay the issue fee on or before December 20, 2010, as required by the Notice of Allowance and Fee(s) Due, mailed September 20, 2010. Accordingly, the date of abandonment of this application is December 21, 2010. A Notice of Abandonment was mailed on December 28, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of payment of the issue fee of \$1,510, (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay. Accordingly, the issue fee is accepted as being unintentionally delayed.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272-4618.

This application is being referred to the Office of Data Management for further processing into a patent.

/Kimberly A. Inabinet/

Kimberly A. Inabinet Petitions Examiner Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/251,688	10/15/2008	Oshri Even Zohar	MK004-CON	6056
24222 Vern Maine &	7590 04/22/2011 A SSOCiates		EXAMINER	
547 AMHERST STREET, 3RD FLOOR			DOUGHERTY, SEAN PATRICK	
NASHUA, NH 03063-4000			ART UNIT	PAPER NUMBER
		`	3736	
			NOTIFICATION DATE	DELIVERY MODE
			04/22/2011	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@vernmaine.com jjudkins@vernmaine.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

Douglas P. Burum VERN MAINE & ASSOCIATES 547 Amherst St., 3<sup>rd</sup> Floor Nashua, NH 03063-4000

In re Application of: Oshri ZOHAR et al. Appl. No.: 12/251688 Filed: October 15, 2009

For: METHOD FOR REAL TIME INTERACTIVE

VISUALIZATION OF MUSCLE FORCES AND JOINT TORQUES IN THE HUMAN

**BODY** 

DECISION ON PETITION
C.F.R. 1.84(a)(2) TO ACCEPT
COLOR DRAWINGS

This is a decision on the petition filed on 11/13/2008 by which petitioners request acceptance of color drawings. The petition is considered pursuant to 37 CFR 1.181, and no fee is required.

The petition requests that the color drawings, although not specifically identified but noted as, Figs. 1A-1E and Fig. 2 be accepted in lieu of black and white drawings.

A grantable petition under 37 C.F.R.  $\ni$  1.84(a)(2) must be accompanied by a fee set forth under 37 C.F.R.  $\ni$  1.17(h), three (3) sets of the color drawings in question, a black and white photocopy of said drawings, and the specification must contain, or be amended to contain, the following language as the first paragraph in that portion of the specification relating to the brief description of the drawings:

"the file of this patent contains at least one drawing executed in color. Copies of this patent with color drawing(s) will be provided by the Patent and Trademark Office upon request and payment of the necessary fee."

The petition was filed with the required fee and 3 (three) sets of color drawings of Figs. 1A-1E and Fig. 2. The specification at page 6, p.[0015] did contain the required notification described above.

## The petition is **GRANTED**.

The application file is being forwarded to Central files to await examination based upon its filing date.

/Max Hindenburg/ S.P.E. Technology Center 3700

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 Www.usbto.gov

MAILED

APR 132011

PCT LEGAL ADMINISTRATION

Greenberg Traurig, LLP 77 West Wacker Drive Suite 3100 Chicago, IL 60601-1732

In re Application of McGinley, James W.

Application No.: 12/251,882

Filed: October 15, 2008

Attorney Docket No.: 113005.010400 For: ENERGY SAVING CABLE

ASSEMBLIES

**DECISION ON PETITION** 

This decision is issued in response to applicant's "Petition to Accept Priority Claim under 35 U.S.C. 120" filed February 17, 2011 to accept an unintentionally delayed claim under 35 U.S.C. §120 for the benefit of priority to the prior-filed nonprovisional applications set forth in the amendment filed concurrently with the instant petition.

#### The petition is **DISMISSED** as moot.

A petition under 37 CFR § 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000.

The petition was accompanied by an amendment to the first sentence of the specification following the title to include a reference to the prior-filed applications.

A review of the application file reveals that a proper reference to U.S. application number 12/176,261 and 12/127,592 was made in the first paragraph of the specification filed on October 15, 2008.

In view of the above, the petition fee submitted is unnecessary and will be refunded to petitioner's deposit account in due course.

Any questions concerning this matter may be directed to Anthony Smith at (571) 272-3298. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

Application No.: 12/251,882

This matter is being referred to the Examiner of Technology Center AU 2835 for appropriate action on the amendment filed February 17, 2011, including consideration of the claim under 35 U.S.C. § 120 and 37 CFR 1.78(a)(2) for benefit of the prior-filed application.

Byan Lin Legal Examiner PCT Legal Administration

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Michael B. Martin
Patent and Licensing Department
Nalco Company
1601 West Diehl Road
Naperville IL 60563-1198

MAILED

MAY 092011
OFFICE OF PETITIONS

In re Application of

Merle L. Branning

Application No. 12/251,954

Filed: October 15, 2008

Attorney Docket No. 8410

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed March 25, 2011, to revive the above-identified application.

## The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, September 15, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on December 16, 2010. The Notice of Abandonment was mailed March 22, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to Technology Center AU 1761 for appropriate action by the Examiner in the normal course of business on the reply received.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

PIETRAGALLO GORDON ALFANO BOSICK & RASPANTI, LLP ONE OXFORD CENTRE, 38TH FLOOR 301 GRANT STREET PITTSBURGH PA 15219-6404

MAILED
AUG 15 2011
OFFICE OF PETITIONS

In re Application of

MA et al.

Application No. 12/252,022 : DECISION ON PETITION Filed: October 15, 2008 : UNDER 37 CFR 1.78(a)(3)

Docket No. STL 3596 (SEAG-88625)

This is a decision on the petition under 37 CFR 1.78(a)(3), filed July 7, 2011, to accept an unintentionally delayed claim under 35 U.S.C. § 120 for the benefit of priority to the prior-filed nonprovisional application set forth in the amendment filed with the petition.

## The petition is **GRANTED**.

A petition for acceptance of a claim for late priority under 37 CFR 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR 1.78(a)(2)(ii). In addition, the petition under 37 CFR 1.78(a)(3) must be accompanied by:

- (1) the reference required by 35 U.S.C. § 120 and 37 CFR 1.78(a)(2)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in  $\S 1.17(t)$ ; and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

All of the above requirements having been satisfied, the late claim for priority under 35 U.S.C. § 120 is accepted as being unintentionally delayed.

The rule at 37 CFR § 1.78(a)(3) requires a statement that the entire delay between the date the claim was due under 37 CFR § 1.78(a)(2)(ii) and the date the claim was filed was unintentional.

Since the statement appearing in the petition varies from the required language, the statement is being construed as the statement required by 37 CFR §1.78(a)(3). If this is not a correct reading of the statement appearing in the petition, petitioner should promptly notify the Office.

Also, it is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that such an inquiry has not been made, petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, petitioner must notify the Office.

In regards to item (2), the rules and statutory provisions governing the operations of the U.S. Patent and Trademark Office require payment of a fee on filing each petition under 37 CFR 1.78(a)(3) to accept an unintentionally delayed claim under 35 U.S.C. § 120 for the benefit of priority to the prior-filed nonprovisional application. In this instance, the fee required by law is \$1410.00. Petitioner's Deposit Account No. 50- 0859 has been charged \$1410.00 as authorized in the petition.

The granting of the petition to accept the delayed benefit claim to the prior-filed applications under 37 CFR 1.78(a)(3) should not be construed as meaning that this application is entitled to the benefit of the prior-filed applications. In order for this application to be entitled to the benefit of the prior-filed applications, all other requirements under 35 U.S.C. § 120 and 37 CFR 1.78(a)(1) and (a)(2) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed applications should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed applications noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed nonprovisional applications, accompanies this decision on petition.

Any inquiries concerning this decision may be directed to Jose' G Dees at (571) 272-1569. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

This application is being forwarded to Technology Center Art Unit 1724 for consideration by the examiner of applicant's entitlement to claim benefit of priority under 35 U.S.C. § 120 to the prior-filed application.

Petitions Examiner
Office of Petitions

ATTACHMENT: Corrected Filing Receipt



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vugnia 22313-1450 www.uspto.gov

ſ	APPLICATION	FILING or	GRP ART				
I	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
•	12/252,022	10/15/2008	1724	1090	STL 3596 (SEAG-88625)	18	2

CONFIRMATION NO. 6630

35810
PIETRAGALLO GORDON ALFANO BOSICK & RASPANTI, LLP
ONE OXFORD CENTRE, 38TH FLOOR
301 GRANT STREET
PITTSBURGH, PA 15219-6404



CORRECTED FILING RECEIPT

Date Mailed: 08/15/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Xiaoding Ma, Fremont, CA; Jing Gui, Fremont, CA; Michael Joseph Stirniman, Fremont, CA; Thomas Nolan, Fremont, CA;

#### **Assignment For Published Patent Application**

Seagate Technology LLC, Scotts Valley, CA

Power of Attorney: The patent practitioners associated with Customer Number 35810

#### Domestic Priority data as claimed by applicant

This application is a CIP of 11/249,469 10/14/2005

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 10/28/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/252,022** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

Title

#### MULTI-STEP ETCH PROCESS FOR GRANULAR MEDIA

#### **Preliminary Class**

204

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as ,

page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

PAUL, HASTINGS, JANOFSKY & WALKER LLP 875 15TH STREET, NW **WASHINGTON, DC 20005** 

MAILED

AUG 1 8 2010

OFFICE OF PETITIONS

In re Application of

Jian et al.

Application No. 12/252,038 Filed: October 15, 2008

Attorney Docket No. VTX0357-US

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed on June 30, 2010.

The request is **NOT APPROVED**.

The Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71, or, if no assignee of the entire interest has properly been made of record, the most current address information provided for the first named inventor. 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with  $\S 3.73(b)$  that is signed by a party who is authorized to act on behalf of the assignee.

As there is no Statement under 37 CFR 3.73(b) in the instant application, the request cannot be granted. All future communications from the Office will be directed to above-listed address until otherwise properly notified by the applicant or a proper change of correspondence address have been submitted.

There are no outstanding Office actions that require a reply from the applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-6059. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Alicia Kellev

**Petitions Examiner** 

Office of Petitions

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED

AUG 2 7 2010

OFFICE OF PETITIONS

OSTROLENK FABER GERB & SOFFEN 1180 AVENUE OF THE AMERICAS NEW YORK NY 10036-8403

In re Application of Jacques Gabriel

Application No. 12/252,124

Filed: October 15, 2008

Attorney Docket No. P/3939-19

ON PETITION

This is in response to the petition under 37 CFR 1.137(b) filed June 22, 2010.

The petition under 37 CFR 1.137(b) is granted.

The above-identified application became abandoned for failure to reply to the Notice to File Missing Parts of Non-Provisional Application mailed October 28, 2008, which set a shortened period for reply of two (2) months from its mailing date. No response was received within the allowable period, and the application became abandoned on December 29, 2008. A Notice of Abandonment was mailed on July 6, 2009.

The declaration under 37 CFR 1.63 filed June 22, 2010, is noted.

This application is being forwarded to the Office of Patent Application Processing for further processing.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3222.

/Kenya A. McLaughlin/

Kenya A. McLaughlin Petitions Attorney Office of Petitions



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,149	10/15/2008	Robert B. Franconi	H0020678	6849
7	590 06/29/2011		EXAM	INER
HONEYWELL IN	ITERNATIONAL INC.	GOYAL, ARUN		
PATENT SERVI 101 COLUMBIA		ART UNIT	PAPER NUMBER	
P O BOX 2245			3741	
MORRISTOWN,	NJ 07962-2245	•	MAIL DATE	DELIVERY MODE
			06/29/2011	PAPER

## **DECISION GRANTING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment is recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is granted.

The express abandonment is recognized. Any previously paid search fee and excess claims fee are hereby refunded.

Telephone inquiries should be directed to the Office of Data Management at (571) 272-4200.

Patent Publication Branch Office of Data Management



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/252,149	10/15/2008	Robert B. Franconi	H0020678	6849	
77	590 06/29/2011		EXAMINER		
HONEYWELL IN	ITERNATIONAL INC.	GOYAL, ARUN			
PATENT SERVI			ART UNIT	PAPER NUMBER	
P O BOX 2245			3741		
MORRISTOWN,	NJ 07962-2245		MAIL DATE	DELIVERY MODE	
	•		06/29/2011	PAPER	

# DECISION DISMISSING PETITION UNDER 37 CFR 1.138(c) The declaration of express abandonment will not be recognized

This is in response to the petition under 37 CFR 1.138(c), for express abandonment to avoid publication of the above-identified application.

The petition is dismissed.

The express abandonment will **not** be recognized for the reason(s) indicated below:

- 1. The petition under 37 CFR 1.138(c) was not filed in sufficient time to permit the appropriate officials to recognize the abandonment and remove the application from the publication process.
- 2. The petition was not signed by a party authorized by 37 CFR 1.33(b)(1), (3) or (4).
- 3. The Application was published in compliance with 35 U.S.C. 122(b), and it is available on the USPTO web site at http://www.uspto.gov/patft/index.html.
- 4. 

  Petition fee was not paid.

The application has/will be published as scheduled.

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Telephone in prices should be directed to the Office of Data Management at (571) 272-4200.

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#### UNITED STATES PATENT AND TRADEMARK OFFICE



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## MAILED

**HOLME ROBERTS & OWEN LLP** 1700 LINCOLN STREET, SUITE 4100 **DENVER CO 80203** 

FEB 10 2011 OFFICE OF PETITIONS

In re Application of Giancarlo Barolat

Application No. 12/252,267

Filed: October 15, 2008 Attorney Docket No. 53613-10501 **DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed January 5, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by Mark L. Yaskanin on behalf of all attorneys of record who are associated with Customer Number 23337.

All attorneys/agents associated with the Customer Number 23337 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to the applicant at the address indicated below.

Currently, there is an outstanding Office action mailed September 20, 2010 that requires a reply.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski Petitions Examiner Office of Petitions

cc: Giancarlo Barolat
730 Genesse Mountain Road

Golden, CO 80401



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: July 8,2011

In re Application of:

Hirohisa Tanaka

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12252274

Filed: 15-Oct-2008

Attorney Docket No: 05435.0088-0000

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed July 8,2011

, to withdraw the above-identified

application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU  $^{2873}$  for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/14 U.S. Patent and Trademark Offic Department of Commerc			
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313(	ATION FROM ISSUE AFTER PAYMENT OF			
Application Number 12252274					
Filing Date	15-Oct-2008				
First Named Inventor	Hirohisa Tanaka				
Art Unit	2873				
Examiner Name	DAWAYNE PINKNEY				
Attorney Docket Number	05435.0088-0000				
Title	JRE APPARATUS, AND DEVICE				
An application may be withdrawn from issue for further action upon petition by the applicant. To request that the Office withdraw an application from issue, applicant must file a petition under this section including the fee set forth in § 1.17(h) and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary.  APPLICANT HEREBY PETITIONS TO WITHDRAW THIS APPLICATION FROM ISSUE UNDER 37 CFR 1.313(c).					
A grantable petition requires the following items:  (1) Petition fee; and (2) One of the following reasons: (a) Unpatentability of one or more claims, which must be accompanied by an unequivocal statement that one or more claims are unpatentable, an amendment to such claim or claims, and an explanation as to how the amendment causes such claim or claims to be patentable; (b) Consideration of a request for continued examination in compliance with § 1.114 (for a utility or plant application only); or (c) Express abandonment of the application. Such express abandonment may be in favor of a continuing application, but not a CPA under 37 CFR 1.53(d).					
Petition Fee					
Applicant claims SMALL ENTITY status. See 37 CFR 1.27.					
Applicant is no longer claim	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).				
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.				
Applicant(s) status remains as other than SMALL ENTITY					
Reason for withdrawal from issue					

One or more claims are unpatentable					
Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)					
Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).					
RCE request, submission, and fee.					
	I certify, in accordance with 37 CFR 1.4(d)(4) that:  The RCE request ,submission, and fee have already been filed in the above-identified application on				
Are attached.					
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES				
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:				
An attorney or agent registered in this application.	<ul> <li>An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.</li> </ul>				
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.				
A sole inventor					
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors					
A joint inventor; all of whom are signing this e-petition					
○ The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71					
Signature	/jessica keesee/				
Name Jessica A. Keesee					
Registration Number	66364				





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BIRCH STEWART KOLASCH & BIRCH PO BOX 747 FALLS CHURCH VA 22040-0747

MAILED

SEP 23 2010

OFFICE OF PETITIONS

In re Application of Ghun-Up Cha, et al. Application No. 12/252,295 Filed: October 15, 2008 Attorney Docket No. 1630-0670PUS2

DECISION GRANTING PETITION

UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed September 22, 2010, to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on August 19, 2010 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.\(^1\)

Telephone inquiries regarding this decision should be directed to undersigned at (571) 272-1642. All other inquiries regarding the examination or status of this application should be directed to the Technology Center.

This application is being referred to Technology Center AU 2435 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed information disclosure statement.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

f in A

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). <u>Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.</u>



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ABSOLUTE TECHNOLOGY LAW GROUP LLC 135 W. WELLS ST. SUITE 518 MILWAUKEE WI 53203

**MAILED** 

SEP 16 2010

OFFICE OF PETITIONS

In re Application of

Lisa Thompson

Application No. 12/252,307 Filed: October 15, 2008

Attorney Docket No. Thompson\_NP\_10\_08

DECISION ON PETITION TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed August 16, 2010.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The request was signed by Jill Gilbert Welytok on behalf of all attorneys/agents associated with customer 57520. All attorneys/agents associated with customer number 57520 have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address has been changed and is copied below.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at 571-272-4618.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

cc:

Lisa Thompson 3783 Raptor Court Colgate, WI 53017



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office . Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/252,307

10/15/2008

Lisa Thompson

Thompson NP 10 08 **CONFIRMATION NO. 7173** 

**POWER OF ATTORNEY NOTICE** 

57520 ABSOLUTE TECHNOLOGY LAW GROUP LLC 135 W. WELLS ST. **SUITE 518** MILWAUKEE, WI 53203

Date Mailed: 09/13/2010

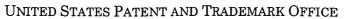
## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/16/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/kainabinet/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101





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## BIRCH STEWART KOLASCH & BIRCH PO BOX 747 FALLS CHURCH VA 22040-0747

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SEP 23 2010

OFFICE OF PETITIONS

In re Application of Ghun-Up Cha, et al.

Application No. 12/252,311

Filed: October 15, 2008

Attorney Docket No. 1630-0670PUS5

**DECISION GRANTING PETITION** 

UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed, September 22, 2010 to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on July 30, 2010 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance. \(^1\)

Telephone inquiries should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to Technology Center AU 2435 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed information disclosure statement.

/Terri Johnson/.
Terri Johnson
Petitions Examiner
Office of Petitions

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.

#### UNITED STATES PATENT AND TRADEMARK OFFICE



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W. EDWARD RAMAGE COMMERCE CENTER SUITE 1000 211 COMMERCE ST NASHVILLE TN 37201

MAILED

DEC 05 2011

**OFFICE OF PETITIONS** 

In re Application of William Christopher Lotterhos et al. Application No. 12/252,337 Filed: October 15, 2008 Attorney Docket No. **2826820.000001** 

DECISION ON PETITION TO WITHDRAW FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36(b), filed November 21, 2011

The request is **NOT APPROVED**.

The request cannot be approved because it lacks the name of the first inventor or the assignee of record that is associated with the address listed in the request. Therefore, the change of correspondence address is considered improper.

The Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71, or, if no assignee of the entire interest has properly been made of record, the most current address information provided for the first named inventor. 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-4584.

/JoAnne Burke/ JoAnne Burke Petitions Examiner Office of Petitions



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

PAUL AND PAUL 2000 MARKET STREET SUITE 2900 PHILADELPHIA, PA 19103

MAILED MAR 1 7 2011

PCT LEGAL ADMINISTRATION

In re Application of

SEREBRIANSKI et al

Application No.: 12/252,388
Filing Date: October 16, 2008

Attorney Docket No.: 2008-263

DECISION ON PETITION

UNDER 37 CFR 1.78(a)(3)

This is a decision on the petition under 37 CFR 1.78(a)(3), filed November 24, 2010, to accept an unintentionally delayed claim under 35 U.S.C. 120 for the benefit of priority to the prior-filed international application set forth in the amendment filed concurrently with the instant petition.

#### The petition is **GRANTED**.

The present nonprovisional application was filed after November 29, 2000, and the claim herein for the benefit of priority to the prior-filed nonprovisional application is submitted after expiration of the period specified in 37 CFR 1.78(a)(2)(ii). Therefore, this is a proper petition under 37 CFR 1.78(a)(3).

A petition for acceptance of a late claim for priority under 37 CFR 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR 1.78(a)(2)(ii). In addition, the petition under 37 CFR 1.78(a)(3) must be accompanied by:

- (1) the reference required by 35 U.S.C. 120 and 37 CFR 1.78(a)(2)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in 37 CFR 1.17(t); and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

The petition complies with the requirements for a grantable petition under 37 CFR 1.78(a)(3) in that (1) a reference to the prior-filed international application has been included in an amendment to the first sentence of the specification following the title, as provided by 37 CFR 1.78(a)(2)(iii);

(2) the surcharge fee required by 37 CFR 1.17(t) has been submitted; and (3) the petition contains a proper statement of unintentional delay. Accordingly, having found that the instant petition for acceptance of an unintentionally delayed claim for the benefit of priority under 35 U.S.C. 120 to the prior-filed nonprovisional application satisfies the conditions of 37 CFR 1.78(a)(3), the petition is granted.

The granting of the petition to accept the delayed benefit claim to the prior-filed application under 37 CFR 1.78(a)(3) should not be construed as meaning that this application is entitled to the benefit of the prior-filed application. In order for this application to be entitled to the benefit of the prior-filed application, all other requirements under 35 U.S.C. 120 and 37 CFR 1.78(a)(1) and (a)(2) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed application should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the priorfiled application noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether this application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed nonprovisional application, accompanies this decision on petition.

Any inquiries concerning this decision may be directed to Bryan Lin at (571)272-3303. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

This matter is being referred to Technology Center Art Unit 3676 for appropriate action, including consideration by the examiner of applicant's entitlement to claim benefit of priority under 35 U.S.C. 120 to the prior-filed nonprovisional application.

Byunkun Bryan Lin

Legal Examiner

Office of PCT Legal Administration

ATTACHMENT: Corrected Filing Receipt



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

James W. Potthast Potthast & Associates 10606 Deerpath Road Woodstock IL 60098 MAILED

JAN 2 0 2012

OFFICE OF PETITIONS

In re Application of:

**LASSOTA** 

Application No.: 12/252,477

Filed: October 16, 2008

Attorney Docket No.: FET-66D

DECISION ON PETITION TO REVIVE

UNDER 37 CFR 1.137(a)

This is a decision on the Petition for Revival of an Application for Patent Abandoned Unavoidably under 37 CFR 1.137(a), filed December 20, 2011, to revive the above-identified application. The requisite \$310.00 petition fee (Fee Code 2452) has been submitted.

## The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(a)." This is **not** a final agency action within the meaning of 5 U.S.C. § 704.

On October 16, 2008, the above-identified United States application for patent was filed with the United States Patent and Trademark Office (USPTO) and accorded Application No. 12/252,477.

On October 26, 2010, the USPTO mailed a non-final Office Action that set a three (3) month shortened statutory period for reply. This shortened statutory period being extendible up to six (6) months, pursuant to 37 CFR 1.136(a), from its October 26, 2010 mailing date with the timely filing of a petition for an extension of time and the requisite extension of time fee.

On March 28, 2011, applicants, within the identified shortened statutory period for reply, submitted an amendment entitled "AMENDMENT B" in response to the October 26, 2010 non-final Office Action. This AMENDMENT B, however, was not entered because it was determined to be non-compliant. The USPTO subsequently mailed a Notice of Non-Compliant Amendment (37 CFR 1.121) setting forth the reasons why the AMENDMENT B was non-compliant.

On May 20, 2011, applicant, in effect, attempted to respond to the March 28, 2011 Notice by filing a corrected amendment entitled "Amendment B (Corrected)". This AMENDMENT B (Corrected), however, was an amendment directed to another related application that was erroneously filed in the instant application. A Notice of Abandonment was mailed on December 1, 2011 because a proper reply to the non-final Office Action and the Notice was not received.

Decision on Petition under 37 CFR 1.137(a)

On December 20, 2011, applicant filed the present Petition for Revival of an Application for Patent Abandoned Unavoidably under 37 CFR 1.137(a). The present petition was accompanied by an amendment entitled "AMENDMENT B (Corrected)" that identifies, inter alia, "Appln. No. 12/251,804" and "Filed: October 15, 2008" in the bibliographic data identified on the first page thereof, which, of course, is different from the application number of the instant application.

A grantable petition under 37 CFR 1.137(a) must be accompanied by: (1) the required reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(l); (3) a showing to the satisfaction of the Director that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(a) was unavoidable; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d).

The present petition lacks Items (1) and (3) above, the required reply and the showing that the entire delay was unavoidable.

On Page 3 of 3 of the present petition, petitioner acknowledges that the amendment filed May 20, 2011 was an incorrect amendment for the instant application and that its filing "was entirely inadvertent and was simply caused by mistakenly clicking on the PET-65D document in the drop down window instead of the correct PET-66D document being filed herewith." In addition, applicant also states that:

"[t]his error was unavoidable human error by the present attorney, for although, he has filed numerous documents over the years since EFS-Web has been available, he has never before made such an error, and there was no reason to suspect that an error had been made at the time."

The Commissioner may revive an abandoned application if the delay in responding to the relevant outstanding Office requirement is shown to the satisfaction of the Commissioner to have been "unavoidable". 35 USC § 133. Decisions on reviving abandoned applications have adopted the reasonably prudent person standard in determining if the delay was unavoidable:

The word unavoidable ... is applicable to ordinary human affairs, and requires no more or greater care or diligence than is generally used and observed by prudent and careful men in relation to their most important business. It permits them in the exercise of this care to rely upon the ordinary and trustworthy agencies of mail and telegraph, worthy and reliable employees, and such other means and instrumentalities as are usually employed in such important business. If unexpectedly, or through the unforeseen fault or imperfection of these agencies and instrumentalities, there occurs a failure, it may properly be said to be unavoidable, all other conditions of promptness in its rectification being present.

Decisions on reviving abandoned applications have adopted the reasonably prudent person standard in determining if the delay was unavoidable. Ex parte Pratt, 1887 Dec. Comm'r Pat. 31 (Comm'r Pat. 1887). Petitioner should note that the term "unavoidable" "is applicable to ordinary human affairs, and requires no more or greater care or diligence than is generally used and

Decision on Petition under 37 CFR 1.137(a)

observed by prudent and careful men in relation to their most important business." In re Mattulath, 38 App. D.C. 497, 514-15 (D.C. Cir. 1912); Ex Parte Henrich, 1913 Dec. Comm'r Pat. 139, 141 (Comm'r Pat. 1913). In addition, decisions on revival are made on a "case-by-case basis, taking all the facts and circumstances into account." Smith v. Mossinghoff, 671 F.2d 533, 538, 213 USPQ 977, 982 (D.C. Cir. 1982). Finally, a petition to revive an application as unavoidably abandoned cannot be granted where a petitioner has failed to meet his or her burden of establishing the cause of the unavoidable delay. Haines v. Quigg, 673 F. Supp. 314, 5 USPQ2d 1130 (N.D. Ind. 1987). The requirement in 35 U.S.C. S 133 for a showing of unavoidable delay requires not only a showing that the delay which resulted in the abandonment of the application was unavoidable, but also a showing of unavoidable delay from the time an applicant becomes aware of the abandonment of the application until the filing of a petition to revive. See, In re Application of Takao, 17 USPQ2d 1155 (Comm'r Pat, 1990). Finally, a petition under 35 U.S.C. S 133 cannot be granted where a petitioner has failed to meet his or her burden of establishing that the delay was "unavoidable" within the meaning of 35 U.S.C. S 133. Haines v. Quigg, 673 F. Supp. 314, 316-17, 5 USPQ2d 1130, 1131-32 (N.D. Ind. 1987).

As set forth above, petitioner, in effect, urges that the entire delay in filing an appropriate amendment to the outstanding October 26, 2010 non-final Office Action was unavoidable on the basis that petitioner, via an EFS-Web drop down menu, selected an incorrect document that was meant to be filed in another application. The incorrect document with the file name of "FET-65D", per petitioner, was mistakenly selected over the correct document with the file name "FET-66D". Petitioner also advances that since "he has never before made such an error…there was no reason to suspect that an error had been made at the time."

While the filing of any incorrect document, via EFS-Web or otherwise, would understandably be regarded as error, petitioner has not established that such error was, in fact, unavoidable within the meaning of 35 U.S.C. 133 and 37 CFR 1.137(a) to the satisfaction of the Director. In petitioner's present situation, petitioner set himself up to be confronted with having to carefully select one of two similarly named document files, i.e., either "FET-65D" or "FET-66D", when it became time to select the files for ultimate filing utilizing the drop down menu of the EFS-Web "Attach Documents" tab. It should be noted that these two similar named document files were previously created and specifically named by either petitioner himself or someone else on petitioner's behalf that were made available in the same folder or location on petitioner's computer or computer network for filing with EFS-Web. In view of these particular prior EFS-Web filing preparations, petitioner should have known that an inadvertent selection of the incorrect document file could quite easily occur. Petitioner has not provided any discussion of the facts as to what procedures and/or safeguards were in place to ensure that petitioner selected the correct document file for attachment using the EFS-Web drop down menu. In addition, EFS-Web allows users to review and check their electronic submissions including their attached PDF files before submitting, i.e., filing, their documents to the USPTO. Likewise, petitioner has not provided any discussion of the facts as to whether or not petitioner actually took advantage of any or all of the review and check features of EFS-Web after any or all of the selected document files had been attached, but before petitioner subsequently "filed" the attached document(s) when he clicked, selected, or otherwise activated the "Submit" button.

Decision on Petition under 37 CFR 1.137(a)

Petitioner, in Items 6 and 7 of the present petition, indicates that subsequent to an investigation and review of the file to ensure the accuracy of the facts presented in the present petition, applicant prepared and filed the present petition via EFS-Web, and that "[i]n the future, applicant's present attorney will check all documents to make sure that they are the correct documents attached before filing to avoid this problem in the future".

A review of the AMENDMENT B (Corrected) submitted with the present petition to revive is not, as mentioned above, directed to the instant application. More specifically, this AMENDMENT B (Corrected) is directed to application 12/251,804 and indicates it has been filed in response to a final Office Action mailed November 8, 2010. No such Office Action has been mailed in the instant application. In addition, this AMENDMENT B (Corrected) included six (6) pages of Claims numbered 1-25. However, the instant application has three (3) pages of Claims numbered 1-17.

Accordingly, the present petition to revive neither satisfies the required reply nor establishes that the delay is unavoidable delay within the meaning of 35 U.S.C. 133 and 37 CFR 1.137(a).

#### **ALTERNATIVE RELIEF**

If petitioner cannot provide the evidence necessary to establish unavoidable delay, or simply does not wish to do so, petitioner may wish to consider filing a Petition for Revival under 37 CFR 1.137(b) stating that the delay was unintentional.

A grantable petition under 37 CFR 1.137(b) must be accompanied by: (1) the required reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d). Where there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137 was unintentional, the Director may require additional information. See MPEP 711.03(c)(II)(C) and (D).

The filing of a petition under 37 CFR 1.137(b) cannot be intentionally delayed and therefore must be filed promptly. A person seeking revival due to unintentional delay cannot make a statement that the delay was unintentional unless the entire delay, including the date it was discovered that the application was abandoned until the filing of the petition to revive under 37 CFR 1.137(b), was unintentional. A statement that the delay was unintentional is not appropriate if petitioner intentionally delayed the filing of a petition for revival under 37 CFR 1.137(b).

Decision on Petition under 37 CFR 1.137(a)

Further correspondence with respect to this matter should be addressed as follows:

By Mail:

Mail Stop PETITION

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450.

By Hand:

U. S. Patent and Trademark Office

Customer Service Window, Mail Stop Petitions

Randolph Building 401 Dulany Street Alexandria, VA 22314

By facsimile:

(571) 273-8300

Attn: Office of Petitions

Telephone inquiries concerning this decision should be directed to Brian W. Brown at (571) 272-5338.

Prian W. Brown
Petitions Examiner
Office of Petitions

Document Description: Petition a	automatically granted by EFS-Web	U.S. Patent and Trademark Offic Department of Commerce		
Electronic Petition Request		PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)		
Application Number				
Filing Date	16-Oct-2008			
First Named Inventor	Zbigniew Lassota			
Attorney Docket Number	FET-66D			
Title METHOD OF BREWING BEVERAGE IN AN ELECTRIC, DRIP-TYPE BEVERAGE BRE				
United States Patent and Trade	on became abandoned for failure to file a timely a emark Office. The date of abandonment is the day on plus any extensions of time actually obtained	y after the expiration date of the period set for		
APPLICANT HEREBY PETITIONS	FOR REVIVAL OF THIS APPLICATION			
<ul> <li>(1) Petition fee;</li> <li>(2) Reply and/or issue fee;</li> <li>(3) Terminal disclaimer with disdesign applications; and</li> <li>(4) Statement that the entire defetition Fee</li> </ul>	sclaimer fee - required for all utility and plant app elay was unintentional	lications filed before June 8, 1995; and for all		
	NTITY status. See 37 CFR 1.27.			
Applicant is no longer clair	ming SMALL ENTITY status. See 37 CFR 1.27(g)(2)	).		
<ul><li>Applicant(s) status remain</li></ul>	is as SMALL ENTITY.			
_	s as other than SMALL ENTITY.			
. Reply and/or fee				
	h 37 CFR 1.4(d)(4) that the amendment and response-identified application on 01-26-2012	onse have		
Amendment and response	e are attached			
Amendment and response				
CCE request, submission, and fee.	n 37 CFR 1.4(d)(4) that the RCE Request, Submissione-identified application on	on, and Fee have		

I certify, in accordance with 37 CFR 1.4(d)(4) that the Notice of Appeal and Fee have already been filed in the above-identified application on					
O Notice of Appeal and Fee are a	Notice of Appeal and Fee are attached				
	B. Terminal Disclaimer is not required, since the Electronic Petition format is not support for Design applications and applications filed before June 8, 1995. Please file using regular petition format for review by the Office of Petitions.				
	STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.				
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES				
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:				
An attorney or agent registered in this application.	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.				
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.				
A sole inventor					
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors					
A joint inventor; all of whom are signing this e-petition					
○ The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71					
Signature	/JAMES W POTTHAST/				
Name	JAMES W. POTTHAST				
Registration Number	26792				



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date January 26, 2012 In re Application of Zbigniew Lassota

Application No. 12252477

Filed: 16-Oct-2008

DECISION ON PETITION UNDER CFR 1.137(b)

Attorney Docket No. FET-66D

This is an electronic decision on the petition under 37 CFR 1.137(b), January 26, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions

Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-US (09-10)

Approved for use through 01/31/2012. OMB 0651-0058

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM IN A U.S. APPLICATION WHERE THE USPTO WAS THE ISA OR IPEA Application No: 12/252,487 - Conf. 7523 Filing date: October 16, 2008 First Named Inventor: Peter HONKANEN, et al Title of the CONTINUAL FLOW PIN WASHER Invention: THIS REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM ALONG WITH THE REQUIRED DOCUMENTS MUST BE SUBMITTED VIA EFS-WEB. INFORMATION REGARDING EFS-WEB IS AVAILABLE AT HTTP://WWW.USPTO.GOV/EBC/EFS\_HELP.HTML APPLICANT HEREBY REQUESTS PARTICIPATION IN THE PCT-PPH PROGRAM AND PETITIONS TO MAKE THE ABOVE-IDENTIFIED APPLICATION SPECIAL UNDER THE PCT-PPH PROGRAM. The above-identified application is (1) a national stage entry of the corresponding PCT application, or (2) a national stage entry of another PCT application which claims priority to the corresponding PCT application, or (3) a national application that claims domestic/ foreign priority to the corresponding PCT application, or (4) a national application which forms the basis for the priority claim in the corresponding PCT application, or (5) a continuing application of a U.S. application that satisfies one of (1) to (4) above, or (6) a U.S. application that claims domestic benefit to a U.S. provisional application which forms the basis for the priority claim in the corresponding PCT application. PCT/US2008/08122 The corresponding PCT application number(s) is/are: The international date of the corresponding PCT application(s) is/are: October 16, 2008 I. List of Required Documents: a. A copy of the latest international work product (WO/ISA, WO/IPEA, or IPER) in the above-identified corresponding PCT application(s) Is attached Is not attached because the document is already in the U.S. application. b. A copy of all claims which were indicated as having novelty, inventive step and industrial applicability in the above-identified corresponding PCT application(s). Is attached. Is not attached because the document is already in the U.S. application. c. English translations of the documents in a. and b. above are attached (if the documents are not in the English language). A statement that the English translation is accurate is attached for the document in b. above.

[Page 1 of 2]
This collection of information is required by 35 U.S.C. 119, 37 CFR 1.55, and 37 CFR 1.102(d). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

Approved for use through 01/31/2012. OMB 0651-0058
U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1	REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM IN A U.S. APPLICATION WHERE THE USPTO WAS THE ISA OR IPEA (continued)				
Application No.: 12/252,487 - Conf. 7523					
	irst Named Inventor: Peter HONKANEN et al				
WO/ISA Is atta Has al (2) Copies of	ormation disclosure statement listing the documents cited in the international work products (ISR, EA, WO/IPEA, IPER) of the corresponding PCT application.  tached  already been filed in the above-identified U.S. application on  s of all documents (except) for U.S. patents or U.S. patent application publications)				
<b>L</b>	Have already been filed in the above-identified U.S. application on				
II. Claims Corre	sponde	nce Table:			
Claims in US Appli	cation	Patentable Claims in the corresponding PCT Application	Explanation regarding the cor	respondence	
1-21		1-21	Claims are identical		
III. All the claims corresponding F	in the	US application sufficient	ently correspond to the pat	entable claims in the	
<sub>Signature</sub> /Bo Han	n/			<sub>Date</sub> November 17, 2010	
Name (Print/Typed) Bo Han				Registration Number 64,389	

## **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

2001521.00124WO	FOR FURTHER ACTION as well	see Form PCT/ISA/220 I as, where applicable, item 5 below.				
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)				
PCT/US2008/080122	16 October 2008	17 October 2007				
Applicant AUSHON BIOSYSTEMS						
This international search report has be according to Article 18. A copy is being This international search report consists	g transmitted to the International Bureau.	Authority and is transmitted to the applicant				
	copy of each prior art document cited in this	report.				
1. Basis of the report		*				
	international search was carried out on the b	asis of:				
Printers.	lication in the language in which it was filed					
of a translation furnis	stemational application intoshed for the purposes of international search (					
b. With regard to any nucleot	ide and/or amino acid sequence disclosed in	the international application, see Box No. I.				
2. Certain claims were found	i unsearchable (see Box No. II)	•				
3. Unity of invention is lacki	ng (see Box No. III)					
4. With regard to the title,						
the text is approved as subm	nitted by the applicant					
the text has been established by this Authority to read as follows:						
5. With regard to the abstract,						
Freetrand .	the text is approved as submitted by the applicant					
the text has been established	I, according to Rule 38.2(b) by this Authority	as it appears in Box No. IV. The applicant				
may, within one month from the date of mailing of this international search report, submit comments to this Authority  6. With regard to the drawings.						
a. the figure of the drawings to be p	published with the abstract is Figure No. 8					
IC-mar	a. the figure of the drawings to be published with the abstract is Figure No. 8  as suggested by the applicant					
Process	hority, because the applicant failed to sugges	t a figure				
	hority, because this figure better characterize					
b. none of the figures is to be p						
PCCRCATIO/S						

Form PCT/ISA/210 (first sheet) (April 2005)

### INTERNATIONAL SEARCH REPORT

International application No. PCT/US2008/080122

IPC(8) -	ASSIFICATION OF SUBJECT MATTER B08B 3/02 (2008.04) 134/54 to International Patent Classification (IPC) or to both	pational classification and I	PC .	
	DS SEARCHED	r national classification and i	FC	
Minimum d	ocumentation searched (classification system followed	ov classification symbols)		· · · · · · · · · · · · · · · · · · ·
USPC - 134	55 3/02, 3/04 (2008.04) //34, 54, 102.2, 118, 140, 187; 422/62, 100; 436/43, 49	9, 54; 73/864.01; 600/101; 15/		
Documentat	ion searched other than minimum documentation to the	extent that such documents are	c included in the field	ds searched
Electronic da	ata base consulted during the international search (name	of data base and, where pract	icable, search terms	used)
C. DOCU	MENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where	appropriate, of the relevant p	assages R	elevant to claim No.
Y	US 2004/0129299 A1 (KOCHERLAKOTA et al) 08 Ju	uly 2004 (08.07.2004) entire o	focument 22-	25
Y	US 2004/0266015 A1 (FAVUZZI et al) 30 December	2004 (30.12.2004) entire doc	ument 22-	25
Y	US 6,325,080 B1 (HELD et al) 04 December 2001 (0	4.12.2001) entire document	23	
A	US 2002/0059945 A1 (MAIEFSKI et al) 23 May 2002 (23.05.2002) entire document			5
A	US 2002/0106813 A1 (SMITH et al) 08 August 2002 (08.08.2002) entire document			5
Α	US 4,730,631 A (SCHWARTZ) 15 March 1988 (15.03.1988) entire document			5
A	US 5,160,378 A (TUUNANEN) 03 November 1992 (0	3.11.1992) entire document	1-2	5
Further	documents are listed in the continuation of Box C.			
"A" documen	ategories of cited documents: t defining the general state of the art which is not considered particular relevance		with the application	but cited to understand :
	plication or natent but nublished on or after the international		relevance; the claims	ed invention cannot be
"L" document	t which may throw doubts on priority claim(s) or which is stablish the publication date of another citation or other	step when the documen	nnot be considered to it is taken alone	o involve an inventive
special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "O" document referring to an oral disclosure, use, exhibition or other means  "O" document of particular relevance; the considered to involve an inventive considered to involve an inventive being obvious to a person skilled in the				then the document is
"P" document	on skilled in the art ie same patent family			
Date of the ac	tual completion of the international search	Date of mailing of the inter	mational search rep	ort
03 December	2008	12 DE	C 2008	2
	ling address of the ISA/US Attn: ISA/US, Commissioner for Patents	Authorized officers	W///	
".U. Box 1450,	Alexandria, Virginia 22313-1450 571-273-3201	PCT Helpoesk: 571-272-4300 PCT OSP: 571-272-7774	R. CopenKeaver	-
	710 (second sheet) (April 2005)			

Form PCT/ISA/210 (second sheet) (April 2005)

#### PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY PCT To: JOHN HOBGOOD WILMER CUTLER PICKERING HALE AND DORR LLP WRITTEN OPINION OF THE **60 STATE STREET** INTERNATIONAL SEARCHING AUTHORITY **BOSTON, MA 021099** (PCT Rule 43bis.1) Date of mailing (day/month/year) Applicant's or agent's file reference FOR FURTHER ACTION 2001521.0012 See paragraph 2 below International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/US2008/080122 17 October 2007 16 October 2008 International Patent Classification (IPC) or both national classification and IPC IPC(8) - (2008.04) USPC Applicant AUSHON BIOSYSTEMS 1. This opinion contains indications relating to the following items: Box No. 1 Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Reasoned statement under Rule 43bis.1(a)(i) with regard to novelry, inventive step or industrial applicability; Box No. V citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application 2. FURTHER ACTION If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. 3. For further details, see notes to Form PCT/ISA/220. Name and mailing address of the ISA/US Date of completion of this opinion Mail Stop PCT, Atm: ISA/US Commissioner for Patents P.O. Box 1450, Alexandría, Virginia 22313-1450 03 December 2008

PCT OSP: 571-272-7774

Form PCT/ISA/237 (cover sheet) (April 2007)

Facsimile No. 571-273-3201

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2008/080122

Во	x No. I	Basis of this opinion
1.	With	regard to the language, this opinion has been established on the basis of:
	$\times$	the international application in the language in which it was filed.
		a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3,	With a	regard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been ished on the basis of:
	a. tyj	pe of material
	Ļ	a sequence listing
	L	table(s) related to the sequence listing
	h for	mat of material
	, ic.	on paper
	Ē	in electronic form
		-
	c. tin	ne of filing/furnishing
	L	contained in the international application as filed
	Ļ	filed together with the international application in electronic form
	L	furnished subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5.	Additio	onal comments:
-		
		<del></del>

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2008/080122

Box No. V Reasoned statement us citations and explanati	nder Rule 43 ons supporti	bis.1(a)(i) with regard to novelty, inventive step or industrialing such statement	applicability;
1. Statement			
Novelty (N)	Claims	1-25	VEC
1.6.76.13	Claims	None	YES NO
Inventive step (IS)	Claims	1-21	YES
	Claims	22-25	NO
Industrial applicability (IA)	Claims	1-25	MEG
териодину (ту	Claims	None	YES
	Ciami		NO NO
Regarding claims 22, 24 and 25, Kocherta pipettes) in a system with a lower chambe (bottom end of tube 30, in which liquid entend (top end of tube (30), in which the liquid entend (top end of tube (30), in which the liquid being in fluid communication with the lowed deposition pins (74, as seen in Fig. 1), the ends of each cleaning tube (as stated in Fis displaced by the cleaning fluid, and con compressed and the cleaning fluid flows usingle one of the deposition pins (pipette, fluid flows through the cleaning tubes so if the tube outlet ends are arranged in rows first row of deposition pins (Par. 0026, line but Kochertakota does not disclose: [Clm. [Clm. 24] removing the first row of deposition pins (Par. 0026, line but Kochertakota does not disclose: [Clm. [Clm. 24] removing the first row of deposition pins from the row of tube outlet ends, disprow receiving no more than one deposition in a plurality of tubes on a one-for-one bas plurality of pins is washed within a respect that the fluid that cleans a first pin is drain Favuzzi, however, teaches in Fig. 1-5, a rrun-off ass stated in Par. 0111, lines 1-5); with the drain basin (holding space, 224 a	prematter rette kota disclose or (42, T-connier from tube; id exits from, or chamber (4: method com; ar. 0031) so I tituling to provpward through 74) in the tube hat the pin is via seen in Figure 12] a drain b to soing a secon pin of the seis, and where in the pin second of cleaning the dand does returned to the set of the second of cleans shown in Figure 12.	is in Fig. 1, [Clim. 22] a method of cleaning a pturality of deposition ectors), a pturality of cleaning tubes (30) each cleaning (ube havir 72, as stated in Par. 0030, lines 4-6, and Par. 0031, lines 1 and 2) as stated in Par. 0031, lines 6 and 7); each tube inlet end (botton 2), each tube outlet end adapted to receive at least a portion of or prising: providing a cleaning fluid into the lower chamber to a leve that vapor (air held within tubes before water is released) within the vide the cleaning fluid so that vapor (air) remaining in the lower chamber to each to the cleaning tubes (as stated in Par. 0031); and disposing at lea e outlet end (top end of tube 30) of one of the cleaning tubes whith washed within the tube (as stated in Par. 0031, lines 11-15); and lig. 1, and as stated in Par. 0026, lines 1 and 2) and further comprised the deposition pins from the row of tube outlet ends (as stated in Par. 0031); wherein each tube outlet ends; and subsequent to removing the first or ow of deposition pins in the row of tube outlet ends, each tube cond row of deposition pins in the row of tube outlet ends, each tube ain the tube outlet ends are above a level of cleaning fluid such that the town of tube outlet ends are above a level of cleaning fluid such that the composition that end provide and wherein the cleaning fluid passes each tube and exits an out come into fluid contact with a second pin.  In tube outlet (top of cup tube (213) as shown in Fig. 5) being in fluid 5. [Clim. 25] (Clim. 25] wherein a provide in fluid 5. [Clim. 25] each are each tube and exits a second received removed the provided each each provided each each each each each each each each	n pins (74, ng an intel end and an outlet ne ned of tube 30 ne of the above the outlet le lower chambe amber is st a portion of a e the cleaning (Clm. 24) where ising: disposing sition pin of the din Par. 0033), the drain basin; row of depositio outlet end of the ins are dispose at each of the toutlet ends sur idds the liquid

(Continued in Supplemental Box)

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2008/080122

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:

Box V

Claim 23 lacks an inventive step under PCT Article 33(3) as being obvious over Kocherlakota in view of Favuzzi and further in view

of Helo et al.

Regarding claim 23, Kocherlakota as modified by Favuzzi et al discloses a method of cleaning deposition pins comprising all the elements as claimed in Claim 22, and as set forth above, but Kocherlakota does not disclose: [Clm. 23] wherein at least one of the plurality of cleaning tubes includes a flow restriction feature and the disposing the deposition pin in the tube outlet end includes disposing a terminus of the deposition pin within the flow restriction feature.

Held et al., however, leaches in Fig. 1 and 2b, a method of cleaning a medical device comprising wherein at least one of the plurality of cleaning tubes (portion between rim (15) and chamber portion (230) as shown in Fig. 2b) includes a flow restriction feature (curved portion of tube in which diameter has been reduced) and the disposing the deposition pin (in this case a medical TEE probe) in the tube outlet end (Col. 2, lines 49-52) includes disposing a terminus of the deposition pin within the flow restriction feature (wherein disposing any object in receptacle) in the tube outlet of the receptacle).

of the fit which diameter has been reduced and the disposing the deposition pin (in this case a medical TEE probe) in the tube outlet end (Col. 2, lines 49-52) includes disposing a terminus of the deposition pin within the flow restriction feature (wherein disposing any object in receptacle intel will be located within the flow restriction feature of the receptacle). Therefore, it would have been obvious to one of ordinary skill in the an at the time the invention was made to modify Kocherlakota's method of cleaning with a flow restriction feature formed on the cleaning tube receptacle as taught by Held et al, for the purpose of providing an effective means in which the cleaning liquid can clean inserted device thoroughly.

Claims 1-21 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest: [Cims. 1 and 15] a pin wash station/ system comprising: a vent tube, the vent tube having an inlet end and an outlet end, the inlet end being in fluid communication with the lower chamber, the terminus of the vent tube inlet end being above the level of the cleaning tube inlet ends relative to the substantially horizontal reference plane, and the outlet end being in fluid communication with the drain basin. No references were found showing this vent tube limitation as well as its location on the lower chamber. The location of the vent tube is considered critical by the applicant since it is used to deter any upward spray contamination of cleaning fluid through the cleaning tubes when the cleaning fluid is introduced into the chamber.

Consequently, none of the prior an references cited, disclose or suggest the combination of structural limitations as set forth in claims 1-21, thus none of these references cure the deficiencies of Kocherlakota et al in view of Favuzzi et al and Held et al.

Claims 1-25 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

- 1. A pin wash station, comprising:
  - a lower chamber;
  - a drain basin;
  - a plurality of cleaning tubes, each cleaning tube having an inlet end and an outlet end, each tube inlet end being in fluid communication with the lower chamber, the terminus of all tube inlet ends being below a substantially horizontal reference plane, each tube outlet end being in fluid communication with the drain basin such that fluid that exits the outlet end of the tube passes into the drain basin, and each tube outlet end adapted to receive at least a portion of a deposition pin; and
  - a vent tube, the vent tube having an inlet end and an outlet end, the inlet end being in fluid communication with the lower chamber, the terminus of the vent tube inlet end being above the level of the cleaning tube inlet ends relative to the substantially horizontal reference plane, and the outlet end being in fluid communication with the drain basin.
- 2. The pin wash station of claim 1, wherein the vent tube outlet end includes a portion directed away from the cleaning tube outlet ends.
- 3. The pin wash station of claim 1, wherein the vent tube includes a surface tension reduction feature disposed within the vent tube for reducing surface tension of fluid within the vent tube.
- 4. The pin wash station of claim 3, wherein the surface tension reduction feature includes a wire disposed within the vent tube.
- 5. The pin wash station of claim 1, wherein the substantially horizontal reference plane corresponds to a level of a cleaning fluid in the lower chamber.
- 6. The pin wash station of claim 1, wherein at least one of the plurality of cleaning tube outlet ends has a fluid surface tension reduction feature.
- 7. The pin wash station of claim 6, wherein the surface tension reduction feature is a notch in a lip of at least one of the tube outlet ends.

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8. The pin wash station of claim 6, wherein the surface tension reduction feature includes a surface finish on an outside surface of at least one of the tube outlet ends.

- 9. The pin wash station of claim 8, wherein the surface finish includes at least one of a bead blasting treatment, a grit blasting treatment, a hydrophilic treatment, and a hydrophobic treatment.
- 10. The pin wash station of claim 1, wherein at least one of the plurality of cleaning tubes has a flow restriction feature positioned to correspond to a predetermined location on at least a portion of the deposition pin.
- 11. The pin wash station of claim 10, wherein the predetermined location is the terminus of the deposition pin.
- 12. The pin wash station of claim 10, wherein the flow restriction feature is a swage in at least a portion of the cleaning tube.
- 13. The pin wash station of claim 10, wherein the flow restriction feature includes a narrowing insert within at least a portion of the cleaning tube.
- 14. The pin wash station of claim 10, wherein an inner surface of the flow restriction feature includes a surface treatment that generates at least one of rotation and turbulence in a cleaning fluid flowing through the flow restriction feature.
- 15. A system, comprising:
  - a plurality of pins adapted to deposit an array of material dots on a receiving surface; and
  - a pin wash station, including:
    - a lower chamber;
    - a drain basin;
    - a plurality of cleaning tubes, each cleaning tube having an inlet end and an outlet end, each tube inlet end being in fluid communication with the lower chamber, the terminus of all tube inlet ends being below a substantially horizontal reference plane, each tube outlet end being in fluid

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communication with the drain basin, and each tube outlet end adapted to receive one of the plurality of pins; and

- a vent tube, the vent tube having an inlet end and an outlet end, the inlet end being in fluid communication with the lower chamber, the terminus of the vent tube inlet end being above the level of the cleaning tube inlet ends relative to the substantially horizontal reference plane, and the outlet end being in fluid communication with the drain basin.
- 16. The system of claim 15, wherein the substantially horizontal reference plane corresponds to a level of a cleaning fluid in the lower chamber.
- 17. The system of claim 15, wherein the plurality of pins includes at least one deposition pin printing array including at least 192 deposition pins.
- 18. The system of claim 15, wherein at least one of the plurality of cleaning tubes includes at least one of a fluid surface tension reduction feature, a fluid surface tension reducing surface treatment, and a flow restriction feature.
- 19. The system of claim 15, wherein the number of cleaning tubes is equal to the number of pins and the arrangement of the cleaning tubes corresponds to the arrangement of the pins.
- 20. The system of claim 15, wherein the number of cleaning tubes is less than the number of pins.
- 21. The system of claim 20, wherein the plurality of pins are arranged in rows having a first spacing between the rows, the cleaning tubes are arranged in rows having a second spacing between the rows, and the second spacing is an integer multiple of the first spacing.
- 22. A method of cleaning a plurality of deposition pins in a system with a lower chamber, a drain basin, and a plurality of cleaning tubes, each cleaning tube having an inlet end and an outlet end, each tube inlet end being in fluid communication with the lower chamber, each tube outlet end being in fluid communication with the drain basin, and each tube outlet end adapted to receive at least a portion of one of the deposition pins, the method comprising:

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providing a cleaning fluid into the lower chamber to a level above the outlet ends of each cleaning tube so that vapor within the lower chamber is displaced by the cleaning fluid, and continuing to provide the cleaning fluid so that vapor remaining in the lower chamber is compressed and the cleaning fluid flows upward through the cleaning tubes; and

- disposing at least a portion of a single one of the deposition pins in the tube outlet end of one of the cleaning tubes while the cleaning fluid flows through the cleaning tubes so that the pin is washed within the tube.
- 23. The method of claim 22, wherein at least one of the plurality of cleaning tubes includes a flow restriction feature and the disposing the deposition pin in the tube outlet end includes disposing a terminus of the deposition pin within the flow restriction feature.
- 24. The method of claim 22, wherein the tube outlet ends are arranged in rows and further comprising:
  - disposing a first row of deposition pins in a row of tube outlet ends, each tube outlet end of the row receiving no more than one deposition pin of the first row of deposition pins;
  - removing the first row of deposition pins from the row of tube outlet ends; and
  - subsequent to removing the first row of deposition pins from the row of tube outlet ends, disposing a second row of deposition pins in the row of tube outlet ends, each tube outlet end of the row receiving no more than one deposition pin of the second row of deposition pins.
- 25. The method of claim 22, wherein a plurality of pins are disposed in a plurality of tubes on a one-for-one basis, and wherein the tube outlet ends are above a level of cleaning fluid such that each of the plurality of pins is washed within a respective cleaning tube, and wherein the cleaning fluid passes each tube and exits at outlet ends such that the fluid that cleans a first pin is drained and does not come into fluid contact with a second pin.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,487	10/16/2008	Peter HONKANEN	2001521.00124US2	7523
23483 WILMERHA	7590 01/21/2011 LE/ROSTON		EXAM	INER
60 STATE ST	REET		OSTERHOUT, E	BENJAMIN LEE
BOSTON, MA	A 02109		ART UNIT	PAPER NUMBER
			1711	
				·
			NOTIFICATION DATE	DELIVERY MODE
			01/21/2011	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

teresa.carvalho@wilmerhale.com whipusptopairs@wilmerhale.com





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BC

January 20, 2011

In re application of : DECISION ON REQUEST TO Peter Honkanen et al. : PARTICIPATE IN PATENT Serial No. 12/252,487 : PROSECUTION HIGHWAY

Filed: October 16, 2008 : PROGRAM AND

For: CONTINUAL FLOW PIN WASHER : PETITION TO MAKE SPECIAL

UNDER 37 CFR 1.102(a)

This is a decision on the request for reconsideration to participate in the PCT Patent Prosecution Highway (PCT-PPH) pilot program and the petition under 37 CFR 1.102(a), filed November 17, 2010, to make the above-identified application special.

The request and petition are **GRANTED**.

A grantable request to participate in the PCT-PPH pilot program and petition to make special require:

- (1) The U.S. application must disclose an eligible relationship to one or more PCT applications where the ISA or IPEA are the JPO, EPO, or USPTO;
- (2) At least one claim in the PCT application has novelty, inventive step, and industrial applicability and must be free of any observations in Box VIII in the latest work product in the international stage or applicant must identify and explain why the claim(s) is/are not subject to the observation in Box VIII:
- (3) Applicant must submit a copy of the claim(s) from the PCT application(s) that have novelty, inventive step, and industrial applicability along with an English translation thereof and a statement that the English translation is accurate, if the claims are not in the English language;
- (4) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the claim(s) that have novelty, inventive step, and industrial applicability in the PCT application(s);
- (5) Examination of the U.S. application has not begun;
- (6) Applicant must submit a copy of the latest international work product from the PCT application indicating that the claim(s) have novelty, inventive step, and industrial applicability along with an English translation thereof if the latest international work

Application No. 12/252,487

product is not in the English language; and

(7) Applicant must submit an IDS listing the documents cited by the PCT examiner in the international work product along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

Any inquiry regarding this decision should be directed to Blaine Copenheaver, Quality Assurance Specialist, at (571) 272-1156.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

/Blaine Copenheaver/

Blaine Copenheaver Quality Assurance Specialist Technology Center 1700



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,517	10/16/2008	Joseph David Anderson	035718/385610	7586
29122 ALSTON & B	7590 08/05/2011		EXAM	INER
PIONEER HI-	BRED INTERNATIONAL	., INC.	IBRAHIM, ME	DINA AHMED
	IERICA PLAZA RYON STREET, SUITE 40	000	ART UNIT	PAPER NUMBER
	, NC 28280-4000		1638	
			MAIL DATE	DELIVERY MODE
			08/05/2011	PAPER

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ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Joseph Anderson

Serial No.: 12/252,517

Filed: October 16, 2008

Attorney Docket No.: 035718/385610

: PETITION DECISION

This is in response to the petition under 37 CFR § 1.59(b), filed July 22, 2011, to expunge information from the above identified application. This application has not been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, received by the Patent Office on July 26, 2011 (not June 22, 2011 as stated in Petition), be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

This is an examined application which is currently under non-final rejection. As such the information provided has been reviewed, in part, but proceedings in the application have not been terminated. As stated in M.P.E.P. 724, upon allowance or other action closing prosecution in an application, petition may be made for return of Proprietary information. The information cannot be expunged at this time.

The petition is **<u>DISMISSED</u>**. Petitioner may resubmit the petition subsequent to a Notice of Allowability or *ex parte Quayle* action being mailed in the application. No additional petition fee will be required at that time.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,517	10/16/2008	Joseph David Anderson	035718/385610	7586
29122 ALSTON & B	7590 10/05/2011		EXAM	INER
PIONEER HI-	BRED INTERNATIONAL	, INC.	IBRAHIM, MEI	DINA AHMED
	IERICA PLAZA RYON STREET, SUITE 40	000	ART UNIT	PAPER NUMBER
	, NC 28280-4000		1638	
		-	MAIL DATE	DELIVERY MODE
			10/05/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.



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ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Joseph D. Anderson

Serial No.: 12/252,517 Filed: October 16, 2008

Attorney Docket No.: 035718/385610

: PETITION DECISION

This is in response to the renewed petition under 37 CFR § 1.59(b), filed September 20, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the material received by the Patent Office on July 26, 2011 be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is **GRANTED**.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,527	10/16/2008	Thomas Craig Kevern	P08798US01 - PHI 2651+	7601
27142 MCKEE VOO	7590 06/14/2011 ORHEES & SEASE, P.L.C.		EXAM	INER
ATTN: PIONE	ER HI-BRED		IBRAHIM, MEI	DINA AHMED
	VENUE, SUITE 3200 , IA 50309-2721		ART UNIT	PAPER NUMBER
DES MONVES			1638	
		•		
		•	NOTIFICATION DATE	DELIVERY MODE
		•	06/14/2011	ELECTRONIC

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patatty@ipmvs.com

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JUN 1 4 2011

: PETITION DECISION

MCKEE, VOORHEES & SEASE, P.L.C.

ATTN: PIONEER HI-BRED

801 GRAND AVENUE, SUITE 3200

**DES MOINES IA 50309-2721** 

In re Application of:

Thomas Craig Kevern

Serial No.: 12/252,527

Filed: October 16, 2008

Attorney Docket No.: P08798US01 - PHI

2651+

This is in response to the petition under 37 CFR § 1.59(b), filed June 2, 2011, to expunge information from the above identified application. This application has not been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, submitted to the Patent Office on June 2, 2011, be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

This is an unexamined application. As such the information provided has not been reviewed, nor have proceedings in the application been terminated. As stated in M.P.E.P. 724, upon allowance or other action closing prosecution in an application, petition may be made for return of Proprietary information. The information cannot be expunged at this time but the pages will be "closed" in PAIR such that only the examiner can view said pages.

The petition is <u>DISMISSED</u>. Petitioner may resubmit the petition subsequent to a Notice of Allowability or *ex parte Quayle* action being mailed in the application. No additional petition fee will be required at that time.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,527	10/16/2008	Thomas Craig Kevern	2651	7601
27310 DIONEED LII	7590 12/07/2011 BRED INTERNATIONAI	. INIC	EXAM	INER
7250 N.W. 62N	ND AVENUE	_, INC.	IBRAHIM, ME	DINA AHMED
P.O. BOX 552 JOHNSTON, I			ART UNIT	PAPER NUMBER
,,,,			1638	
			NOTIFICATION DATE	DELIVERY MODE
•			12/07/2011	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ginny.boesen@pioneer.com toni.farris@pioneer.com michelle.rees@pioneer.com



DEC - 7 2011

Commissioner for Patents
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Alexandria, VA 22313-1450
www.uspto.gov

: PETITION DECISION

MCKEE, VOORHEES & SEASE, P.L.C. ATTN: PIONEER HI-BRED 801 GRAND AVENUE, SUITE 3200 DES MOINES IA 50309-2721

In re Application of: Thomas Craig Kevern

Serial No.: 12/252,527 Filed: October 16, 2008

Attorney Docket No.: P08798US01 - PHI

2651+

This is in response to the renewed petition under 37 CFR § 1.59(b), filed December 1, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, submitted to the Patent Office on June 2, 2011, be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is GRANTED.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,538	10/16/2008	Thomas Charles Barker	035718/385612	7618
	7590 09/07/2011		EXAM	INER
ALSTON & BI PIONEER HI-E	RD LLP BRED INTERNATIONA	L, INC.	IBRAHIM, ME	DINA AHMED
	ERICA PLAZA RYON STREET, SUITE 4	4000	ART UNIT	PAPER NUMBER
	NC 28280-4000	,000	1638	
		,		
			MAIL DATE	DELIVERY MODE
			09/07/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.



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United States Patent and Trademark Office
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: PETITION DECISION

## SEP 0 7 2011

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Barker et al.

Serial No.: 12/252,538

Filed: October 16, 2008

Attorney Docket No.: 035718/385612

This is in response to the petition under 37 CFR § 1.59(b), filed July 22, 2011, to expunge information from the above identified application. This application has not been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, received at the Patent Office on July 26, 2011, be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

This is an examined application which is currently under non-final rejection. As such the information provided has been reviewed, in part, but proceedings in the application have not been terminated. As stated in M.P.E.P. 724, upon allowance or other action closing prosecution in an application, petition may be made for return of Proprietary information. The information cannot be expunged at this time.

The petition is **<u>DISMISSED</u>**. Petitioner may resubmit the petition subsequent to a Notice of Allowability or *ex parte Quayle* action being mailed in the application. No additional petition fee will be required at that time.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,538	10/16/2008	Thomas Charles Barker	035718/385612	7618
<sup>29122</sup> ALSTON & BI	7590 11/09/2011		EXAM	INER
PIONEER HI-I	BRED INTERNATIONAL	L, INC.	IBRAHIM, MEI	DINA AHMED
	ERICA PLAZA RYON STREET, SUITE 4	.000	ART UNIT	PAPER NUMBER
	NC 28280-4000		1638 .	
			<del></del>	
			MAIL DATE	DELIVERY MODE
	•		11/09/2011	PAPER

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ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Barker et al.

: PETITION DECISION

Serial No.: 12/252,538 Filed: October 16, 2008

Attorney Docket No.: 035718/385612

This is in response to the renewed petition under 37 CFR § 1.59(b), filed October 12, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the material received by the Patent Office on July 26, 2011 be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is **GRANTED**.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Ryan P. OConnor, Ph.D. Range Fuels, Inc. PO Box 580 Minnetrista MN 55364-0580

MAILED

AUG 23 2011

OFFICE OF PETITIONS

In re Application of

Jesse E. Hensley

Application No. 12/252,545

Filed: October 16, 2008

Attorney Docket No. 1016.01

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed August 2, 2011, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to timely pay the issue and publication fees on or before June 24, 2011, as required by the Notice of Allowance and Fee(s) Due, mailed March 24, 2011. Accordingly, the date of abandonment of this application is June 25, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of payment of the issue fee of \$755 and the publication fee of \$300, (2) the petition fee of \$810; and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to JoAnne Burke at (571) 272-4584.

This application is being referred to the Office of Data Management for processing into a patent \( \infty \)

Petitions Examiner
Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,549	10/16/2008 Joseph David Anderson		035718/385533	7636
<sup>29122</sup> ALSTON & B	7590 11/01/2011 TRD LLP		EXAM	INER
	BRED INTERNATIONAL	, INC.	IBRAHIM, MEI	DINA AHMED
	BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000		ART UNIT	PAPER NUMBER
	, NC 28280-4000	,	1638	
			MAIL DATE	DELIVERY MODE
			11/01/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of: Joseph Anderson

Serial No.: 12/252,549

Filed: October 16, 2008

Attorney Docket No.: 035718/385533

: PETITION DECISION

This is in response to the petition under 37 CFR § 1.59(b), filed May 31, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105 received by the Patent Office on June 3, 2011 (certificate of mail date of 5/31/11), be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is **GRANTED**.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Vista IP Law Group, LLP (Cadence) 2160 Lundy Avenue Suite 230 San Jose CA 95131

Appl No.: 12/252,577 :

Applicant: Nozarian : **DECISION ON PETITION** Filed: October 16, 2008 : **UNDER** 37 C.F.R. 1.48(a)

For: METHOD AND SYSTEM IDENTIFYING AND

LOCATING IP BLOCKS AND BLOCK SUPPLIERS

FOR AN ELECTRONIC DESIGN

This is a decision on the applicant's "Request to Correct Inventorship" filed under 37 C.F.R. 1.48(a) on March 11, 2009. The applicant requests to add inventor Catherine Jones as a joint inventor for the above-captioned patent application.

The petition is **GRANTED**.

Any petition for correction of inventorship filed under 37 CFR 1.48(a) must also include:

- (1) A request to correct the inventorship that sets forth the desired inventorship change;
- (2) A statement from each person being added as an inventor and from each

person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part;

- (3) An oath or declaration by the actual inventor or inventors as required by § 1.63 or as permitted by §§ 1.42, 1.43 or § 1.47;
- (4) The processing fee set forth in § 1.17(i); and
- (5) If an assignment has been executed by any of the original named inventors, the written consent of the assignee (see § 3.73(b) of this chapter).

After a careful review of the file and applicant have supplied sufficient cause for correction of inventorship and have met the requirements under of 37 CFR 1.48(a), the petition is hereby granted.

/Jack Chiang/

Jack Chiang, Supervisory Patent Examiner, Art Unit 2825



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

### **HIOK NAM TAY** BLK 409 WOODLANDS STREET 41#13-109 **SINGAPORE 73040-9 SG SINGAPORE**

MAILED

SEP 2 2 2010

In re Application of

OFFICE OF PETITIONS

Tay, Hiok Nam

Application No. 12/252,614

**ON PETITION** 

Filed: October 16, 2008

Attorney Docket No. 157487-0069 (P008C)

This is a decision on the petition under 37 C.F.R. § 1.137(b), filed September 1, 2010, to revive the above-identified application.

The petition is **GRANTED**.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of the Issue Fee Transmittal with payment of the issue and publication fees, (2) the petition fee of \$810.00, and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3206.

This matter is being referred to the Office of Data Management for processing into a patent.

iana Walsh

**Petitions Examiner** 

Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,619	10/16/2008	THOMAS CRAIG KEVERN	035718/385529	7773
<sup>29122</sup> ALSTON & Bl	7590 01/07/2011		EXAM	INER
PIONEER HI-I	BRED INTERNATIONA	L, INC.	MEHTA, A	SHWIN D
2.1	BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000		ART UNIT	PAPER NUMBER
	, NC 28280-4000		1638	<u> </u>
	•	•		
			MAIL DATE	DELIVERY MODE
			01/07/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.



JAN 0 7 2011

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Thomas C. Kevern

Serial No.: 12/252,619

Filed: October 16, 2008

Attorney Docket No.: 035718/385529

:

: PETITION DECISION

This is in response to the petition under 37 CFR § 1.59(b), filed December 17, 2010, to expunge information from the above identified application. This application has not been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, submitted to the Patent Office on December 20, 2010, be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

This is an unexamined application. As such the information provided has not been reviewed nor have proceedings in the application been terminated. As stated in M.P.E.P. 724, upon allowance or other action closing prosecution in an application, petition may be made for return of Proprietary information. The information cannot be expunged at this time.

The petition is <u>DISMISSED</u>. Petitioner may resubmit the petition subsequent to a Notice of Allowability or *ex parte Quayle* action being mailed in the application. No additional petition fee will be required at that time.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,619	10/16/2008	THOMAS CRAIG KEVERN	035718/385529	7773
29122	7590 02/24/2011	EXAMINER		
ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000			MEHTA, ASHWIN D	
			ART UNIT	PAPER NUMBER
	, NC 28280-4000		1638	
		,		
			MAIL DATE	DELIVERY MODE
		,	02/24/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.



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### FEB 2 4 2011

ALSTON & BIRD LLP PIONEER HI-BRED INTERNATIONAL, INC. BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

In re Application of:

Thomas Craig Kevern

Serial No.: 12/252,619 Filed: October 16, 2008

Attorney Docket No.: 035718/385529

: PETITION DECISION

This is in response to the renewed petition under 37 CFR § 1.59(b), filed February 18, 2011, to expunge information from the above identified application. This application has been allowed.

Petitioner requests that the Reply to Request for Information under 37 CFR 1.105, and attachment thereto, submitted to the Patent Office on December 20, 2010 be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR § 1.17(g) has been paid.

The reasons set forth in this petition establishes to the satisfaction of the Director that expungement of the information is appropriate. The file entry for this document has been closed and as such the document is no longer publicly available, which is the IFW equivalent to removal of a paper document from a paper file wrapper.

Therefore, petitioner's petition is GRANTED.

Should there be any questions about this decision please contact Marianne C. Seidel by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,700	.10/16/2008	Wenqing Yao	20443-0100001 / INCY0028-	7910
26161 FISH & RICH	7590 08/29/2011 ARDSON P.C. (BO)		EXAMINER	
P.O. BOX 1022			HABTE, KAHSAY	
MINNEAPOLIS, MN 55440-1022			ART UNIT	PAPER NUMBER
			1624	
			NOTIFICATION DATE	DELIVERY MODE
			08/29/2011	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com



# AUG 2 9 2011

#### United States Patent and Trademark Office

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Eifion Phillips, J.D., D. Phil. FISH & RICHARDSON P.C. (BO) P.O. BOX 1022 MINNEAPOLIS MN 55440-1022

In re Application of

Yao et al

:Decision on Petition

Serial No.: 12/252,700

Filed: 16 October 2008

Attorney Docket No.: 20443-01000001/INCY0028-:

This letter is in response to the Petition under 37 C.F.R. 1.144 filed on 16 May 2011 requesting reconsideration of the restriction requirement mailed 1 June 2010.

#### **BAÇKGROUND**

This application was filed as a national application under 35 U.S.C. 111(a) and as such is entitled to restriction practice described in Chapter 800.

On 3 December 2010, the examiner set forth a restriction requirement which divided claims 1-69 into seven groups.

On 3 February 2011, applicants elected Group V, with traverse.

On 15 February 2011, the examiner mailed to applicants a non-final Office action in which the traversal was considered, and the requirement was made final. Claims 66-69 and 78-86 were

withdrawn from consideration under 37 CFR 1.142(b) as being directed to non-elected subject matter. Claims 1, 4-10 and 65 were rejected under 35 U.S.C. 112, 2<sup>nd</sup>. The examiner indicated that claims 1-6, 8-10 and 22 would be allowable if the claims were amended to overcome the 112 rejections and to be rewritten solely to the elected compounds. Claims 64 and 70-77 were objected to for containing non-elected subject matter but would be allowable if applicants deleted non-elected species from the claims. Applicant was then recommended to delete withdrawn reach through claims 66-68. Applicants were also instructed to delete a list of disorders from withdrawn claims 69 and 78.

On 16 May 2011, applicants filed a response to the Office action along with this petition.

### **DISCUSSION**

The file history and petition have been considered carefully.

The petition points out that the examiner has placed Markush claims into separate groups for the purposes of restriction. Because applicant's claims are drafted in Markush-type format, the petition argues that they should be considered under the guidelines of MPEP 803.02. This is persuasive.

Further, it is noted that on 9 February 2011, the Office issued a Federal Register Notice entitled "Supplemental Examination Guidelines for Determining Compliance with 35 U.S.C. 112 and for treatment of Related Issues in Patent Applications." Page 7166 sets forth guidelines for the treatment of Markush-type claims:

"Under principles of compact prosecution, the examiner should also require the applicant to elect a species or group of indistinct species for search and examination (i.e., an election of species). If the examiner does not find the species or group of indistinct species in the prior art, then the examiner should extend the search to those additional species that fall within the scope of a permissible Markush claim. In other words, the examiner should extend the search to the species that share a single structural similarity and a common use. The improper Markush claim should be examined for patentability over the prior art with respect to the elected species or group of indistinct species, as well as the species that share a single structural similarity and a common use with the elected species or group of indistinct species (i.e., the species that would fall within the scope of a proper Markush claim)."

In view of these new guidelines and guidance in MPEP 803.02, the restriction requirement between the embodiments of the product Markush claims (Groups I-VI) is found to be unwarranted and is hereby replaced with a provisional election of species requirement.

Lastly, applicant has argued that the examiner has not followed the election and examination practice for Markush claims. MPEP 803.02 requires extended examination of other embodiments. See:

Following election, the Markush-type claim will be examined fully with respect to the elected species and further to the extent necessary to determine patentability.

Instead, here the examiner has improperly objected to Markush claim for reciting non-elected species. This is not warranted.

#### **DECISION**

For these reasons, the petition filed under 37 CFR 1.144 on 16 May 2011 is **GRANTED** follows.

The intra-claim restriction requirement made between Groups I-VI on 3 December 2010 has been withdrawn and replaced with an election of species requirement.

The objection to claims for reciting non-elected species and any requirement to remove non-elected subject matter from the alternative of a claim has been withdrawn.

The application will be forwarded to the examiner to consider the papers filed 16 May 2011, and to prepare a supplemental Office action consistent with this petition decision. Markush claims are to be examined according to the guidance in MPEP 803.02 and the FR Notice of February 2011.

Should there be any questions regarding this decision, please contact Quality Assurance Specialist Julie Burke by mail addressed to Director, Technology Center 1600, PO BOX 1450, ALEXANDRIA, VA 22313-1450, or by telephone at (571) 272-0512 or by Official Fax at 703-272-8300.

Remy Yucel

Director, Technology Center 1600



### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
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FISH & RICHARDSON P.C. (BO) P.O. BOX 1022 MINNEAPOLIS MN 55440-1022 MAILED
DEC 15 2011

OFFICE OF PETITIONS

In re Application Yao, et al.

Application No. 12/252,700

: PATENT TERM ADJUSTMENT

Filing or 371(c) Date: October 16, 2008 Dkt. No.: 20443-0100001/INCY0028-

This is in response to the application for patent term adjustment pursuant to 37 CFR 1.705(b) filed December 2, 2011.

The request for reconsideration of patent term adjustment is **GRANTED**.

Applicant submits that the correct patent term adjustment to be indicated on the patent is 252 days, not 251 days as calculated by the Office as of the mailing of the initial determination of patent term adjustment mailed September 2, 2011. Applicants assert that the application was improperly reduced one day in connection with the reply filed May 16, 2011.

The arguments presented have been carefully reviewed and found convincing with respect to the fact that the due date for reply (May 15, 2011) fell on a Sunday. In view thereof, as of the time of allowance, the application is entitled to a patent term adjustment of 252 days, as argued (252 days under 37 CFR 1.703(a) less zero days under 37 CFR 1.704).

The \$200.00 patent term adjustment application required per 37 CFR 1.18(e) has been charged to the authorized deposit account.

The application file is being forwarded to the Office of Data Management for issuance of the patent. The patent term adjustment indicated on the patent (as shown on the Issue Notification mailed about three weeks prior to patent issuance) will include any additional adjustment accrued both for Office delay in issuing the patent more than four months after payment of the issue fee and satisfaction of all outstanding requirements, and for the Office taking in excess of three years to issue the patent (to the extent that the three-year period does not overlap with periods already accorded).

The Adjusted PAIR Calculation can be assessed via public and/or private PAIR.

Telephone inquiries specific to this decision should be directed to the undersigned at (571) 272-3205.

/ALESIA M. BROWN/

Alesia M. Brown Attorney Advisor Office of Petitions



Term

### Adjustments

PTA/PTE Information Patent Term Adjustment Patent Term Extension

Application Number\*: 12252700

Search Explanation of PTA Calculation Explanation of PTE Calculation

PTA Calculations for Application: 12252700

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Application Filing Date 10/16/2008	OverLapping Days Between (A and B) or (A and C)0
Issue Date of Patent	Non-Overlapping USPTO Delays: 352
A Delays 352	PTO Manual Adjustment 1
B Delays 0	Applicant Delay (APPL) 1
C Delays 0	Total PTA (days) 352

### \* - Sorted Column

File Contents History

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Action umber	Action Recorded Date	Action Due Date	Action Code	<u>Action</u> <u>Description</u>	Duration PTO	n <u>Duration</u> APPL	Paren Action Number
3	12/13/2011		P028	Adjustment of PTA Calculation by PTO	<u>1</u>	(	
	09/02/2011		MN/=.	Mail Notice of Allowance	_		)
	08/30/2011		OAR	Office Action Review			)
	08/30/2011		OAR	Office Action Review			)
	08/30/2011		IREV	Issue Revision Completed		Č	)
	08/30/2011		DVER	Document Verification		č	
	08/30/2011		N/=.	Notice of Allowance Data Verification Completed		Č	
			EX.A				
	08/29/2011			Examiner's Amendment Communication			
	08/29/2011		CNTA	Allowability Notice		-	
	08/29/2011		MPTGR	Mail-Petition Decision - Granted		•	
	08/26/2011		PTGR	Petition Decision - Granted		c	
	05/18/2011		FWDX	Date Forwarded to Examiner		c	
	05/16/2011		IDSC	Information Disclosure Statement considered		C	)
	05/16/2011		PET.	Petition Entered		C	)
	05/16/2011		WIDS	Information Disclosure Statement (IDS) Filed		•	)
	05/16/2011	05/16/2011	M844	Information Disclosure Statement (IDS) Filed		4	0
	05/16/2011	05/15/2011	A	Response after Non-Final Action		<u>1</u> 3	7
	02/15/2011			Electronic Review		_ (	)
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	02/15/2011		MCTNF	Mail Non-Final Rejection		ò	, 1
	02/10/2011		CTNF	Non-Final Rejection		č	
				•		Č	
	02/05/2011		FWDX	Date Forwarded to Examiner		_	
	02/03/2011		ELC.	Response to Election / Restriction Filed		0	
	02/03/2011		XT/G	Request for Extension of Time - Granted		c	
	12/03/2010			Electronic Review		C	
	12/03/2010		EML_NTF	Email Notification		0	)
	12/03/2010	12/16/2009	MCTRS	Mail Restriction Requirement	352	•	.5
	11/30/2010		CTRS	Restriction/Election Requirement		c	1
	01/19/2010		IDSC	Information Disclosure Statement considered		C	)
	01/19/2010		RCAP	Reference capture on IDS			)
	01/19/2010		M844	Information Disclosure Statement (IDS) Filed		c	)
	01/19/2010		WIDS	Information Disclosure Statement (IDS) Filed			)
	11/27/2009			Email Notification			1
	11/27/2009		_	PG-Pub Issue Notification		Č	
	08/06/2009			Email Notification		à	
			_			Č	
	08/06/2009		PA	Change in Power of Attorney (May Include Associate POA)			
	01/26/2009		IDSC	Information Disclosure Statement considered		Q	
	01/26/2009		RCAP	Reference capture on IDS		c	
	01/26/2009		M844	Information Disclosure Statement (IDS) Filed		C	
	01/26/2009		WIDS	Information Disclosure Statement (IDS) Filed		C	
	01/06/2009		DOCK	Case Docketed to Examiner in GAU		0	)
	12/19/2008		TSSCOMP	IFW TSS Processing by Tech Center Complete		c c	)
	12/15/2008		OIPE	Application Dispatched from OIPE		C	)
	12/08/2008		EML_NTR	Email Notification		C	)
	12/08/2008		_	Filing Receipt - Updated		c c	)
	12/05/2008		PGPC	Sent to Classification Contractor		Ċ	)
	12/02/2008		ADDFLFEE	Additional Application Filing Fees		C	)
	12/02/2008		OATHDECL	A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic		ď	)
	10/31/2008		ELC RVW	Electronic Review			)
	10/31/2008		_	Email Notification			
			_				
	10/31/2008		_	Email Notification		-	
	10/31/2008			Filing Receipt		9	
	10/31/2008		INCD	Notice MailedApplication IncompleteFiling Date Assigned		C	
	10/20/2008		L194	Cleared by OIPE CSR		C	
	10/16/2008		SCAN	IFW Scan & PACR Auto Security Review		C	)
	10/16/2008		IEXX	Initial Exam Team nn		C	)
						C	

# CERTIFICATION AND REQUEST FOR RELIEF DUE TO EVENTS OF MARCH 11, 2011, IN JAPAN (Page 1 of 2) rovisional Application Number or Control Number (if applicable): Patent Number (if applicable): 724

Nonprovisional Application Number or Control Number (if applicable): 12/252,724	Patent Number (if applicable):
First Named Inventor: Kazushige KANDA	Title of Invention: SEMICONDUCTOR MEMORY DEVICE

## APPLICANT/PATENTEE/REEXAMINATION PARTY HEREBY CERTIFIES AND REQUESTS THE FOLLOWING FOR THE ABOVE-IDENTIFIED APPLICATION/PATENT/REEXAMINATION PROCEEDING.

- FOR PATENT APPLICATIONS AND REEXAMINATION PROCEEDINGS PENDING IN THE USPTO AS OF MARCH 11, 2011, IN WHICH A COMMUNICATION FROM THE USPTO IS SOUGHT TO BE REMAILED:
  - a. One or more inventors, an assignee, or a correspondence address (for the application/proceeding) is in an area of Japan affected by the earthquake and/or tsunami of March 11, 2011.
  - b. A reply or response to an Office action (final, non-final, or other), a notice of allowance, or other Office notice (hereinafter collectively referred to as "Office communication") is outstanding.
  - c. The statutory or non-statutory time period set for response has not yet expired.
  - d. Withdrawal and reissuance of the Office communication is requested.
  - e. It is acknowledged that if this request is not made within sufficient time so that withdrawal and reissuance of the Office communication occur prior to expiration of the statutory or non-statutory time period (as permitted to be extended under 37 CFR 1.136(a), or as extended under 37 CFR 1.550(c) or 1.956), this request may not be granted.
  - f. The need for the reissuance of the Office communication was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - g. This request is being sent via EFS-Web or by mail directed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- 2. FOR PATENTEES WHO WERE UNABLE TO TIMELY PAY A PATENT MAINTENANCE FEE DURING THE SIX-MONTH GRACE PERIOD FOLLOWING THE WINDOW TO PAY THE MAINTENANCE FEE:
  - a. The original window of time to pay the maintenance fee without the surcharge required by 37 CFR 1.20(h) expired on or after March 11, 2011.
  - b. The delay in paying the fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - c. The USPTO is requested to *sua sponte* waive the surcharge in 37 CFR 1.20(h) for paying a maintenance fee during the six-month grace period following the window to pay the maintenance fee.
  - d. This request and payment of the maintenance fee during the six-month grace period following the window to pay the maintenance fee is being mailed to: Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314; or being transmitted via facsimile to: 571-273-6500.

### CERTIFICATION AND REQUEST FOR RELIEF DUE TO EVENTS OF MARCH 11, 2011, IN JAPAN (Page 2 of 2)

- 3. FOR PATENTEES WHO NEED TO FILE A PETITION TO ACCEPT A DELAYED MAINTENANCE FEE PAYMENT UNDER 37 CFR 1.378(c):
  - a. The maintenance fee payment was required to have been paid after March 10, 2011.
  - b. A petition under 37 CFR 1.378(c) (using USPTO form PTO/SB/66 Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent (37 CFR 1.378(c))) is being promptly filed accompanied by the applicable maintenance fee payment (but not the surcharge under 37 CFR 1.20(i)).
  - c. The delay in payment of the maintenance fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - d. The USPTO is requested to *sua sponte* waive the surcharge in 37 CFR 1.20(i) for accepting a delayed maintenance fee payment.
  - e. It is acknowledged that the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) must be filed by March 11, 2012, in order to be entitled to a waiver of the surcharge under 37 CFR 1.20(i).
  - f. It is acknowledged that the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) must be filed within twenty-four months from the expiration date of the patent. See 35 U.S.C 41(c).
  - g. This request and the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) is being submitted via EFS-Web or by mail directed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- 4. FOR NONPROVISIONAL PATENT APPLICATIONS FILED WITHOUT AN EXECUTED OATH OR DECLARATION OR PAYMENT OF THE BASIC FILING FEE, SEARCH FEE, AND/OR EXAMINATION FEE:
  - a. The nonprovisional patent application was filed on or after March 11, 2011, and prior to April 12, 2011.
  - b. The late filing of the oath or declaration or the basic filing fee, search fee, or examination fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - c. The USPTO is requested to *sua sponte* waive the surcharge set forth in 37 CFR 1.16(f) for the late filing of the oath or declaration or basic filing fee, search fee, and/or examination fee.
  - d. This request, together with the executed oath or declaration or the basic filing fee, search fee, or examination fee, as well as the reply to the Notice to File Missing Parts, is being submitted via EFS-Web or by mail directed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature Nucleul C. Silled	Date H - 20-11			
Name (Print/Typed) Eckhard H. Kuesters	Practitioner 28,870 Registration Number			
Note: Signatures of all the inventors, § 1.41(b) applicants, or assignees of record of the entire interest or their representative(s), or reexamination requesters at the appeal stage are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below*.				
*Total of forms are submitted.				

### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

## OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA VA 22314

MAILED

APR 22 2011

OFFICE OF PETITIONS

In re Application of

Kazushige Kanda

Application No. 12/252,724

Filed: October 16, 2008

Attorney Docket No. 333606US2

**DECISION ON PETITION** 

This is a decision on the request filed April 20, 2011, seeking relief under the provisions of an announcement by the Under Secretary and Director of the United States Patent and Trademark Office on March 17, 2011,

http://www.uspto.gov/patents/announce/japan\_relief\_2011mar17.pdf, providing relief to inventors and patent owners in areas affected by the earthquake and resulting tsunami of March 11, 2011.

The request for relief is **GRANTED**.

In the above-identified application, an Office action was mailed on January 21, 2011. The instant petition was filed prior to the expiration of the period for reply and the certifications for granting of relief are considered to be met by the submission of the request.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

This application is being referred to the Technology Center, Art Unit 2117 for re-mailing the Office action of January 21, 2011. The period for reply will run from the mailing date of the Office action.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/252,776	10/16/2008	Fei Philip Lee	506874	8052	
	7590 01/11/201 OERNER VAN DEUR	EXAM	INER		
2215 PERRYG	REEN WAY		BRINSON, PATRICK F		
ROCKFORD, IL 61107			ART UNIT	PAPER NUMBER	
			3754		
			NOTIFICATION DATE	DELIVERY MODE	
		•	01/11/2012	ELECTRONIC	

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

RockMail@reinhartlaw.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

REINHART BOERNER VAN DEUREN P.C. 2215 PERRYGREEN WAY ROCKFORD IL 61107

In re Application of:

LEE, FEI PHILIP et al Serial No.: 12/252,776

Filed: October 16, 2008

Docket: 506874

Title: MULTI-TUBULAR FLUID TRANSFER

**CONDUIT** 

DECISION ON PETITION TO WITHDRAW RESTRICTION REQUIREMENT UNDER 37

CFR § 1.144

This is a decision on the petition filed on December 23, 2011 by which petitioner requests reconsideration and withdrawal of the restriction requirement mailed August 18, 2011, and that non-elected claims 15-21 be rejoined and examined on the merits. Claims 1-14 and 22-30 were elected and examined and claims 15-21 were withdrawn from consideration due to non-elected invention. This petition is being considered pursuant to 37 CFR 1.144 and 37 CFR 1.181, and no fee is required.

### The petition is **GRANTED**

In finding petitioner's points of argument persuasive, the requested relief is granted. The restriction requirement mailed on August 18, 2011 is hereby withdrawn. Claims 15-21 will be rejoined. The examiner has been requested to issue an Office action to rejoin and treat the non-elected claims 15-21 along with the other elected claims in the Rule '111 Amendment as filed on December 23, 2011.

The application is being forwarded to the examiner via the Supervisory Patent Examiner of Art Unit 3751 for consideration of the Rule 111 Amendment of December 23, 2011. Any inquiry regarding this decision should be directed to Henry C. Yuen, Special Programs Examiner, at (571) 272-4856.

PETITION GRANTED.

Donald T. Hajec, Director

**Technology Center** 

### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

### VONBRIESEN & ROPER, S.C. 411 EAST WISCONSIN AVENUE, SUITE 700 MILWAUKEE WI 53202

MAILED

MAY 182011

OFFICE OF PETITIONS

In re Application of

Kenneth Raymond Wills

Application No. 12/252,839 : DECISION ON PETITION

Filed: October 16, 2008

Attorney Docket No. 010203-00018

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed March 24, 2011, to revive the above-identified application.

### The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, September 17, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were timely obtained. Accordingly, the application became abandoned on December 18, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an Amendment, (2) the petition fee of \$810.00, and (3) a proper statement of unintentional delay.

Additionally, an extension of time under 37 CFR 1.136 must be filed prior to the expiration of the maximum extendable period for reply. See In re Application of S., 8 USPQ2d 1630, 1631 (Comm'r Pats. 1988). Since the \$555.00, three-month extension of time fee submitted with the petition on March 24, 2011 was subsequent to the maximum extendable period for reply, this fee is unnecessary and will be refunded to petitioner's deposit account in due course. Further, petitioner has also submitted an unnecessary terminal disclaimer and \$70.00 fee. This fee will also be refunded to petitioner's deposit account in due course.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751.

This application is being referred to Technology Center AU 3788 for appropriate action by the Examiner in the normal course of business on the reply received.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/252,868	12/252,868 10/16/2008 Hideo Ichikawa		063009-5036-US	8227
9629 MODGANIE	7590 08/16/2011 WIS & BOCKIUS LLP (V	V A \	EXAM	INER
1111 PENNSY	LVANIA AVENUE NW	· · · · · · · · · · · · · · · · · · ·	WONG, J	OSEPH S
WASHINGTO	N, DC 20004		ART UNIT	PAPER NUMBER
			2852	
			MAIL DATE	DELIVERY MODE
			08/16/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usoto.gov

MORGAN LEWIS & BOCKIUS LLP (WA) 1111 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20004

In re Application of

ICHIKAWA ET AL.

Application No. 12/252,868

Filed: October 16, 2008

Attorney Docket No. 063009-5036-US

**DECISION ON PETITION** 

TO ACCEPT COLOR

**DRAWINGS** 

This is a decision on the petition under 37 C.F.R. 1.84(a)(2), filed on January 22, 2009 to permit color drawings for the above-identified application.

The petition is GRANTED.

A grantable petition under 37 C.F.R. 1.84(a)(2) requires submission of the following: 1) the appropriate fee set forth in 37 C.F.R. 1.17(h); 2) three sets of color drawings; and 3) the required text language in the first paragraph of the brief description of the drawings section of the specification set forth in 37 C.F.R. 1.84 (a)(2)(iii). The requirement for three sets of color drawings under 37 C.F.R. 1.84(a)(2)(ii) is not applicable to color drawings submitted via Electronic Filing System-Web ("EFS-Web"). Therefore, only one set of such color drawings is necessary when filing via EFS-Web.

The documents filed on January 22, 2009 comply with the requirements set forth in 37 C.F.R. 1.84(a)(2) and the Legal Framework for EFS-Web.

Telephone inquires concerning this decision should be directed to David M. Gray at 571-272-2119.

David M. Grav

Supervisory Patent Examiner, Art Unit 2852

Technology Center 2800

### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE NC 28280-4000

MAILED
AUG 1 1 2011
OFFICE OF PETITIONS

In re Application of

Norman J. Krug

Application No. 12/252,992

Filed: October 16, 2008

Attorney Docket No. 053913/347758

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36, filed July 12, 2011.

The request is **NOT APPROVED**.

The request cannot be approved because it lacks a forwarding correspondence address of the first named inventor or a properly intervening assignee.

If the forwarding correspondence address is to the assignee, the Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest *that properly became of record under 37 CFR 3.71.* 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: MOJACK DISTRIBUTORS, LLC 3983 N. WOODLAWN COURT

WICHITA, KANSAS 67220

Doc Code: PET.AUTO Document Description: Petition auton	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request	PETITION TO WITHDRAW AN APPLI THE ISSUE FEE UNDER 37 CFR 1.313	CATION FROM ISSUE AFTER PAYMENT OF
Application Number	12253090	
Filing Date	16-Oct-2008	
First Named Inventor	Reinhold POLLNER	
Art Unit	1634	
Examiner Name	JEHANNE SITTON	
Attorney Docket Number	GP163-03.DV1	
Title	COMPOSITIONS FOR DETECTING GROUP	P A STREPTOCOCCI
withdraw an application from issue, a	om issue for further action upon petition by applicant must file a petition under this sec ons why withdrawal of the application from	tion including the fee set forth in § 1.17(h) and a
APPLICANT HEREBY PETITIONS TO W	ITHDRAW THIS APPLICATION FROM ISSUE	UNDER 37 CFR 1.313(c).
are unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for cor	aims, which must be accompanied by an u such claim or claims, and an explanation a ntinued examination in compliance with §	nequivocal statement that one or more claims s to how the amendment causes such claim or 1.114 (for a utility or plant application only); or be in favor of a continuing application, but not a
Petition Fee		
Applicant claims SMALL EN	TITY status. See 37 CFR 1.27.	
Applicant is no longer claim	ning SMALL ENTITY status. See 37 CFR 1.27	(g)(2).
Applicant(s) status remains a	as SMALL ENTITY.	
Applicant(s) status remains a	s other than SMALL ENTITY	
Reason for withdrawal from issue		

One or more claims are unpatentable					
Consideration of a request for consideration of a request	Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)				
Applicant hereby expressly abar have power of attorney pursuar	ndons the instant application (any attorney/agent signing for this reason must nt to 37 CFR 1.32(b)).				
RCE request, submission, and fee.					
I certify, in accordance with 3  The RCE request ,submission,	37 CFR 1.4(d)(4) that: and fee have already been filed in the above-identified application on				
Are attached.					
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES				
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:				
An attorney or agent registered in this application.	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.				
An attorney or agent registered	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.				
A sole inventor	A sole inventor				
A joint inventor; I certify that I ar	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors				
A joint inventor; all of whom are signing this e-petition					
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71					
Signature	/Yan Leychkis/				
Name	Yan Leychkis				
Registration Number 60440					



### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: April 20, 2012

In re Application of:

Reinhold POLLNER

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12253090

Filed: 16-Oct-2008 Attorney Docket No: GP163-03.DV1

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed April 20, 2012 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 1634 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

	0	OR CERTIFICATE OF CORRECTION  Paper No.: _
DATE	:05-25-11	
TO SPE OF	: ART UNIT	
SUBJECT	: Request for Certificate of Correct	ion for Appl. No.: <u>12/253094</u> Patent No.: <u>7867483</u>
Please resp	oond to this request for a cer	CofC mailroom date: 02-07-11 tificate of correction within 7 days.
FOR IFW F	ILES:	
the IFW ap	ew the requested changes/co plication image. No new ma the claims be changed.	orrections as shown in the <b>COCIN</b> document(s) in tter should be introduced, nor should the scope or
	nplete the response (see beloment code <b>COCX</b> .	ow) and forward the completed response to scann
FOR PAPE	R FILES:	
		orrections as shown in the attached certificate of see below) and forward it with the file to:
Rand	ificates of Correction Brand dolph Square – 9D10-A n Location 7580	ch (CofC)
		Angela Green Certificates of Correction Brance (703) 756-1541
Thank You	For Your Assistance	
•	st for issuing the above-ide on on the appropriate box.	entified correction(s) is hereby:
×	Approved	All changes apply.
	Approved in Part	Specify below which changes <b>do not</b> apply.
	l Denied	State the reasons for denial below.
	·	
Comments	);	

PTOL-306 (REV. 7/03)

SPE /Zachariah Lucas/ Art Unit 1648
U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office





Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

MAILED

MAR 27 2012

OFFICE OF PETITIONS

In re Patent No. 8,064,678

Issue Date: November 22, 2011

Application No. 12/253,163

Filed: October 16, 2008

Attorney Docket No. 92101-759102

NOTICE

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28. On September 1, 1998, the Court of Appeals for the Federal Circuit held that 37 CFR 1.28(c) is the sole provision governing the time for correction of the erroneous payment of the issue fee as a small entity. See DH Technology v. Synergystex International, Inc. 154 F.3d 1333, 47 USPQ2d 1865 (Fed. Cir. Sept. 1, 1998).

The Office no longer investigates or rejects original or reissue applications under 37 CFR 1.56. **1098 Off. Gaz. Pat. Office 502 (January 3, 1989)**. Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby ACCEPTED.

This application is no longer entitled to small entity status. Accordingly, all future fees paid in this application must be paid at the large entity rate.

Inquiries related to this communication should be directed to the undersigned at (571) 272-1642.

/AMW/ April M. Wise Petitions Examiner Office of Petitions





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MYERS ANDRAS SHERMAN LLP 19900 MACARTHUR BLVD. SUITE 1150 IRVINE CA 92612

MAILED

JAN 3 1 2011

OFFICE OF PETITIONS

In re Application of

Fadel M.Y. OTHMAN

Application No. 12/253,260 Filed: October 17, 2008

Attorney Docket No. OTH1.PAU.e01

: DECISION ON PETITION

: UNDER 37 CFR 1.78(a)(6)

This is a decision on the petition under 37 CFR 1.78(a)(6), filed October 08, 2010, to accept an unintentionally delayed claim under 35 U.S.C. § 119(e) for the benefit of priority to a prior-filed provisional application.

### The petition is **DISMISSED**.

A petition under 37 CFR 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after expiration of the period specified in 37 CFR 1.78(a)(5)(ii) and must be filed during the pendency of the nonprovisional application. In addition, the petition must be accompanied by:

- (1) the reference required by 35 U.S.C. § 119(e) and 37 CFR 1.78(a)(5)(i) to the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in  $\S 1.17(t)$ ; and
- a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

This pending nonprovisional application was filed on October 17, 2008, within twelve months of the filing date of the prior-filed provisional application, Application No. 60/981,512, which was filed on October 21, 2007, and for which priority is claimed. A reference to the prior-filed provisional application has been included in an amendment to the first sentence of the specification following the title.

However, the amendment is not acceptable as drafted since it improperly incorporates by reference the prior-filed application. An incorporation by reference statement added after an application's filing date is not effective because no new matter can be added to an application after its filing date (see 35 U.S.C. § 132(a)). If an incorporation by reference statement is included in an amendment to the specification to add a benefit claim under 35 U.S.C. § 119(e) after the filing date of the application, the amendment would

not be proper. When a benefit claim under 35 U.S.C. § 119(e) is submitted after the filing of an application, the reference to the prior application cannot include an incorporation by reference statement of the prior application. See <u>Dart Industries v. Banner</u>, 636 F.2d 684, 207 USPQ 273 (C.A.D.C. 1980). Note MPEP §§ 201.06(c) and 608.04(b).

Accordingly, before the petition under 37 CFR 1.78(a)(6) can be granted, a renewed petition under 37 CFR 1.78(a)(6) and either an Application Data Sheet or a substitute amendment (complying with 37 CFR 1.121 and 37 CFR 1.76(b)(5)) deleting the incorporation by reference statement, are required.

As authorized, the \$1,410.00 fee required by 37 CFR 1.78(a)(6)(ii) will be charged to petitioner's Deposit Account.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

**Mail Stop PETITIONS** 

Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand:

Customer Service Window

Mail Stop Petitions Randolph Building 40l Dulany Street Alexandria, VA 22314

By fax:

(571) 273-8300

ATTN: Office of Petitions

Any questions concerning this matter may be directed to Michelle R. Eason at (571) 272-4231.

Thurman K. Page Petitions Examiner

Office of Petitions

cc:

MYERS ANDRAS SHERMAN & ZARRABIAN LLP

(SAMSUNG R&D CENTER) 1411 5TH STREET, SUITE 306 SANTA MONICA, CA 90401

## UNITED STATES DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PATENT EXAMINATION BRANCH

Applicant: Fadel M.Y. Othman	) Examiner: Unknown
Application No.: 12/253,260	) Group Art Unit: 3611
Filed: October 17, 2008	) Confirmation No.: 8942
Title: WHEELED PERSONAL TRANSPORTATION DEVICE POWERED BY WEIGHT OF THE USER: THE AUTOSHOE	) ) ) )

## PETITION TO CORRECT UNINTENTIONALLY DELAYED PRIORITY CLAIM

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby petitions under 37 CFR §1.78 to claim the benefit of priority for the above application. The reference required is included in the attached preliminary amendment as follows: "This application claims the benefit of and priority to U.S. Provisional Patent Application No. 60/981,512 filed October 21, 2007, entitled "A WHEELED PERSONAL TRANSPORTATION DEVICE POWERD BY THE WEIGHT OF THE USER AUTOSHOE," the disclosure of which is incorporated herein by reference as if set forth in its entirety."

Application No. 12/253,260 Petition to Correct Priority Claim

The petition surcharge fee for a small entity is paid via credit card. Applicant submits that the entire delay between the date the claim was due under 37 CFR §1.78 and the date the claim is being filed was unintentional.

If necessary, the Commissioner is hereby authorized in this petition, to charge payment or credit any over payment to Deposit Account No. 01-1960 for any additional fees required under 37 C.F.R. §1.116 or 1.117.

Please direct all correspondence to Myers Andras Sherman & Zarrabian LLP, 1411 5<sup>th</sup> Street, Suite 306, Santa Monica, California 90401.

Respectfully submitted,

/MZ/ October 8, 2010
Michael Zarrabian Date
Registration No. 39,886
Myers Andras Sherman & Zarrabian LLP
1411 5<sup>th</sup> Street, Suite 306
Santa Monica, California 90401
(424) 229-6800
(424) 229-6815 - fax

USPTO Customer No.: 97001

 $R: \verb|NONOTH1-MZ-Othman|, Fadel \verb|NOTH1.PAU.e01|| 2010-10-08\_Petition to Correct Priority Claim-revSL. documents of the property of the prope$ 

Prelim. Amdt. Dated October 8, 2010

### Amendment to the Title

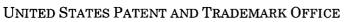
Please replace the title with the following replacement title:

WHEELED PERSONAL TRANSPORTATION DEVICE POWERED BY WEIGHT OF THE USER: THE AUTOSHOE

Please add the following header and paragraph after the title as follows:

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of and priority to U.S. Provisional Patent Application No. 60/981,512 filed October 21, 2007, entitled "A WHEELED PERSONAL TRANSPORTATION DEVICE POWERD BY THE WEIGHT OF THE USER AUTOSHOE," the disclosure of which is incorporated herein by reference as if set forth in its entirety.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

### MYERS ANDRAS SHERMAN & ZARRABIAN LLP 1411 5TH STREET, SUITE 306 SANTA MONICA CA 90401

MAILED

APR 1 1 2011

OFFICE OF PETITIONS

In re Application of

Fadel M. Y. OTHMAN

Application No. 12/253,260 Filed: October 17, 2008

Attorney Docket No. OTH1.PAU.e01

DECISION ON PETITION

UNDER 37 CFR 1.78(a)(6)

This is a decision on the renewed petition under 37 CFR 1.78(a)(6), filed March 03, 2011, to accept an unintentionally delayed claim under 35 U.S.C. §119(e) for the benefit of the prior-filed provisional application set forth in the concurrently filed amendment.

### The petition is **GRANTED**.

A petition under 37 CFR 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after expiration of the period specified in 37 CFR 1.78(a)(5)(ii) and must be filed during the pendency of the nonprovisional application. In addition, the petition must be accompanied by:

- (1) the reference required by 35 U.S.C. § 119(e) and 37 CFR 1.78(a)(5)(i) to the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in  $\S 1.17(t)$ ; and
- a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

Additionally, the instant nonprovisional application must be pending at the time of filing of the reference to the prior-filed provisional application as required by 37 CFR 1.78(a)(5)(ii). Further, the nonprovisional application claiming the benefit of the prior-filed provisional application must have been filed within twelve months of the filing date of the prior-filed provisional application.

All of the above requirements having been satisfied, the late claim for priority under 35 U.S.C. § 119(e) is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed application under 37 CFR 1.78(a)(6) should not be construed as meaning that this application is entitled to the benefit of the filing date of the prior-filed application. In order for this application to be entitled to the benefit of the prior-filed application, all other requirements under 35 U.S.C. §119(e) and 37 CFR 1.78(a)(4) and (a)(5) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed application should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed application noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed provisional application, accompanies this decision on petition.

Any inquiries concerning this decision may be directed to Michelle R. Eason at (571) 272-4231. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

The application is being forwarded to Technology Center AU 3611 for consideration by the examiner of the claim under 35 U.S.C. §119(e) for the benefit of priority to the prior-filed provisional application.

Thurman K. Page Petitions Examiner Office of Petitions

ATTACHMENT: Corrected Filing Receipt



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Virginia 22313-1450

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/253,260	10/17/2008	3611	462	OTH1.PAU.e01	20	3

99435 Myers Andras Sherman & Zarrabian LLP 1411 5th Street, Suite 306 Santa Monica, CA 90401 CONFIRMATION NO. 8942
CORRECTED FILING RECEIPT



Date Mailed: 04/07/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

FADEL M.Y. OTHMAN, Residence Not Provided;

Power of Attorney: The patent practitioners associated with Customer Number 23386

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/981,512 10/21/2007

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 10/28/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/253,260** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

#### Title

WHEELED PERSONAL TRANSPORTATION DEVICE POWERD BY WEIGHT OF THE USER: THE AUTOSHOE

### **Preliminary Class**

280

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

FOR RELIEF DUE TO EVENTS OF MARCH 11, 2011, IN JAPAN (Page 1 of 2)				
Nonprovisional Application Number or Control Number (if applicable): 12/253,262	Patent Number (if applicable):			
First Named Inventor: Imano et al.	Title of Invention: NICKEL BASED ALLOY FOR FORGING			

CERTIFICATION AND REQUEST

### APPLICANT/PATENTEE/REEXAMINATION PARTY HEREBY CERTIFIES AND REQUESTS THE FOLLOWING FOR THE ABOVE-IDENTIFIED APPLICATION/PATENT/REEXAMINATION PROCEEDING.

- 1. FOR PATENT APPLICATIONS AND REEXAMINATION PROCEEDINGS PENDING IN THE USPTO AS OF MARCH 11, 2011, IN WHICH A COMMUNICATION FROM THE USPTO IS SOUGHT TO BE REMAILED:
  - a. One or more inventors, an assignee, or a correspondence address (for the application/proceeding) is in an area of Japan affected by the earthquake and/or tsunami of March 11, 2011.
  - b. A reply or response to an Office action (final, non-final, or other), a notice of allowance, or other Office notice (hereinafter collectively referred to as "Office communication") is outstanding on March 11, 2011.
  - c. The statutory or non-statutory time period set for response has not yet expired.
  - d. Withdrawal and reissuance of the Office communication is requested.
  - e. It is acknowledged that if this request is not made within sufficient time so that withdrawal and reissuance of the Office communication occur prior to expiration of the statutory or non-statutory time period (as permitted to be extended under 37 CFR 1.136(a), or as extended under 37 CFR 1.550(c) or 1.956), this request may not be granted.
  - f. The need for the reissuance of the Office communication was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - g. This request is being sent via EFS-Web or by mail directed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- 2. FOR PATENTEES WHO WERE UNABLE TO TIMELY PAY A PATENT MAINTENANCE FEE DURING THE SIX-MONTH GRACE PERIOD FOLLOWING THE WINDOW TO PAY THE MAINTENANCE FEE:
  - a. The original window of time to pay the maintenance fee without the surcharge required by 37 CFR 1.20(h) expired on or after March 11, 2011.
  - b. The delay in paying the fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - c. The USPTO is requested to sua sponte waive the surcharge in 37 CFR 1.20(h) for paying a maintenance fee during the six-month grace period following the window to pay the maintenance fee.
  - d. This request and payment of the maintenance fee during the six-month grace period following the window to pay the maintenance fee is being mailed to: Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314; or being transmitted via facsimile to: 571-273-6500.

### CERTIFICATION AND REQUEST FOR RELIEF DUE TO EVENTS OF MARCH 11, 2011, IN JAPAN (Page 2 of 2)

- 3. FOR PATENTEES WHO NEED TO FILE A PETITION TO ACCEPT A DELAYED MAINTENANCE FEE PAYMENT UNDER 37 CFR 1.378(c):
  - a. The maintenance fee payment was required to have been paid after March 10, 2011.
  - b. A petition under 37 CFR 1.378(c) (using USPTO form PTO/SB/66 Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent (37 CFR 1.378(c))) is being promptly filed accompanied by the applicable maintenance fee payment (but not the surcharge under 37 CFR 1.20(i)).
  - c. The delay in payment of the maintenance fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - d. The USPTO is requested to *sua sponte* waive the surcharge in 37 CFR 1.20(i) for accepting a delayed maintenance fee payment.
  - e. It is acknowledged that the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) must be filed by March 11, 20<u>12</u>, in order to be entitled to a waiver of the surcharge under 37 CFR 1.20(i).
  - f. It is acknowledged that the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) must be filed within twenty-four months from the expiration date of the patent. See 35 U.S.C 41(c).
  - g. This request and the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) is being submitted via EFS-Web or by mail directed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- 4. FOR NONPROVISIONAL PATENT APPLICATIONS FILED WITHOUT AN EXECUTED OATH OR DECLARATION OR PAYMENT OF THE BASIC FILING FEE, SEARCH FEE, AND/OR EXAMINATION FEE:
  - a. The nonprovisional patent application was filed on or after March 11, 2011, and prior to April 12, 2011.
  - b. The late filing of the oath or declaration or the basic filing fee, search fee, or examination fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - c. The USPTO is requested to *sua sponte* waive the surcharge set forth in 37 CFR 1.16(f) for the late filing of the oath or declaration or basic filing fee, search fee, and/or examination fee.
  - d. This request, together with the executed oath or declaration or the basic filing fee, search fee, or examination fee, as well as the reply to the Notice to File Missing Parts, is being submitted via EFS-Web or by mail directed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature /Alan E. Schiavelli/	<sub>Date</sub> 6/23/11			
Name (Print/Typed) Alan E. Schiavelli	Practitioner 32,087 Registration Number			
Note: Signatures of all the inventors, § 1.41(b) applicants, or assignees of record of the entire interest or their representative(s), or reexamination requesters at the appeal stage are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below*.				
*Total of forms are submitted.				

### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/253,281	10/17/2008	Youichi Akasaka	064731.0690	8993
5073 7590 12/16/2011 BAKER BOTTS L.L.P.			EXAM	IINER
	2001 ROSS AVENUE		SEDIGHIA	AN, REZA
SUITE 600			ART UNIT	PAPER NUMBER
DALLAS, TX 75201-2980		2613		
			NOTIFICATION DATE	DELIVERY MODE
			12/16/2011	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ptomail1@bakerbotts.com glenda.orrantia@bakerbotts.com



### UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450
WWW.usplo.gov

BAKER BOTTS L.L.P. 2001 ROSS AVENUE SUITE 600 DALLAS TX 75201-2980

In re Application of Youichi Akasaka

Application No. 12/253281

Filed: 10/17/2008

Attorney Docket No. 064731.0690

NOTICE OF WITHDRAWAL

FROM ISSUE

UNDER 37 C.F.R. 1.313(b)

.

The purpose of this communication is to inform you that the above identified application is being withdrawn from issue pursuant to 37 C.F.R. 1.313.

The above-identified application is hereby withdrawn from issue.

Patent and Trademark Office records reveal that the issue fee has not been paid. If the issue fee has been submitted, the applicant may request a refund, or may request that the fee be credited to a deposit account. However, applicant may wait until the application is either again found allowable or held abandoned. If the application is allowed, upon receipt of a new Notice of Allowance and Issue Fee Due, applicant may request that the previously submitted issue fee be applied toward payment of the issue fee in the amount identified on the new Notice of Allowance and Issue Fee Due. If the application is abandoned, applicant may request either a refund or a credit to a deposit account.

Telephone inquiries should be directed to Kenneth Vanderpuye at (571) 272-3078.

The above-identified application is being forwarded to the examiner for prompt appropriate action, including notifying applicant of the new status of this application.

John LeGuyader, Director Technology Center 2600

Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce			
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATI UNINTENTIONALLY UNDER 37 CFR 1.137(				
Application Number	12253303				
Filing Date	17-Oct-2008				
First Named Inventor	Richard Claus				
Art Unit	1787				
Examiner Name	SAMIR SHAH				
Attorney Docket Number	423-0029				
Title	SENSOR APPLICATIONS				
The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus any extensions of time actually obtained.					
APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION					
NOTE: A grantable petition requires the following items:  1. Petition fee;  2. Reply and/or issue fee;  3. Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995; and for all design applications;  4. Statement that the entire delay was unintentional.					
Petition fee The petition fee under 37CFR 1.17(m) is attached.					
Applicant claims SMALL ENTITY status. See 37 CFR 1.27.					
Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).					
Applicant(s) status remains as SMALL ENTITY.					
Applicant(s) status remains as other than SMALL ENTITY.					
Reply Fee					
A reply in the form of a continuing application with serial number 12987225 has been previously filed on 01-10-2011					

erminal disclaimer with disclaimer fee						
Terminal disclaimer and fee are not required						
I certify, in accordance with 37 C identified application on	I certify, in accordance with 37 CFR 1.4(d)(4) that the terminal disclaimer and fee have already been filed in the above-identified application on					
Terminal disclaimer and fee are	attached					
STATEMENT: The entire delay in grantable petition under 37 CFR	filing the required reply from the due date for the required reply until the filing of a 1.137(b) was unintentional.					
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES					
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:					
<ul> <li>An attorney or agent registered in this application.</li> </ul>	to practice before the Patent and Trademark Office who has been given power of attorney					
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.					
A sole inventor						
A joint inventor; I certify that I a	m authorized to sign this submission on behalf of all of the inventors.					
A joint inventor; all of whom are	e signing this e-petition.					
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.						
Signature /Edgar Rodriguez/						
Name	Name Edgar G. Rodriguez					
Registration Number 63604						



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: February 29, 2012

In re Application of : DECISION ON PETITION

**Richard Claus** 

Application No: 12253303

Filed: 17-Oct-2008

Attorney Docket No: 423-0029

This is an electronic decision on the petition under 37 CFR 1.137(b), filed February 29, 2012, to revive the above-identified application.

The petition is **GRANTED.** 

The above-identified application became abandoned for failure to reply in a timely manner to an Office action. The date of abandonment is the day after the expiration date of the period set for reply in the Office action or action plus any extensions of time actually obtained.

The electronic petition satisfies the requirements of 37 CFR 1.137(b) in that the practitioner has supplied (1) the reply in the form of a continuing application, (2) the petition fee under 37 CFR 1.17(m), and (3) a proper statement of unintentional delay.

The above-identified application is being revived solely for purposes of continuity. As continuity has been established by this decision reviving the above-identified application, the above-identified application is again abandoned in favor of continuing application No12987225 filed on 01-10-2011

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that such an inquiry has not been made, petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, petitioner must notify the Office.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
WWW.USDTO.GOV

Brinks Hofer Gilson & Lione/Ann Arbor 524 South Main Street Suite 200 Ann Arbor MI 48104

MAILED

SEP 26 2011

**OFFICE OF PETITIONS** 

In re Application of

David Mogan

Application No. 12/253,308

Filed: October 17, 2008

Attorney Docket No. 14042-82

DECISION ON PETITION

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed August 29, 2011, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to timely submit corrected drawings on or before August 9, 2011, as required by the Notice of Allowance and Fee(s) Due, mailed May 9, 2011. Accordingly, the date of abandonment of this application is August 12, 2011. The Notice of Abandonment was mailed August 22, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) corrected drawings, (2) the petition fee of \$1,620; and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to the Office of Data Management for processing into a patent.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

BIPAR SCIENCES INC. C/O MORRISON & FOERSTER LLP 755 PAGE MILL ROAD PALO ALTO CA 94304

MAILED FEB 07 2012

OFFICE OF PETITIONS

In re Application of Glenn Noronha et al. Application No. 12/253,374 Filed: October 17, 2008

: DECISION ON APPLICATION :FOR PATENT TERM ADJUSTMENT

Attorney Docket No. 686472000701

This is a decision on the "APPLICATION FOR PATENT TERM ADJUSTMENT UNDER 37 CFR § 1.705" filed January 25, 2012. Applicants request that the patent term adjustment at the time of the mailing of the Notice of Allowance be corrected from 490 days to 458 days.

The application for patent term adjustment is **GRANTED**.

On October 26, 2011, the Office mailed the Determination of Patent Term Adjustment under 35 U.S.C. 154(b)in the above-identified application. The Notice stated that the patent term adjustment to date is 490 days. Applicants state that "the USPTO issued a Notice to File Corrected Application Papers on March 11, 2009. Applicant filed a response to the Notice on July 13, 2009. Thus, Applicant submits that the filing of the reply on July 13, 2009 should have resulted in a reduction of PTA by 32 days. Pursuant to 37 CFR 1.704(b), a period of reduction of 32 days should have been entered. 37 CFR 1.704(b) provides that:

With respect to the grounds for adjustment set forth in §§ 1.702(a) through (e), and in particular the ground of adjustment set forth in § 1.702(b), an applicant shall be deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of three months that are taken to reply to any notice or action by the Office making any rejection, objection, argument, or other request, measuring such three-month period from the date the notice or action was mailed or given to the applicant, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date that is three months after the date of mailing or transmission of the Office communication notifying the applicant

of the rejection, objection, argument, or other request and ending on the date the reply was filed. The period, or shortened statutory period, for reply that is set in the Office action or notice has no effect on the three-month period set forth in this paragraph.

In this instance, applicants did fail to engage in reasonable efforts to conclude processing of the application by failing to reply to the Notice to File Corrected Application Papers mailed March 11, 2009 within the three-month period provided for in 37 CFR 1.704(b). Applicants did not file a complete and proper response until July 13, 2009. Accordingly, a period of reduction of 32 days is being entered for the period beginning on June 12, 2009, the day after the date that is three months after the date of mailing of the Notice, and ending on July 13, 2009, the date applicants' reply was filed.

In view thereof, the determination of Patent Term Adjustment at the time of the mailing of the notice of allowance is FOUR HUNDRED FIFTY-EIGHT (458) days (490 days of Office delay reduced by 32 days of applicant delay).

The Office acknowledges submission of the \$200.00 fee set forth in 37 CFR 1.18(e) for consideration of the application for patent term adjustment under 37 CFR 1.705(b). No additional fees are required.

Applicants are reminded that any delays by the Office pursuant to 37 CFR 1.702(a)(4) and 1.702(b) and any applicant delays under 37 CFR 1.704(c)(10) will be calculated at the time of the issuance of the patent and applicants will be notified of the revised patent term adjustment to be indicated on the patent in the Issue Notification letter that is mailed to applicants approximately three weeks prior to issuance.

The Office of Data Management has been advised of this decision. This matter is being referred to the Office of Data Management for issuance of the patent.

Telephone inquiries specific to this decision should be directed to the undersigned at (571) 272-3212.

Patricia Faison-Ball

Senior Petitions Attorney

Office of Petitions

Enclosure: Copy of Revised PALM Screen



PTA/PTE Information Patent Term Adjustment

Patent Term Extension

Application Number\*: 12253374

Search Explanation of PTA Calculation Explanation of PTE Calculation

PTA Calculations for Application: 12253374

1		
	Application Filing Date 10/17/2008	OverLapping Days Between (A and B) or (A and C)
	Issue Date of Patent	Non-Overlapping USPTO Delays: 490
	A Delays 490	PTO Manual Adjustment -32
	B Delays 0	Applicant Delay (APPL) 0
	C Delays 0	Total PTA (days) 458

#### \* - Sorted Column

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Action Number	Action Recorded Date	Action Due Date	Action Code	Action Description	Duration PTO	Duration APPL	Parent Action Number
107	02/04/2012		P028	Adjustment of PTA Calculation by PTO		32 (	)
95	10/26/2011		MN/=.	Mail Notice of Allowance			D
94	10/21/2011		OAR	Office Action Review			0
93	10/21/2011		IREV	Issue Revision Completed			0
92	10/21/2011		N/=.	Notice of Allowance Data Verification Completed			0
91	10/21/2011		DVER	Document Verification		,	0
90	10/20/2011		CNTA	Allowability Notice		(	D
87	09/15/2011		FWDX	Date Forwarded to Examiner		,	D
89	09/09/2011		IDSC	Information Disclosure Statement considered		,	D
88	09/09/2011	09/09/2011		Information Disclosure Statement (IDS) Filed		f	86
86	09/09/2011	,,	A.OU	Response after Ex Parte Quayle Action		,	D
85	09/09/2011		WIDS	Information Disclosure Statement (IDS) Filed			D
84	08/25/2011		EML_NTR	Email Notification		,	D
83	08/25/2011		MEXIN	Mail Examiner Interview Summary (PTOL - 413)			0
82	08/22/2011		OAR	Office Action Review			D
81	08/22/2011		OAR	Office Action Review			0
80	08/22/2011		EXIE	Interview Summary - Examiner Initiated			0
79	08/22/2011		EXIN	Examiner Interview Summary Record (PTOL - 413)			0
78	08/08/2011			Electronic Review			0
77	08/08/2011		EML_NTF	Email Notification		,	D
76			MCTEQ	Mail Ex Parte Quayle Action (PTOL - 326)			0
75	08/08/2011		OAR	Office Action Review			n
74	08/02/2011		OAR	Office Action Review			0
73	07/27/2011		CTEQ	Quayle action			0
63	07/26/2011		FWDX	Date Forwarded to Examiner			o .
72	07/19/2011		IDSC	Information Disclosure Statement considered			0
1	07/12/2011						0
65	07/12/2011	07/47/2011	RCAP	Reference capture on IDS Information Disclosure Statement (IDS) Filed			62
64	07/12/2011	07/12/2011					0
62	07/12/2011		ELC.	Response to Election / Restriction Filed Request for Extension of Time - Granted			0
61	07/12/2011		XT/G WIDS	Information Disclosure Statement (IDS) Filed			0
60	07/12/2011						0
59 58	06/23/2011		EML_NTR MEXIN	Email Notification  Mail Examiner Interview Summary (PTOL - 413)			0
	06/23/2011		OAR	Office Action Review			0
57	06/17/2011		EXIN				0
56	06/17/2011			Examiner Interview Summary Record (PTOL - 413)			0
55	04/21/2011		ELC_RVW	Electronic Review			0
54	04/21/2011		EML_NTF	Email Notification  Mail Restriction Requirement	490		0.5
53	04/21/2011	12/17/2009		•	320		0
52	04/11/2011		OAR CTRS	Office Action Review			0
51 50	04/08/2011		PA.,	Restriction/Election Requirement Change in Power of Attorney (May Include Associate POA)			0
1	10/15/2010		C.AD				0
49	10/14/2010			Correspondence Address Change			0
48	05/27/2010		DOCK	Case Docketed to Examiner in GAU			0
46	11/06/2009			Email Notification			0
45	11/05/2009			PG-Pub Issue Notification			0
66	08/19/2009		IDSC	Information Disclosure Statement considered			0
47	08/19/2009		A.PE	Preliminary Amendment			0
44	08/19/2009		RCAP	Reference capture on IDS			0
43	08/19/2009		M844	Information Disclosure Statement (IDS) Filed			0
42	08/19/2009		WIDS	Information Disclosure Statement (IDS) Filed			0 0
41	07/21/2009			Email Notification			0
39	07/21/2009			Filing Receipt - Updated			0
40	07/20/2009		WROIPE	Application Return from OIPE			0
38	07/13/2009		ADDFLFEE	Additional Application Filing Fees			-
36	07/13/2009		CORRSPEC	Applicant has submitted a new specification to correct Corrected Papers problems			0
1				rapers providins			

35	04/07/2009	EML NTR	Email Notification	o 1
34	04/07/2009	PA	Change in Power of Attorney (May Include Associate POA)	o l
33	03/11/2009		Electronic Review	0
32	03/11/2009	_	Email Notification	o
31	03/11/2009		Email Notification	0
30	03/11/2009	-	Email Notification	0
29	03/11/2009	_	Email Notification	0
28	03/11/2009	MPEN	Mail Pre-Exam Notice	0
27	03/11/2009	MPEN	Mail Pre-Exam Notice	0
26	03/11/2009	CPAP	Corrected Paper	o ·
25	03/11/2009	FLRCPT.O	Filing Receipt	o
21	03/10/2009	ROIPE	Application Return TO OIPE	o
20	02/13/2009	EML_NTR	Email Notification	0
19	02/13/2009	PA	Change in Power of Attorney (May Include Associate POA)	0
24	02/04/2009	ADDFLFEE	Additional Application Filing Fees	0
22	01/05/2009	W/OA	Pre-Exam Office Action Withdrawn	0
18	01/05/2009	EML_NTR	Email Notification	0
17	01/05/2009	EML_NTR	Email Notification	0
16	01/05/2009	PA	Change in Power of Attorney (May Include Associate POA)	0
14	01/05/2009	FLRCPT.U	Filing Receipt - Updated	0
15	01/02/2009	OIPE	Application Dispatched from OIPE	0
13	12/18/2008	FLFEE	Payment of additional filing fee/Preexam	0
12	12/04/2008	EML_NTR	Email Notification	0
11	12/04/2008	PA	Change in Power of Attorney (May Include Associate POA)	0
10	11/04/2008	ELC_RVW	Electronic Review	0
9	11/04/2008	EML_NTR	Email Notification	0
8	11/04/2008	EML_NTF	Email Notification	0
7	11/04/2008	EML_NTR	Email Notification	0
6	11/04/2008	PA	Change in Power of Attorney (May Include Associate POA)	0
5	11/04/2008	INCD	Notice MailedApplication IncompleteFiling Date Assigned	Ο,
4	11/04/2008	FLRCPT.O	Filing Receipt	0
3	10/21/2008	L194	Cleared by OIPE CSR	0
23	10/17/2008	SPECIFIC	A document that contains, at least in part, a written description of an invention, and of the manne	0
2	10/17/2008	SCAN	IFW Scan & PACR Auto Security Review	0
1	10/17/2008	IEXX	Initial Exam Team nn	0
0.5	10/17/2008	EFILE	Filing date	0

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SUNSTEIN KANN MURPHY & TIMBERS LLP 125 SUMMER STREET BOSTON, MA 02110-1618

MAILED
MAY 182011
OFFICE OF PETITIONS

In re Application of

Igelman et al.

Application No. 12/253,490

Filed: October 17, 2008

Attorney Docket No. RZ-0008

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 17, 2011.

The request is **NOT APPROVED** because it is moot.

A review of the file record indicates that on May 5, 2011 the power of attorney to Sunstein Kann Murphy & Timbers LLP was revoked by the assignee of the patent application. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions

cc: WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA PA 19104-2891

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

MAILED

SEP 23 2011

OFFICE OF PETITIONS

BARLOW, JOSEPHS & HOLMES, LTD. 101 DYER STREET 5TH FLOOR PROVIDENCE RI 02903

In re Application of John D. Jarrell et al.

Application No. 12/253,530

Filed: October 17, 2008

Attorney Docket No.: B077 P01751-US2

ON PETITION

This is a decision on the petition under 37 CFR 1.182, filed September 21, 2011, to change the order of the names of the inventors.

The petition is **GRANTED**.

The instant petition has been submitted with an updated Application Data Sheet.

The order of the names of the inventors has been changed as follows:

- 1) John D. Jarrell
- 2) Jeffrey R. Morgan

A corrected filing receipt reflecting the correct order of the names of the inventors was mailed September 22, 2011.

This matter is being referred to the Publishing Division.

Telephone inquiries concerning this matter may be directed to the undersigned Petitions

Attorney at (571) 272-3212.

Patricia Faison-Ball

Senior Petitions Attorney

**Doc Code: PET.GREEN** 

**Document Description: Petition for Green Tech Pilot** 

PTO/SB/420 (05-10) Approved for use through 05/31/2010. OMB 0651-0062

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# PETITION TO MAKE SPECIAL UNDER THE GREEN TECHNOLOGY PILOT PROGRAM

Application Number 12/253,608 Attorney Docket 2800/12 Filing date: 10-17-2008 Number:

First Named Allan James Bruce

Title: PRESSURE CONTROLLED DROPLET SPRAYING (PCDS) METHOD FOR FORMING PARTICLES OF COMPOUND MATERIALS FROM MELTS

#### APPLICANT HEREBY REQUESTS TO PARTICIPATE IN THE GREEN TECHNOLOGY PILOT PROGRAM FOR THE ABOVE-IDENTIFIED APPLICATION. See Instruction Sheet on page 2.

This petition must be timely filed electronically using the USPTO electronic filing system, EFS-Web.

By filing this petition:

Applicant is requesting early publication: Applicant hereby requests early publication under 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d) accompanies this request.

- 2. By filing this petition: applicant is agreeing to make an election without traverse in a telephonic interview and elect an invention that meets the eligibility requirements set forth in the notice titled "Pilot Program for Green Technologies Including Greenhouse Gas Reduction," as modified by the notice titled "Elimination of Classification Requirement in the Green Technology Pilot Program," each of which was published in the Federal Register, if the Office determines that the claims are not obviously directed to a single invention.
- 3. This request is accompanied by statements of special status for the eligibility requirement.
- 4. The application contains no more than three (3) independent claims and twenty (20) total claims.
- 5. The application does not contain any multiple dependent claims.
- Other attachments: Preliminary Amendment 6.

Signature /Stuart H. Mayer/	<sub>Date</sub> July 28, 2010	
Name (Print/Typed) Stuart H. Mayer	Registration Number 35,277	
Note: Signatures of all the inventors or assignees of record of the entire interest or their reprint 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary signature, see below*.	' '	
*Total of forms are submitted.		

The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# Instruction Sheet for Petition to Make Special Under the Green Technology Pilot Program

(Not to be Submitted to the USPTO)

The following is a summary of the requirements (for more information see the notices (i) "Pilot Program for Green Technologies Including Greenhouse Gas Reduction" and (ii) "Elimination of Classification Requirement in the Green Technology Pilot Program," available on the USPTO web site at http://www.uspto.gov/patents/init\_events/green\_tech.jsp):

- (1) The application must be a non-reissue, non-provisional utility application filed under 35 U.S.C. 111(a), or an international application that has entered the national stage in compliance with 35 U.S.C. 371. The application must be previously filed before the publication date of the notice cited above. Reexamination proceedings are excluded from this pilot program.
- (2) The application must contain three or fewer independent claims and twenty or fewer total claims. The application must not contain any multiple dependent claims. For an application that contains more than three independent claims or twenty total claims, or multiple dependent claims, applicant must file a preliminary amendment in compliance with 37 CFR 1.121 to cancel the excess claims and/or the multiple dependent claims at the time the petition to make special is filed.
- (3) The claims must be directed to a single invention that materially enhances the quality of the environment, or that materially contributes to: (1) the discovery or development of renewable energy resources; (2) the more efficient utilization and conservation of energy resources; or (3) green house gas emission reduction (see the eligibility requirements of sections II and III of the notice (i) cited above). The petition must include a statement that, if the USPTO determines that the claims are directed to multiple inventions (e.g., in a restriction requirement), applicant will agree to make an election without traverse in a telephonic interview, and elect an invention that meets the eligibility requirements in section II or III of the notice (i) cited above.
- (4) The petition to make special must be timely filed electronically using the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Green Tech Pilot" on the EFS-Web screen. Applicant should use form PTO/SB/420, which is available as a Portable Document Format (PDF) fillable form in EFS-Web and on the USPTO Web site.
- (5) The petition to make special must be filed at least one day prior to the date that a first Office action (which may be an Office action containing only a restriction requirement) appears in the Patent Application Information Retrieval (PAIR) system. Applicant may check the status of the application using PAIR.
- (6) The petition to make special must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d).

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Serial No.: 12/253,608

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Allan James Bruce et al.

Serial No.: 12/253,608

Filed: 10-17-08

Title: A PRESSURE CONTROLLED DROPLET SPRAYING (PCDS) METHOD FOR

FORMING PARTICLES OF COMPOUND MATERIALS FROM MELTS

1714 Art Unit:

Examiner: Alexander Marion Weddle

Confirmation No.: 9716

Docket No.: 2800/12

Via EFS WEB Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

# STATEMENT OF SPECIAL STATUS RE: PETITION TO MAKE SPECIAL UNDER THE GREEN TECHNOLOGY PILOT PROGRAM

Sir:

This Statement of Special Status is accompanied by a Petition to Make Special Under the Green Technology Pilot Program.

Applicant submits that this application should be deemed eligible to participate in the Green Technology Pilot Program due to its subject matter. This application relates to renewable energy. Specifically, it relates to photovoltaic cells and systems incorporating such cells that can be used to convert solar energy to electrical and or/thermal energy.

#### **CONCLUSION**

The undersigned submits that all eligibility requirements have been met, specifically, the application was filed before December 9, 2009 and has already been published. The application

Serial No.: 12/253,608

has not received a Restriction Requirement or Office Action on the merits to date, contains no

more than three (3) independent claims and twenty (20) total claims following entry of the

Preliminary Amendment that is filed herewith, contains no multiple dependent claims, and a

Petition to Make Special and accompanying Statement of Special Status have been submitted

herewith.

It is respectfully requested that the Petition to Make Special Under the Green Technology

Pilot Program be granted, and the application advance to examination promptly upon the

granting of said Petition. Should the Examiner be of the view that an interview would expedite

consideration of the application, request is made that the Examiner telephone the Applicants'

attorney at (908) 518-7700 in order that any outstanding issues be resolved.

**FEES** 

While it is not believed that any fees are due as a result of this Response, the Office is

authorized to charge any fees required, to deposit account number 50-1047.

Respectfully submitted,

Attorney for Applicants Mayer & Williams PC

251 North Avenue West, 2<sup>nd</sup> Floor

Westfield, NJ 07090 Tel: 908-518-7700

Fax: 908-518-7795

/Stuart H. Mayer/ Stuart H. Mayer

Registration No. 35,277

2



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/253,608	10/17/2008	Allan James Bruce	2800/12	9716
27774 MAYER & W	7590 08/12/2010		EXAM	INER
251 NORTH A	VENUE WEST	WEDDLE, ALEXANDER MARION		
2ND FLOOR WESTFIELD,	NJ 07090	·.	ART UNIT	PAPER NUMBER
•			1714	
			·	
		•	MAIL DATE	DELIVERY MODE
		•	08/12/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

MAYER & WILLIAMS PC 251 NORTH AVENUE WEST 2ND FLOOR WESTFIELD NJ 07090

AUG 1 2 2010

In re Application of

Allan Bruce et al.

Application No. 12/253,608

Filed: October 17, 2008

Attorney Docket No. 2800/12

**DECISION ON PETITION** 

TO MAKE SPECIAL UNDER

THE GREEN TECHNOLOGY

PILOT PROGRAM

This is a decision on the petition under 37 CFR 1.102, filed August 04, 2010, to make the above-identified application special under the pilot program for applications pertaining to Green Technologies as set forth 74 Federal Register Notice 64666 (December 8, 2009).

# The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 64666 must be directed to a nonprovisional application filed under 35 USC 111(a) or be a national stage entry under 35 USC 371, exclusive of any reissue applications and be filed prior to the date of the notice, December 8, 2009.

In order to qualify for special status, the following requirements must be met. 1) The application must have no more than 3 independent claims and no more than 20 total claims. 2) The application must not contain any multiple dependent claims. 3) The petition must state the basis for seeking special status, i.e., the claimed invention either: A) materially enhances the quality of the environment or B) materially contributes to: i) the discovery or development of renewable energy resources, ii) the more efficient utilization and conservation of energy resources, or iii) greenhouse gas emission reduction. 4) If the disclosure is not clear on its face that the claimed invention materially contributes under category (A) or (B), the petition must be accompanied by a statement by the applicant, assignee, or an attorney/agent registered to practice before the Office explaining how the materiality standard is met. 5) A statement that applicant will agree to make an election without traverse in a telephonic interview if a restriction requirement is made by the examiner. 6) The petition to make special must be filed electronically. 7) The petition must be filed at least one day prior to the date that a first Office Action appears in the Patent Application Information Retrieval (PAIR) system. 8) The petition must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and include the publication fee as set forth in 37 CFR 1.18(d).

The requirement for a fee for consideration of the petition to make special for applications pertaining to Green Technologies has been waived.

The instant petition complies with items 1-8 above. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquires concerning this decision should be directed to Blaine Copenheaver at 571-272-1156.

The application is being forwarded to the Technology Center Art Unit 1714 for action on the merits commensurate with this decision.

/Blaine Copenheaver/

Blaine Copenheaver Quality Assurance Specialist Technology Center 1700



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/253,624	10/17/2008	Amit Patel	MKAY:158US/10809554	9753
32425	7590 11/08/2010		EXAM	INER
600 CONGRES	& JAWORSKI L.L.P. SS AVE.		FRAZIER, B.	ARBARA S
SUITE 2400 AUSTIN, TX 7	70701		ART UNIT	PAPER NUMBER
AUSTIN, IA	76701	•	1611	
			NOTIFICATION DATE	DELIVERY MODE
			11/08/2010	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

aopatent@fulbright.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FULBRIGHT & JAWORSKI L.L.P. 600 CONGRESS AVE. SUITE 2400 AUSTIN TX 78701 NOV 0 8 2010

In re Application of

PATEL, AMIT

Application No. 12/253,624

Filed: October 17, 2008

Attorney Docket No. MKAY:158US/10809554

DECISION ON REQUEST TO

PARTICIPATE IN PCT-PATENT

PROSECUTION HIGHWAY PILOT

PROGRAM AND PETITION TO MAKE SPECIAL UNDER

37 CFR 1.102(d)

This is a decision on the request to participate in the PCT-Patent Prosecution Highway (PPH) Pilot program and the petition under 37 CFR 1.102(d), filed June 30, 2010, to make the above-identified application special.

The request and petition are GRANTED.

A grantable request to participate in the PCT- PPH Pilot program and petition to make special require:

- (1) The U.S. application is a national stage entry of the corresponding EPO PCT application;
- (2) The latest work product in the international phase of the PCT application corresponding to the US application, namely the WO/ISA, or the WO/IPEA, or the IPER, indicates at least one claim in the PCT claim has novelty, inventive step and industrial applicability.
- (3) Applicant must submit a copy of the allowable/patentable claim(s) from the corresponding PCT application(s);
- (4) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the PCT application;
- (5) Examination of the U.S. application has not begun;
- (6) Unless already filed in the U.S. application for which participation in the PCT-PPH pilot program is requested, applicant must submit a copy of the latest international work product, WO/ISA, or WO/IPEA or IPER, which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof; and
- (7) Applicant must submit an IDS listing the documents cited international work product, WO/ISA, or WO/IPEA or IPER along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PCT-PPH Pilot program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Ram R. Shukla at 571-272-0735.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system at the http://www.uspto.gov/ebc/index.html.

The application is undergoing pre-examination processing. Once it is released for examination, the application will be forwarded to the examiner for action on the merits commensurate with this decision.

Ram R. Shukla, Ph.D.

Supervisory Patent Examiner

TC 1600

RAM R. SHUKLA, PH.D. SUPERVISORY PATENT EXAMINER Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-KR (06-10)
Approved for use through 01/31/2012. OMB 0651-0058
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM BETWEEN THE KOREAN INTELLECTUAL PROPERTY OFFICE (KIPO) AND THE USPTO							
Application No:	12/253,652	Filing date:	17 OCT 2008				
First Named Inventor:	KIRWAN, Peter						
Title of the Invention: SYSTEM	AND METHOD FOR SHARING WEB P	ERFORMA	NCE MONITORING DATA				
SUBMITTED VIA EFS-W	ARTICIPATION IN THE PCT-PPH PILOT PROGR IEB. INFORMATION REGARDING EFS-WEB IS OV/EBC/EFS_HELP.HTML		WITH THE REQUIRED DOCUMENTS MUST BE AT				
	REQUESTS PARTICIPATION IN THE PC APPLICATION SPECIAL UNDER THE PC						
of another PCT application domestic/ foreign prior priority claim in the col to (4) above, or (6) a U	ation which claims priority to the correspond ity to the corresponding PCT application, or rresponding PCT application, or (5) a continu	ing PCT appl (4) a nationa uing applicati	ng PCT application, or (2) a national stage entry lication, or (3) a national application that claims all application which forms the basis for the ion of a U.S. application that satisfies one of (1) evisional application which forms the basis for				
application number(	The corresponding PCT PCT/US2008/080348 application number(s) is/are:  The international date of the corresponding PCT application(s) is/are:  17 OCT 2008						
corresponding Is attached.  Is not attached.  b. A copy of all cl above-identifie Is attached.  Is not attached.  Is not attached.  C. English transla	atest international work product (WO/ISA PCT application(s)  ed because the document is already in the Usaims which were indicated as having not d corresponding PCT application(s).	J.S. application velty, invention J.S. application eare attached	on.  ive step and industrial applicability in the  on.  ed (if the documents are not in the English				

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

F	REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM BETWEEN THE KIPO AND THE USPTO						
Application No.:	40/050	0.00	(continued)				
	12/253	·					
First Named Inventor:	st Named Inventor: KIRWAN, Peter						
WO/ISA, No lis attach	d. (1) An information disclosure statement listing the documents cited in the international work products (ISR, WO/ISA, WO/IPEA, IPER) of the corresponding PCT application.  Is attached  29 JUL 2009  Has already been filed in the above-identified U.S. application on						
Are atta	ched.		atents or U.S. patent applicati 29 JUL				
Have alı	eady be	en filed in the above-identif	ied U.S. application on				
II. Claims Corre	sponde	ence Table:					
Claims in US Appli	ication	Patentable Claims in the corresponding PCT Application	Explanation regarding the corr	respondence			
1-16		1-16	Si	ame claims			
<b></b>							
	III. All the claims in the US application sufficiently correspond to the patentable claims in the corresponding PCT application.						
Signature /Pattrio	c J. Rav	vlins/ 	***************************************	Date 27 OCT 2010			
Name (Print/Typed) Patt							

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting
  a request involving an individual, to whom the record pertains, when the individual has requested
  assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/253,652	10/17/2008	Peter Kirwan JR.	112014-012UTL	9813
	7590 11/19/2010 ORY, HARGREAVES &	SAVITCH LLP	EXAM	INER
525 B STREET		5.1.1.61.22.	LIM, K	RISNA
SUITE 2200 SAN DIEGO, O	CA 92101		ART UNIT	PAPER NUMBER
5.1.1.512.60, 1	J. 1 , 2 1 0 1		2453	
			NOTIFICATION DATE	DELIVERY MODE
			11/19/2010	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docketing@procopio.com PTONotifications@procopio.com

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

MAILED

PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 525 B STREET SUITE 2200 SAN DIEGO CA 92101

NOV 182010

DIRECTOR U. UE
TERNHOLOGYGENTER:2400

In re Application of: Peter KIRWAN, Jr., et al. Application No. 12/253652 Filed: Oct 17, 2008

For: SYSTEM AND METHOD FOR SHARING WEB PERFORMANCE MONITORING DATA

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PILOT PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the PCT-Patent Prosecution Highway (PPH) pilot program and the petition under 37 CFR 1.102(a), filed Oct 27, 2010 to make the above-identified application special.

#### The petition is **GRANTED**.

A grantable request to participate in the PCT PPH program and petition to make special require:

- 1) The relationship between the corresponding U.S. application for which participation in the PCT-PPH pilot program is requested and the PCT application satisfies one of the following:
  - (a) The U.S. application is a national stage entry of the corresponding PCT application.
  - (b) The U.S. application is a national application which forms the basis for the priority claim in the corresponding PCT application.
  - (c) The U.S. application is a national stage entry of another PCT application (which can be filed in any competent receiving office) which claims priority to the corresponding PCT application.
  - (d) The U.S. application is a national application claiming foreign/domestic priority to the corresponding PCT application.
  - (e) The U.S. application is a continuing application (continuation, divisional, or continuation-in-part) of the U.S. application which satisfies one of the above (a) to (d) scenarios.

(2) The latest work product in the international phase of the PCT application corresponding to the U.S. application indicates at least one claim in the PCT application has novelty, inventive step and industrial applicability. In case any observation is described in Box VIII of the WO/ISA, or the WO/IPEA, or the IPER which forms the basis for the PCT-PPH request, applicant must identify and explain why the claim(s) is/are not subject to any observation described in Box VIII irrespective of whether an amendment is submitted to correct the observation described in Box VIII. The U.S. application will not be eligible to participate in the PCT-PPH pilot program if applicant does not identify and explain why the claim(s) is/are not subject to the observation described in Box VIII.

Applicant may <u>not</u> file a request to participate in the PCT-PPH pilot program on the basis of an International Search Report (ISR) only.

# (3) Applicant must:

- a. Ensure all the claims in the U.S. application sufficiently correspond or be amended to sufficiently correspond to one or more of those claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application and
- b. Submit a claims correspondence table in English;
- (4) Substantive Examination of the U.S. application has not begun;

4:04

- (5) Applicant must submit a copy of:
  - a. the latest international work product, WO/ISA, or WO/IPEA or PER, which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof if the copy of the latest international work product is not in the English language, unless the U.S. application and the corresponding PCT application satisfy the relationship noted in (1)(a) above.
- (6) Applicant must submit a copy of:
  - a. the claims from the corresponding PCT application which were indicated as having novelty, inventive step and industrial applicability in the latest work product of the PCT application,
  - b. an English translation of the claims and
  - c. a statement that the English translation is accurate.

If the claims in the U.S. application are identical to the claims from the corresponding PCT application, and are in the English language, applicant may just indicate such in the PCT-PPH request and it will not be necessary for applicant to submit a copy of the claims from the corresponding PCT application.

#### (7) Applicant must submit:

- a. An IDS listing the documents cited in the work products in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, (unless already submitted in this application)
- b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

(8) The request for participation in the PCT-PPH pilot program and all the supporting documents must be submitted to the USPTO via EFS-Web and indexed with the following document description: "Petition to make special under PCT-Patent Pros Hwy. Any preliminary amendments and IDS submitted with the PCT-PPH documents must be separately indexed as a preliminary amendment and IDS, respectively.

Accordingly, the Petition is **GRANTED**.

Telephone inquiries concerning this decision should be directed to Kim Huynh at 571-272-4147.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Kim Huynh/

Kim Huynh Quality Assurance Specialist, Technology Center 2400



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: August 25,2011

In re Application of : DECISION ON PETITION

DECISION ON PETITION

UNDER CFR 1.137(b)

Paul Selle

Application No : 12253724
Filed : 17-Oct-2008

Attorney Docket No: CMD 235B

This is an electronic decision on the petition under 37 CFR 1.137(b), filed August 25,2011 , to revive the above-identified application.

#### The petition is **GRANTED.**

The above-identified application became abandoned for failure to reply in a timely manner to the Notice of Allowance and Issue Fee(s) Due. The date of abandonment is the day after the expiration date of the period set for reply in the Notice.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of payment of the Issue Fee and the Publication Fee (if necessary); (2) the petition fee as set forth in 37 CFR 1.17 (m); (3) the drawing correction and/or other deficiencies (if necessary); and (4) the required statement of unintentional delay have been received. Accordingly, the Issue Fee payment is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Office of Data Management.

		DTO ICD IC		
Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)			
Application Number	12253724			
Filing Date	17-Oct-2008			
First Named Inventor	Paul Selle			
Art Unit	3721			
Examiner Name	STEPHEN GERRITY			
Attorney Docket Number	CMD 235B			
Title	METHOD AND APPARATUS FOR MAKING S	KIRTLESS SEALS		
The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus any extensions of time actually obtained.  APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION  NOTE: A grantable petition requires the following items:  (1) Petition fee;  (2) Reply and/or issue fee;				
all design applications;	Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995; and for all design applications; Statement that the entire delay was unintentional.			
Petition fee The petition fee under 37CFR 1.17(m) i	s attached.			
Applicant claims SMALL ENTI	Applicant claims SMALL ENTITY status. See 37 CFR 1.27.			
Applicant is no longer claimi	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).			
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.			
Applicant(s) status remains a	Applicant(s) status remains as other than SMALL ENTITY.			
Issue Fee and Publication Fee :				
Issue Fee and Publication Fee must accompany ePetition.				
Issue Fee Transmittal is attached				
Drawing corrections and/ or other deficiencies.				

•	) Drawing corrections and/ or other deficiencies are not required			
0	I certify, in accordance with 37 CFR 1.4.(D)(4), that drawing corrections and/ or other deficiencies have previously been filed on			
0	Drawing corrections and/ or other deficiencies are attached.			
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.				
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES				
I certify, in accordance with 37 CFR 1.4(d)(4) that I am:				
•	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.			
0	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.			
0	A sole inventor			
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors.			
0	A joint inventor; all of whom are signing this e-petition.			
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.				
Sig	gnature	/George R. Corrigan/		
Name		George R. Corrigan		
Registration Number		34803		

Doc Code: PET.AUTO Document Description: Petition automatically granted by EFS-Web		PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	tronic Petition Request  PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)			
Application Number	12253783			
Filing Date	17-Oct-2008			
First Named Inventor	Robert Dixon			
Attorney Docket Number	AUS920080429US1			
Title	SERIAL TEST MODE OF AN INTEGRA	TED CIRCUIT (IC)		
The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus any extensions of time actually obtained.				
APPLICANT HEREBY PETITIONS	FOR REVIVAL OF THIS APPLICATION			
NOTE: A grantable petition requires the following items: (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and (4) Statement that the entire delay was unintentional				
etition Fee				
Applicant claims SMALL EN	TITY status. See 37 CFR 1.27.			
Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).				
Applicant(s) status remains	s as SMALL ENTITY.			
Applicant(s) status remains as other than SMALL ENTITY				
<ul><li>Applicant(s) status remains</li></ul>	as other than SMALL ENTITY.			
Applicant(s) status remains 2. Reply and/or fee	as other than SMALL ENTITY.			
. Reply and/or fee  I certify, in accordance with	as other than SMALL ENTITY.  n 37 CFR 1.4(d)(4) that the amendment and ove-identified application on 02-06-2012	response have		
. Reply and/or fee  I certify, in accordance with	n 37 CFR 1.4(d)(4) that the amendment and ove-identified application on 02-06-2012	response have		
2. Reply and/or fee  I certify, in accordance with already been filed in the about the	n 37 CFR 1.4(d)(4) that the amendment and ove-identified application on 02-06-2012 are attached	response have		
Amendment and response  CE request, submission, and fee.  I certify, in accordance with	n 37 CFR 1.4(d)(4) that the amendment and ove-identified application on 02-06-2012 are attached			

I certify, in accordance with 37 CFR 1.4(d)(4) that the Notice of Appeal and Fee have already been filed in the above-identified application on				
O Notice of Appeal and Fee are a	tice of Appeal and Fee are attached			
3. Terminal Disclaimer is not required, since the Electronic Petition format is not support for Design applications and applications filed before June 8, 1995. Please file using regular petition format for review by the Office of Petitions.				
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.				
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES				
I certify, in accordance with 37 CFR 1.4(d)(4) that I am:				
An attorney or agent registered in this application.	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.			
An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.				
A sole inventor				
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors				
A joint inventor; all of whom are signing this e-petition				
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71				
Signature	/Robert McLAuchlan/			
Name	Robert McLauchlan			
Registration Number	44924			



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

**Decision Date** February 6, 2012

In re Application of Robert Dixon

**DECISION ON PETITION** Application No. 12253783 UNDER CFR 1.137(b)

17-Oct-2008

AUS920080429U

This is an electronic decision on the petition under 37 CFR 1.137(b), February 6, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

Attorney Docket No.

Filed:

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

SCHOX PLC 500 3rd Street, Suite 515 San Francisco CA 94107 MAILED DEC 282011

OFFICE OF PETITIONS

In Re application of

Rio J. Vetter

Application No. 12/253,796

Filed: October 17, 2008

Attorney Docket No. NEUR-P07-US

ON PETITION

This is a decision on the petition under 37 CFR 1.59(b), filed November 28, 2011 to expunge information from the above identified application.

The petition is granted.

Petitioner requests that a response to the notice to file missing parts pertaining to Application SN 13/230,728, filed November 28, 2011, be expunged from the record. Petitioner states that the papers submitted were erroneously filed in the instant application.

The information in question has been determined by the undersigned to not be material to the examination of the instant application. The information was clearly intended to be filed in a different application.

The expunged material has been removed from the official file.

In accordance with MPEP 724.05(III), no petition is needed since the papers in question were clearly identified for a different application. Therefore, the petition fee is refunded.

Telephone inquiries relative to this decision should be directed to Carl Friedman at (571) 272-6842.

Carl Friedman
Petitions Examiner



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

CONLEY ROSE, P.C. David A. Rose P. O. BOX 3267 HOUSTON TX 77253-3267

MAILED NOV 2 3 2010

OFFICE OF PETITIONS

In re Application of

Torres et al.

Application No.12/253,943

Filed: October 18, 2008

Attorney Docket No. 2807-00500

ON PETITION

This is a decision on the renewed petition under the unintentional provisions of 37 CFR 1.137(b), filed September 3, 2010, to revive the above-identified application.

The petition is GRANTED.

This above-identified application became abandoned for failure to file a response to a Notice to File Missing Parts, which was mailed on January 6, 2009. The Notice to Missing Parts set an extendable two (2) month period for reply. No timely request for extension of time was obtained under the provisions of 37 CFR §1.136(a). Accordingly, this application became abandoned on March 7, 2009. A Notice of Abandonment was mailed on September 10, 2009. A petition filed under 1.137(b) was dismissed on June 7, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of the declaration, supplemental ADS and petition under 37 CFR 1.182 (2) the petition fee of \$810, and (3) a proper statement of unintentional delay.

A duplicate petition fee in the amount of 810.00 will be refunded to deposit account 03-2769.

This application is being referred to the Office of Patent Application Processing for preexamination processing.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3215.

Charlema Grant
Petitions Attorney
Office of Petitions

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

CONLEY ROSE, P.C. David A. Rose P. O. BOX 3267

NOV 2 3 2010

MAILED

HOUSTON TX 77253-3267

OFFICE OF PETITIONS

In re Application of

Ali Asaff Torres et al.

Application No. 12/253,943

Filed: October 18, 2008

Attorney Docket No. 2807-00500

**DECISION ON PETITION** 

This is a decision on the petition under 37 CFR 1.182, filed, September 3, 2010, to change the name of inventor "Ali Asaff" to -Ali Asaff Torres --.

The petition is **GRANTED**.

Office records have been updated to reflect the inventor's change of name.

Any questions concerning this matter may be directed to the undersigned at (571) 272-3215. Any questions concerning the examination procedures or status of the application should be directed to the Technology Center.

This application is being referred to the Office of Patent Application Processing in the normal course of business including issuance of the filing receipt when appropriate.

Charlema Grant
Petitions Attorney



**Commissioner for Patents** United States Patent and Trademark Office P.O. Box 1450

Muncy, Geissler, Olds & Lowe, PLLC 4000 Legato Road Suite 310 FAIRFAX VA 22033

MAILED MAY 02 2011 OFFICE OF PETITIONS

In re Application of

Shuei-Yuan LEE et al.

DECISION GRANTING PETITION

Application No. 12/253,958

UNDER 37 CFR 1.137(b)

Filed: October 18, 2008

Atty. Docket No.: 5545/0487PUS1

This is a decision on the petition under 37 CFR 1.137(b), filed February 24, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the Notice to File Missing Parts mailed November 3, 2008, which set a shortened period of reply of two (2) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. The application became abandoned on January 4, 2009. A Notice of Abandonment was mailed July 13, 2009.

The petition satisfies the conditions for revival pursuant to 37 CFR 1.137(b) by including (1) a reply in the form of a Response to the Notice mailed November 3, 2008, (2) a petition fee of \$810, and (3) a statement of unintentional delay. The reply to the Notice is accepted as having been unintentionally delayed.

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that date for the reply until the filing of a grantable petition pursuant ot 37 CFR 1.137(b) was unintentional, petition must notify the Office.

Telephone inquiries relating to this decision should be directed to Robert DeWitty, Petitions Examiner, Office of Petitions (571-272-8427).

The application file will be referred to Office of Patent Application Processing for further processing.

Petitions Examiner

Office of Petitions

#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Jackson Intellectual Property Group PLLC 106 Starvale Lane Shipman VA 22971

MAILED SEP 2 4 2010 OFFICE OF PETITIONS

In re Application of

Lin, et al.

Application No.:12/253,981

Filed: October 19, 2008

Attorney Docket No:7000.302

ON PETITION

This is in response to the petition under 37 CFR 1.137(b) filed September 2, 2010.

The petition under 37 CFR 1.137(b) is granted.

On November 4, 2008, a "Notice to File Missing Parts of Nonprovisional Application" (the "Notice") was mailed by the Office allowing a two-month period for reply. Extension of time were available pursuant to 37 CFR 1.136(a). The Notice required payment of the filing, examination, and search fees, and a surcharge. A proper response was not received within the allowable period, and the application became abandoned on February 5, 2009. A Notice of Abandonment was mailed on July 13, 2009.

The filing, examination, and search fees, and a surcharge, are noted and made of record.

This application is being forwarded to the Office of Patent Application Processing for further processing.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3222.

/Kenya A. McLaughlin/

Kenya A. McLaughlin **Petitions Attorney** Office of Petitions

		PTO (CP) (4.1				
Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce				
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)					
Application Number	12253983					
Filing Date	19-Oct-2008					
First Named Inventor	Miao-Hsueh TSAI					
Art Unit	3673					
Examiner Name	SUZANNE BARRETT					
Attorney Docket Number	T-001.P086-6358-021AUS					
Title	DOOR LOCK WITH TRANSMISSION MECHANISM					
United States Patent and Trademark	is any extensions of time actually obtained.	d proper reply to a notice or action by the after the expiration date of the period set for				
<ul> <li>NOTE: A grantable petition requires t</li> <li>(1) Petition fee;</li> <li>(2) Reply and/or issue fee;</li> <li>(3) Terminal disclaimer with discall design applications;</li> <li>(4) Statement that the entire design applications</li> </ul>	claimer fee – required for all utility and plant	applications filed before June 8, 1995; and for				
Petition fee The petition fee under 37CFR 1.17(m) i	s attached.					
Applicant claims SMALL ENTI	TY status. See 37 CFR 1.27.					
Applicant is no longer claimi	ng SMALL ENTITY status. See 37 CFR 1.27(g)	(2).				
Applicant(s) status remains a	s SMALL ENTITY.					
Applicant(s) status remains a	as other than SMALL ENTITY.					
Issue Fee and Publication Fee:						
Issue Fee and Publication Fee must acc	company ePetition.					
Issue Fee Transmittal is attached	Issue Fee Transmittal is attached					
Drawing corrections and/ or other d	eficiencies.					

•	Drawing corrections and/ or oth	ner deficiencies are not required			
0	I certify, in accordance with 37 CFR 1.4.(D)(4), that drawing corrections and/ or other deficiencies have previously been filed on				
0	Drawing corrections and/ or oth	ner deficiencies are attached.			
	STATEMENT: The entire delay in Igrantable petition under 37 CFR	filing the required reply from the due date for the required reply until the filing of a 1.137(b) was unintentional.			
TH	HIS PORTION MUST BE COMPLETE	ED BY THE SIGNATORY OR SIGNATORIES			
lc	ertify, in accordance with 37 CFR	1.4(d)(4) that I am:			
•	An attorney or agent registered in this application.	d to practice before the Patent and Trademark Office who has been given power of attorney			
0	An attorney or agent registered	d to practice before the Patent and Trademark Office, acting in a representative capacity.			
0	A sole inventor				
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors.				
0	A joint inventor; all of whom are signing this e-petition.				
0	The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.				
Sig	gnature	/Li K. Wang/			
Name		Li K. Wang			
Registration Number 44393					



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: October 13,2011

In re Application of : DECISION ON PETITION

Miss Hough TS AL

UNDER CFR 1.137(b)

Miao-Hsueh TSAI

Application No: 12253983
Filed: 19-Oct-2008

Attorney Docket No: T-001.P086-6358-021AUS

This is an electronic decision on the petition under 37 CFR 1.137(b), filed October 13,2011 , to revive the above-identified application.

#### The petition is **GRANTED.**

The above-identified application became abandoned for failure to reply in a timely manner to the Notice of Allowance and Issue Fee(s) Due. The date of abandonment is the day after the expiration date of the period set for reply in the Notice.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of payment of the Issue Fee and the Publication Fee (if necessary); (2) the petition fee as set forth in 37 CFR 1.17 (m); (3) the drawing correction and/or other deficiencies (if necessary); and (4) the required statement of unintentional delay have been received. Accordingly, the Issue Fee payment is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Office of Data Management.

Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/254,113	10/20/2008	Roland SCHEUERER	10191/5700	1801
<sup>26646</sup> KENYON & K	7590 03/05/2012 ENVONTIP		EXAMINER KWOK, HELEN C	
ONE BROAD	WAY			
NEW YORK,	NY 10004	•	ART UNIT	PAPER NUMBER
·			2856	
			MAIL DATE	DELIVERY MODE
			03/05/2012	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

KENYON & KENYON LLP ONE BROADWAY NEW YORK, NY 10004 MAR 5 2012

In re Application of Ronald Scheuerer et al.

Appl. No.: 12/254,113 Filed: October 20, 2008

Attorney Docket No.: 10191/5700

For: A CAPACITIVE ACCELERATION SENSOR HAVING

A MOVABLE MASS AND A SPRING ELEMENT

DECISION ON PETITION

UNDER 37 C.F.R.§ 1.59

This is a decision on the petition under 37 C.F.R. §1.59(b), February 17, 2012, to expunge information unintentionally submitted on January 23, 2012, from the above-identified application.

The petition is DENIED.

Petitioner requests that the Information Disclosure Statement (IDS) submitted on January 23, 2012, be expunged from the record because it was not intended to be filed in the above-identified application.

Pursuant to M.P.E.P. § 724.05,

#### "II. INFORMATION UNINTENTIONALLY SUBMITTED IN APPLICATION

A petition to expunge information unintentionally submitted in an application (other than information forming part of the original disclosure) may be filed under 37 CFR 1.59(b), provided that:

- (A) the Office can effect such return prior to the issuance of any patent on the application in issue;
- (B) it is stated that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted;
- (C) the information has not otherwise been made public;
- (D) there is a commitment on the part of the petitioner to retain such information for the period of any patent with regard to which such information is submitted;

- (E) it is established to the satisfaction of the Director that the information to be returned is not material information under 37 CFR 1.56; and
- (F) the petition fee as set forth in 37 CFR 1.17(g) is included.

A request to expunge information that has not been clearly identified as information that may be later subject to such a request by marking and placement in a separate sealed envelope or container shall be treated on a case-by-case basis. Applicants should note that unidentified information that is a trade secret, proprietary, or subject to a protective order that is submitted in an Information Disclosure Statement may inadvertently be placed in an Office prior art search file by the examiner due to the lack of such identification and may not be retrievable. If a petition to expunge is not filed prior to the mailing of the notice of allowability, the materials submitted under MPEP § 724.02 will be released to the public upon the issuance of the application as a patent and upon the filing of a request and the appropriate fee (37 CFR 1.14)."

The petition does not satisfy condition (B), (C), (D) and (E) above for a grantable petition to expunge the IDS unintentionally or inadvertently submitted on January 23, 2012.

The above-noted IDS will remain in the file record.

Any inquiry regarding this decision should be directed to Hien H. Phan, Quality Assurance Specialist, at (571) 272-1606.

Wynn Coggins, Group Director

Technology Center 2800

Semiconductors, Electrical and Optical

Systems and Components





AMIT K. SINGH 1075 SALEM STREET NORTH ANDOVER, MA 01845-4908

MAILED

JAN 1 1 2012

OFFICE OF PETITIONS

In re Application of

Kaveh Azar, et al.

Application No. 12/254,125

Filed: October 20, 2008

Attorney Docket No. ATS\_003

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed December 9, 2011.

## The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The Office strongly encourages practitioner(s) requesting withdrawal from representation as practitioner of record in an application to review the record to determine whether he or she is, in fact, of record and how he or she was made of record. The practitioner(s) should determine whether he or she was appointed by naming each practitioner individually or through the use of a Customer Number. If the practitioner(s) were appointed by a specific designation, then the request should ask that each specified practitioner be withdrawn and should list each practitioner(s) in the Request. Similarly, if practitioner(s) was appointed by a Customer Number, the practitioner(s) should ensure that the correct number is provided in the Request Additionally, as set forth in MPEP 403(I), the addition or deletion of a practitioner from the list of persons associated with a Customer Number should be done by way of a Request for Customer umber Data Change (PTO/SB/124) and not a Request for Withdrawal As Attorney or Agent and Change of Correspondence Address (PTO/SB/83).

The request cannot be approved because practitioners were not appointed by customer number. Practitioners must withdraw in the same manner by which they were appointed.

The request cannot be approved because the practitioner(s) requesting the withdrawal have not certified that they (1) have given reasonable notice to the client, prior to the expiration of the

response period, that the practitioner(s) intend to withdraw from employment, (2) have delivered to the client or a duly authorized representative that all papers and property (including funds) to which the client is entitled, nor have they certified that (3) they have notified the client of any responses that may be due and the time frame within which the client must respond. The failure to do so may subject the practitioner to discipline. It is also noted that false certification may violate a practitioners' duty under 37 CFR 10.23(b)(4) and (b)(5).

Further petitioner should also note that the Office will no longer accept address changes to a new practitioner of a law firm file with a Request to Withdraw, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record does not include an acceptable current correspondence address for future communications from the Office.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the technology Center.

/AMW/ April M. Wise Petitions Examiner Office of Petitions



AMIT K. SINGH 1075 SALEM STREET NORTH ANDOVER, MA 01845-4908

**MAILED** FEB 2 1 2012 **OFFICE OF PETITIONS** 

In re Application of

Kaveh Azar, et al.

Application No. 12/254,125

Filed: October 20, 2008

Attorney Docket No. ATS 003

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the renewed Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed February 7, 2012.

## The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

Petitioner should also note that the Office will no longer accept address changes to a new practitioner of a law firm file with a Request to Withdraw, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record does not include an acceptable current correspondence address for future communications from the Office.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the technology Center.

/AMW/ April M. Wise **Petitions Examiner** Office of Petitions

MEDTRONIC, INC. 710 MEDTRONIC PARKWAY NE MINNEAPOLIS, MN 55432-9924

MAILED

JAN 18 2011

In re Application of

2011

Thomas Q. Dinh et al.

**OFFICE OF PETITIONS** 

Application No. 12/254,258

DECISION ON PETITION

Filed: October 20, 2008

TO WITHDRAW FROM RECORD

Attorney Docket No.: P0022493.01

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed December 6, 2010.

The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request is filed by Kenneth J. Collier on behalf of the practitioners of record associated with Customer Number 27581.

The Office no longer accepts an address change to the new practitioner identified in the request, absent the filing of a power of attorney to the new representative. The Office will, however, change the correspondence address of record to the most current address provided for (1) the intervening assignee of the entire interest or (2) the first named inventor.

The petitioner requested the change of correspondence address to the assignee associated with Customer Number 28390. Customer Number 28390 identifies Medtronic Vascular, Inc as the assignee. The Office record show Medtronic, Inc as the assignee; therefore, the assignee data is unclear.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-3210. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

Irvin Dingle Petitions Examiner Office of Petitions



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/254,334	10/20/2008	Masanobu YAMAUCHI	MNL-2635-678	1207
	7590 11/10/2011 NDERHYE, PC		EXAM	IINER
901 NORTH G	LEBE ROAD, 11TH FLOC	PR	THAI, S	SUSAN
ARLINGTON,	VA 22203		ART UNIT	PAPER NUMBER
			1724	
	•			
			MAIL DATE	DELIVERY MODE
			11/10/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



NOV 1 0 2011

BC

In re application of Masanobu Yamauchi Serial No. 12/254,334

Filed: October 20, 2008

For: GAS SENSOR

**DECISION ON REQUEST TO** 

PARTICIPATE IN PATENT

PROSECUTION HIGHWAY

PROGRAM AND

PETITION TO MAKE SPECIAL

UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a) to make the above-identified application special filed August 23, 2011.

The request and petition are **DENIED**.

A grantable request to participate in the PPH program and petition to make special require:

(1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the JPO, note where the JPO application with similar claims is not the same application from which the U.S. application claims priority that the applicant must identify the relationship between the JPO application with similar claims and the JPO priority application;

## (2) Applicant must submit a copy of:

- a. The allowable/patentable claim(s) from the JPO application(s) or if a copy of the allowable/patentable claims is available via the Dossier Access System (DAS) applicant may request the USPTO to obtain a copy from DAS; however, if the USPTO is unable to obtain a copy from the DAS, the applicant will be required to submit a copy;
- b. An English translation of the allowable/ patentable claim(s), if applicable; and
- c. A statement that the English translation is accurate, if applicable;

## (3) Applicant must:

- Ensure all the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s); and
- b. Submit a claims correspondence table in English;
- (4) Examination of the U.S. application has not begun;

## Application No. 12/254,334

- (5) Applicant must submit:
  - a. Documentation of prior office action:
    - i. a copy of the office action(s) just prior to the "Decision to Grant a Patent" from each of the JPO application(s) containing the allowable/patentable claims(s) or
- ii. if the allowable/patentable claim(s) are from "Notification of Reasons for Refusal" then the Notification of Reasons for Refusal or
- iii. if the JPO application is a first action allowance then no office action from the JPO is necessary should be indicated on the request/petition form;

Further, if a copy of the documents from (i) or (ii) is available via the Dossier Access System (DAS), applicant may request the USPO obtain a copy from the DAS; however, if the USPTO is unable to obtain a copy of the DAS, the applicant will be required to submit a copy;

- b. An English language translation of the JPO Office action from (5)(a)(i)-(ii) above if applicable; and
- c. A statement that the English translation is accurate; and
- (6) Applicant must submit:
  - a. An IDS listing the documents cited by the JPO examiner in the JPO office action (unless already submitted in this application); and
  - b. Copies of documents except U.S. patents or U.S. patent application publications (unless already submitted in this application).

The request to participate in the PPH program and petition is denied. The request fails to meet requirement item (4) above, since the examination of the U.S. application has begun and a Notice of Allowance was mailed on September 16, 2011.

Any inquiry regarding this decision should be directed to Blaine Copenheaver, Quality Assurance Specialist, at (571) 272-1156.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

/Blaine Copenheaver/
Blaine Copenheaver
Quality Assurance Specialist
Technology Center 1700

## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref#	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L4	862	604/372.ccls.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/12 18:18
S1	1	12/254434.app.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/07 15:52
<b>S</b> 2	16	US-2531427-\$.DID. OR US-4286082-\$. DID. OR US-4734478- \$.DID. OR US- 5140076-\$.DID. OR US-5514754-\$.DID. OR US-5733576-\$. DID. OR US-7329701- \$.DID. OR US- 2082614-\$.DID.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2011/04/11 11:13
S3	14	((MICHAEL) near2 (AZAD)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/11 11:45
S4	506	((SCOTT) near2 (SMITH)).INV.	USPAT; USOCR	OR	ON	2011/04/11 12:25
S5	9	((MICHAEL) near2 (AZAD)).INV. and BASF.as.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/11 12:27
S6	14	((MARK) near2 (JOY)). INV.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/11 17:34
S7	12	((GEOFFREY) near2 (BLAKE)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/11 17:40
S8	21	((MICHAEL) near2 (JARMAN)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/11 17:41
S9	17997	BASF.as.	US-PGPUB; USPAT	OR	ON	2011/04/11 17:42
S10	337	S9 and superabsorbent	US-PGPUB; USPAT	OR	ON	2011/04/11 17:43
S11	0	GB-2082614-\$.did.	USPAT; USOCR	OR	ON	2011/04/11 17:56
S12	0	GB-112082614-\$.did.	USPAT; USOCR	OR	ON	2011/04/11 17:57
S13	1	GB-2082614-\$.did.	USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2011/04/11 18:02

S14	0	S13 and W0-0168156- \$.did.	USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2011/04/11 18:03
S15	1	S13 W0-0168156-\$. did.	USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2011/04/11 18:03
S16	2	S13 WO-168156-\$.did.	USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2011/04/11 18:03
S17	1	GB-2082614-\$.did.	USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2011/04/12 07:47
S18	2	S17 WO-168156-\$.did.	USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2011/04/12 07:47
S19	1	us-6124391-\$.did.	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/12 07:54
S20	54	("3012900"   "3723153"   "4107382"   "4286082"   "4359492"   "4448900"   "4533562"   "4576835"   "4610678"   "4774138"   "4774138"   "4833179"   "4880470"   "4898616"   "4952650"   "4960644"   "4975120"   "5006565"   "5007961"   "5096493"   "5190579"   "5200270"   "5236649"   "5322731"   "5334644"   "5413747"   "5413747"   "5413747"   "5413910"   "5455288"   "5466731"   "5536576"   "5688449"   "5728742").PN. OR	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/12

		("6124391").URPN.				
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		7865519-\$ or US-				08:15
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		6936073-\$ or US-				
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		4533562-\$ or US-				
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S22	17	US-20100311578-\$ or	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2011/04/12 08:16
S23	11	S22 and kaolin	US-PGPUB; USPAT; USOCR	OR	ON	2011/04/12 08:16

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MAILED

ASSOCIATE COUNSEL (PATENTS) **CODE 1008.2** 4555 OVERLOOK AVENUE, S.W. WASHINGTON DC 20375-5320

NAVAL RESEARCH LABORATORY

FEB 1 0 2012 OFFICE OF PETITIONS

In re Application of

Kucherov et al.

Application No.: 12/254530

Filing or 371(c) Date: 10/20/2008

Attorney Docket Number:

17687.2/99380

ON PETITION

This is a decision on the renewed petition under 37 CFR 1.137(b), filed January 13, 2012, to revive the above-identified application.

This Petition is hereby **granted**.

The above-identified application became abandoned for failure to timely reply to the Office communication, mailed May 31, 2011. The Office communication set a one (1) month or 30 day period for reply. Extensions of time under 37 CFR 1.136(a) were available. No complete and proper reply having been received, the application became abandoned on July 1, 2011. A Notice of Abandonment was mailed January 3, 2012.

The renewed petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of a response to the Restriction/Election Requirement; (2) the petition fee; and (3) the required statement of unintentional delay are filed with the present petition. Accordingly, the reply is accepted as having been unintentionally delayed.

This application is being forwarded to Technology Center Art Unit 3785 for processing of the response in due course.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions

CASIMIR JONES SC 2275 DEMING WAY SUITE 310 MIDDLETON WI 53562

## MAILED

OCT 24 2011

**OFFICE OF PETITIONS** 

In re
Richardson, et al.
Application No. 12/254,550
Filed: October 20, 2008
Attorney Docket No. UM-12011/US-2/DIV

**DECISION** 

This is a decision on the fee deficiency submission under 37 CFR 1.28(c), filed September 23, 2011.

The fee deficiency submission under 37 CFR 1.28 of \$1448 is hereby accepted.

The change of status to large entity has been entered.

Telephone inquiries concerning this matter may be directed to the undersigned at (571) 272-3207.

elf

Cliff Congo Petitions Attorney Office of Petitions



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/254,558	10/20/2008	Takashi Yabe	01272.146892.	1672
	7590 09/01/2011 CELLA HARPER & SCI	EXAMINER		
1290 Avenue of the Americas			REINIER, BAR	BARA DIANE
NEW YORK, N	NEW YORK, NY 10104-3800		ART UNIT	PAPER NUMBER
			MAIL DATE	DELIVERY MODE
			09/01/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



FITZPATRICK CELLA HARPER & SCINTO
1290 Avenue of the Americas
NEW YORK NY 10104-3800

In re Application of

Takashi YABE

**Application No.: 12/254,558** 

Filed: 20 October 2008

Attorney Docket No.: 01272.146892. For: IMAGE PROCESSOR AND

**IMAGE PROCESSING METHOD** 

: DECISION ON REQUEST TO

: PARTICIPATE IN THE PATENT

: PROSECUTION HIGHWAY

: PROGRAM AND PETITION

: TO MAKE SPECIAL UNDER

: 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed 30 August 2011, to make the above-identified application special.

The request and petition are GRANTED.

#### **Discussion**

A grantable request to participate in the PPH pilot program and petition to make special require:

- 1. The U.S. application is
  - a. a Paris Convention application which either
    - i. validly claims priority under 35 U.S.C. 119(a) and 37 CFR 1.55 to one or more applications filed in the JPO, or
    - ii. validly claims priority to a PCT application that contains no priority claims, or
  - b. a national stage application under the PCT (an application which entered the national stage in the U.S. from a PCT international application after compliance with 35 U.S.C. 371), which PCT application
    - i. validly claims priority to an application filed in the JPO, or
    - ii. validly claims priority to a PCT application that contains no priority claims, or
    - iii. contains no priority claim, or
  - c. a so-called bypass application filed under 35 U.S.C. 111(a) which validly claims benefit under 35 U.S.C. 120 to a PCT application, which PCT application
    - i. validly claims priority to an application filed in the JPO, or

- ii. validly claims priority to a PCT application that contains no priority claims, or
- iii. contains no priority claim;
- 2. Applicant must submit a copy of:
  - a. The allowable/patentable claim(s) from the JPO application(s);
  - b. An English translation of the allowable/patentable claim(s) and
  - c. A statement that the English translation is accurate;
- 3. Applicant must:
  - a. Ensure all the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s) and
  - b. Submit a claims correspondence table in English;
- 4. Examination of the U.S. application has not begun;
- 5. Applicant must submit:
  - a. Documentation of prior office action:
    - i. a copy of the office action(s) just prior to the "Decision to Grant a Patent" from each of the JPO application(s) containing the allowable/patentable claim(s) or
    - ii. if the allowable/patentable claims(s) are from a "Notification of Reasons for Refusal" then the Notification of Reasons for Refusal or
    - iii. if the JPO application is a first action allowance then no office action from the JPO is necessary should be indicated on the request/petition form;
  - b. An English language translation of the JPO Office action from (5)(a)(i)-(ii) above
  - c. A statement that the English translation is accurate;
- 6. Applicant must submit:
  - a. An IDS listing the documents cited by the JPO examiner in the JPO office action (unless already submitted in this application)
  - b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

The request to participate in the PPH pilot program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Inquiries concerning this decision should be directed to Lee W. Young at 571-272-4549.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at <a href="http://www.uspto.gov/ebc.index.html">http://www.uspto.gov/ebc.index.html</a>.

This application will be forwarded to the examiner for action on the merits commensurate with this decision once this application's formality reviews have been completed.

Lee W. Young

TQAS, Technology Center 2600



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/254,558	10/20/2008	Takashi Yabe	01272.146892.	1672
5514 FITZPATRICK	7590 10/11/2011 C CELLA HARPER & SCII	EXAM	INER	
1290 Avenue o	of the Americas	REINIER, BARBARA DIANE		
NEW YORK,	NY 10104-3800	0104-3800	ART UNIT	PAPER NUMBER
			2625	
			MAIL DATE	DELIVERY MODE
			10/11/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



FITZPATRICK CELLA HARPER & SCINTO
1290 Avenue of the Americas
NEW YORK NY 10104-3800

In re Application of

Takashi YABE : DECISION ON REQUEST TO
Application No.: 12/254,558 : PARTICIPATE IN THE PATENT

Filed: 20 October 2008 : PROSECUTION HIGHWAY
Attorney Docket No.: 01272.146892. : PROGRAM AND PETITION

For: IMAGE PROCESSOR AND : TO MAKE SPECIAL UNDER

IMAGE PROCESSING METHOD : 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed 30 August 2011, to make the above-identified application special.

The Decision 01 September 2011 is hereby **VACATED**.

The request and petition are **DENIED**.

### **Discussion**

A review of the file shows that the examiner had begun prosecution prior to the filing of the PPH petition. The petition is accordingly DENIED.

The amendment to the claims filed with the PPH petition has <u>not</u> been entered since such would have interfered with the prosecution by the examiner.

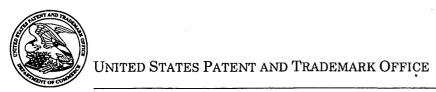
Applicant is required to respond to the Office action within the period set forth in the Office action.

Inquiries concerning this decision should be directed to Lee W. Young at 571-272-4549.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at <a href="http://www.uspto.gov/ebc.index.html">http://www.uspto.gov/ebc.index.html</a>.

Lee W. Young

TQAS, Technology Center 2600



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE P.O. BOX 1450

Muncy, Geissler, Olds & Lowe, PLLC 4000 Legato Road Suite 310 Fairfax, Virginia 22033

MAILED
FEB 15 2011
OFFICE OF PETITIONS

In re Application of

Wei-Shin YEN

Application No. 12/254,564

Filed: 20 October 2008

Atty. Docket No.: 5050/0191PUS1

revive the above-identified application ("Application").

DECISION GRANTING PETITION UNDER 37 CFR 1.137(b)

This is a decision on the petition under 37 CFR 1.137(b), filed 29 December 2010, to

The petition is **GRANTED**.

The Application became abandoned for failure to reply in a timely manner to the Notice to File Missing Parts of Nonprovisional Application mailed 7 November 2008 ("Notice"), which set a shortened statutory period of reply of two (2) months. No extension of time under the provisions of 37 CFR 1.136(a) was obtained. The application became abandoned on 8 January 2009, with notification mailed 15 July 2009.

A grantable petition under 37 CFR 1.137(b) must be accompanied by: (1) the reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a Statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d).

The petition satisfies the conditions for revival pursuant to 37 CFR 1.137(b) by including (1) payment of filing fees plus surcharge, search fee, and examination fee, (2) a petition fee of \$810.00 (small entity), and (3) a Statement of unintentional delay. The reply to the Notice is accepted as having been unintentionally delayed.

There is no indication that the person signing the instant Petition was given a power of attorney or authorization of agent to prosecute the Application. Further, it is not apparent whether the signer of the Petition was in a position to have firsthand knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being

treated as having been made as the result of a reasonable inquiry into the facts and circumstances of the delay. See, 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (10 October 1997), 1203 Off. Gaz. Pat. Office 63, 103 (21 October 1997). In the event that such an inquiry has not been made, Petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, Petitioner must notify the Office.

General inquiries relating to this decision should be directed to Robert DeWitty, Petitions Examiner, Office of Petitions (571-272-6051).

The application file will be referred to Office of Patent Application Processing for further action on the filed Response.

Petitions Examiner

Office of Petitions

First Named Inventor: Dana Groff

Application No.: 12/254,745 Filed: October 20, 2008 Customer No.: 69316 Attorney Docket No.: 324913.01PPH

Group Art Unit: 2195 Examiner: AN, MENG AIT Confirmation Number: 2070

Title: TRANSACTION PROCESSING FOR SIDE-EFFECTING ACTIONS IN TRANSACTIONAL MEMORY

Commissioner for Patents P.O. Box 1460 Alexandria, VA 22313-1450

Statement on Request to Participate in the PCT-Patent Prosecution Highway Pilot Program

Dear Sir:

Applicants state that the claims in the PCT application are identical to the claims in the US application. The only formatting difference is that the claims in the PCT application contain numerical references that refer to the drawings. For your convenience, however, a copy of the claims in the PCT application is also included.

Accordingly, applicants respectfully request the petition to participate in the PCT – Patent Prosecution Highway Pilot Program be granted. If you have any questions, please do not hesitate to call the Applicant's attorney at the telephone number listed below.

Respectfully submitted,

Date: August 28, 2010 By:/James R. Banowsky/

Atty: James R. Banowsky

Reg. No.: 37,773

Direct telephone: (425) 705-3539

Microsoft Corporation
One Microsoft Way

Redmond WA 98052-6399

# CERTIFICATE OF MAILING OR TRANSMISSION (Under 37 CFR § 1.8(a)) or ELECTRONIC FILING

I hereby certify that this correspondence is being electronically deposited with the USPTO via EFS-Web on the date shown below:

August 28, 2010	/Eric Matt/
Date	Eric Matt

Appl. No.: 12/254,745 Docket No.: 324913.01PPH



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

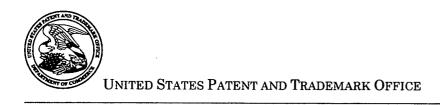
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/254,745	10/20/2008	Dana Groff	324913.01PPH	2070
,	7590 08/31/2010 CORPORATION		EXAM	IINER
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REDMOND, V	VA 98032		ART UNIT	PAPER NUMBER
			2195	
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			NOTIFICATION DATE	DELIVERY MODE
			08/31/2010	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

DBOUTON@MICROSOFT.COM vffiling@microsoft,com stevensp@microsoft.com



MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND WA 98052

In re Application of: GROFF et al. Application No. 12/254,745

Atty Docket Number: 324913.01PPH

Filed: October 20, 2008

For: TRANSACTION PROCESSING FOR SIDE-EFFECTING ACTIONS IN TRANSACTIONAL

**MEMORY** 

DECISION ON REQUEST TO.
PARTICIPATE IN PATENT
PROSECUTION HIGHWAY
PROGRAM AND PETITION TO
MAKE SPECIAL UNDER 37 CFR
1.102(d)

This is a decision on the request to participate in the PCT-Patent Prosecution Highway (PPH) pilot program and the petition under 37 CFR 1.102(d), filed July 6, 2010 to make the above-identified application special.

#### The petition is **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application is
  - (a) a national stage entry of the corresponding PCT application

Or

(b) a national application which forms the basis for the priority claim in the corresponding PCT application

Or

(c) a national stage entry of another PCT application (which can be filed in any competent receiving office) which claims priority to the corresponding PCT application

Or

(d) a national application claiming foreign domestic priority to the corresponding PCT application.
Applications subject to a secrecy order (35U.S.C.181) are excluded and not subject to participation in the PCT-PPH pilot program.

Or

(e) a continuing application (continuation, divisional, or continuation-in-part) of the U.S. application which satisfies one of the above (a) to (d) scenarios.

(2) The latest work product in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, indicates at least one claim in the PCT application has novelty, inventive step and industrial applicability. In case any observation is described in Box VIII of the WO/ISA, or the WO/IPEA, or the IPER which forms the basis for the PCT-PPH request, applicant must identify and explain why the claim(s) is/are not subject to any observation described in Box VIII irrespective of whether an amendment is submitted to correct the observation described in Box VIII. The U.S. application will not be eligible to participate in the PCT-PPH pilot program if applicant does not identify and explain why the claim(s) is/are not subject to the observation described in Box VIII.

Applicant may not file a request to participate in the PCT-PPH pilot program on the basis of an International Search Report (ISR) only.

### (3) Applicant must:

- a. Ensure all the claims in the U.S. application sufficiently correspond or be amended to sufficiently correspond to one or more of those claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application and
- b. Submit a claims correspondence table in English;
- (4) Substantive Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of:
  - a. the latest international work product, WO/ISA, or WO/IPEA or PER, which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof if the copy of the latest international work product is not in the English language, unless the U.S. application and the corresponding PCT application satisfy the relationship noted in (1)(a) above.
- (6) Applicant must submit a copy of:
  - a. the claims from the corresponding PCT application which were indicated as having novelty, inventive step and industrial applicability in the latest work product of the PCT application,
  - b. an English translation of the claims and
  - c. a statement that the English translation is accurate.

If the claims in the U.S. application are identical to the claims from the corresponding PCT application, and are in the English language, applicant may just indicate such in the PCT-PPH request and it will not be necessary for applicant to submit a copy of the claims from the corresponding PCT application.

#### (7) Applicant must submit:

- a. An IDS listing the documents cited in the work products in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, (unless already submitted in this application)
- b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

The request to participate in the PPH pilot program and petition are found to comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Mano Padmanabhan at 571-272-4210.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Mano Padmanabhan/

Mano Padmanabhan Quality Assurance Specialist, Technology Center 2100, Workgroup 2180 571-272-4210



STEPTOE & JOHNSON LLP 2121 AVENUE OF THE STARS SUITE 2800 LOS ANGELES CA 90067

MAILED
JUN 1 7 2011
OFFICE OF PETITIONS

In re Application of

**HSU** 

Application No. 12/254,749

Filed: October 20, 2008

Attorney Docket No. 83338.0002 C1

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent under 37 C.F.R. § 1.36(b) filed May 5, 2011.

#### The request is **NOT APPROVED**.

A review of the file record indicates that Robert L. Kovelman does not have power of attorney in this patent application. See 37 C.F.R. § 10.40. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is not applicable.

The Office will no longer approve requests from practitioners to withdraw from applications where the requesting practitioner is acting, or has acted, in a representative capacity pursuant to 37 CFR 1.34. In these situations, the practitioner is responsible for the correspondence the practitioner files in the application while acting in a representative capacity. As such, there is no need for the practitioner to obtain the Office's permission to withdraw from representation. However, practitioners acting in a representative capacity, like practitioners who have a power of attorney in the application, remain responsible for noncompliance with 37 CFR 1.56, as well as 37 CFR 10.18, with respect to documents they file.

All future communications from the Office will continue to be directed to the above-identified address until otherwise properly notified.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-6735.

/Diane C. Goodwyn/ Diane C. Goodwyn Petitions Examiner Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED NOV 15 2011

OFFICE OF PETITIONS

Brian S. Boyer, Ph.D. 493 Seaport Ct. Suite 105 Redwood City CA 94063

In re Application of

Yangxin Fu

Application No. 12/254,776

Filed: October 20, 2008

Attorney Docket No. ADIMP001.US01

DECISION ON PETITION

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed October 21, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The request was signed by Brian S. Boyer on behalf of attorneys/agents associated with customer number 87647. All attorneys/agents associated with customer number 87647 have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address has been changed and is copied below.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at 571-272-4618.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

cc: Adimmu Institute, Inc. c/o Grace Tian 3517 Breakwater Avenue Hayward, CA 94545



87647

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

Brian S. Boyer, Ph.D.

493 Seaport Ct. Suite 105

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/254,776

Redwood City, CA 94063

10/20/2008

YANGXIN FU

ADIMP001.US01 **CONFIRMATION NO. 2136** 

**POWER OF ATTORNEY NOTICE** 

Date Mailed: 11/15/2011

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/21/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record, 37 CFR 1,33.

/kainabinet/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: October 17,2011

**DECISION ON REQUEST TO WITHDRAW AS** In re Application of:

ATTORNEY/AGENTOF RECORD **Daniel Dreymann** 

Application No: 12254831

Filed: 20-Oct-2008

Attorney Docket No: 24067-14684/US

This is an electronic decision on the Request to Withdraw as attorney or agent of record under 37 CFR § 1.36(b), filed October 17,2011

The request is **APPROVED.** 

(registration no. 46581) on behalf of all attorneys/agents The request was signed by Daniel R Brownstone associated with Customer Number 758 . All attorneys/agents associated with Cusotmer Number 758 have been withdrawn.

Since there are no remaining attorneys of record, all future communications from the Office will be directed to the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71, with correspondence address:

Name Goodmail Systems Inc.

Name2 c/o Barracuda Networks, Inc.

Address 1 3175 Winchester Blvd.

Address 2 Attn: Peter Hwang

 $\mathsf{C}\mathsf{A}$ 

City Campbell State

Postal Code

95008

Country US

As a reminder, requester is required to inform the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71 of the electronically processed petition.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition	automatically granted by EFS-Web	PTO/SB/83 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS				
Application Number	12254831			
Filing Date	20-Oct-2008			
First Named Inventor Daniel Dreymann				
Art Unit	2492			
Examiner Name	SHU GAO	SHU GAO		
Attorney Docket Number	24067-14684/US			
Title  Certification Of E-Mails With Embedded Code				
	orney or agent for the above identified patent associated with Customer Number:	application and 758		
The reason(s) for this request are	those described in 37 CFR:			
10.40(b)(4)				
Certifications				
I/We have given reasonable intend to withdraw from em	notice to the client, prior to the expiration of the r ployment	esponse period, that the practitioner(s)		
I/We have delivered to the country to which the client is entitled	lient or a duly authorized representative of the clid	ent all papers and property (including funds)		
☑ I/We have notified the client	t of any responses that may be due and the time fr	rame within which the client must respond		
Change the correspondence addre properly made itself of record purs	ess and direct all future correspondence to the first suant to 37 CFR 3.71:	t named inventor or assignee that has		
Name	Goodmail Systems Inc. c/o Barracuda Network	s, Inc.		
Address	ldress 3175 Winchester Blvd. Attn: Peter Hwang			
City	Campbell			
State	CA			
Postal Code	95008			
Country	US			

Signature	/Daniel R. Brownstone 46581/
Name	Daniel R Brownstone
Registration Number	46581

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www. uspto. gov

ALLERGAN, INC. 2525 DUPONT DRIVE, T2-7H IRVINE, CA 92612-1599

MAILED

SEP 2 1 2011

In re Application of

OFFICE OF PETITIONS

Joseph Francis et al

**ON PETITION** 

Application No. 12/255,033 Filed: October 21, 2008

Attorney Docket No. 18447 (BOT)

This is a decision on the petition under 37 CFR 1.137(b), filed August 17, 2011, to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the non-final Office action mailed December 29, 2010, which set a shortened statutory period for reply of three (3) months. No extension of time under the provisions of 37 CFR 1.136(a) was obtained. Accordingly, the above-identified application became abandoned on March 30, 2011.

Telephone inquiries concerning this decision should be directed to Irvin Dingle at (571) 272-

This matter is being referred to Technology Center AU 1645 for further processing.

Irvin Dingle Petitions Examiner Office of Petitions





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

### MAILED

NAVAL RESEARCH LABORATORY
ASSOCIATE COUNSEL (PATENTS)
CODE 1008.2
4555 OVERLOOK AVENUE, S.W.
WASHINGTON DC 20375-5320

DEC 02 2011

OFFICE OF PETITIONS

In re Application of Cranch et al.

Application No. 12/255,080 : DECISION ON PETITION Filed: October 21, 2008 : UNDER 37 CFR 1.78(a)(6) Atty Docket No. 98,935 :

This is a decision on the PETITION FOR UNINTENTIONALLY DELAYED CLAIM FOR PIORITY UNDER 37 CFR 1.78(c) and (e), filed October 26, 2011, to accept an unintentionally delayed claim under 35 U.S.C. § 119(e) for the benefit of priority to the prior-filed application No. 60/981,538. This decision is made in light of the petition filed November 23, 2011, clarifying that the petition was with respect to provisional application No.

60/981,538, rather than with respect to the provisional application originally identified on petition.

The petition is **DISMISSED**.

A petition for acceptance of a claim for late priority under 37 CFR §§ 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR §§ 1.78(a)(5)(ii) and must be filed during the pendency of the nonprovisional application. In addition, the petition under 37 CFR § 1.78(a)(6) must be accompanied by:

(1) the reference required by 35 U.S.C. § 119(e) and 37 CFR § 1.78(a)(5)(i) of the prior-filed application, unless previously submitted;

- (2) the surcharge set forth in § 1.17(t); and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR §§ 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional where there is a question whether the delay was unintentional.

The instant application was filed after November 29, 2000. A review of the application as filed reveals that the claim for priority set forth on petition was not submitted on filing in the first sentence of the specification or in an application data sheet. Further, the period in 37 CFR §§ 1.78(a)(5)(ii) expired without the claim being presented. Accordingly, the instant petition is appropriate.

The petition includes payment of the surcharge on October 26, 2011 and on November 23, 2011. (As only one surcharge is required, the overpayment is being refunded to the Deposit Account). The petition also includes the required statement of unintentional delay.

However, the petition must be dismissed as it does not include the required reference. The rule requires that the reference be included in either an amendment to the first sentence of the specification following the title or a supplemental application data sheet. (see 37 CFR 1.78(a)(5)(iii)). It is noted that any amendment must be in a paper separate from the petition and in compliance with 37 CFR 1.121.

Moreover, the issue fee was paid in this application on September 29, 2011. For consideration of the amendment of the application by way of entry of an amendment or a supplemental application data sheet, petitioner must withdraw the application from issue and submit a request for continued examination (RCE) and RCE fee.

In view thereof, the petition is dismissed.

Further correspondence with respect to this matter should be addressed as follows:

By mail: Mail Stop PETITIONS
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

By hand:

Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax:

(571) 273-8300

ATTN: Office of Petitions

Any questions concerning this matter may be directed to the undersigned at (571) 272-3219.

Mancy Johnson

Senior Petitions Attorney

Office of Petitions

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

August 4, 2010

George E. Kirvan, Jr. E. I. DuPont de Nemours and Company 4417 Lancaster Pike Wilmington, DE 19805

Patent No.

: 7,754,810 B2

Ser. No.

: 12/255,082

Inventor(s)

: Michael Cregg Coughlin, et al.

Issued

: July 13, 2010

Docket No.

: DW0142USNA

Title

: PROCESS FOR PRODUCING FLUOROPOLYMERS

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing *incorrect or erroneous* assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (see Manual of Patent Examining Procedures (M.P.E.P) Chp.1400, sect. 1481). This procedure is required at any time after the issue fee is paid, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1. 17(i) (currently \$130);
- <u>B.</u> a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- <u>C.</u> a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of *the date* the assignment was submitted for recordation.

In the Request, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail:

Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

By hand:

**Customer Service Window** 

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax:

(571) 273-0025

ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

/Virginia Tolbert/
Virginia Tolbert
For Mary Diggs
Decisions & Certificates
of Correction Branch
(571) 272-0460 or (703) 756-1814

VI



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

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MAILED

MAY 05 2011

OFFICE OF PETITIONS

In re Patent No. 7,754,810

Application No. 12/255,082

Filed: October 21, 2008

Issued: July 13, 2010

Attorney Docket No. DW0142USNA

**ON PETITION** 

This is a decision on the petition filed August 27, 2010, which is being treated as a request under 37 CFR 3.81(b)<sup>1</sup> to add the name of the assignee on the front page of the above-identified patent by way of a Certificate of Correction.

The request is **GRANTED**.

This matter is being referred to the Certificates of Correction Branch for issuance of the requested Certificate of Correction.

Telephone inquiries concerning this decision may be directed to the undersigned at (571) 272-3206. Inquiries regarding the issuance of a certificate of correction should be directed to the Certificate of Correction Branch at (571) 272-4200.

Liana Walsh

Petitions Examiner Office of Petitions

<sup>&</sup>lt;sup>1</sup> See MPEP 1309, subsection II; and Official Gazette of June 22, 2004.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

WOLF GREENFIELD & SACKS, P.C. 600 ATLANTIC AVENUE BOSTON MA 02210-2206

MAILED

SEP 10 2010

In re Application of:

**OFFICE OF PETITIONS** 

GIACALONE, Jean-Pierre

.....

Application No. 12/255,205

ON PETITION

Filed: October 21, 2008

Attorney Docket No. G0789.70000US01

This is a decision on the Petition to Expunge Pursuant to 37 C.F.R. §1.59 and MPEP § 724.02, May 18, 2010, which has been treated as a Petition To Expunge under 37 CFR 1.59(b). The requisite \$200.00 petition fee has been submitted

#### The petition is **GRANTED**.

Petitioner urges that information of a sensitive nature and relating to attorney-client communication, which is otherwise not available to the public, was submitted in the application. It is agreed that under the circumstances of this case, petitioner has shown to the satisfaction of the Director that expungement is appropriate.

The file entry of the subject document has been closed. As such, the document is no longer available to the public for inspection, which is the Image File Wrapper (IFW) equivalent to removal of a paper document from a paper file wrapper.

Telephone inquiries concerning this decision should be directed to Brian W. Brown at (571) 272-5338.

Brian W. Brown Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

# WOLF GREENFIELD & SACKS, P.C. 600 ATLANTIC AVENUE BOSTON MA 02210-2206

MAILED

SEP 10 2010

OFFICE OF PETITIONS

In re Application of

Jean-Pierre Giacalone

Application No. 12/255,205

Filed: October 21, 2008

Attorney Docket No. G0789.70000US01

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed May 18, 2010.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by David Wolf on behalf of all attorneys of record who are associated with Customer Number 23628.

All attorneys/agents associated with the Customer Number 23628 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record remains unchanged.

Currently, there is no outstanding Office action that requires a reply.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski Petitions Examiner Office of Petitions

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

Briscoe, Kurt G. Norris McLaughlin & Marcus, PA 875 Third Avenue, 8th Floor New York NY 10022 MAILED

JAN 3 0 2012

OFFICE OF PETITIONS

In re Application of

Vogt et al.

Application No.: 12/255224

Filing or 371(c) Date: 10/21/2008

Attorney Docket Number:

100727-145

**ON PETITION** 

This is a decision on the petition under 37 CFR 1.181(a) to withdraw holding of abandonment, filed December 14, 2011.

This Petition is hereby granted.

#### **Background**

The application became abandoned for failure to timely file a reply within the meaning of 37 CFR 1.113 to the final Office action of March 17, 2011. The proposed reply required for consideration of a petition to revive must be a Notice of Appeal (and appeal fee required by 37 CFR 41.20(b)(2), an amendment that prima facie places the application in condition for allowance, a Request for Continued Examination and submission (37 CFR 1.114), or the filing of a continuing application under 37 CFR 1.53(b). See MPEP 711.03(c)(III)(A)(2). Accordingly, the date of abandonment of this application is June 18, 2011.

#### The present petition

Applicant files the instant petition and asserts that an RCE was timely filed and requests withdrawal of the holding of abandonment.

#### **Analysis and conclusion**

A review of the application file reveals that Applicant filed an "Amendment Under 37 CFR 1.114," and in the "Remarks," included a RCE request an authorization to charge fees and a three (3) month extension of time request and fee, on Monday, September 19, 2011. Accord 37 CFR 1.6.

Application No.: 12/255224 Page 2

The Office charged the fee for the three (3) month extension of time request; however, the Office did not process the RCE and Amendment.

In view of the foregoing, the petition is granted.

The application will be referred to Technology Center Art Unit 1615 for processing of the RCE and Amendment, and for continued processing in due course.

Telephone inquiries concerning this petition Decision should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Douglas A. Yerkeson Baker & Daniels LLP 300 North Meridian Street Suite 2700 Indianapolis IN 46204

#### MAILED

JAN 04 2012

#### OFFICE OF PETITIONS

Application No. 12/255,358

Filed: October 21, 2008 : Attorney Docket No. DFC-P4159 :

torney booker no. Bro 14133

This is a decision on the PETITION TO ACCEPT AN UNINTENTIONALLY DELAYED CLAIM FOR PRIORITY UNDER 35 U.S.C. § 120 filed December 15, 2011, to accept an unintentionally delayed claim to priorfiled application number 11/700,556.

The petition is GRANTED.

A petition for acceptance of a claim for late priority under 37 CFR § 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR § 1.78(a)(2)(ii), and must be filed during the pendency of the nonprovisional application. In addition, the petition under 37 CFR § 1.78(a)(3) must be accompanied by:

- (1) the reference required by 35 U.S.C. § 120 and 37 CFR § 1.78(a)(2)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in § 1.17(t); and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR §§ 1.78(a)(2)(ii), and the date the claim was filed was unintentional. The Director may require additional where there is a question whether the delay was unintentional.

The instant application was filed after November 29, 2000. A review of the application as filed reveals that the claim for

priority set forth on petition was not submitted on filing in the first sentence of the specification or in an application data sheet. Claims for priority to application Nos. 11/700,556 and 11/737,727 were set forth on petition; however, as no relationships were set forth, the claims were not proper under 35 U.S.C. § 120. The four and sixteen-month periods specified in 37 CFR §§ 1.78(a)(2)(ii) and 37 CFR 1.78(a)(5)(ii) expired without proper claims being made. Nonetheless, the claim that this application is a continuation-in-part of application No. 11/737,727, which claims benefit of 60/794,229 was picked up by the Office. Thus, the instant petition is appropriate with respect to the '556 application. In addition, the petition includes the required statement of unintentional delay and the required surcharge.

On petition, a reference to the prior-filed nonprovisional application has been included in an amendment, as required by 37 CFR 1.78(a)(2)(iii).

With respect to 35 U.S.C. 120 and 37 CFR 1.78(a)(3), the amendment includes a reference to prior-filed application No. 11/700,556, with the relationship stated as continuation-in-part. The amendment also adds the reference to the '727 application, as required by 37 CFR 1.78(a)(2)(iii), stating that the '727 application is a continuation-in-part.

All of the above requirements having been satisfied, the late claim for benefit of priority to the prior-filed application under 35 U.S.C. § 120 is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed applications under 37 CFR § 1.78(a) (3) should not be construed as meaning that this application is entitled to the benefit of the filing date of the prior-filed application. In order for this application to be entitled to the benefit of the prior-filed application, all other requirements under 35 U.S.C. §§120 and 1.78(a) (1) and (a) (2) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed application should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed application noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the

## application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed application, accompanies this decision on petition.

Any questions concerning this matter may be directed to the undersigned at (571) 272-3219. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

This application is being referred to Technology Center Art Unit 3753 for consideration by the examiner of the claim for benefit of priority under 35 U.S.C. §120 of the prior-filed applications as set forth in the amendment filed December 15, 2011.

Namicy Johnson

Senior Petitions Attorney

Office of Petitions

ATTACHMENT: Corrected Filing Receipt



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450

1	APPLICATION	'FILING or	GRP ART				
- [	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
	12/255,358	10/21/2008	3753	1506	DFC-P4159	28	3

79958 Douglas A. Yerkeson Baker & Daniels LLP 300 North Meridian Street Suite 2700 Indianapolis, IN 46204 CONFIRMATION NO. 3204
CORRECTED FILING RECEIPT



Date Mailed: 01/03/2012

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Kyle Robert Davidson, Noblesville, IN; Michael J. Veros, Indianapolis, IN; Austin Ray Murray, Fort Wayne, IN; Paul D. Koottungal, Indianapolis, IN;

#### **Assignment For Published Patent Application**

Masco Corporation of Indiana

Power of Attorney: The patent practitioners associated with Customer Number 79958

#### Domestic Priority data as claimed by applicant

This application is a CIP of 11/737,727 04/19/2007 \* which claims benefit of 60/794,229 04/20/2006
This application 12/255,358 is a CIP of 11/700,556 01/31/2007 which claims benefit of 60/794,229 04/20/2006 (\*)Data provided by applicant is not consistent with PTO records.

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

#### If Required, Foreign Filing License Granted: 11/03/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/255,358** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

page 1 of 3

**Early Publication Request:** No **Title** 

User Interface for a Faucet

**Preliminary Class** 

251

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

page 2 of 3

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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# THE RESERVE THE PROPERTY OF TH

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Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

KENYON & KENYON LLP 1500 K STREET, NW WASHINGTON, DC 20005-1257

MAILED

AUG 2 6 2010
OFFICE OF PETITIONS

In re Application of

Christopher Peter Hurrell, et al.

Application No.: 12/255,359

Filed: October 21, 2008

Attorney Docket No.: 13641-386901

ON PETITION

This is a decision on the petition, filed August 25, 2010, under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on August 2, 2010, cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.\(^1\)

Telephone inquiries relating to this decision should be directed to the undersigned at (571) 272-3204.

The application is being referred to Technology Center AU 2819 for further processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed Information Disclosure Statement (IDS).

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions

<sup>&</sup>lt;sup>1</sup> The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s)
Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.

		PTO/SB/64a		
Doc Code: PET.AUTO		U.S. Patent and Trademark Office		
Document Description: Petition aut	omatically granted by EFS-Web	Department of Commerce		
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED FOR FAILURE TO NOTIFY THE OFFICE OF A FOREIGN OR INTERNATIONAL FILING UNDER 37 CFR 1.137(f)			
Application Number	12255392			
Filing Date	21-Oct-2008			
First Named Inventor	Daniel Van Straten			
Art Unit	3727	3727		
Examiner Name	DEBRA MEISLIN			
Attorney Docket Number	5334-00005			
Title	AUTOMATED SURFACE COVERING REMOVAL MACHINE			
The above-identified application became abandoned pursuant to 35 U.S.C. 122(b)(2)(B)(iii) for failure to timely notify the Office of the filing of an application in a foreign country or under a multinational treaty that requires publication of applications eighteen months after filing. The date of abandonment is the day after the expiration date of the forty-five (45) day period set in 35 U.S.C. 122(b)(2)(B)(iii).  PURSUANT TO 37 CFR 1.137(f), APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION UNDER 37 CFR 1.137(b).  A grantable petition requires the following items: (1) Petition fee; (2) Reply; (3) Statement that the entire delay was unintentional.				
Petition fee The petition fee under 37CFR 1.17(n	n) is attached.			
Applicant claims SMALL EN	NTITY status. See 37 CFR 1.27.			
Applicant is no longer clai	ming SMALL ENTITY status. See 37 CFR 1.27	(g)(2).		
Applicant(s) status remain	s as SMALL ENTITY.			
Applicant(s) status remain	Applicant(s) status remains as other than SMALL ENTITY.			
Subsequent to the filing of the multinational international tre	Notice of Foreign or International Filing (35 U.S.C. 122(b)(2)(B)(iii) and 37 CFR 1.213(c)) Subsequent to the filing of the above-identified application, an application was filed in another country, or under a multinational international treaty (e.g., filed under the Patent Cooperation Treaty), that requries publication of applications eighteen months after the filing. The filing date of the subsequently filed foreign or international application is 02-19-2009			
☐ The non-publication request has been filed on 21-Oct-2008				

STATEMENT: The entire delay in filing the required notice of a foreign or international filing from the due date for the required notice until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.				
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES				
I certify, in accordance with 37 CFR 1.4(d)(4) that I am:				
• An attorney or agent register in this application.	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.			
An attorney or agent register	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.			
A sole inventor	) A sole inventor			
A joint inventor; I certify that	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors.			
A joint inventor; all of whom are signing this e-petition.				
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.				
Signature	/Aaron T. Olejniczak/			
Name	Aaron T. Olejniczak			
Registration Number	54853			



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: September 9,2011

In re Application of : DECISION ON PETITION

**Daniel Van Straten** 

Application No: 12255392

Filed: 21-Oct-2008

Attorney Docket No: 5334-00005

This is an electronic decision on the petition, filed September 9,2011 ,which is being treated as a petition under 37 CFR 1.137(b) to revive the instant nonprovisional application for failure to timely notify the U.S. Patent and Trademark Office (USPTO) of the filing of an application in a foreign country, or under a multinational treaty that requires publication of applications eighteen months after filing. See 37 CFR 1.137(f).

#### The petition is **GRANTED.**

Petitioner states that the present nonprovisional application is the subject of a foreign or international application filed on

02-19-2009

However, the USPTO was unintentionally not notified of this filing within 45 days subsequent to the filing of the subject application in a foreign country.

In view of the above, this application became abandoned pursuant to 35 U.S.C. § 122(b)(2)(B)(iii) and 37 CFR 1.213(c) for failure to timely notify the Office of the filing of an application in a foreign country, or under a multilateral international agreement that requires publication of applications 18 months after filing.

A petition under 37 CFR 1.137(f) must be accompanied by:

- (1) the reply which is met by the notification of such filing in a foreign country or under a multinational treaty;
- (2) the petition fee as set forth in 37 CFR 1.17(m); and
- (3) a statement that the entire delay in filing the required notice of a foreign or international filing from the due date for the required notice until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

The instant petition has been found to be in compliance with 37 CFR 1.137(b). Accordingly, the failure to timely notify the USPTO of a foreign or international filing within 45 days after the date of filing of such foreign or international application as provided by 35 U.S.C. § 122(b)(2)(B)(iii) and 37 CFR 1.213(c) is accepted as having been unintentionally delayed.

The previous Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i) has been rescinded. A Notice Regarding Rescission of Nonpublication Request which sets forth the projected publication date will be viewable in Private PAIR within one (1) business day.

This application file is being directed to the Office of Data Management.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/255,431	10/21/2008	Han Sang LEE	741196-66	3327		
-	7590 06/23/2011		EXAM	INER		
ROBERTS MLOTKOWSKI SAFRAN & COLE, P.C.			HOLLIDAY, JA	HOLLIDAY, JAIME MICHELE		
Intellectual Prop P.O. Box 10064	erty Department		ART UNIT	PAPER NUMBER		
MCLEAN, VA 22	2102-8064		2617			
			NOTIFICATION DATE	DELIVERY MODE		
			06/23/2011	ELECTRONIC		

#### **DECISION GRANTING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment is recognized

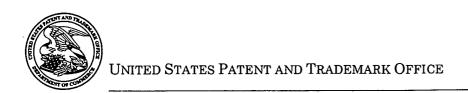
This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is granted.

The express abandonment is recognized. Any previously paid search fee and excess claims fee are hereby refunded.

Telephone inquiries should be directed to the Office of Data Management at (571) 272-4200.

Patent Publication Branch Office of Data Management



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450

Chan Wang 21205 Halworth Road Beachwood OH 44122 MAILED

OCT 25 2011

OFFICE OF PETITIONS

In re Application of

Chan Qian WANG

ON PETITION

Application No. 12/255,471

Filed: October 21, 2008

This is a decision on the petition under 37 CFR 1.137(b), filed July 27, 2011, to revive the above-identified application.

The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mailing date of this decision. Extensions of time under 37 CFR 1.136 are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.137(b)". This is not a final agency action within the meaning of 5 U.S.C. §704.

The application became abandoned for failure to reply in a timely manner to the Ex-Parte Qualye Action mailed September 3, 2010 (Action), which set a shortened period for reply of two (2) months or 30 days, whichever was longer. An extension of time under the provisions of 37 CFR 1.136(a) was not obtained. The application became abandoned November 4, 2010. A Notice of Abandonment was mailed May 6, 2011.

A grantable petition under 37 CFR1.137(b) must be accompanied by: (1) an appropriate reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a statement that the entire delay for filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR1.137(d). The petition lacks item (1).

Regarding item (1), the proposed reply required for consideration of a petition to revive must be commensurate with the requirements stated in the Action mailed September 3, 2010. The submitted amendment does not *prima facie* place the application in condition for allowance. See attached copy of Advisory Action.

Further correspondence with respect to this matter should be addressed as follows:

By Mail:

Mail Stop PETITION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By hand:

U.S. Patent and Trademark Office

Customer Service Window, Mail Stop Petitions

Randolph Building 401 Dulany Street Alexandria, VA 22314

By facsimile:

(571) 273-8300

Attn: Office of Petitions

Telephone inquiries relating to this decision should be directed to Robert DeWitty, Petitions Attorney, Office of Petitions (571-272-8427).

Anthony Knight

Director

Office of Petitions

**Enclosures: Advisory Action** 

	Application No.	Applicant(s)		
Advisory Action	12/255,471	WANG, CHAN QIA	N	
Before the Filing of an Appeal Brief	Examiner	Art Unit	,	
	JOHN J. WILSON	3732		
The MAILING DATE of this communication appe	ears on the cover sheet with the c	orrespondence add	ress	
THE REPLY FILED 11 July 2011 FAILS TO PLACE THIS APPI	LICATION IN CONDITION FOR AL	LOWANCE.		
1. The reply was filed after a final rejection, but prior to or on application, applicant must timely file one of the following application in condition for allowance; (2) a Notice of Appe for Continued Examination (RCE) in compliance with 37 C periods:	replies: (1) an amendment, affidavied (with appeal fee) in compliance FR 1.114. The reply must be filed	t, or other evidence, w with 37 CFR 41.31; o	which places the (3) a Request	
<ul> <li>a)</li></ul>		in the final rejection, whi	chever is later. In	
no event, however, will the statutory period for reply expire to Examiner Note: If box 1 is checked, check either box (a) or (MONTHS OF THE FINAL REJECTION. See MPEP 706.07)  Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of extender 37 CFR 1.17(a) is calculated from: (1) the expiration date of the second control of the second co	ater than SIX MONTHS from the mailing (b). ONLY CHECK BOX (b) WHEN THE (f). on which the petition under 37 CFR 1.1 tension and the corresponding amount of the correspondi	g date of the final rejection FIRST REPLY WAS FI  (36(a) and the appropriate (a) the fee. The appropriate (b) the fee. The appropriate (c) the final Office (c)	on.  LED WITHIN TWO  e extension fee ate extension fee ae action; or (2) as	
set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL	· -			
<ol> <li>The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exter Notice of Appeal has been filed, any reply must be filed w</li> </ol>	nsion thereof (37 CFR 41.37(e)), to	avoid dismissal of the	s of the date of e appeal. Since a	
<u>AMENDMENTS</u>		90 4 b 4 4 b -		
<ul> <li>The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because         <ul> <li>(a) They raise new issues that would require further consideration and/or search (see NOTE below);</li> <li>(b) They raise the issue of new matter (see NOTE below);</li> <li>(c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or</li> <li>(d) They present additional claims without canceling a corresponding number of finally rejected claims.</li> </ul> </li> </ul>				
NOTE: See Continuation Sheet. (See 37 CFR 1.1				
4. The amendments are not in compliance with 37 CFR 1.13	21. See attached Notice of Non-Co	mpliant Amendment (	PTOL-324).	
5. Applicant's reply has overcome the following rejection(s)		time also filed amondmos	et consoling the	
Newly proposed or amended claim(s) would be alnon-allowable claim(s).	•			
7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: Claim(s) rejected:				
Claim(s) withdrawn from consideration:				
<ul> <li>AFFIDAVIT OR OTHER EVIDENCE</li> <li>8.  The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).</li> </ul>	t before or on the date of filing a No d sufficient reasons why the affidav	otice of Appeal will <u>no</u> it or other evidence is	t be entered necessary and	
9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to o	9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will <u>not</u> be entered because the affidavit or other evidence failed to overcome <u>all</u> rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).			
10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.				
REQUEST FOR RECONSIDERATION/OTHER  11. The request for reconsideration has been considered but	t does NOT place the application in	condition for allowan	ce because:	
<ul> <li>12. ☐ Note the attached Information <i>Disclosure Statement</i>(s).</li> <li>13. ☐ Other: See Continuation Sheet.</li> </ul>	(PTO/SB/08) Paper No(s)			
	/John J Wilson/ Primary Examiner Art Unit 3732			

#### **Continuation Sheet (PTO-303)**

Application No. 12/255,471

Continuation of 3. NOTE: The deleting of the original drawing and related text does not meet or respond to the objection to provide a drawing to facilitate understanding of the invention.

Continuation of 13. Other: Applicant has failed to place the application in condition for allowance by not providing an illustration of the invnetion in the form of a drawing as required by the outstanding objection.

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

#### MAILED

Chan Wang 21205 Halworth Road Beachwood OH 44122 JAN 12 2012

**OFFICE OF PETITIONS** 

In re Application of

Chan Qian WANG

ON PETITION

Application No. 12/255,471

Filed: October 21, 2008

This is a decision on the renewed petition under 37 CFR 1.137(b), filed December 5, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the Ex-Parte Qualye Action mailed September 3, 2010 (Office action), which set a shortened period for reply of two (2) months or 30 days, whichever was longer. An extension of time under the provisions of 37 CFR 1.136(a) was not obtained. The application became abandoned November 4, 2010. A Notice of Abandonment was mailed May 6, 2011.

The petition satisfies the conditions for revival pursuant to 37 CFR 1.137(b) by including (1) a reply in the form of a Response to the Office action mailed September 3, 2010, (2) a petition fee of \$810, and (3) a statement of unintentional delay. The reply to the Notice is accepted as having been unintentionally delayed.

Telephone inquiries relating to this decision should be directed to Robert DeWitty, Petitions Attorney, Office of Petitions (571-272-8427).

The application file will be referred to the Technology Center Art Unit 3732 for further consideration of the filed reply.

Robert De Witty
Petitions Attorney
Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FULBRIGHT & JAWORSKI L.L.P. 600 CONGRESS AVE. SUITE 2400 AUSTIN TX 78701

MAILED
AUG 2 7 2010
OFFICE OF PETITIONS

In re Patent No. 7,700,351

Issue Date: April 20, 2010

Application No. 12/255,481 Filed: October 21, 2008

Attorney Docket No. DFBP:010USC1/10813332

**DECISION ON PETITION** 

This is a decision on the petition filed June 22, 2010, which is being treated as a request under 37 CFR 3.81(b)<sup>1</sup> to correct the name of the assignee on the front page of the above-identified patent by way of a Certificate of Correction.

The request is **GRANTED**.

Telephone inquiries concerning this decision may be directed to Michelle R. Eason at (571) 272-4231. Inquiries regarding the issuance of a certificate of correction should be directed to the Certificate of Correction Branch at (571) 272-4200.

The Certificates of Correction Branch will be notified of this decision granting the petition under 37 CFR 3.81(b) and directing issuance of the requested Certificate of Correction.

Thurman K. Page Petitions Examiner Office of Petitions

See MPEP 1309, subsection II; and Official Gazette of June 22, 2004.



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

DLA PIPER LLP (US) ATTN: PATENT GROUP P.O. BOX 2758 RESTON, VA 20195

MAILED
SEP 0 9 2011
OFFICE OF PETITIONS

In re Application of

Kaiyuan Huang, et al.

Application No. 12/255,587 Filed: October 21, 2008

Attorney Docket No. 5473-008 US

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed August 26, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The request was signed by James M. Heintz on behalf of all attorneys of record. All attorneys/agents have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future communications from the Office will be directed to the assignee of the entire interest at the first copied address below until otherwise properly notified by the applicant.

Telephone inquiries concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc:

LIQUID COMPUTING CORPORATION 340 TERRY FOX, SUITE 300 OTTAWA K2K 3A2 CANADA



24510

#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

DLA PIPER LLP (US) ATTN: PATENT GROUP

P.O. Box 2758 Reston, VA 20195 FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/255,587

10/21/2008

Kaiyuan HUANG

5473-008 US

CONFIRMATION NO. 3609
POWER OF ATTORNEY NOTICE

Date Mailed: 09/07/2011

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/26/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/tsjohnson/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.ususto.gov

FRISKIT INC 660 FOURTH STREET #290 SAN FRANCISCO CA 97104

#### MAILED

FEB 09 2012

#### OFFICE OF PETITIONS

In re Application of Eyal, et al.

Application No. 12/255,615 : Filed: October 21, 2008 :

ON PETITION

Attorney Docket No. 33239-00002-US

This is a decision on the petition to revive under 37 CFR 1.137(b), filed January 23, 2012.

The petition under 37 CFR 1.137(b) is GRANTED.

The above-identified application became abandoned for failure to timely file a reply to the non-final Office action mailed April 21, 2011. This Office action set a shortened statutory period for reply of three months. No reply having been received, the application became abandoned on July 22, 2011. The Office mailed a Notice of Abandonment on November 15, 2011.

With the instant petition, applicant paid the petition fee, made the proper statement of unintentional delay, and submitted the required reply in the form of continuation application No. 13/355,867.

The above-identified application is being revived solely for purposes of continuity. As continuity has been established by this decision reviving the above-identified application, the instant application is again abandoned in favor of the continuation application, No. 13/355,867, filed January 23, 2012.

Telephone inquiries concerning this decision should be directed to the undersigned at (571)272-3207.

Uff

Cliff Congo Petitions Attorney Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

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JUN 2 1 2011

OFFICE OF PETITIONS

In re Application of

Hovda et al.

Application No. 12/255,731

Filed: October 22, 2008

Attorney Docket No. 022031-003910US

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed May 18, 2011.

The request is **NOT APPROVED** because it is moot.

A review of the file record indicates that on June 13, 2011 the power of attorney to Kilpatrick Townsend & Stockton LLP was revoked by the assignee of the patent application. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions

cc: WILSON, SONSINI, GOODRICH & ROSATI

650 PAGE MILL ROAD PALO ALTO CA 94304-1050



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

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OFFICE OF PETITIONS

In re Application of

David Hovda, et al.

Application No. 12/255,733

Filed: October 22, 2008

Attorney Docket No. 022031-003920US

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed May 18, 2011.

The request is **NOT APPROVED** because it is moot.

A review of the file record indicates that the power of attorney to Kilpatrick Townsend & Stockton LLP has been revoked by the assignee of the patent application on June 2, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: WILSON, SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

**MAILED** 

JUN 212011

OFFICE OF PETITIONS

In re Application of

Hovda et al.

Application No. 12/255,737

Filed: October 22, 2008

Attorney Docket No. 022031-003930US

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed May 18, 2011.

The request is **NOT APPROVED** because it is moot.

A review of the file record indicates that on June 9, 2011 the power of attorney to Kilpatrick Townsend & Stockton LLP was revoked by the assignee of the patent application. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions

cc: WILSON, SONSINI, GOODRICH & ROSATI

650 PAGE MILL ROAD PALO ALTO CA 94304-1050



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

BLACK LOWE & GRAHAM, PLLC 701 FIFTH AVENUE SUITE 4800 SEATTLE WA 98104

MAILED

APR 1/2/2011

OFFICE OF PETITIONS

In re Application of

Mask et al.

Application No. 12/255,747

Filed: October 22, 2008

Attorney Docket No. KJMA-1-1001

ON PETITION

:

This is a decision on the petition under 37 CFR 1.102(c)(1), filed March 11, 2011, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required

The instant petition includes a statement (PTO/SB/130 form) by the applicant's attorney that applicant is 65 years of age. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-7751. All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

This matter is being referred to the Technology Center Art Unit 3677 for action on the merits commensurate with this decision.

Joan Olszewski Petitions Examiner Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/255,767	10/22/2008	Manfred Weber	333305US0DIV	3985	
OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER		
			EGWIM, KELECHI CHIDI		
			ART UNIT PAPER NUMBER 1762		
			11/04/2010	ELECTRONIC	

# **DECISION GRANTING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment is recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is granted.

The express abandonment is recognized. Any previously paid search fee and excess claims fee are hereby refunded.

Telephone inquiries should be directed to the Office of Data Management at (571) 272-4200.

Patent Publication Branch Office of Data Management

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United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

POLSINELLI SHUGHART PC 700 West 47th Street Suite 1000 KANSAS CITY MO 64112

MAILED

DEC 2.0 2011

In re Application of

OFFICE OF PETITIONS

McGinley, et al.

Application No. 12/255,797

DECISION ON PETITION

Filed: October

October 22, 2008

Attorney Docket No. SCP001-327224

This is a decision on the petition under 37 CFR 1.137(b), filed December 6, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The above-cited application became abandoned for failure to reply in a timely manner to the non-final Office action mailed November 30, 2010, which set a shortened statutory period for reply of three (3) months from its mailing date. No extension of time pursuant to 37 CFR 1.136(a) was obtained within the allowable period. Accordingly, the application became abandoned on March 1, 2011. A Notice of Abandonment was mailed June 22, 2011.

The amendment filed December 6, 2011, is noted.

The address cited on the petition differs from the address of record. A courtesy copy of this decision is being mailed to the address cited on petition. All future correspondence will be mailed solely to the address of record until appropriate written instructions to the contrary are received.

The application is being forwarded to Technology Center 3700, GAU 3754 for further processing.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3222.

/Kenya A. McLaughlin/

Kenya A. McLaughlin Petitions Attorney Office of Petitions In re Application No. 12/255,797

Cc: Richard Stitt 6201 College Boulevard, Suite 500 Overland Park, KS 66211



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

JOSEPH P. CURTIN 1469 N.W. MORGAN LANE PORTLAND OR 97229

MAILED

SEP 0 1 2010

In re Application of : OFFICE OF PETITIONS

Felger, David

Application No. 12/255,857 : ON PETITION

Filed: October 22, 2008

Attorney Docket No. 147.0033 :

This is a decision on the petition under 37 CFR 1.102(c)(1), filed August 3, 2010, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required.

The instant petition includes a Declaration from the applicant, David Felger. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-3206. All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

This matter is being referred to Technology Center Art Unit 3691 for action on the merits commensurate with this decision. It is noted that there was an Office action mailed August 16, 2010, which requires a reply.

Liana Walsh

Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

JOSEPH P. CURTIN 1469 N.W. MORGAN LANE PORTLAND OR 97229

MAILED

SEP 0 1 2010

In re Application of : OFFICE OF PETITIONS

Felger, David

Application No. 12/255,871 : ON PETITION

Filed: October 22, 2008

Attorney Docket No. 147.0034

This is a decision on the petition under 37 CFR 1.102(c)(1), filed August 3, 2010, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required.

The instant petition includes a Declaration from the applicant, David Felger. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-3206. All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

This matter is being referred to Technology Center Art Unit 3691 for action on the merits commensurate with this decision. It is noted that there was an Office action mailed August 17, 2010, which requires a reply.

Liana Walsh Petitions Examiner Office of Petitions

Janawaleh



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

JOSEPH P. CURTIN 1469 N.W. MORGAN LANE PORTLAND OR 97229

MAILED

SEP 01 2010

In re Application of : OFFICE OF PETITIONS

Felger, David :

Application No. 12/255,899 : ON PETITION

Filed: October 22, 2008

Attorney Docket No. 147.0035 :

This is a decision on the petition under 37 CFR 1.102(c)(1), filed August 3, 2010, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

# The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required.

The instant petition includes a Declaration from the applicant, David Felger. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-3206. All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

This matter is being referred to Technology Center Art Unit 3691 for action on the merits commensurate with this decision. It is noted that there was an Office action mailed August 17, 2010, which requires a reply.

Liana Walsh Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

# WOODARD, EMHARDT, MORIARTY, MCNETT & HENRY LLP 111 MONUMENT CIRCLE, SUITE 3700 INDIANAPOLIS IN 46204-5137

MAILED

APR 15 2011

In re Application of

OFFICE OF PETITIONS

McGuckin et al.

DECISION REFUSING STATUS

Application No. 12/255,990

UNDER 37 CFR 1.47(a)

Filed: October 22, 2008

Attorney Docket No. 003006-002526

This is in response to the renewed petition under 37 CFR 1.47(a), filed October 1, 2010.

The petition is dismissed.

Rule 47 applicant is given TWO MONTHS from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR 1.47(a)," and should only address the deficiencies noted below, except that the reply may include an oath or declaration executed by the non-signing inventor. **FAILURE TO RESPOND WILL RESULT IN ABANDONMENT OF THE APPLICATION.** Any extensions of time will be governed by 37 CFR 1.136(a). Also, this decision does not stay any other period for reply that may be running against the application. 37 CFR 1.181(f).

A grantable petition under 37 CFR 1.47(a) requires: (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings); (2) an acceptable oath or declaration in compliance with 35 U.S.C. §§ 115 and 116; (3) the petition fee; and (4) a statement of the last known address of the non-signing inventor. The petition lacks item (1).

The applicable statute (35 U.S.C. § 116) requires that a "diligent effort" have been expended in attempting to find or reach the non-signing inventor. See MPEP 409.03(a). The showing currently fails to demonstrate that a diligent effort was made to find or locate non-signing inventor James F. McGuckin, such that the declaration can be accepted under 37 CFR 1.47(a). Where inability to find or locate a named inventor is alleged, a statement of facts should be submitted that fully describes the exact facts which are relied on to establish that a diligent effort was made to locate the inventor.

Petitioner indicates that, prior to mailing correspondence to inventor McGuckin, Declarations that were previously signed by Mr. McGuckin were checked in addition to the USPTO PAIR

Application: 12/255,990 Page 2

database. However, a diligent effort to find or reach inventor McGuckin should involve a broader search for his most recent address, such as a search in one of the various sources on the internet. If another address is found where he may be located currently, an attempt should be made to present the appropriate papers to Mr. McGuckin at that address.

Further correspondence with respect to this matter should be delivered through one of the following mediums:

By mail:

Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand:

Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street

Alexandria, VA 22314

By fax:

(571) 273-8300

ATTN: Office of Petitions

By Internet:

EFS-Web<sup>1</sup>

Telephone inquiries should be directed to the undersigned at (571) 272-6692.

Christopher Bottorff **Petitions Examiner** Office of Petitions

Chitat Broth

<sup>1</sup> www.uspto.gov/ebc/efs help.html (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

WOODARD, EMHARDT, MORIARTY, MCNETT & HENRY LLP
111 MONUMENT CIRCLE, SUITE 3700
INDIANAPOLIS IN 46204-5137

AUG 04 2011

In re Application of

McGuckin et al.

Application No. 12/255,990

Filed: October 22, 2008

Attorney Docket No. 003006-002526

OFFICE OF PETITIONS

**DECISION GRANTING STATUS** 

UNDER 37 CFR 1.47(a)

This is in response to the renewed petition under 37 CFR 1.47(a), filed June 15, 2011.

The petition is **GRANTED**.

Petitioner has shown that the non-signing inventor has refused by conduct to join in the filing of the above-identified application.

The application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in 37 CFR 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the last known address to which the application was sent. Notice of the filing of this application will also be published in the Official Gazette.

Telephone inquiries should be directed to the undersigned at (571) 272-6692.

Christopher Bottorff Petitions Examiner

Utot Both

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Dr. James F McGuckin, Jr. 585 County Line Road Wayne, PA 19087

MAILED
AUG 04 2011
OFFICE OF PETITIONS

In re Application of McGuckin et al. Application No. 12/255,990 Filed: October 22, 2008

For: HOLLOW CURVED SUPERELASTIC MEDICAL NEEDLE AND METHOD

Dear Dr. McGuckin:

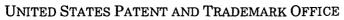
You are named as a joint inventor in the above-identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application, you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel prosecuting the application (see below) would presumably assist you. Joining the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Christopher Bottorff at (571) 272-6692. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to the Certification Division at (571) 272-3150 or 1 (800) 972-6382 (outside the Washington, DC area).

Christopher Bottorff Petitions Examiner Office of Petitions

cc: WOODARD, EMHARDT, MORIARTY, MCNETT & HENRY LLP 111 MONUMENT CIRCLE, SUITE 3700 INDIANAPOLIS IN 46204-5137





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Don J. Pelto Sheppard, Mullin, Richter & Hampton, LLP 1300 I Street, NW 11<sup>th</sup> Floor East Washington, DC 20005

MAILED

JUN 28 2011

OFFICE OF PETITIONS

In re Application of

Charles J. Balzer, et. al.

Application No. 12/256,007 Filed: October 22, 2008

Attorney Docket No. 14ME-139227

: DECISION ON PETITION

: UNDER 37 CFR 1.78(a)(3)

This is a decision on the petition under 37 CFR 1.78(a)(3), filed June 16, 2011, to accept an unintentionally delayed claim under 35 U.S.C. § 120 for the benefit of priority to the prior-filed nonprovisional applications set forth in the amendment filed with the petition.

# The petition is **GRANTED**.

A petition for acceptance of a claim for late priority under 37 CFR 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR 1.78(a)(2)(ii). In addition, the petition under 37 CFR 1.78(a)(3) must be accompanied by:

- (1) the reference required by 35 U.S.C. § 120 and 37 CFR 1.78(a)(2)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in § 1.17(t); and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

All of the above requirements having been satisfied, the late claim for priority under 35 U.S.C. § 120 is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed applications under 37 CFR 1.78(a)(3) should not be construed as meaning that this application is entitled to the benefit of the prior-filed applications. In order for this application to be entitled to the benefit of the prior-filed applications, all other requirements under 35 U.S.C. § 120 and 37 CFR 1.78(a)(1) and (a)(2) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed applications should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed applications noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed nonprovisional applications, accompanies this decision on petition.

This application is being forwarded to Technology Center Art Unit 1617 for consideration by the examiner of applicant's entitlement to claim benefit of priority under 35 U.S.C. § 120 to the prior-filed applications.

Any inquiries concerning this decision may be directed to the undersigned at (571) 272-3226. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

/Andrea M. Smith/ Andrea Smith Petitions Examiner Office of Petitions

ATTACHMENT: Corrected Filing Receipt



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viginia 22313-1450

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/256,007	10/22/2008	1617	2026	14ME-139227	38	

**CONFIRMATION NO. 4412** 

68850 DON J. PELTO Sheppard, Mullin, Richter & Hampton LLP 1300 I STREET, NW 11TH FLOOR EAST WASHINGTON, DC 20005

CORRECTED FILING RECEIPT

Date Mailed: 06/24/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Charles J. Balzer, West Orange, NJ; John A. Giordano, West Orange, NJ;

#### **Assignment For Published Patent Application**

Everett Laboratories, Inc.

Power of Attorney: The patent practitioners associated with Customer Number 68850

#### Domestic Priority data as claimed by applicant

This application is a CIP of 11/928,610 10/30/2007 which is a CON of 10/916,534 08/12/2004 PAT 7,560,123 This application 12/256,007 is a CIP of 10/682,927 10/14/2003 PAT 6,863,904 which is a CIP of 09/982,205 10/19/2001 PAT 6,660,293 \* (\*)Data provided by applicant is not consistent with PTO records.

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 11/03/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/256,007** 

Projected Publication Date: Not Applicable

Non-Publication Request: No Early Publication Request: No

page 1 of 3

#### **Title**

COMPOSITIONS AND METHODS FOR PROPHYLACTIC AND THERAPEUTIC SUPPLEMENTATION OF NUTRITION IN SUBJECTS

#### **Preliminary Class**

424

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

page 2 of 3

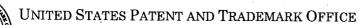
the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

PEARNE & GORDON LLP 1801 EAST 9TH STREET **SUITE 1200 CLEVELAND OH 44114-3108** 

MAILED FEB 1 0 2012 OFFICE OF PETITIONS

**DECISION** 

In re Application of

Roy, et al.

Application No. 12/256,033

Filed: October 22, 2008

Attorney Docket Number: GOWL-44444

ON PETITION

This is in response to the petition under 37 CFR 1.84(a)(2), filed February 28, 2011, for acceptance of color drawings.

#### The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled, "Renewed Petition under 37 CFR 1.84(a)(2)". No further petition fee is required for a renewed petition.

A grantable petition under 37 C.F.R. 1.84(a)(2) must be accompanied by the following:

- **(1)** The fee set forth in 37 C.F.R. 1.17(h);
- (2) Three (3) sets of color drawings, or one (1) set if filed via EFS, and
- The specification contains appropriate language referring to the color drawings as the first paragraph in that portion of the specification relating to the brief description of the drawings.

In addition, MPEP 608.02 states that a petition to accept color drawings will only be granted when the Office "has determined that a color drawing or photograph is the only practical medium by which to disclose in a printed utility patent the subject matter to be patented."

Here, the Office has determined that color drawings for Figures 4,5,7,8, and 14 are not the only practical medium by which to disclose the subject matter. See, e.g. MPEP 608.02, Section IX, which states that drawing symbols can be used to indicate various materials where the material is an important feature of the invention.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petitions

Commissioner for Patents

PO Box 1450

Alexandria VA 22313-1450

By FAX:

571-273-8300

Attn: Office of Petitions

The application is being forwarded to Group Art Unit 2821.

Telephone inquiries regarding this decision should be directed to the undersigned at (571)272-3207.

. ,

Cliff Congo Petitions Attorney Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Pearne & Gordon LLP 1801 East 9th Street Suite 1200 Cleveland OH 44114-3108

**MAILED** 

FEB 02 2011

OFFICE OF PETITIONS

In re Application of

James

Application No. 12/256,044

Filed: October 22, 2008

Attorney Docket No. GOWL-4446

**DECISION ON PETITION** 

This is a decision on the petition under 37 CFR 1.182, filed December 9, 2010, to change the name of inventor "Nic James" to – Nicholas John James --.

The petition is **GRANTED**.

Office records have been updated to reflect the inventor's change of name. A corrected Filing Receipt, which reflects the inventor's change of name, accompanies this decision on petition.

Any questions concerning this matter may be directed to the undersigned at (571) 272-3230. Any questions concerning the examination procedures or status of the application should be directed to the Office of Data Management.

This application is being referred to the Office of Data Management for processing into a patent.

Shirene Willis Brantley
Senior Petitions Attorney
Office of Petitions

ATTACHMENT: Corrected Filing Receipt



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vriginia 22313-1450 www.uspio.gov

APPLICATION	FILING or	GRP ART	, ,		1	
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/256,044	10/22/2008	2111	1520	GOWI -44446	14	. 2

86378 Pearne & Gordon LLP 1801 East 9th Street Suite 1200 Cleveland, OH 44114-3108 CONFIRMATION NO. 4479
CORRECTED FILING RECEIPT



Date Mailed: 01/25/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Nicholas John James, MILTON, CANADA;

**Assignment For Published Patent Application** 

PSION TEKLOGIX INC., MISSISSAUGA, CANADA

Power of Attorney: The patent practitioners associated with Customer Number 000116

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/981,997 10/23/2007

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 11/03/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/256.044** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

PASSIVE CLIENT-HOST DETECTION FOR CONNECTED PERIPHERALS

#### **Preliminary Class**

710

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

FISH & RICHARDSON P.C. PO BOX 1022 MINNEAPOLIS, MN 55440-1022

# MAILED FEB 15 2012 OFFICE OF PETITIONS

In re Patent of Bliss et al.

Patent No. 8,060,582

FOR RECONSIDERATION OF Issue Date: November 15, 2011

Application No. 12/256,078

Filing Date: October 22, 2008

ISSUE CERTIFICATE OF

Attorney Docket No. 16113-0800001 : CORRECTION

This is a decision on the petition filed January 13, 2012, which is being treated as a petition under 37 C.F.R. § 1.705(d) requesting the patent term adjustment indicated on the patent be corrected to indicate the term of the patent is extended or adjusted by one hundred forty-nine (149) days.

#### The petition is **GRANTED** to the extent indicated herein.

The Office intends to *sua sponte* issue a certificate of correction indicating the correct patent term adjustment is 147 days. However, pursuant to 37 C.F.R. § 1.322, the Office will not issue a certificate of correction without first providing assignee or patentee an opportunity to be heard. Accordingly, Patentees are given one (1) month or thirty (30) days, whichever is longer, from the mail date of this decision to respond to this decision. In other words, if Patentees wish to dispute the 147-day determination, a request for reconsideration of the instant decision must be filed within **one** (1) **month or thirty** (30) days, whichever is longer, from the mail date of the instant decision. Extensions of time may not be obtained under 37 C.F.R. § 1.136.

No portion of this decision should be construed as a waiver of the requirement, set forth in 35 U.S.C. § 154(b)(4), that any civil action by an applicant dissatisfied with a determination made by the Director under 35 U.S.C. § 154(b)(3) be filed in the United States District Court for the District of Columbia within 180 days after the grant of the patent.

The patent term adjustment set forth on the patent includes the following periods of delay under 37 C.F.R. § 1.704 ("Applicant Delay"):

- 1. A 31-day period of delay under 37 C.F.R. § 1.704(b);
- 2. A 33-day period of delay under 37 C.F.R. § 1.704(b); and
- 3. A 54-day under 37 C.F.R. § 1.704(c)(10).

Patent No. 8,060,582 Page 2

Patentees assert, and the Office agrees, the Office should have entered a 26-day, instead of a 54-day, reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10).

Patentees assert the total period of Applicant Delay is 88 days. However, the total period of Applicant Delay is 90 (31 + 33 + 26) days.

Patentees assert the correct patent term adjustment is 149 days. However, the correct patent term adjustment is 147 days, which is 237 days of Office delay reduced by 90 days of Applicant Delay.

The Office acknowledges submission of the \$200.00 fee set forth in 37 C.F.R. § 1.18(e). No additional fees are required.

The application is being forwarded to the Certificates of Correction Branch for issuance of a certificate of correction. The Office will issue a certificate of correction indicating that the term of the patent is extended or adjusted by **one hundred forty-seven (147)** days.

Telephone inquiries specific to this decision should be directed to Senior Petitions Attorney Steven Brantley at (571) 272-3203.

Charles Steven Brantley

Senior Petitions Attorney

Office of Petitions

Enclosure: Copy of DRAFT Certificate of Correction

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

8,060,582 B2

APPLICATION NO.

12/256,078

DATED

November 15, 2011

INVENTOR(S)

Adam Bliss et al.

DRAFT

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

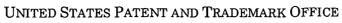
On the Title page,

[\*] Notice:

Subject to any disclaimer, the term of this patent is extended or adjusted under

35 U.S.C. 154(b) by 119 days.

Delete the phrase "by 119 days" and insert -- by 147 days--





Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

GREER, BURNS & CRAIN 300 S WACKER DR 25TH FLOOR CHICAGO IL 60606

MAILED
APR 03 2012
OFFICE OF PETITIONS

In re Application of

Sanae Shimizu, et al.

Application No. 12/256,148

Filed: October 22, 2008

Attorney Docket No. 0941.82260

**DECISION GRANTING PETITION** 

UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed, April 2, 2012 to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on March 9, 2012 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.<sup>1</sup>

Telephone inquiries should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to Technology Center AU 1712 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed information disclosure statement.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

BLACK LOWE GRAHAM 701 5<sup>TH</sup> AVE., SUITE 4800 SEATTLE, WA 98104 MAILED

In re Application of

NOV 08 2010

William E. Luce et al

OFFICE OF PETITIONS

Application No. 12/256,204

**DECISION ON PETITION** 

Filed: October 22, 2008

TO WITHDRAW

Attorney Docket No.: GORI-1-1013

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed October 19, 2010.

The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request is filed by Michael S. Smith on behalf of all the practitioners of record associated with Customer Number 86073.

The Office no longer accepts an address change to the new practitioner identified in the request, absent the filing of a power of attorney to the new representative. The Office will, however, change the correspondence address of record to the most current address provided for (1) the intervening assignee of the entire interest or (2) the first named inventor.

The request to withdraw from record cannot be approved at this time, since the Customer Number provided (61654) does not identify the intervening assignee of the entire interest or the first named inventor.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-3210. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

Irvin Dingle

Petitions Examiner Office of Petitions

	·			
<u> </u>	SPE RESPONSE FO	OR CERTIFICATE OF CORRECTION		
DATE	1216-10	Paper No.: –		
TO SPE OF	: ART UNIT 3686			
SUBJECT	: Request for Certificate of Correct	tion for Appl. No.: 12/25/216 Patent No.: 78/8/8		
		CofC mailroom date: \1/29//		
Please resp	ond to this request for a cer	tificate of correction within 7 days.		
FOR IFW F	LES: Should clo	nime be approved		
the IFW app	ew the requested changes/co	orrections as shown in the COCIN document(s) in tter should be introduced, nor should the scope or		
	plete the response (see belonent code <b>COCX</b> .	ow) and forward the completed response to scannin		
FOR PAPE	R FILES:			
		orrections as shown in the attached certificate of see below) and forward it with the file to:		
Rand	ficates of Correction Brand olph Square – 9D10-A Location 7580	Certificates of Correction/Branch		
		703-756-1814		
Thank You	For Your Assistance	100 70,0 1011		
The reques		entified correction(s) is hereby:		
	Approved	All changes apply.		
	Approved in Part	Specify below which changes do not apply.		
$\square$	Denied	State the reasons for denial below.		
Comments				
		to be correct, nota virtual representation		
In claim 27	In claim 27, after "providers;" is an ambiguous instruction, as it appears in the claim in three places.			
		<del></del>		
		Jerry O'Connor 3686		

PTOL-306 (REV. 7/03)



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Date Mailed: May 10, 2011

Patent No. : 7,818,183 B2 Patent Issued : Oct. 19, 2010 Docket No. : 22569-0019001

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s)1.322.

The alleged error(s) In claim 26, "the virtual representation" appears to be correct, not – a virtual representation. In claim 27, after "providers;" is an ambiguous instruction, as it appears in the claim in three places the patent is printed in accordance with the record. In view of the foregoing, your request, in this matter(s), is hereby denied.

Further consideration/reconsideration will be given upon receipt of a Request for Reconsideration under, under the provision of U.SC.254 or 255 (C.F.R. 1.322 or 1.323), accompanied by the appropriate response or fee of \$100, which should be directed to Decisions & Certificates of Correction Branch.

Ennis Young Legal Instrument Examiner (571) 272-3435

For Mary Diggs, Supervisor Decisions & Certificates of Correction Branch (703) 756-1580 or (703) 756-1814 (Receptionist)





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Yen Jung Sung 30-47 37 St. Astoria NY 11103

MAILED

DEC 2 1 2010

**OFFICE OF PETITIONS** 

In re Application of

An-Choa Chang

Application No. 12/256261

Filing or 371(c) Date: 10/22/2008

Title of Invention:

m.

LEAKAGE DETECTION DEVICE

DECISION

ON PETITION

This is a decision on the "Petition for Revival an Application for Patent Abandoned Unavoidably Under 37 CFR 1.137(a)," filed September 7, 2010.

#### This Petition is granted.

The above-identified application became abandoned for failure to timely and properly reply to the Notice to File Missing Parts of Nonprovisional Application ("Notice"), mailed November 6, 2008. The Notice set a two (2) month period for reply. Extensions of time were available under 37 CFR 1.136(a). No complete and proper reply having been received, the application became abandoned on January 7, 2009. A Notice of Abandonment was mailed July 15, 2009.

The petition satisfies the requirements of 37 CFR 1.137(a) in that petitioner has supplied (1) the required reply in the form of the application filing, search, and examination fees, and the surcharge for late submission of the filing fee; (2) the petition fee; and (3) a showing to the satisfaction of the Director that the entire delay was unavoidable.

The application file does not indicate a change of address has been filed in this case, although the address given on the petition differs from the address of record. A change of address should be filed in this case in accordance with MPEP 601.03. A courtesy copy of this decision is being mailed to the address noted on the petition. However, until otherwise instructed, all future correspondence regarding this application will be mailed solely to the address of record.

The application will be referred to the Office of Patent Application Processing for continued processing in the normal course of business.

Telephone inquiries concerning this matter should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions

Cc: YEN JUNG SUNG

2180 38 ST. #C8 ASTORIA, NY 11105



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/256,278	10/22/2008	Keisuke NISHIDATE	104165.60882US	4885
7	590 11/04/2010		EXAMI	NER
CROWELL & MO	ORING LLP	PHAM, LEDA T  ART UNIT PAPER NUMBER		
P.O. BOX 14300	. PROPERTY GROUP			
	DC 20044-4300		2834	
			MAIL DATE	DELIVERY MODE
			11/04/2010	PAPER

# **DECISION GRANTING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment is recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is granted.

The express abandonment is recognized. Any previously paid search fee and excess claims fee are hereby refunded.

Telephone/inquiries should be directed to the Office of Data Management at (571) 272-4200.

Patent Publication Branch
Office of Data Management

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Adjustment dates 11/04/2010 SFRATER No. Lineted No. Lineted No. Lineted Local College College

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FISH & RICHARDSON P.C. PO BOX 1022 MINNEAPOLIS MN 55440-1022

MAILED

MAR 19 2012

OFFICE OF PETITIONS

In re Application of

TSENG et al.

Application No. 12/256,325

Filed: 10/22/2008

Attorney Docket No. 16113-1389001

ON PETITION

This is a decision on the petition under 37 CFR 1.137(b), filed January 12, 2012, to revive the application.

# The petition is **GRANTED**.

The application became abandoned for failure to file a timely and proper reply within the meaning of 37 CFR 1.113 to the final Office action of July 1, 2011, which set a three-month shortened statutory period for response. Applicant obtained an extension of the time for response within the third month pursuant to 37 CFR 1.136(a). Accordingly, the application became abandoned on January 2, 2012. A Notice of Abandonment was mailed on January 13, 2012.

The petition satisfies the requirements of 37 CFR 1.137(b) in that applicant has supplied (1) the reply in the form of a RCE, the RCE fee, and the submission required by 37 CFR 1.114; (2) the petition fee; and (3) a proper statement of unintentional delay.

This application is being referred to Technology Center AU 2173 for processing of the RCE and for appropriate action by the Examiner on the amendment submitted in accordance with 37 CFR 1.114.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3211.

Christina Partera Donnell Christina Tartera Donnell Senior Petitions Attorney

Office of Petitions



KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

MAILED APR 202011

OFFICE OF PETITIONS

In re Application of

Vangilder et al.

Application No. 12/256,394

Filed: October 22, 2008

Attorney Docket No. 026808-003901US

**DECISION ON PETITION** TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 31, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by David A. Hall on behalf of all the practitioners of record associated with Customer Number 20350.

Customer Number 20350 has been withdrawn as attorney from record. Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address indicated below.

There is an outstanding Office action mailed February 4, 2011, that requires a reply from the applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-6059. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Alicia Kelley **Petitions Examiner** Office of Petitions

cc:

AMERICAN POWER CONVERSION CORPORATION C/O SHANE HUNTER

GILLMAN CLARK LLC 176 FEDERAL STREET, 4<sup>TH</sup> FLOOR BOSTON, MA 02110



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/256,394

10/22/2008

James VanGilder

026808-003901US

CONFIRMATION NO. 5109
POWER OF ATTORNEY NOTICE

20350 KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834



Date Mailed: 04/20/2011

# NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/31/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/atkelley-collier/		
Office of Data Management Application Assistance Unit (571)	272-4000, or (571) 272-4200, or 1-888	3-786-0101

DATE	08/06/11	
TO SPE OF	: ART UNIT	
SUBJECT	: Request for Certificate of	Correction for Appl. No.: <u>12256569</u> Patent No.: <u>7881137</u>
		CofC mailroom date: 07/27/11
Please resp	ond to this request for	a certificate of correction within 7 days.
FOR IFW F	ILES:	
IFW applica	•	ges/corrections as shown in the <b>COCIN</b> document(s) in the atter should be introduced, nor should the scope or .
	plete the response (see	e below) and forward the completed response to scanning
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Rand Palm You	ficates of Correction dolph Square – 9D10-/ Location 7580	Branch (CofC)  A  PE response to 571-273-3223  Certificates of Correction Branch 571-272-3421
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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

PTOL-306 (REV. 7/03)

SPE RESPONSE FOR CE	RTIFICATE OF CORRE	CTION
SU	RICHARD T. ELMS PERVISORY PATENT EXAMI TECHNOLOGY CENTER 280	VER 2624
	8	/ /
	SPE	Art Unit
·		
		-

DATE	:08/06/11		
TO SPE OF	: ART UNIT		
SUBJECT	: Request for Certificate of Corr	rection for Appl. No.: 12256569 Patent No.:	7881137
		CofC mailroom date:	07/27/11
Please resp	oond to this request for a ce	ertificate of correction within 7 days.	
FOR IFW F	<u>ILES</u> :		
IFW applica	ew the requested changes/ ation image. No new matte the claims be changed.	corrections as shown in the <b>COCIN</b> documer should be introduced, nor should the sco	ment(s) in th
Please com using docur	plete the response (see be nent code <b>COCX</b> .	elow) and forward the completed response	to scanning
FOR PAPE	R FILES:		
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		/VanThu Nguyen/	2824
		Primary Examiner	
		SPE	Art Unit
	`	SPE	Art Offic
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Schwegman Lundberg & Woessner / USAA P.O. Box 2938 Minneapolis MN 55402

MAILED

NOV 09 2011

OFFICE OF PETITIONS

In re Patent No. 8,027,853

Issue Date: September 27, 2011

Application No. 12/256,600

Filed: October 23, 2008

Attorney Docket No. US-0579.02/2244.404US1

**DECISION ON PETITION** 

This is a decision on the Request For Certificate Of Correction, filed October 13, 2011, which is being treated as a Petition Under 37 CFR §3.81(b), to identify the correct assignee's name. A complete Certificate of Correction Form (PTO/SB/44) was submitted with Petition.

The petition under 37 CFR §3.81(b) is **GRANTED**.

Petitioner requests that the present Petition was submitted to correct the assignee's name on the previously submitted PTOL 85B and such error was inadvertent. Accordingly, petitioner requests that a Certificate of Correction (PTO/SB/44) be issued to correct assignee's name to the Title Page of the Letters Patent.

37 CFR 3.81(b), effective June 25, 2004, reads:

After payment of the issue fee: Any request for issuance of an application in the name of the assignee submitted after the date of payment of the issue fee, and any request for a patent to be corrected to state the name of the assignee, must state that the assignment was submitted for recordation as set forth in §3.11 before issuance of the patent, and must include a request for a certificate of correction under §1.323 of this chapter (accompanied by the fee set forth in §1.20(a) and the processing fee set forth in §1.17(i) of this chapter.

The requisite \$100.00 fee (Fee Code 1811), as set forth under 37 CFR 1.20(a), and the requisite \$130.00 processing fee (Fee Code 1464), as set forth under 37 CFR 1.17(i), have been submitted. Further, Office assignment records are consistent with the requested correction. Accordingly, since the Petition complies with the provisions of 37 CFR §3.81(b), it is appropriate for the Office to issue a Certificate of Correction in accordance with the content of the Form (PTO/SB/44) submitted with Petition.

Page 2

However, petitioner requests a correction to be made in Item (56). Therefore, the correction will be handled directly in the Certificate of Correction Branch.

Inquiries related this communication should be directed to the undersigned at (571)272-3213.

Any questions concerning the issuance of a Certificate of Correction should be directed to the Certificates of Correction Branch at (703)756-1814.

This matter is being referred to the Certificates of Correction Branch for processing of a Certificate of Correction in U.S. Patent No. 8,027,853.

Cheryl Gibson-Baylor

Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

ALLEN DYER DIPPELT MILBRATH & GILSCRIST (COMMSCOPE)
255 S. ORANGE AVENUE
SUITE 1401
ORLANDO, FL 32801

MAILED

JAN 3 1 2011

**OFFICE OF PETITIONS** 

Applicant: Islam

Appl. No.: 12/256,617

Filing Date: October 23, 2008

Title: COAXIAL CABLE END PREPARATION TOOL WITH SAW GUIDE

AND ASSOCIATED METHODS Attorney Docket No.: 2045/63258 Pub. No.: US 2010/0101373 A1

Pub. Date: April 29, 2010

This is a decision on the request for a corrected patent application publication under 37 CFR 1.221(b), received on May 24, 2010, for the above-identified application.

The request is DISMISSED.

Applicant requests that the application be republished because the patent application publication contains a material error in claim 8 wherein a dash is missing from the chemical formula.

37 CFR 1.221 (b) is applicable: "only when the Office makes a material mistake which is apparent from Office records.... Any request for a corrected publication or revised patent application publication other than provided as provided in paragraph (a) of this section must be filed within two months from the date of the patent application publication. This period is not extendable". A material mistake must affect the public's ability to appreciate the technical disclosure of the patent application publication, to determine the scope of the patent application publication, or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent. <sup>1</sup>

The instant request does not identify a material mistake in the publication made by the Office under 37 CFR 1.221(b). The claims that were printed were submitted in an amendment by Applicant's Representative. Applicant's petition to expunge the papers was not treated in time to prevent export of the amendment to the publisher.

The applicant is advised that a "request for republication of an application previously published" may be filed under 37 CFR 1.221(a). Such a request for republication "must include a copy of

<sup>&</sup>lt;sup>1</sup>Changes to Implement Eighteen-Month Publication of Patent Applications, 65 FR 57023, 57038 (Sept. 20, 2000), 1239, Off. Gaz. Pat. Office Notices 63, 75 (Oct. 10, 2000) (final rule).

Application No.: 12/256,617 Page 2

the application compliance with the Office's electronic filing system requirements and be accompanied by the publication fee set forth in § 1.18(d) and the processing fee set forth in § 1.17(i)." If the request for republication does not comply with the electronic filing system requirements, the republication will not take place and the publication fee set forth in § 1.18(d) will be refunded. The processing fee will be retained.

It would greatly benefit the Office if applicant did not provide copies of papers, which were previously submitted and/or a complete copy of the pre-grant publication, as it unnecessarily increases the cost to the Office. See 37 CFR 1.4(b). A request for corrected publication need only point out what was printed incorrectly in the application, where the error occurs in the publication and where the correct text or drawing is found in the application papers. Marked up relevant copies of the applications papers and the pre-grant publication may facilitate processing of the request, where it is not readily apparent where the error occurs. If it is not clear why the error is a material error, further explanation may be warranted.

A Quick Start Guide for filing a request for a Pre-Grant Publication, such as a request for republication, may be found on the link below:

http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp

http://www.uspto.gov/ebc/portal/efs/pgpub\_quickstart.pdf

Any request for republication under 37 CFR 1.221(a), must be submitted via the EFS system, as a "Pre-Grant Publication."

Inquiries relating to this matter may be directed to Mark Polutta at (571) 272-7709.

Mark Polutta

Senior Legal Advisor

Office of Patent Legal Administration

Office of the Deputy Commissioner

for Patent Examination Policy



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

HESLIN ROTHENBERG FARLEY & MESITI P.C. 5 COLUMBIA CIRCLE ALBANY, NY 12203

MAILED
FEB 25 2011
OFFICE OF PETITIONS

In re Application of Levi A. Campbell et al Application No. 12/256,628 Filed: October 23, 2008

ON PETITION

Attorney Docket No. APPARATUS AND METHOD FOR FACILITATING PUMPED IMMERSION-COOLING OF AN ELECTRONIC SUBSYSTEM

This is a decision on the petition, filed February 24, 2011 under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on February 18, 2011 in the above-identified application cannot be refunded. If, however, the above-identified application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries should be directed to Irvin Dingle at (571) 272-3210.

This matter is being referred to Technology Center AU 2835 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed Information Disclosure Statement.

/Irvin Dingle/ Irvin Dingle Petitions Examiner Office of Petitions

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Issue Fee Transmittal Form PTOL-85(b), which includes the following language thereon: Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or re-apply any previously paid issue fee to the application identified above. Petitioner is advised that, whether a fee is indicated as being due or not, the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment. Note the language in bold text on the first page of the Notice of Allowance and Fee(s) Due (PTOL-85).



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

DIEHL SERVILLA LLC 33 WOOD AVE SOUTH **SECOND FLOOR, SUITE 210 ISELIN, NJ 08830** 

MAILED NOV 02 2010 OFFICE OF PETITIONS

In re Application

Zedalis et al.

Application No. 12/256,648

Filed: October 23, 2008

Attorney Docket No. ANS0104-00US

**DECISION ON PETITION** TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed September 30, 2010.

The request is **DISMISSED**.

A review of the file record indicates that a Power of Attorney was filed on September 29, 2010, by the assignee. Therefore the practitioners associated with Customer Number 48394, were revoked in the above identified application. As a result, the request to withdraw under 37 C.F.R. § 1.36(b) is not applicable.

All future communications from the Office will be directed to the current correspondence address of record until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-6059. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Alicia Kelley

**Petitions Examiner** 

Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. **FILING DATE** FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 12/256,663 10/23/2008 1674.82261 5666 Do-Won Song **EXAMINER** 7590 12/21/2011 **GREER, BURNS & CRAIN** MCDONOUGH, JAMES E 300 S WACKER DR **ART UNIT** PAPER NUMBER 25TH FLOOR CHICAGO, IL 60606 1731 MAIL DATE DELIVERY MODE 12/21/2011 **PAPER** 

### **ACKNOWLEDGEMENT OF REQUEST**

Notice of Allowance/Allowability Mailed

The request to print a color drawing reference as the first paragraph in the portion of the specification containing a brief description of the drawings as required by 37 CFR 1.84 and MPEP § 608.02 has been received by the United States Patent and Trademark Office and will be entered into the specification.

571-272-4200 or 1-888-786-0101 Application Assistance Unit Office of Data Management



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

December 21, 2011

GREER, BURNS & CRAIN 300 S WACKER DR 25TH FLOOR CHICAGO IL 60606

In re Application of

Song, Do-Won. et al : **DECISION ON PETITION** 

Application No. 12/256,663

Filed: 10/23/2008 : *ACCEPTANCE OF COLOR* 

Attorney Docket No. 164.82261 : DRAWINGS

This is a decision on the Petition to Accept Color Drawings under 37 C.F.R 1.84 (a) (2), received in the United States Patent and Trademark Office (USPTO) January 09, 2009.

The petition is **GRANTED**.

A grantable petition under 37 C.F.R. 1.84(a) (2) must be accompanied by the following.

- 1. The fee set forth under 37 C.F.R. 1.17(h),
- 2. Three (3) sets of the color drawings in question, or (1) set if filed via EFS, and
- 3. The specification contains appropriate language referring to the color drawings as the first paragraph in that portion of the specification relating to the brief description of the drawings.

The petition was accompanied by all of the required fees and drawings. The specification contains the appropriate language. Therefore, the petition is <u>GRANTED.</u>

Telephone inquires relating to this decision may be directed to the undersigned in the Office of Data Management at 571-272-4200.

/Diane Terry/
Quality Control Specialist
Office of Data Management
Publications Branch

Doc Code: PET.AUTO Document Description: Petition automatically granted by EFS-Web		PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce
,		N APPLICATION FOR PATENT ALLY UNDER 37 CFR 1.137(b)
Application Number	12256672	
Filing Date 23-Oct-2008		
First Named Inventor Curtis Campbell		
Attorney Docket Number T-7054		
Title	ISOMERIZED ALPHA OLEFIN SULFOR	NATE AND METHOD OF MAKING THE SAME
United States Patent and Tradem		nely and proper reply to a notice or action by the e day after the expiration date of the period set for hined.
APPLICANT HEREBY PETITIONS FO	OR REVIVAL OF THIS APPLICATION	
NOTE: A grantable petition requir (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with discladesign applications; and (4) Statement that the entire dela	aimer fee - required for all utility and plant	t applications filed before June 8, 1995; and for all
etition Fee		
Applicant claims SMALL ENT	ITY status. See 37 CFR 1.27.	
Applicant is no longer claimi	ng SMALL ENTITY status. See 37 CFR 1.27	(g)(2).
Applicant(s) status remains a	as SMALL ENTITY.	
<ul> <li>Applicant(s) status remains a</li> </ul>	s other than SMALL ENTITY.	
?. Reply and/or fee		
I certify, in accordance with 3	37 CFR 1.4(d)(4) that the amendment and re-identified application on 01-30-2012	response have
I certify, in accordance with 3	ve-identified application on 01-30-2012	response have
I certify, in accordance with a already been filed in the above	ve-identified application on 01-30-2012	response have
I certify, in accordance with a already been filed in the above.  Amendment and response a RCE request, submission, and fee.	re-identified application on 01-30-2012 re attached 7 CFR 1.4(d)(4) that the RCE Request, Subr	

I certify, in accordance with 37 CFR 1.4(d)(4) that the Notice of Appeal and Fee have already been filed in the above-identified application on			
Notice of Appeal and Fee are attached			
3. Terminal Disclaimer is not required, since the Electronic Petition format is not support for Design applications and applications filed before June 8, 1995. Please file using regular petition format for review by the Office of Petitions.			
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.			
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES		
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:		
An attorney or agent registered in this application.	to practice before the Patent and Trademark Office who has been given power of attorney		
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.		
A sole inventor			
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors			
A joint inventor; all of whom are signing this e-petition			
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71			
Signature	/JOSETTTA I. JONES/		
Name	Josetta I. Jones		
Registration Number	51368		



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

**Decision Date** January 30, 2012 In re Application of Curtis Campbell

23-Oct-2008

**DECISION ON PETITION** Application No. 12256672 UNDER CFR 1.137(b)

Attorney Docket No. T-7054

Filed:

This is an electronic decision on the petition under 37 CFR 1.137(b), January 30, 2012 , to revive the above-identified application.

### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions





HAMRE, SCHUMANN, MUELLER & LARSON, PC P.O. BOX 2902 MINNEAPOLIS, MN 55402-0902

MAILED
AUG 0 4 2010
OFFICE OF PETITIONS

In re Application of

Andrea Baccini

Application No. 12/256,682

Filed: October 23, 2008

Attorney Docket No. 20013.116US01

DECISION ON PETITION TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 13, 2010.

# The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request cannot be approved because the practitioner(s) requesting the withdrawal have not certified that they have (1) given reasonable notice to the client, prior to the expiration of the response, period that the practitioner(s) intend to withdraw from employment; and (2) delivered to the client or duly authorized representative of the client papers and property (including funds) to which the client is entitled. The failure to do so may subject the practitioner to discipline. It is also noted that false certification may violate a practitioners' duty under 37 CFR 10.23(b)(4) and (b)(5).

Petitioner should also note that the Office will no longer accept address changes to a new practitioner of law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record cannot be approved because the request does not include an acceptable current correspondence address for future communications from the Office.

Additionally, as set forth in MPEP 403(I), the addition or deletion of a practitioner from the list of persons associated with a Customer Number should be done by way of a Request for Customer Number Data Change (PTO/SB/124) and not a Request for Withdrawal As Attorney or Agent and Change of Correspondence Address (Form PTO/SB/83).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

cc: PATTERSON & SHERIDAN, LLP

APPM/TX 3040 POST OAK BOULEVARD

SUITE 1500 HOUSTON, TX 77056



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY OF COMMERCE AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Patent No.

: 7960980

Inventor(s)

: Baccini

Issued

: 6/14/11

For

: TESTING DEVICE TO TEST PLATES FOR ELECTRONIC

CIRCUITS AND RELATIVE METHOD

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule 1.322 and 1.323.

Granting of a petition under 37 CFR 1.183, requesting the requirements of 37 CFR 3.81 to be waived, is required to correct applicant's error in the Assignee, when the correct name of the assignee was not provided in accordance with either section 3.81(a) or (b) (either no name or an incorrect name was provided in item 3 of the Issue Fee Transmittal, when the assignment had been recorded or submitted for recordation at the time the issue fee was paid, including after issuance of the patent.

A petition to correct the Assignee, under 37 CFR 1.183 should include:

- (1) the petition fee set forth in 37 CFR 1.117(h) (currently \$130);
- (2) the correct name and address of the assignee; and
- (3) the reel and frame number where the assignment is recorded or proof of the date the assignment was submitted for recordation.

Any petition under 37 CFR 1.183 should be directed to the attention of the Assistant Commissioner for Patents, using the following mailing address or FAX number.

By Mail:

Commissioner of Patents and Trademarks

**Box DAC** 

Washington, D.C. 20231

By Fax:

(703) 308-6916

Attn.: Office of Petitions

If, the petition under 37 CFR 1.183, is filed and granted, the patentee would be entitled to a certificate of correction under 37 CFR 1.323 (required fee currently \$100), due to the mistake in not complying with CFR 3.81.

In view of the foregoing, your request is hereby denied.

RoChaun Hardwick Decisions & Certificates of Correction Branch (571) 272-0470

KEITH TACKETT PATTERSON & SHERIDAN, L.L.P. 3040 POST OAK BLVD. SUITE 1500 HOUSTON, TX 77056 RMH



MAILED

MAR 28 2011

OFFICE OF PETITIONS

FOLEY HOAG, LLP Patent Group World Trade Center West 155 Seaport Blvd. Boston, MA 02110

In re Application of

Xingong Li, et al.

Application No. 12/256,692

Filed: October 23, 2008

Attorney Docket No. TRA-055.01

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed February 10, 2011.

The request is **NOT APPROVED** because it is moot.

A review of the file record indicates that the power of attorney to Foley Hoag, LLP has been revoked by the assignee of the patent application on March 4, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: GREENBERG TRAURIG, LLP

200 Park Avenue P.O. Box 677 Florham Par, NJ 07932



FOLEY HOAG, LLP Patent Group World Trade Center West 155 Seaport Blvd. Boston, MA 02110

MAILED

MAR 232011

OFFICE OF PETITIONS

In re Application of

Xingong Li, et al.

Application No. 12/256,696

Filed: October 23, 2008

Attorney Docket No. TRA-057.01

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed February 10, 2011.

The request is **NOT APPROVED** because it is moot.

A review of the file record indicates that the power of attorney to Foley Hoag, LLP has been revoked by the assignee of the patent application on March 22, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: GREENBERG TRAURIG, LLP 200 Park Avenue

P.O. Box 677 Florham Park, NJ 07932



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. BOX 2902 **MINNEAPOLIS MN 55402-0902** 

MAILED

AUG 1 6 2010

OFFICE OF PETITIONS

In re Application of

Andrea Baccini

Application No. 12/256,719 Filed: October 23, 2008

Attorney Docket No. 20013.114US01

**DECISION ON PETITION** TO WITHDRAW

FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36, filed July 13, 2010.

The request is **NOT APPROVED**.

The request cannot be approved because it lacks a forwarding correspondence address of the first named inventor or a properly intervening assignee.

If the forwarding correspondence address is to the assignee, the Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest that properly became of record under 37 CFR 3.71. 37 CFR 3.71(c) states:

> An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

Also all three certification boxes has not been checked. Boxes 1 and 2 were left unchecked.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-2991.

Terri Johnson

Petitions Examiner

Office of Petitions

cc:

PATTERSON & SHERIDAN, LLP—APPM/TX 3040 POST OAK BOULEVARD, SUITE 1500 HOUSTON, TX 77056





HAMRE, SCHUMANN, MUELLER & LARSON, PC P.O. BOX 2902 MINNEAPOLIS, MN 55402-0902

MAILED

AUG 0 4 2010
OFFICE OF PETITIONS

In re Application of
Andrea Baccini
Application No. 12/256,744
Filed: October 23, 2008
Attorney Docket No. 20013.113US01

DECISION ON PETITION TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 13, 2010.

# The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request cannot be approved because the practitioner(s) requesting the withdrawal have not certified that they have (1) given reasonable notice to the client, prior to the expiration of the response, period that the practitioner(s) intend to withdraw from employment; and (2) delivered to the client or duly authorized representative of the client papers and property (including funds) to which the client is entitled. The failure to do so may subject the practitioner to discipline. It is also noted that false certification may violate a practitioners' duty under 37 CFR 10.23(b)(4) and (b)(5).

Petitioner should also note that the Office will no longer accept address changes to a new practitioner of law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record cannot be approved because the request does not include an acceptable current correspondence address for future communications from the Office.

Additionally, as set forth in MPEP 403(I), the addition or deletion of a practitioner from the list of persons associated with a Customer Number should be done by way of a Request for Customer Number Data Change (PTO/SB/124) and not a Request for Withdrawal As Attorney or Agent and Change of Correspondence Address (Form PTO/SB/83).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

cc:

PATTERSON & SHERIDAN, LLP APPM/TX 3040 POST OAK BOULEVARD SUITE 1500 HOUSTON, TX 77056



MAILED

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. BOX 2902 MINNEAPOLIS MN 55402-0902

AUG 1 3 2010

OFFICE OF PETITIONS

In re Application of

Andrea BACCINI

Application No. 12/256,767 : DECISION ON PETITION

Filed: October 23, 2008 Attorney Docket No. 20013.112US01 TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 13, 2010.

## The request is **NOT APPROVED.**

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request cannot be approved because it is not clear to the Office as to whether the certifications noted above at items 1 and 2 have been performed.

Further, the Office will no longer accept address changes to a new practitioner or law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record cannot be approved because the request to change the correspondence address is to a new practitioner or law firm and is absent a proper power of attorney.

All future communications from the Office will continue to be directed to the above-listed address until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/dcg/ Diane C. Goodwyn Petitions Examiner Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/256,770	10/23/2008	PETER ARCATI	288903-00491	5856
David C. Jenki:	7590 10/26/2010	*	EXAM	INER
Eckert Seaman	s Cherin & Mellott, LLC		CAMPOS,	IR, JUAN J
44th Floor 600 Grant Stree	et.	1	ART UNIT	PAPER NUMBER
Pittsburgh, PA			3654	
	,		MAIL DATE	DELIVERY MODE
			10/26/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.





OCT 26 2010

David C. Jenkins
Eckert Seamans Cherin & Mellott, LLC
44th Floor
600 Grant Street
Pittsburgh PA 15219

In re Application of

Peter ARCATI : DECISION ON PETITION
Application No. 12/256,770 : UNDER 37 CFR §1.181

Filed: October 23, 2008 : For: DECK BOX :

This is a decision on applicant's petition under 37 CFR 1.181 filed May 4, 2010 requesting withdrawal of the finality of the Office action mailed April 28, 2010.

### The petition is GRANTED.

The record reflects that on June 25, 2009 a non-final Office action was mailed rejecting claims 1-17 using the references Moon et al., Arlemark, Ghio et al., and Anderson et al. in various combinations. On September 21, 2009 applicant filed a response amending claim 1 by incorporating claim 6 into claim 1, and cancelling claims 6, 12,13, 16 and 17. On April 28, 2010 a final Office action was mailed rejecting claims 1-5, 7-11, 14, and 15 again using the references Moon et al., Arlemark, Ghio et al., and Anderson et al. in similar rejections as presented in the previous Office action.

In the petition, applicant argues that the examiner has improperly held applicant's arguments as most since similar rejections have been applied to claims 1-5, 8-11, 14 and 15 in the final Office action of April 28, 2010. The examiner should have addressed all of applicant's arguments on the references being applied.

Applicant cites MPEP 707.07(f) in the petition to support his argument. MPEP 707.07(f) states that "In order to provide a complete application file history and to enhance the clarity of the prosecution history record, an examiner must provide clear explanations of all actions taken by the examiner during prosecution of an application.

Where the requirements are traversed, or suspension thereof requested, the examiner should make proper reference thereto in his or her action on the amendment.

Where the applicant traverses any rejection, the examiner should, if he or she repeats the rejection, take note of the applicant's argument and answer the substance of it."

A review of the record indicates that the examiner repeated the rejections of claims 1-5, 8-11 14 and 15 in the final Office action but failed to address applicant's arguments on the references to Moon et al., Arlemark, Ghio et al. and Anderson et al. In view of the guidelines set forth in MPEP 707.07 (f), the examiner should take note of applicant's argument and answer the substance of it.

The finality of the April 28, 2010 action is hereby withdrawn. The application will be returned to the examiner for a new Office action consistent with the decision.

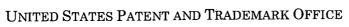
Any questions concerning this petition should be directed to Quality Assurance Specialist Lanna Mai at 571-272-6767.

David Talbott, Director

Patent Technology Center 3600 Telephone No.: (571) 272-5250

lm: 10/15/10

M





HAMRE, SCHUMANN, MUELLER & LARSON, PC P.O. BOX 2902 MINNEAPOLIS, MN 55402-0902

MAILED
AUG 0 4 2010
OFFICE OF PETITIONS

In re Application of

Andrea Baccini Application No. 12/256,791

Filed: October 23, 2008

Attorney Docket No. 20013.111US01

DECISION ON PETITION TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 13, 2010.

# The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request cannot be approved because the practitioner(s) requesting the withdrawal have not certified that they have (1) given reasonable notice to the client, prior to the expiration of the response, period that the practitioner(s) intend to withdraw from employment; and (2) delivered to the client or duly authorized representative of the client papers and property (including funds) to which the client is entitled. The failure to do so may subject the practitioner to discipline. It is also noted that false certification may violate a practitioners' duty under 37 CFR 10.23(b)(4) and (b)(5).

Petitioner should also note that the Office will no longer accept address changes to a new practitioner of law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record cannot be approved because the request does not include an acceptable current correspondence address for future communications from the Office.

Additionally, as set forth in MPEP 403(I), the addition or deletion of a practitioner from the list of persons associated with a Customer Number should be done by way of a Request for Customer Number Data Change (PTO/SB/124) and not a Request for Withdrawal As Attorney or Agent and Change of Correspondence Address (Form PTO/SB/83).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

cc: PATTERSON & SHERIDAN, LLP

APPM/TX 3040 POST OAK BOULEVARD

SUITE 1500 HOUSTON, TX 77056



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Muncy, Geissler, Olds & Lowe, PLLC 4000 Legato Road Suite 310 Fairfax, VA 22033

MAILED

JUN 28 2011

OFFICE OF PETITIONS

In re Application of Chung-Ho Lo, et. al.

Application No. 12/256,843

Filed: October 23, 2008

Attorney Docket No. 5545/0438PUS1

ON PETITION

This is a decision on the petition under 37 CFR 1.137(b), filed June 16, 2011, to revive the above-identified application.

It is not apparent whether the statement of unintentional delay was signed by a person who would have been in a position of knowing that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. Nevertheless, in accordance with 37 CFR 10.18, the statement is accepted as constituting a certification of unintentional delay. However, in the event that petitioner has no knowledge that the delay was unintentional, petitioner must make such an inquiry to ascertain that, in fact, the delay was unintentional. If petitioner discovers that the delay was intentional, petitioner must so notify the Office.

The application became abandoned for failure to file a reply to the Notice to File Missing Parts of Nonprovisional Application (Notice) mailed on November 6, 2008. A Notice of Abandonment was mailed July 16, 2009.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of \$82 for the filing fee, \$270 for search fee, \$110 for the examination fee and the \$65 surcharge; (2) the petition fee of \$810; and (3) an adequate statement of unintentional delay<sup>1</sup>. Therefore, the petition is **GRANTED**.

<sup>&</sup>lt;sup>1</sup> The above-identified application has been abandoned for an extended period of time. The Patent and Trademark Office is relying on petitioner's duty of candor and good faith and accepting the statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. <u>See Changes to Patent Practice and Procedure</u>, 62 <u>Fed. Reg.</u> at 53160 and 53178; 1203 <u>Off. Gaz. Pat. Office</u> at 88 and 103 (responses to comments 64 and 109) (applicant obligated under 37 CFR 10.18 to inquire into the underlying facts and circumstances when providing the statement required by 37 CFR 1.137(b) to the Patent and Trademark Office).

This application file is being referred to the Office of Patent Application Processing for further processing in accordance with this decision.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3226.

1 7 7

Andrea Smith

Petitions Examiner

Office of Petition

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

RATNERPRESTIA P.O. BOX 980 VALLEY FORGE PA 19482

MAILED

MAR 16 2011

In re Application of

Joyce et al.

Application No. 12/256,921

Filed: October 23, 2008

Attorney Docket No. PVR-113US

OFFICE OF PETITIONS

DECISION ON PETITION
TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed January 26, 2011.

The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request was signed by Christopher R. Lewis on behalf of all attorneys of record, but does not include a Customer Number. Accordingly, since the practitioners were appointed by a Customer Number, the Request must reflect withdrawal of practitioners associated with the same Customer Number.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Currently, there is an outstanding Office action mailed October 4, 2010 that requires a reply.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski
Petitions Examiner
Office of Petitions

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

RATNERPRESTIA P.O. BOX 980 VALLEY FORGE PA 19482

MAILED

APR 012011

In re Application of

Joyce et al.

Application No. 12/256,921 Filed: October 23, 2008

Attorney Docket No. PVR-113US

OFFICE OF PETITIONS

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the renewed Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 23, 2011 and the Supplemental petition filed March 28, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by Christopher R. Lewis on behalf of all attorneys of record who are associated with Customer Number 23122.

All attorneys/agents associated with the Customer Number 23122 have been withdrawn. Applicants are reminded that there is no attorney of record at this time.

All future correspondence will be directed to the first named inventor at the address indicated below.

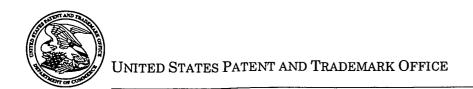
Currently, there is an outstanding Office action mailed October 4, 2010 that requires a reply.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski Petitions Examiner Office of Petitions

cc: Peter J. Joyce

510 Heron Drive, Suite 301 Bridgeport, NJ 08014



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

Lisa Mueller / Abbott c/o Michael Best Friedrich LLP Two Prudential Plaza 180 N. Stetson Avenue Chicago IL 60601

MAILED NOV 252011

OFFICE OF PETITIONS

In re Application of

Arthur R. GOMTSYAN et al.

ON PETITION

Application No. 12/256,924

Filed: October 23, 2008

Atty. Docket No.: 057975-140216 (9122USO1)

This is a decision on the petition under 37 CFR 1.137(b), filed November 10, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the Notice of Allowance and Fee(s) Due mailed July 18, 2011 (Notice), which set a statutory period for reply of three (3) months. The application became abandoned October 19, 2011. A Notice of Abandonment was mailed November 2, 2011.

The petition satisfies the conditions for revival pursuant to 37 CFR 1.137(b) by including (1) a reply in the form of payment of the issue and publication fees in accordance with the Notice mailed July 18, 2011, (2) a petition fee of \$1860, and (3) a statement of unintentional delay. The reply to the Notice is accepted as being unintentionally delayed.

Telephone inquiries relating to this decision should be directed to Robert DeWitty, Petitions Attorney, Office of Petitions (571-272-8427).

The application will be referred to Office of Data Management for further processing.

Petitions Attorney Office of Petitions

## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

PAUL D. YASGER ABBOTT LABORATORIES 100 ABBOTT PARK ROAD DEPT. 377/AP6A ABBOTT PARK IL 60064-6008 MAILED

MAR 1 5 2011

OFFICE OF PETITIONS

In re Application of

Perner, et al.

Application No. 12/256,931

**DECISION ON PETITION** 

Filed: October 23, 2008

Attorney Docket No. 9126USO1

This is a decision on the petition under 37 CFR 1.137(b), filed February 1, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The above-cited application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, July 16, 2010, which set a shortened statutory period for reply of three (3) months from its mailing date. No extension of time pursuant to 37 CFR 1.136(a) was obtained within the allowable period. Accordingly, the application became abandoned on October 17, 2010. A Notice of Abandonment was mailed January 28, 2011.

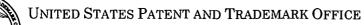
The amendment filed February 1, 2011, is noted.

The application is being forwarded to Technology Center 1600, GAU 1626 for further processing.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3222.

/Kenya A. McLaughlin/

Kenya A. McLaughlin Petitions Attorney Office of Petitions





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

PITTS LAKE & BELL, P C P.O. BOX 51295 KNOXVILLE, TN 37950-1295

## MAILED

JUN 28 2011

OFFICE OF PETITIONS

In re Application of Sean E. Howley, et. al.

Application No. 12/256,966

Filed: October 23, 2008

Attorney Docket No. 33561.00

**DECISION ON PETITION** 

UNDER 37 CFR 1.78(a)(6)

This is a decision on the renewed petition under 37 CFR 1.78(a)(6), filed June 16, 2011, to accept an unintentionally delayed claim under 35 U.S.C. §119(e) for the benefit of the prior-filed provisional application set forth in the amendment filed with the present petition.

## The petition is **GRANTED**.

A petition under 37 CFR 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after expiration of the period specified in 37 CFR 1.78(a)(5)(ii) and must be filed during the pendency of the nonprovisional application. In addition, the petition must be accompanied by:

- the reference required by 35 U.S.C. § 119(e) and 37 CFR 1.78(a)(5)(i) to the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in § 1.17(t); and
- a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

All of the above requirements having been satisfied, the late claim for priority under 35 U.S.C. § 119(e) is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed application under 37 CFR 1.78(a)(6) should not be construed as meaning that this application is entitled to the benefit of the filing date of the prior-filed application. In order for this application to be entitled to the benefit of the prior-filed application, all other requirements under 35 U.S.C. §119(e) and 37 CFR 1.78(a)(4) and (a)(5) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed application should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed application noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed provisional application, is enclosed with this decision.

The application is being forwarded to Technology Center Art Unit 1747 for consideration by the examiner of the claim under 35 U.S.C. §119(e) for the benefit of priority to the prior-filed provisional application.

Any inquiries concerning this decision may be directed to the undersigned at (571) 272-3286. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

Andrea Smith

Petitions Examiner Office of Petitions

Enclosure: Corrected Filing Receipt



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virgina 22313-1450

ſ	APPLICATION	FILING or	GRP ART				
١	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
•	12/256.966	10/23/2008	1747	462	33561.00	16	3

22465 PITTS LAKE & BELL P C P O BOX 51295 KNÓXVILLE, TN 37950-1295 CONFIRMATION NO. 6199
CORRECTED FILING RECEIPT



Date Mailed: 06/24/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Sean E. Howley, Maryville, TN; Clark A. Roberts, Maryville, TN;

Power of Attorney: The patent practitioners associated with Customer Number 22465

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/985,008 11/02/2007

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

## If Required, Foreign Filing License Granted: 11/04/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/256,966** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

#### **Title**

METHOD AND APPARATUS FOR ESTABLISHING OBTAINABLE RANGE OF DIAMETERS OF A WORKING DRUM

## **Preliminary Class**

156

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

## LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where page 2 of 3

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

BARLOW, JOSEPHS & HOLMES, LTD.

101 DYER STREET

5TH FLOOR

PROVIDENCE RI 02903

**MAILED** 

NOV 0 8 2010

OFFICE OF PETITIONS

In re Application of

Michael P. Hanley et al.

Application No. 12/257,021

Filed: October 23, 2008

Attorney Docket No. K019 P01591-US1

DECISION ON PETITION

TO WITHDRAW

FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36(b), filed September 22, 2010 and supplemented on October 14, 2010.

The request is **NOT APPROVED**.

The request cannot be approved because the Statement under 37 CFR 3.73(b) with the current assignee information of record submitted on October 14, 2010, lacks the title of the person who is authorized to act on behalf of the assignee. Therefore, the Statement under 37 CFR 3.73(b) is deemed unacceptable and the Office cannot approve the Request to Withdraw at this present time.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-4584.

Joanne Burke Petitions Examiner

Office of Petitions

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

BARLOW, JOSEPHS & HOLMES, LTD. 101 DYER STREET 5TH FLOOR PROVIDENCE RI 02903 MAILED
DEC 1 4 2010
OFFICE OF PETITIONS

In re Application of

Michael P. Hanley et al. Application No. 12/257,021

Filed: October 23, 2008

Attorney Docket No. K019 P01591-US1

DECISION ON PETITION
TO WITHDRAW
FROM RECORD

This is a decision on the renewed request to withdraw as attorney of record under 37 CFR § 1.36(b), filed November 12, 2010.

The request is **NOT APPROVED** as moot.

A review of the file record indicates that the power of attorney to attorneys/agents associated with Customer Number 03017 has been revoked by the assignee of the patent application on December 8, 2010. Accordingly, the request to withdraw under 37 CFR § 1.36(b) is moot.

It is noted that the Assignee's Statement Under 37 CFR 3.73(b) list the assignee as "Kenney Manufacturing Co., a corporation". However, the U.S. Patent and Trademark Office assignment records disclose that an assignment from Reel/Frame: 021757/0143 recorded on October 29, 2008, shows the name as "Kenney Manufacturing Co., a Rhode Island Corporation" not "Kenney Manufacturing Co, a Corporation". Therefore, the Office will construe the name "Kenney Manufacturing Co, a Corporation" as the assignee of record. If this is not correct petitioner must notify the Office.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-4584:

JoAnne Burke
Petitions Examiner
Office of Petitions

cc: THE LAW OFFICES OF STEVEN MCHUGH, LLC

46 WASHINGTON STREET MIDDLETOWN CT 06457

## PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: MICROSOFT CORPORATION	PCT		
Attention - Linda Johnson (LJohnson) Bldg 8/2285 LCA international Patent Department One Microsoft Way 8/2285 Redmond Washington 98052-6399 USA	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATION. SEARCHING AUTHORITY, OR THE DECLARATION.		
	(PCT Rule 44.1)		
	Date of mailing (day/month/year) 16 APRIL 2010 (16.04.2010)		
Applicant's or agent's file reference	700 1770 1770 1770 1770 1770 1770 1770		
324487-02WO	FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No. PCT/US2009/058511	International filing date (day/month/year)  26 SEPTEMBER 2009 (26.09.2009)		
Applicant MICROSOFT CORPORATION			
Authority have been established and are transmitted:  Filing of amendments and statement under Article The applicant is entitled, if he so wishes, to amend if When? The time limit for filing such amendment international search report.  Where? Directly to the International Bureau of V 1211 Geneva 20, Switzerland, Pacsimile: For more detailed instructions, see the notes on  2. The applicant is hereby notified that no international Article 17(2)(a) to that effect and the written opinion  3. With regard to any protest against payment of (an the protest together with the decision thereon he applicant's request to forward the texts of both	he claims of the international application (see Rule 46): s is normally two months from the date of transmittal of the VIPO, 34 chemin des Colombettes No.: +41 22 338 82 70 the accompanying sheet. I search report will be established and that the declaration under of the International Searching Authority are transmitted herewith. I additional fee(s) under Rule 40.2, the applicant is notified that: as been transmitted to the International Bureau together with the the protest and the decision thereon to the designated Offices.		
4. Reminders  Shortly after the expiration of 18 months from the priority of Bureau. If the applicant wishes to avoid or postpone publicat priority claim, must reach the International Bureau as provide the technical preparations for international publication.	ate, the international application will be published by the International ion, a notice of withdrawal of the international application, or of the id in Rules 90bis. I and 90bis. 3, respectively, before the completion of the written opinion of the International Scarching Authority to the		
International Bureau The International Bureau will send a co- preliminary examination report has been or is to be established before the expiration of 30 months from the priority date.  Within 19 months from the priority date, but only in respect	py of such comments to all designated Offices unless an international of These comments would also be made available to the public but not of some designated Offices, a demand for international preliminary the entry into the national phase until 30 months from the priority ist, within 20 months from the priority date, perform the prescribed		
	naths (or later) will apply even if no demand is filed within 19 months.		
See the Annex to Form PCT/IB/301 and, for details about the Guide, National Chapters:	applicable time limits, Office by Office, see the PCT Applicant's		

Name and mailing address of the ISA/KR



Korean Intellectual Property Office Government Complex-Daejeon, 139 Sconsa-ro, Sen-gu, Daejeon 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

COMMISSIONER

Telephone No. 82-42-481-5762



#### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide.

In these Notes, "Article", "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

## INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see PCT Applicant's Guide, Annex B).

The attention of the applicant is drawn to the fact that ammendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see PCT Applicant's Guide, paragraph 296).

## What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Preliminary Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When? Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the international Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1)

## Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet or sheets containing a complete set of claims in replacement of all the claims previously filed must be submitted.

Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively in Arabic numerals (Section 205(a)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

#### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below under "Statement under Article 19(1)"). The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

#### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged,
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- 1 [Where originally there were 48 claims and after amendment of some claims there are 51]: "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- (Where originally there were 15 claims and after amendment of all claims there are 11): "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims!
  - "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]
  - "Claims 1 10 unchanged, claims 11 to 13, 18 and 19 cancelled, claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under Article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims

## It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be indentified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

## Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

If a demand for international preliminary examination is made, the written opinion of the International Searching Authority will, except in certain cases where the International Preliminary Examining Authority did not act as international Searching Authority and where it has notified the International Bureau under Rule 66.1bis(b), be considered to be a written opinion of the International Preliminary Examining Authority. If a demand is made, the applicant may submit to the International Preliminary Examining Authority a reply to the written opinion together, where appropriate, with amendments before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later (Rule 43bis.1(c)).

## Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to , the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see the PCT Applicant's Guide, National Chapters.

Attention	
Conics of t	he documents cited in the international search report can be searched in the following Korean Intellectual ffice English website for three months from the date of mailing of the international search report.
Copics of the Property O	the documents cited in the international search report can be searched in the following Korean Intellectual  ffice English website for three months from the date of mailing of the international search report.  kipo.go kr/en/ => Patent Search => PCT-Service
Copies of the Property O	ffice English website for three months from the date of mailing of the international search report.  kipo go kr/en/ => Patent Search => PCT-Service  international application number
Copies of the Property Of http://www. ID : PCT PW : YZF Inquiries r Searching	the English website for three months from the date of mailing of the international search report.  kipo.go.kr/en/ > Patent Search > PCT-Service  international application number  YRPOX  elated to PCT International Search Report or Written Opinion prepared by KIPO as an Internationa  Authority can be answered not only by KIPO but also through IPKC (Intellectual Property Korea
Copies of the Property Of http://www.ID PCT PW: YZF Inquiries r Searching Center), lo	ffice English website for three months from the date of mailing of the international search report.  kipo go kr/en/ > Patent Search > PCT-Service  international application number  YRPOX  elated to PCT International Search Report or Written Opinion prepared by KIPO as an International Authority can be answered not only by KIPO but also through IPKC (Intellectual Property Korea located in Vienna, VA, which functions as a PCT Help Desk for PCT applicants.
Copies of the Property Of http://www.ID : PCT PW : YZF Inquiries r Searching Center), lot	ffice English website for three months from the date of mailing of the international search report.  kipo go kr/en/ > Patent Search > PCT-Service  international application number  YRPOX  elated to PCT International Search Report or Written Opinion prepared by KIPO as an International Authority can be answered not only by KIPO but also through IPKC (Intellectual Property Korea located in Vienna, VA, which functions as a PCT Help Desk for PCT applicants.
Copies of the Property Of http://www. ID : PCT : PW : YZF Inquiries r Searching Center), io Homepage Email: ipk Phone: +1	ffice English website for three months from the date of mailing of the international search report.  kipo go kr/en/ > Patent Search > PCT-Service  international application number  YRPOX  elated to PCT International Search Report or Written Opinion prepared by KIPO as an International Authority can be answered not only by KIPO but also through IPKC (Intellectual Property Korea located in Vienna, VA, which functions as a PCT Help Desk for PCT applicants.

## PATENT COOPERATION TREATY

# **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 324487-02WO	FOR FURTHER ACTION as well a	see Form PCT/18A/220 as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/US2009/058511	26 SEPTEMBER 2009 (26.09,2009	9) 23 OCTOBER 2008 (23.10.2008)
Applicant MICROSOFT CORPORATION		
to Article 18. A copy is being transmitted to the This international search report consists of a top		
the international applicate a translation of the international application of the international search report authorized by or notified to this c With regard to any nucleotide  Certain claims were found up Unity of invention is lacking to the text is approved as submitted.	the purposes of international search (Rules 12, that been established taking into account the as Authority under Rule 91 (Rule 43.6bis(a)), and/or amino acid sequence disclosed in the asserthable (See Box No. 11) (See Box No. 111)	, which is the language of a 3(a) and 23.1(b)) rectification of an obvious mistake
may, within one month from the Grawings,  a. the figure of the drawings to be put  as suggested by the appli	according to Rule 38.2, by this Authority as it no date of mailing of this international search to blished with the abstract is Figure No.	eport, submit comments to this Authority.
	rity, because this figure better characterizes the	

International application No PCT/US2009/058511

#### CLASSIFICATION OF SUBJECT MATTER

G06F 12/02(2006.01)i, G06F 12/06(2006.01)i, G06F 12/08(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

### FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) G06F 12/02; G06F 12/00; G06F 12/08; G66F 12/10

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models

Japanese utility models and applications for utility models

(Chinese Patents and application for patent)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: memory, convert, page

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
US 2006-0288187 A1 (RAMANJANEYA SARMA BURUGULA et el.) 21 December 2006 See [0012] - [0045].	1-20
US 2004-0117594 A1 (JULIUS VANDERSPEK) 17 June 2004 See [0018] - [0042].	1-20
US 2005-0007298 A1 (ERNEST S. COHEN) 05 May 2005 See [0007] - [0087].	1-20
US 6715057 B1 (RICHARD E. KESSLER et al.) 30 March 2004 See column 4, line 56 - column 17, line 37.	1-20
	US 2006-0288187 A1 (RAMANJANEYA SARMA BURUGULA et e1.) 21 December 2006 See [0012] - [0045]. US 2004-0117594 A1 (JULIUS VANDERSPER) 17 June 2004 See [0018] - [0042]. US 2005-0007298 A1 (ERNEST S. COHEN) 05 May 2005 See [0007] - [0087]. US 6715057 81 (RICHARD E. KESSLER et a1.) 30 March 2004

Further documents are listed in the continuation of Box C.



See patent family annex.

- Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- earlier application or patent but published on or after the international filing date
- document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- document published prior to the international filing date but later than the priority date claimed
- later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

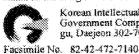
Date of mailing of the international search report

Date of the actual completion of the international search

15 APRIL 2010 (15.04.2010)

16 APRIL 2010 (16.04.2010)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office Government Complex-Dacjeon, 139 Sconsz-ro, Seo-gu, Dacjeon 302-701, Republic of Korea

KWON, Oh Seong

Authorized officer

Telephone No. 82-42-481-8526



## INTERNATIONAL SEARCH REPORT

International application No.

Information on patent family members

PCT/US2009/058511

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2006-0288187 A1	21.12.2006	US 7437529 82	14, 10, 2008
US 2004-0117594 A1	17.06.2004	None	
US 2005-0097298 A1	05,05,2005	AU 2004-218602 A1 AU 2004-218602 B2 BR P10404279 A CA 2482872-A1 CN 1612112 A EP 1528474 A2 EP 1528474 A3 JP 2005-135396 A KR 10-2005-0041868 A MX PA04009350 A RU 2004131609 A US 7310721 B2	19.05.2005 21.01.2010 21.06.2005 30.04.2005 04.05.2005 04.05.2005 02.07.2008 26.05.2005 04.05.2005 04.05.2005 10.04.2006 18.12.2007
US 6715057 81	30,03,2004	None	

## PATENT COOPERATION TREATY

From the

INTERNATIONAL SEARCHING AUTHORITY

MICROSOFT CORPORATION		PCT		
Attention - Linda Johnson (L.Johnson) E International Patent Department One M Redmond Washington 98052-6399 US/	icrosoft Way 8/2285	WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1)		
		Date of mailing (day/month/year) 14	6 APRIL 2010 (16.04.2010)	
Applicant's or agent's file reference		FOR FURTHER AC	TION see paragraph 2 below	
International application No. PCT/US2009/058511		(day/month/year) 2009 (26.09.2009)	Priority date(day/month/year) 23 OCTOBER 2008 (23.10.2008)	
International Patent Classification (IPC)  G06F 12/02(2006.01)), G06F 12/06(20  Applicant  MICROSOFT CORPORATIO	06.01)i, G06F 12/08(20t			
Box No. IV Lack of unity  Box No. V Reasoned state citations and excitations are sense as a superior of the sense of the sen	ment of opinion with tegs of invention ment under Rule 43bis. I splanations supporting su ments cited ts in the international app rations on the international many examination is mad (Authority ("IPEA") exc id the chosen IPEA has in ing Authority will not be considered to be a writte expiration of 22 months SA/220.	and to novelty, inventive s  (a)(i) with regard to nove ich statement  blication  al application  c, this opinion will be con- cpt that this does not app- iofified the International I so considered.  an opinion of the IPEA, the intents, before the expira-	step and industrial applicability elty, inventive step or industrial applicability; unsidered to be a written opinion of the ly where the applicant chooses an Authority Bureau under Rule 66. I bis(b) that written the applicant is invited to submit to the tion of 3 months from the date of mailing nichever expires later.	

Name and mailing address of the ISA/KR
Korzan Intellectual Property Office
Government Complex-Daejeon, 139
Sconsa-ro, Seo-gu, Daejeon 302
-701, Republic of Korea
Facsimile No. 82-42-472-7140

Date of completion of this opinion Authorized officer

15 APRIL 2010 (15.04.2010)

KWON, Oh Seong

Telephone No 82-42-481-8526



# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2009/058511

Bo	x No. 1 Basis of this opinion
1.	With regard to the language, this opinion has been established on the basis of:
	the international application in the language in which it was filed
	a translation of the international application into, which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b))
2.	This opinion has been assablished taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 436ts.1(a))
3.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been established on the basis of
	a sequence listing filed or furnished  on paper  in electronic form
	b. time of filing or furnishing
	contained in the international application as filed.  filed together with the international application in electronic form.
	furnished subsequently to this Authority for the purposes of search.
4	In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5	Additional comments:

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2009/058511

Box No. V Reasoned statement under Rule 43his.1(2)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Novelty (N)	Claims	1-20	YES
	Claims	NONE	NO.
Inventive step (IS)	Claims	1-20	YES
, ,	Claims	NONE	NO
Industrial applicability (IA)	Claims	1-20	YES
	Claims	NONE	NO

#### 2. Citations and explanations:

Reference is made to the following documents:

D1: US 2006-0288187 A1 (RAMANJANEYA SARMA BURUGULA et al.) 21 December 2006 D2: US 2004-0117594 A1 (JULIUS VANDERSPEK) 17 June 2004 D3: US 2005-0097298 A1 (RRNEST S. COMEN) 05 May 2005

D4: US 6715057 B1 (RICHARD E. KESSLER et al.) 30 March 2004

### 1. Novelty and Inventive Step

#### 1.1 Independent Claims

The subject matter of claim 1 differs from these prior art documents in that it is based on hierarchy page table system and a threshold, and each of the plurality of small pages is associated with one of a plurality of page table entries. And it is not obvious to a person skilled in the art by the documents, taken alone or in combination. Therefore, claim 1 meets the requirements of PCT Article 33(2) and 33(3) with respect to novelty and inventive step.

Claim 10 relates to a computer-readable media that has the same technical feature as claim 1. Therefore, claim 10 meets the requirements of PCT Article 33(2) and 33(3) with respect to novelty and inventive step.

Claim 14 relates to a computer-readable media that has the same technical feature as claim 1. Therefore, claim 14 meets the requirements of PCT Article 33(2) and 33(3) with respect to novelty and inventive step.

#### 1.2 Dependent Claims

Claims 2-9, 11-13 and 15-20 are dependent on claims 1, 10 and 14, respectively, and therefore meet the requirements of PCT Articles 33(2) and (3).

## 2. Industrial Applicability

Claims 1-20 are industrially applicable under PCT Article 33(4).

Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-KR (06-10)
Approved for use through 01/31/2012. OMB 0651-0058
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	PARTICIPATION IN THE PATENT CO I-PPH) PILOT PROGRAM BETWEEN OFFICE (KIPO) AND T	N THE KOR	
Application No:	12/257,091	Filing date:	10-23-2008
First Named Inventor:	FORREST C. FOLTZ		
Title of the Invention: OPPORTU	JNISTIC PAGE LARGIFICATION		
SUBMITTED VIA EFS-W	ARTICIPATION IN THE PCT-PPH PILOT PROGF IEB. INFORMATION REGARDING EFS-WEB IS OV/EBC/EFS_HELP.HTML		WITH THE REQUIRED DOCUMENTS MUST BE AT
	' REQUESTS PARTICIPATION IN THE PC APPLICATION SPECIAL UNDER THE PC1		
of another PCT application domestic/ foreign prior priority claim in the corto (4) above, or (6) a U	ation which claims priority to the correspondi ity to the corresponding PCT application, or responding PCT application, or (5) a continu	ing PCT appl (4) a nationa uing applicatio	ng PCT application, or (2) a national stage entry lication, or (3) a national application that claims all application which forms the basis for the on of a U.S. application that satisfies one of (1) visional application which forms the basis for
The corresponding Papplication number(s  The international dat PCT application(s) is	e of the corresponding		
corresponding Is attached.  Is not attached.  b. A copy of all clabove-identifier Is attached.  Is not attached.  Is not attached.  C. English transla	atest international work product (WO/ISA PCT application(s)  ed because the document is already in the Union which were indicated as having now discorresponding PCT application(s).	J.S. application velty, invention J.S. application e are attache	on.  ive step and industrial applicability in the  on.  ed (if the documents are not in the English

Registration Number 37,773

U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ľ	KEQUES		KIPO AND THE USPTO (continued)	T PROGRAM
Application No.: 12/257,091				
First Named Inventor:	FORR	EST C. FOLTZ		
d. (1) An information disclosure statement listing the documents cited in the international WO/ISA, WO/IPEA, IPER) of the corresponding PCT application.  Is attached  August 10, 2010  (2) Copies of all documents (except) for U.S. patents or U.S. patent application publicated Are attached.  Only US referenced Have already been filed in the above-identified U.S. application on				10, 2010  ion publications) S references cited
II. Claims Corre	sponde	ence Table:		
Claims in US Appl	lication	Patentable Claims in the corresponding PCT Application	Explanation regarding the corr	respondence
1-20		1-20		
III. All the clain corresponding			ently correspond to the pa	tentable claims in the
/lama	o D Do	noweky/		August 10, 2010
Olgitature		nowsky/		Date August 10, 2010
Name low	000 D D	longuala.		07.770

James R. Banowsky

(Print/Typed)

## **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT** 

First Named Inventor: FORREST C. FOLTZ

Application No.: 12/257,091 Filed: October 23, 2008

Customer No.: 45809

Title: OPPORTUNISTIC PAGE LARGIFICATION

Commissioner for Patents P.O. Box 1460 Alexandria, VA 22313-1450 Attorney Docket No.: MFCP.142324/324487.01PPH Group Art Unit: 2189

Examiner: BRAGDON, REGINALD GLENWOOD

Confirmation Number: 6410

Statement on Request for Participation in the PCT-Patent Prosecution Highway Pilot Program

Dear Sir:

Applicants state that the claims in the PCT application are identical to the claims in the US application. The only formatting difference is that the claims in the PCT application contain numerical references that refer to the drawings. For your convenience, however, a copy of the claims in the PCT application is also included.

Accordingly, applicants respectfully request the petition to participate in the PCT – Patent Prosecution Highway Pilot Program be granted. If you have any questions, please do not hesitate to call the Applicant's attorney at the telephone number listed below.

Respectfully submitted,

Date: August 29, 2010 By:/James R. Banowsky/

Atty: James R. Banowsky

Reg. No.: 37,773

Direct telephone: (425) 705-3539

Microsoft Corporation
One Microsoft Way

Redmond WA 98052-6399

<u>CERTIFICATE OF MAILING OR TRANSMISSION</u> (Under 37 CFR § 1.8(a)) or ELECTRONIC FILING

I hereby certify that this correspondence is being electronically deposited with the USPTO via EFS-Web on the date shown below:

August 29, 2010	/Eric Matt/
Date	Fric Matt

Docket No.: MFCP.142324/324487.01PPH

## 17/23

#### **CLAIMS**

1. One or more computer-readable media storing computer-executable instructions for performing a method of converting a plurality of small pages associated with one or more processes executing on a computer system into a large page, each of the plurality of small pages being associated with one of a plurality of page table entries from a hierarchical page table system containing at least two levels of page tables, the method comprising:

scanning (501) a last level of the hierarchical page table system for a page table in which each of at least a threshold of a plurality of entries are associated with one of a plurality of pages, resulting in the identification of a candidate page table;

locating (504) a memory segment composed of a plurality of contiguous segments of physical memory, large enough to store each of the plurality of segments of physical memory associated with all of the plurality of entries of the candidate page table;

copying (505) each of the plurality of segments of physical memory associated with all of the plurality of entries of the candidate page table into the memory segment composed of a plurality of contiguous segments of physical memory; and

adjusting (506) a page table entry in a page table one level before the last level of the hierarchical page table system to be associated with the memory segment composed of a plurality of contiguous segments of physical memory.

- 2. The media of claim 1, wherein scanning a last level of the hierarchical page table system comprises selectively scanning each of a plurality of address spaces associated with the one or more processes executing on the computer system.
- 3. The media of claim 1, wherein the threshold of a plurality of entries is all of the plurality of entries.
- 4. The media of claim 1, wherein each of the plurality of entries of the candidate page table are associated with a single one of the one or more processes executing on the computer system.
- 5. The media of claim 1, wherein locating a memory segment composed of a plurality of contiguous segments of physical memory comprises copying data from a first location near an area of physical memory to a second location away from said area in order to create a plurality of contiguous segments of physical memory large enough to store each of the plurality of segments of physical memory associated with all of the plurality of entries of the candidate page table.
- 6. The media of claim 1, wherein the memory segment composed of a plurality of contiguous segments of physical memory is on a predetermined byte boundary.
- 7. The media of claim 1, wherein copying each of the plurality of segments of physical memory associated with all of the plurality of entries of the candidate page table further comprises freeing said plurality of segments of physical memory after they have been copied.

- 8. The media of claim 1, wherein said page table entry in a page table one level before the last level of the hierarchical page table system was previously associated with the candidate page table.
- 9. The media of claim 1, wherein adjusting a page table entry further comprises freeing a segment of memory containing the candidate page table.
- 10. One or more computer-readable media storing computer-executable instructions for performing a method of converting a large page into a plurality of small pages associated with one or more processes executing on a computer system, each of the small pages associated with one or more page table entries from a hierarchical page table system containing at least two levels of page tables, the method comprising:

receiving (601) an operating system notification indicating a large page to be converted to a plurality of small pages;

creating (602) a new page table;

associating (603) each of a plurality of entries in the new page table with a segment of the large page; and

adjusting (604) a page table entry in a page table one level before the last level of the hierarchical page table system to be associated with the new page table, wherein said page table entry in a page table one level before the last level of the hierarchical page table system was previously associated with the large page.

11. The media of claim 10, wherein the operating system notification is due to a need to swap out one or more segments of the large page.

- 12. The media of claim 10, wherein the operating system notification is due to an address space containing the large page being removed.
- 13. The media of claim 10, wherein the new page table has a number of entries equal to a size of the large page divided by a predetermined small page size.
- 14. One or more computer-readable media storing computer-executable instructions for performing a method comprising:

scanning (501) a last level of a hierarchical page table system, containing at least two levels of page tables, in each of a plurality of address spaces associated with one or more processes executing on a computer system for a page table in which each of at least a threshold of a plurality of entries are associated with one of a plurality of segments of physical memory, resulting in the identification of a candidate page table;

locating (504) a memory segment composed of a plurality of contiguous segments of physical memory, large enough to store each of the plurality of segments of physical memory associated with all of the plurality of entries of the candidate page table;

copying (505) each of the plurality of segments of physical memory associated with all of the plurality of entries of the candidate page table into the memory segment composed of a plurality of contiguous segments of physical memory;

freeing (505) a segment of memory containing the candidate page table;

adjusting (506) a page table entry in a page table one level before the last level of the hierarchical page table system, said page table entry being previously associated with the candidate page table, to be associated with the memory segment composed of a plurality of contiguous segments of physical memory;

receiving (601) an indication from a memory subsystem incapable of swapping out large pages, indicating a segment of a large page is to be swapped out;

creating (602) a new page table with each entry in said new page table associated with a segment of the large page; and

adjusting (604) a page table entry in a page table one level before the last level of the hierarchical page table system to be associated with the new page table, wherein said page table entry in a page table one level before the last level of the hierarchical page table system was previously associated with the large page.

- 15. The media of claim 14, wherein the hierarchical page table system contains four levels.
  - 16. The media of claim 14, wherein each small page is 4KB.
  - 17. The media of claim 14, wherein each large page is 2MB.
- 18. The media of claim 14, wherein the memory segment composed of a plurality of contiguous segments of physical memory is on a predetermined byte boundary.
- 19. The media of claim 18, wherein the predetermined byte boundary is 2MB.

## 22/23

20. The media of claim 14, wherein the page table hierarchical page table system is addressed according to a 64-bit architecture.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/257,091	10/23/2008	FORREST C. FOLTZ	MFCP.142324/324487.01PPH 6410	
45809 7590 10/04/2010 SHOOK, HARDY & BACON L.L.P. (MICROSOFT CORPORATION) INTELLECTUAL PROPERTY DEPARTMENT 2555 GRAND BOULEVARD			EXAMINER	
			BRAGDON, REGINALD GLENWOOD	
			ART UNIT	PAPER NUMBER
KANSAS CIT	Y, MO 64108-2613	2189		
			MAIL DATE	DELIVERY MODE
		•	10/04/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

www.usplo.gov

SHOOK, HARDY & BACON L.L.P. (MICROSOFT CORPORATION) INTELLECTUAL PROPERTY DEPARTMENT 2555 GRAND BOULEVARD KANSAS CITY MO 64108-2613

In re Application of: FOLTZ et al. Application No. 12/257,091 Docket #: MFCP.142324/324487.01PPH

Filed: October 23, 2008

For: OPPORTUNISTIC PAGE LARGIFICATION

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the PCT-Patent Prosecution Highway (PCT-PPH) pilot program and the petition under 37 CFR 1.102(a), filed August 10, 2010, to make the above-identified application special.

## The petition is **DISMISSED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application is
  - (a) a national stage entry of the corresponding PCT application

Or

(b) a national application which forms the basis for the priority claim in the corresponding PCT application

Or

(c) a national stage entry of another PCT application (which can be filed in any competent receiving office) which claims priority to the corresponding PCT application

Or

- (d) a national application claiming foreign domestic priority to the corresponding PCT application.
  - Applications subject to a secrecy order (35U.S.C.181) are excluded and not subject to participation in the PCT-PPH pilot program.

Or

- (e) a continuing application (continuation, divisional, or continuation-in-part) of the U.S. application which satisfies one of the above (a) to (d) scenarios.
- (2) The latest work product in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, indicates at least one claim in the PCT application has novelty, inventive step and industrial applicability. In case any observation is described in Box VIII of the WO/ISA, or the WO/IPEA, or the IPER which forms the basis for the PCT-PPH request, applicant must identify and explain why the claim(s) is/are not subject to any observation described in Box VIII irrespective of whether an amendment is submitted to correct the observation described in Box VIII. The U.S. application will not be eligible to participate in the PCT-PPH pilot program if applicant does not identify and explain why the claim(s) is/are not subject to the observation described in Box VIII.

Applicant may not file a request to participate in the PCT-PPH pilot program on the basis of an International Search Report (ISR) only.

## (3) Applicant must:

- a. Ensure all the claims in the U.S. application sufficiently correspond or be amended to sufficiently correspond to one or more of those claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application and
- b. Submit a claims correspondence table in English;

The USPTO will accept claims written in dependent form in the U.S. application which are narrower in scope than the claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application.

- (4) Substantive Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of:
  - a. the latest international work product, WO/ISA, or WO/IPEA or PER, which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof if the copy of the latest international work product is not in the English language, unless the U.S. application and the corresponding PCT application satisfy the relationship noted in (1)(a) above.
- (6) Applicant must submit a copy of:
  - a. the claims from the corresponding PCT application which were indicated as having novelty, inventive step and industrial applicability in the latest work product of the PCT application,
  - b. an English translation of the claims and
  - c. a statement that the English translation is accurate.

If the claims in the U.S. application are identical to the claims from the corresponding PCT application, and are in the English language, applicant may just indicate such in the PCT-PPH request and it will not be necessary for applicant to submit a copy of the claims from the corresponding PCT application.

- (7) Applicant must submit:
  - a. An IDS listing the documents cited in the work products in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, (unless already submitted in this application)
  - b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

The request to participate in the PPH pilot program and petition fail to comply with the above requirements.

Specifically, the Petition fails to list the last 3 references (except the Burugula reference):

```
2. Citations and explanations:

Reference is made to the following documents:

D1: US 2006-0288187 A1 (RAMANIANEYA SARMA SURRELA et al.) 21 December 2006
D2: US 2004-0117594 A1 (MILLUS VANDERSPEK) 17 June 2004
D3: US 2005-0097208 A1 (RINGSP 5. TRUEN) 05 May 2005
D4: US 6715067 N1 (KICHARD E. KENSLER et al.) 30 March 2004
```

cited by the International Search Authority, in an IDS listing in the instant application as required by item 7 above.

The Petition is **DISMISSED**.

Applicant is given a time period of ONE MONTH or THIRTY DAYS, whichever is longer, to correct the deficiencies. NO EXTENSION OF TIME UNDER 37 CFR 1.136 IS PERMITTED.

If the deficiencies are not corrected with the time period given, the application will await action in its regular turn.

Response must submitted by EFS-Web using the document description "Petition to make special under PCT-Patent Pros Hwy".

Telephone inquiries concerning this decision should be directed to Mano Padmanabhan at 571-272-4210.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

/Mano Padmanabhan/

Mano Padmanabhan

Quality Assurance Specialist, Technology Center 2100, Workgroup 2180

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 12/257,091 Confirmation No. 6410

Applicant : Forrest C. Foltz, et al.

Filed : 10/23/2008

Title : Opportunistic Page Largification

Group Art Unit : 2189

Examiner : Reginald Glenwood Bragdon
Docket No. : MFCP.142324/324487.01PPH

Customer No. : 45809

#### VIA EFS – OCTOBER 27, 2010

Mail Stop Petition Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 C.F.R § 1.102(A)

In response to the decision mailed October 4, 2010, the time period for response to which expires on November 4, 2010, Applicants hereby submit the enclosed IDS listing the references cited by the International Search Authority.

It is believed that no fee is due. However, the Commissioner is herby authorized to charge any fee which may be required, or credit any overpayment, to Deposit Account 19-2112, with reference to Attorney Docket No. MFCP.142324/324487.01PPH.

Respectfully submitted,

/ALISON L. ERICKSON/

Alison L. Erickson Reg. No. 65,430

ALE/tq SHOOK, HARDY & BACON L.L.P. 2555 Grand Blvd. Kansas City, MO 64108-2613 816-474-6550



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/257,091	10/23/2008	FORREST C. FOLTZ	MFCP.142324/324487.01PPH	6410
45809 7590 11/10/2010 SHOOK, HARDY & BACON L.L.P. (MICROSOFT CORPORATION)			EXAM	NER
			BRAGDON, REGINALD GLENWOOD	
INTELLECTUAL PROPERTY DEPARTMENT 2555 GRAND BOULEVARD KANSAS CITY, MO 64108-2613			ART UNIT	PAPER NUMBER
			2189	
			MAIL DATE	DELIVERY MODE
•			11/10/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Shook, Hardy, & Bacon, L.L.P. (Microsoft Corporation)
Intellectual Property Department
2555 Grand Boulevard
Kansas City, MO 64108-2613

In re Application of: FOLTZZ et al. Application No. 12/257,091

Attorney Docket #: 142324/324487.01PPH

Filed: October 23, 2008

For: Opportunistic Page Largification

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the PCT-Patent Prosecution Highway (PCT-PPH) program and the petition under 37 CFR 1.102(a), filed October 27, 2010 to make the above- identified application special.

#### The petition is **GRANTED.**

A grantable request to participate in the PPH program and petition to make special require:

(1) The U.S. application is

(a) a national stage entry of the corresponding PCT application

Or

(b) a national application which forms the basis for the priority claim in the corresponding PCT application

Or

(c) a national stage entry of another PCT application (which can be filed in any competent receiving office) which claims priority to the corresponding PCT application

Or

(d) a national application claiming foreign domestic priority to the corresponding PCT application.

Applications subject to a secrecy order (35U.S.C.181) are excluded and not subject to participation in the PCT-PPH pilot program.

Or

- (e) a continuing application (continuation, divisional, or continuation-in-part) of the U.S. application which satisfies one of the above (a) to (d) scenarios.
- (2) The latest work product in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, indicates at least one claim in the PCT application has novelty, inventive step and industrial applicability. In case any observation is described in Box VIII of the WO/ISA, or the WO/IPEA, or the IPER which forms the basis for the PCT-PPH request, applicant must identify and explain why the claim(s) is/are not subject to any observation described in Box VIII irrespective of whether an amendment is submitted to correct the observation described in Box VIII. The U.S. application will not be eligible to participate in the PCT-PPH pilot program if applicant does not identify and explain why the claim(s) is/are not subject to the observation described in Box VIII.

Applicant may not file a request to participate in the PCT-PPH pilot program on the basis of an International Search Report (ISR) only.

#### (3) Applicant must:

- a. Ensure all the claims in the U.S. application sufficiently correspond or be amended to sufficiently correspond to one or more of those claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application and
- b. Submit a claims correspondence table in English;

The USPTO will accept claims written in dependent form in the U.S. application which are narrower in scope than the claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application.

- (4) Substantive Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of:
  - a. the latest international work product, WO/ISA, or WO/IPEA or PER, which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof if the copy of the latest international work product is not in the English language, unless the U.S. application and the corresponding PCT application satisfy the relationship noted in (1)(a) above.
- (6) Applicant must submit a copy of:
  - a. the claims from the corresponding PCT application which were indicated as having novelty, inventive step and industrial applicability in the latest work product of the PCT application,
  - b. an English translation of the claims and
  - c. a statement that the English translation is accurate.

If the claims in the U.S. application are identical to the claims from the corresponding PCT application, and are in the English language, applicant may just indicate such in the PCT-PPH request and it will not be necessary for applicant to submit a copy of the claims from the corresponding PCT application.

- (7) Applicant must submit:
  - a. An IDS listing the documents cited in the work products in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, (unless already submitted in this application)
  - b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

The request to participate in the PPH pilot program and petition are found to comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Mano Padmanabhan at 571-272-4210.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Mano Padmanabhan/

Mano Padmanabhan Quality Assurance Specialist, Technology Center 2100, Workgroup 2180 571-272-4210



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

MARGUERITE E. GERSTNER
TYCO ELECTRONICS CORPORATION
INTELLECTUAL PROPERTY LAW DEPARTMENT
309 CONSTITUTION DRIVE M/S R34/2A
MENLO PARK CA 94025-1164

MAILED

DEC 202010

**OFFICE OF PETITIONS** 

In re Application of

Thomas D. Ratzlaff

Application No. 12/257,132

Filed: October 23, 2008

Attorney Docket No. E-AD-00027 (958-3035)

DECISION ON PETITION

This is a decision on the petition, filed October 5, 2010, which is being treated as a petition under 37 CFR 1.8(a), requesting withdrawal of the holding of abandonment in the above-identified application.

#### The petition is **GRANTED**.

This application was held abandoned for failure to timely respond to the Non-Final Office action of March 4, 2010 which set a three (3) month shortened statutory period for reply. Accordingly, a reply was due on or before June 4, 2010, or, on or before September 7, 2010, with a three (3)-month extension of time. A Notice of Abandonment was mailed September 15, 2010.

Petitioner states that a timely reply was mailed via certificate of mailing on September 7, 2010, which included the following papers: an amendment, and a request for a three (3) month extension of time. Petitioner has submitted a copy of the previously mailed correspondence, which bears a certificate of mailing dated September 7, 2010, which would have rendered the reply timely if received.

The file record includes the originally submitted papers. However, the papers were received at the Office on September 13, 2010. Failure to timely receive correspondence which includes a certificate of mailing or certificate of facsimile transmission is addressed in 37 CFR 1.8(a), reproduced below:

Except in the situations enumerated in paragraph (a)(2) of this section or as otherwise expressly excluded in this chapter, correspondence required to be filed in the U.S. Patent and Trademark Office within a set period of time will be considered as being timely filed if the procedure described in this section is followed. The actual date of receipt will be used for all other purposes.

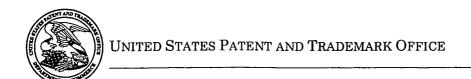
- (1) Correspondence will be considered as being timely filed if:
- (i) The correspondence is mailed or transmitted prior to expiration of the set period of time by being:
- (A) Addressed as set out in § 1.1(a) and deposited with the U.S. Postal Service with sufficient postage as first class mail;
- (B) Transmitted by facsimile to the Patent and Trademark Office in accordance with § 1.6 (d); or
- (C) Transmitted via the Office electronic filing system in accordance with § 1.6(a)(4); and
- (ii) The correspondence includes a certificate for each piece of correspondence stating the date of deposit or transmission. The person signing the certificate should have reasonable basis to expect that the correspondence would be mailed or transmitted on or before the date indicated.

The petition satisfies the above requirements of 37 CFR 1.8(a). Accordingly, the holding of abandonment for failure to timely file a reply to the Office action of March 4, 2010 is hereby withdrawn and the application restored to pending status.

Telephone inquiries regarding this decision should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to Technology Center AU 2839 for appropriate action in the normal course of business on the amendment filed on September 13, 2010.

/ Ramesh Krishnamurthy/
Ramesh Krishnamurthy
Petitions Examiner
Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 223131450

PATTERSON & SHERIDAN, LLP - - APPM/TX 3040 POST OAK BOULEVARD, SUITE 1500 HOUSTON, TX 77056

MAILED OCT 0.5 2010

**OFFICE OF PETITIONS** 

Applicant: Li-Qun Xia, et al. Appl. No.: 12/257,137

Filing Date: October 23, 2008

Title: METHOD FOR CRITICAL DIMENSION SHRINK USING

CONFORMAL PECVD FILMS

Attorney Docket: 013003/DSM/BCVD/MDG

Pub. No.: US 2009/0286402 A1 Pub. Date: November 19, 2009

This is a decision on the request for a corrected patent application publication under 37 CFR 1.221(b), received on January 11, 2010, for the above-identified application.

The request is DISMISSED.

Applicant requests that the application be republished because the patent application publication contains material error in paragraph 0046 of the specification, wherein "TEMPEST® wet-clean system" was misprinted as "TEMPEST wet-clean system."

37 CFR 1.221 (b) is applicable: "only when the Office makes a material mistake which is apparent from Office records.... Any request for a corrected publication or revised patent application publication other than provided as provided in paragraph (a) of this section must be filed within two months from the date of the patent application publication. This period is not extendable" A material mistake must affect the public's ability to appreciate the technical disclosure of the patent application publication, to determine the scope of the patent application publication, or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent. \(^1\)

The error noted by requester with respect to "®" being omitted after the word "TEMPEST" may be Office error, but it is not a material Office errors under 37 CFR 1.221. The omission of the symbol "®" does not affect the understanding of the application. The error therefore do not affect the public's ability to appreciate the technical disclosure of the patent application publication, to determine the scope of the patent application publication, or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent.

<sup>&</sup>lt;sup>1</sup>Changes to Implement Eighteen-Month Publication of Patent Applications, 65 FR 57023, 57038 (Sept. 20, 2000), 1239, Off. Gaz. Pat. Office Notices 63, 75 (Oct. 10, 2000) (final rule).

Application No.: 12/257,137 Page 2

The trademark symbol "®" in the superscript is very small. Applicant is advised that he may want to file application papers that are clearer, as the errors are due to the quality of the text. Applicants have been advised to file applications having cleaner and larger text with sufficient clarity and contrast to permit reproduction, such as electronic reproduction by digital imaging and optical character recognition, which will avoid errors in the patent application publication process. See 37 CFR 1.52 and PCT Rule 11.9(d).

The applicant is advised that a "request for republication of an application previously published" may be filed under 37 CFR 1.221(a). Such a request for republication "must include a copy of the application compliance with the Office's electronic filing system requirements and be accompanied by the publication fee set forth in § 1.18(d) and the processing fee set forth in § 1.17(i)." If the request for republication does not comply with the electronic filing system requirements, the republication will not take place and the publication fee set forth in § 1.18(d) will be refunded. The processing fee will be retained.

A "Quick Start Guide" for filing a request for a Pre-Grant Publication, such as a request for republication, may be found on the link below:

http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp

http://www.uspto.gov/ebc/portal/efs/pgpub\_quickstart.pdf

Any request for republication under 37 CFR 1.221(a), must be submitted via the EFS system, as a "Pre-Grant Publication."

Inquiries relating to this matter may be directed to Mark Polutta at (571) 272-7709.

Mark Polutta

Senior Legal Advisor

Office of Patent Legal Administration
Office of the Deputy Commissioner

for Patent Examination Policy

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

PAUL W. MARTIN NCR CORPORATION, LAW DEPT. 3097 SATELLITE BLVD., 2nd FLOOR DULUTH GA 30096 **MAILED**NOV 1 6 2011

OFFICE OF PETITIONS

In re Application of

William H. BARBER

ON PETITION

Application No. 12/257,193

Filed: October 23, 2008

Atty. Docket No.: 15216-C02

This is a decision on the petition under 37 CFR 1.137(b), filed November 3, 2011, to revive the above-identified application.

#### The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed September 13, 2010 (Office action), which set a shortened statutory period for reply of three (3) months. No extension of time under the provisions of 37 CFR 1.137(a) was obtained. The application became abandoned December 14, 2010. A Notice of Abandonment was mailed March 30, 2011.

The petition satisfies the conditions for revival pursuant to 37 CFR 1.137(b) by including (1) a reply in the form of a Response to the Office action mailed September 13, (2) a petition fee of \$1860, and (3) a statement of unintentional delay. The reply to the Office action is accepted as having been unintentionally delayed.

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was intentional, petitioner must notify the Office.

Telephone inquiries relating to this decision should be directed to Robert DeWitty, Petitions Attorney, Office of Petitions (571-272-8427).

The application will be referred to Technology Center Art Unit 3627 for consideration of the filed Response.

Robert DeWitty
Petitions Attorney
Office of Petitions



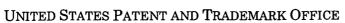
# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/257,201	10/23/2008	Jack C. SUGGETT	AB-2701 US	6603
	7590 06/22/2011		EXAM	INER
Haynes and Boone, LLP IP Section 2323 Victory Avenue SUITE 700		WILSON, GREGORY A		
			ART UNIT	PAPER NUMBER
Dallas, TX 75219			3749	
•			MAIL DATE	DELIVERY MODE
	•		06/22/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Haynes and Boone, LLP IP Section 2323 Victory Avenue SUITE 700 Dallas TX 75219

In re Application of:

SUGGETT, JACK C. et al

Serial No. 12/257,201 Filed: Oct. 23, 2008

Docket: AB-2701 US

Title:

METHOD AND APPARATUS FOR

STEAM GENERATION

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PILOT PROGRAM AND PETITION TO MAKE

SPECIAL UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) pilot program and the petition under 37 CFR 1.102(a), filed June 13, 2011 to make the above-identified application special.

The request and petition are granted.

A grantable request to participate in the PPH pilot program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the CIPO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the CIPO application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the CIPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of all the office actions from each of the CIPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the CIPO examiner in the CIPO office action along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH pilot program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Henry C. Yuen, at 571-272-4856.

All other inquiries concerning the examination or status of the application should be directed to Steve McAllister, SPE of Art Unit 3749 and 571-272-6785.

The application will be forwarded to the examiner for action on the merits commensurate with this decision.

Petition Granted.

/Henry C. Yuen/

Henry C. Yuen, Special Programs Examiner Technology Center 3700 – Mechanical Engineering, Manufacturing and Products 571-272-4856



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FITZPATRICK CELLA HARPER & SCINTO 1290 AAVENUE OF THE AMERICAS NEW YORK, NY 10104-3800

MAILED FEB 02 2011

OFFICE OF PETITIONS

In re Application of Yasushi KOYAMA, et al.

Application No. 12/257,214 Filed: October 23, 2008

Attorney Docket No. 03500.152704.

DECISION GRANTING PETITION

UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed February 1, 2011, to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on January 14, 2010 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries should be directed to the undersigned at (571) 272-7253.

This application is being referred to Technology Center AU 2817 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed information disclosure statement.

/Monica A. Graves/
Petitions Examiner, Office of Petitions

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ROSSI, KIMMS & MCDOWELL LLP 20609 GORDON PARK SQUARE SUITE 150 ASHBURN VA 20147 MAILED
FEB 1 0 2012
OFFICE OF PETITIONS

In re Application of

Masayuki Yamadaya

Application No. 12/257,315

Filed: October 23, 2008

Attorney Docket No. FUJI-0501

**DECISION ON PETITION** 

This is a decision on the petition, filed November 30, 2011, under 37 CFR 1.181(a) to Withdraw Holding of Abandonment.

The petition under 37 CFR 1.181(a) is GRANTED.

The application was held abandoned for failure to timely reply to the final Office action mailed May 11, 2011.

The petition states that a reply was timely submitted on November 14, 2011 (Holiday on November 11, 2011 and November 12-13 weekend). To support this assertion, a review of the Office records shows that an amendment, Notice of Appeal and a request for a three month extension of time with fee and an Applicant Initiated Interview Request Form were submitted on November 14, 2011.

In view of the above, the holding of abandonment is hereby withdrawn and the application restored to pending status.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3208.

This application is being referred to Technology Center AU 2816 for appropriate action by the Examiner in the normal course of business on the reply received November 14, 2011.

/KOC/ Karen Creasy Petitions Examiner Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

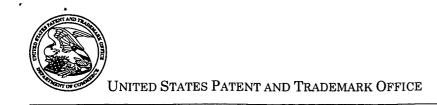
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/257,386	10/23/2008	Roy Shkedi	14-TV9	6946
26362 LOUIS J. HOF	26362 7590 09/29/2010 LOUIS J. HOFFMAN, P.C.		EXAM	INER
11811 North Tatum Boulevard, Suite 2100			KOENIG, ANDREW Y	
Phoenix, AZ 85028			ART UNIT	PAPER NUMBER
			2423	
			NOTIFICATION DATE	DELIVERY MODE
			09/29/2010	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Louis@valuablepatents.com donald@valuablepatents.com shaelyn@valuablepatents.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

Louis J. Hoffman, P.C. 11811 North Tatum Boulevard, Suite 2100 Phoenix AZ 85028

In re Application of: Roy Shkedi Application No. 12/257,386 Filed: October 23, 2008

For: TARGETED TELEVISION ADVERTISEMENTS BASED ON ONLINE BEHAVIOR DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNITED TO 1.102(d)

SFP 29 2010

DIRECTOR OFFICE TECHNOLOGY CENTER 2400

This is a decision on the request to participate in the PCT- Patent Prosecution Highway (PPH) pilot program and the petition under 37 CFR 1.102(d), filed July 28, 2010, to make the above-identified application special.

#### The petition is **GRANTED**.

A grantable request to participate in the PCT PPH program and petition to make special require:

- (1) The relationship between the corresponding U.S. application for which participation in the PCT-PPH pilot program is requested and the PCT application satisfies one of the following:
  - (a) The U.S. application is a national stage entry of the corresponding PCT application.
  - (b) The U.S. application is a national application which forms the basis for the priority claim in the corresponding PCT application.
  - (c) The U.S. application is a national stage entry of another PCT application (which can be filed in any competent receiving office) which claims priority to the corresponding PCT application.
  - (d) The U.S. application is a national application claiming foreign/domestic priority to the corresponding PCT application.
  - (e) The U.S. application is a continuing application (continuation, divisional, or continuation-in-part) of the U.S. application which satisfies one of the above (a) to (d) scenarios.

- (2) The latest work product in the international phase of the PCT application corresponding to the U.S. application indicates at least one claim in the PCT application has novelty, inventive step and industrial applicability. In case any observation is described in Box VIII of the WO/ISA, or WO/IPEA, or IPER which forms the basis for the PCT-PPH request, applicant must identify and explain why the claim(s) is/are not subject to any observation described in Box VIII irrespective of whether an amendment is submitted to correct the observation described in Box VIII.
- (3) All the claims in each U.S. application for which a request for participation in the PCT-PPH pilot program is made must sufficiently correspond to or be mended to sufficiently correspond to one or more of those claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application.
- (4) Substantive examination of the U.S. application for which participation in the PCT-PPH pilot program is requested has not begun.
- (5) Applicant must submit a copy of the latest international work product which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof if the copy of the latest international work product is not in the English language.
- (6) Applicant must submit a copy of the claims from the corresponding PCT application which were indicated as having novelty, inventive step and industrial applicability in the latest work product of the PCT application along with an English translation thereof and a statement that the English translation is accurate if the claims are not in the English language. Applicant is required to submit a claims correspondence table in English. The claims correspondence table must indicate how all the claims in the U.S. application sufficiently correspond to the claims indicated as having novelty, inventive step and industrial applicability in the latest international work product.
- (7) Applicant must submit an information disclosure statement (IDS) listing the documents cited in the international work products (ISR, WOIISA, WOIIPEA, PER) of the PCT.
- (8) Applicant must submit a petition fee under 37 CFR 1.17(h) for the petition to make special under 37 CFR 1.102(d).
- (9) The request for participation in the PCT-PPH pilot program and all the supporting documents must be submitted to the USPTO via EFS-Web and indexed with the following document description: "Petition to make special under PCT-Patent Pros Hwy. Any preliminary amendments and IDS submitted with the PCT-PPH documents must be separately indexed as a preliminary amendment and IDS, respectively.

Application SN 12/257,386 Decision on Petition

The request to participate in the PCT-PPH program and petition are found to comply with all the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Christopher Grant at 571-272-7294

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Christopher Grant/ Christopher Grant Quality Assurance Specialist Technology Center 2400

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

## MAILED

AUG 132010

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. BOX 2902 MINNEAPOLIS MN 55402-0902

OFFICE OF PETITIONS

In re Application of

Andrea BACCINI

Application No. 12/257,456

Filed: October 24, 2008

Attorney Docket No. 20013.119US01

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 13, 2010.

#### The request is **NOT APPROVED.**

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request cannot be approved because it is not clear to the Office as to whether the certifications noted above at items 1 and 2 have been performed.

Further, the Office will no longer accept address changes to a new practitioner or law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

Page 2

Accordingly, the request to withdraw from record cannot be approved because the request to change the correspondence address is to a new practitioner or law firm and is absent a proper power of attorney.

All future communications from the Office will continue to be directed to the above-listed address until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/dcg/ Diane C. Goodwyn Petitions Examiner Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date February 6, 2012

In re Application of Scott Carlson

Application No. 12257467 DECISION ON PETITION

Filed: 24-Oct-2008 UNDER CFR 1.137(b)

Attorney Docket No. AUS920080175U

This is an electronic decision on the petition under 37 CFR 1.137(b), February 6, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition a	automatically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request  PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)		
Application Number	12257467	
Filing Date	24-Oct-2008	
First Named Inventor	ned Inventor Scott Carlson	
Attorney Docket Number AUS920080175US1		
Title DETERMINING THE CONFIGURATION OF AN ETHERNET FABRIC		OF AN ETHERNET FABRIC
United States Patent and Trade		ely and proper reply to a notice or action by the eday after the expiration date of the period set for ined.
APPLICANT HEREBY PETITIONS	FOR REVIVAL OF THIS APPLICATION	
NOTE: A grantable petition requ (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disc design applications; and (4) Statement that the entire de	claimer fee - required for all utility and plant	applications filed before June 8, 1995; and for all
Petition Fee		
Applicant claims SMALL EN	ITITY status. See 37 CFR 1.27.	
Applicant is no longer clain	ning SMALL ENTITY status. See 37 CFR 1.27(	g)(2).
Applicant(s) status remain:	s as SMALL ENTITY.	
<ul><li>Applicant(s) status remains</li></ul>	s as other than SMALL ENTITY.	
Applicant(s) status remains     Reply and/or fee	s as other than SMALL ENTITY.	
. Reply and/or fee  I certify, in accordance with	h 37 CFR 1.4(d)(4) that the amendment and rove-identified application on 02-06-2012	response have
. Reply and/or fee I certify, in accordance with	h 37 CFR 1.4(d)(4) that the amendment and r ove-identified application on 02-06-2012	response have
I certify, in accordance with already been filed in the above.	h 37 CFR 1.4(d)(4) that the amendment and rove-identified application on 02-06-2012 are attached	response have
Reply and/or fee  I certify, in accordance with already been filed in the about the about the and response acceptance of the control of the c	h 37 CFR 1.4(d)(4) that the amendment and rove-identified application on 02-06-2012 are attached	

I certify, in accordance with 37 CFR 1.4(d)(4) that the Notice of Appeal and Fee have already been filed in the above-identified application on			
O Notice of Appeal and Fee are a	Notice of Appeal and Fee are attached		
	red, since the Electronic Petition format is not support for Design applications and 5. Please file using regular petition format for review by the Office of Petitions.		
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.			
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES			
I certify, in accordance with 37 CFR	I certify, in accordance with 37 CFR 1.4(d)(4) that I am:		
An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.			
An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.			
A sole inventor			
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors			
A joint inventor; all of whom are signing this e-petition			
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71			
Signature	/Robert McLAuchlan/		
Name	Robert McLauchlan		
Registration Number	44924		

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
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HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. BOX 2902 MINNEAPOLIS MN 55402-0902

MAILED

AUG 1 6 2010

OFFICE OF PETITIONS

In re Application of

Andrea Baccini

Application No. 12/257,468

Filed: October 24, 2008

Attorney Docket No. 13.118US01

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36, filed July 13, 2010.

The request is **NOT APPROVED**.

The request cannot be approved because it lacks a forwarding correspondence address of the first named inventor or a properly intervening assignee.

If the forwarding correspondence address is to the assignee, the Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest *that properly became of record under 37 CFR 3.71*. 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

Also all three certification boxes has not been checked. Boxes 1 and 2 were left unchecked.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

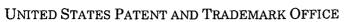
Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-2991.

**Petitions Examiner** 

Office of Petitions

cc:

PATTERSON & SHERIDAN, LLP—APPM/TX 3040 POST OAK BOULEVARD, SUITE 1500 . HOUSTON, TX 77056





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. BOX 2902 MINNEAPOLIS MN 55402-0902

**MAILED** 

AUG 13 2010

In re Application of : OFFICE OF PETITIONS

Andrea BACCINI :

Application No. 12/257,486 : DECISION ON PETITION

Filed: October 24, 2008 : TO WITHDRAW Attorney Docket No. 20013.117US01 : FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 13, 2010.

#### The request is **NOT APPROVED.**

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request cannot be approved because it is not clear to the Office as to whether the certifications noted above at items 1 and 2 have been performed.

Further, the Office will no longer accept address changes to a new practitioner or law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record cannot be approved because the request to change the correspondence address is to a new practitioner or law firm and is absent a proper power of attorney.

All future communications from the Office will continue to be directed to the above-listed address until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/dcg/ Diane C. Goodwyn Petitions Examiner Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

**Decision Date** February 6, 2012

In re Application of Scott Carlson

**DECISION ON PETITION** Application No. 12257488 UNDER CFR 1.137(b)

Attorney Docket No. AUS920080174U

24-Oct-2008

This is an electronic decision on the petition under 37 CFR 1.137(b), February 6, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

Filed:

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition a	automatically granted by EFS-Web	U.S. Patent and Trademark Office Department of Commerce
PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)		
Application Number	12257488	
Filing Date	24-Oct-2008	
First Named Inventor	Scott Carlson	
Attorney Docket Number	torney Docket Number AUS920080174US1	
Title	FIBRE CHANNEL FRAMING AND SIGNALING OPTIONAL HEADER FOR ETHERNET FAB	
United States Patent and Trade	on became abandoned for failure to file a timely emark Office. The date of abandonment is the da ion plus any extensions of time actually obtained	ay after the expiration date of the period set for
APPLICANT HEREBY PETITIONS	FOR REVIVAL OF THIS APPLICATION	
<ul> <li>(1) Petition fee;</li> <li>(2) Reply and/or issue fee;</li> <li>(3) Terminal disclaimer with disclaimer applications; and</li> <li>(4) Statement that the entire defetition Fee</li> </ul>	sclaimer fee - required for all utility and plant appelay was unintentional	plications filed before June 8, 1995; and for all
	NTITY status. See 37 CFR 1.27.	
<ul><li>Applicant is no longer clair</li></ul>	ming SMALL ENTITY status. See 37 CFR 1.27(g)(2	2).
Applicant(s) status remain	is as SMALL ENTITY.	
<ul><li>Applicant(s) status remains</li></ul>	s as other than SMALL ENTITY.	
Reply and/or fee		
	rh 37 CFR 1.4(d)(4) that the amendment and respove-identified application on 02-06-2012	oonse have
<ul> <li>Amendment and response</li> </ul>	e are attached	
CE request, submission, and fee.		
	n 37 CFR 1.4(d)(4) that the RCE Request, Submiss ove-identified application on	ion, and Fee have

I certify, in accordance with 37 CFR 1.4(d)(4) that the Notice of Appeal and Fee have already been filed in the above-identified application on			
O Notice of Appeal and Fee are a	Notice of Appeal and Fee are attached		
	red, since the Electronic Petition format is not support for Design applications and 5. Please file using regular petition format for review by the Office of Petitions.		
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.			
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES			
I certify, in accordance with 37 CFR	I certify, in accordance with 37 CFR 1.4(d)(4) that I am:		
An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.			
An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.			
A sole inventor			
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors			
A joint inventor; all of whom are signing this e-petition			
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71			
Signature	/Robert McLAuchlan/		
Name	Robert McLauchlan		
Registration Number	44924		



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

GODFREY & KAHN S.C. 780 NORTH WATER STREET MILWAUKEE WI 53202 MAILED
SEP 2 2 2010
OFFICE OF PETITIONS

In re Application of

William C. Ottemann et al. Application No. 12/257,535

Filed: October 24, 2008

Attorney Docket No. 073743-0143

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed August 31, 2010, to revive the above-identified application.

There is no indication that the person signing the petition was ever given a power of attorney or authorization of agent to prosecute the above-identified application. However, in accordance with 37 CFR 1.34(a), the signature of Alan R. Stewart appearing on the petition shall constitute a representation to the United States Patent and trademark Office that petitioner is authorized to represent the particular party on whose behalf he acts. If, Alan R. Stewart desires to be acknowledged as the attorney of record in this file, the appropriate power of attorney documents must be submitted.

#### The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, December 11, 2009, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on March 12, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$810, and (3) a proper statement of unintentional delay.

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that such an inquiry has not been made, petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, petitioner must notify the Office.

Telephone inquiries concerning this decision should be directed to JoAnne Burke at (571) 272-4584.

This application is being referred to Technology Center AU 3617 for appropriate action by the Examiner in the normal course of business on the reply received.

Joanne Burke Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

GERALD E. MCGLYNN, III PLISS MCGLYNN, P.C. 2075 WEST BIG BEAVER ROAD, SUITE 600 TROY, MI 48084

MAILED NOV 08 2011

OFFICE OF PETITIONS

In re Application of

Mladen Humer et al

Application No. 12/257,542

Filed: October 24, 2008
Attorney Docket No. 05560-US

Attorney Docket No. 05560-USA-

(0267.00099)

: DECISION GRANTING PETITION

: UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed, November 3, 2011 to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on October 13, 2011 in the above-identified application cannot be refunded. If, however, the above-identified application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries should be directed to Irvin Dingle at (571) 272-3210.

This matter is being referred to Technology Center AU 3636 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed Information Disclosure Statement.

/Irvin Dingle/
Irvin Dingle
Petitions Examiner
Office of Petitions

<sup>&</sup>lt;sup>1</sup> The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Issue Fee Transmittal Form PTOL-85(b), which includes the following language thereon: Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or re-apply any previously paid issue fee to the application identified above. Petitioner is advised that, whether a fee is indicated as being due or not, the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment. Note the language in bold text on the first page of the Notice of Allowance and Fee(s) Due (PTOL-85).

Doc Code: PET.AUTO Document Description: Petition a	automatically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce								
Electronic Petition Request	PETITION FOR REVIVAL OF AN ABANDONED UNINTENTIONA									
Application Number	12257573									
Filing Date	24-Oct-2008									
First Named Inventor	Gregory Bellows									
Attorney Docket Number	AUS920080762US1									
Title MANAGING MISALIGNED DMA ADDRESSES										
United States Patent and Trade		ely and proper reply to a notice or action by the day after the expiration date of the period set for ned.								
APPLICANT HEREBY PETITIONS	FOR REVIVAL OF THIS APPLICATION									
NOTE: A grantable petition requ (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with dis- design applications; and (4) Statement that the entire de	claimer fee - required for all utility and plant	applications filed before June 8, 1995; and for all								
etition Fee										
Applicant claims SMALL EN	NTITY status. See 37 CFR 1.27.									
Applicant is no longer clair	ming SMALL ENTITY status. See 37 CFR 1.27(	g)(2).								
Applicant(s) status remain	s as SMALL ENTITY.									
<ul><li>Applicant(s) status remains</li></ul>	s as other than SMALL ENTITY.									
. Reply and/or fee										
I certify, in accordance with	h 37 CFR 1.4(d)(4) that the amendment and r ove-identified application on 02-06-2012	response have								
I certify, in accordance with	ove-identified application on 02-06-2012	esponse have								
I certify, in accordance with already been filed in the ab	ove-identified application on 02-06-2012	response have								
I certify, in accordance with already been filed in the ab  Amendment and response ACE request, submission, and fee.  I certify, in accordance with	ove-identified application on 02-06-2012									

I certify, in accordance with 37 already been filed in the above	CFR 1.4(d)(4) that the Notice of Appeal and Fee have -identified application on											
O Notice of Appeal and Fee are a	ttached											
	red, since the Electronic Petition format is not support for Design applications and 5. Please file using regular petition format for review by the Office of Petitions.											
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.												
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES												
I certify, in accordance with 37 CFR 1.4(d)(4) that I am:												
An attorney or agent registered in this application.	to practice before the Patent and Trademark Office who has been given power of attorney											
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.											
A sole inventor												
A joint inventor; I certify that I a	m authorized to sign this submission on behalf of all of the inventors											
A joint inventor; all of whom are	signing this e-petition											
The assignee of record of the en	tire interest that has properly made itself of record pursuant to 37 CFR 3.71											
Signature	/Robert McLAuchlan/											
Name	Robert McLauchlan											
Registration Number	44924											



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date February 6, 2012 In re Application of Gregory Bellows

Application No. 12257573

Filed: 24-Oct-2008

DECISION ON PETITION UNDER CFR 1.137(b)

Attorney Docket No. AUS920080762U

This is an electronic decision on the petition under 37 CFR 1.137(b), February 6, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date February 6, 2012 In re Application of Gregory Bellows

Application No. 12257577

Filed: 24-Oct-2008

DECISION ON PETITION UNDER CFR 1.137(b)

Attorney Docket No. AUS920080764U

This is an electronic decision on the petition under 37 CFR 1.137(b), February 6, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of aresponse; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions

	ically granted by EFS-Web	Department of Commerce								
·  = :	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)									
Application Number	12257577									
Filing Date 2	24-Oct-2008									
First Named Inventor	Gregory Bellows									
Attorney Docket Number	AUS920080764US1									
itle !	MANAGING AN OUT-OF-ORDER A	ASYNCHRONOUS HETEROGENEOUS REMOTE DIRECT ME								
	fice. The date of abandonment is	timely and proper reply to a notice or action by the the day after the expiration date of the period set for btained.								
APPLICANT HEREBY PETITIONS FOR REV	/IVAL OF THIS APPLICATION									
NOTE: A grantable petition requires the 1) Petition fee; 2) Reply and/or issue fee; 3) Terminal disclaimer with disclaimer f design applications; and 4) Statement that the entire delay was	fee - required for all utility and pla	ant applications filed before June 8, 1995; and for all								
Applicant claims SMALL ENTITY eta	Caa 27 CED 1 27									
Applicant claims SMALL ENTITY sta		27/ 1/21								
Applicant is no longer claiming SM.		2/(g)(2).								
Applicant(s) status remains as SMA										
Applicant(s) status remains as othe	r than SMALL ENTITY.									
Reply and/or fee  I certify, in accordance with 37 CFR already been filed in the above-iden										
Amendment and response are atta	ached									
E request, submission, and fee.										
I certify, in accordance with 37 CFR already been filed in the above-iden		ubmission, and Fee have								

I certify, in accordance with 37 already been filed in the above	CFR 1.4(d)(4) that the Notice of Appeal and Fee have -identified application on											
O Notice of Appeal and Fee are a	ttached											
	red, since the Electronic Petition format is not support for Design applications and 5. Please file using regular petition format for review by the Office of Petitions.											
STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.												
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES												
I certify, in accordance with 37 CFR 1.4(d)(4) that I am:												
An attorney or agent registered in this application.	to practice before the Patent and Trademark Office who has been given power of attorney											
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.											
A sole inventor												
A joint inventor; I certify that I a	m authorized to sign this submission on behalf of all of the inventors											
A joint inventor; all of whom are	signing this e-petition											
The assignee of record of the en	tire interest that has properly made itself of record pursuant to 37 CFR 3.71											
Signature	/Robert McLAuchlan/											
Name	Robert McLauchlan											
Registration Number	44924											



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P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

MOORE & VAN ALLEN, PLLC FOR BOFA 430 DAVIS DRIVE, SUITE 500 POST OFFICE BOX 13706 RESEARCH TRIANGLE PARK NC 27709 MAILED
DEC 1 6' 2011
OFFICE OF PETITIONS

In re Patent No. 8,041,619

Issued: October 18, 2011

Application No. 12/257,693

Filed: October 24, 2008

Attorney Docket No. 2922US1.014033.395

**DECISION ON PETITION** 

This is a decision on the request for certificate of correction filed November 21, 2011 to correct the spelling of the name "Xiahou Liu" to -- Xiaohu Liu--.

## The petition is **GRANTED**.

Office records have been corrected to reflect the correct spelling of the above-named inventor. A corrected Filing Receipt, which sets forth the correct spelling of the above-named inventor, accompanies this decision on petition.

Any questions concerning this matter may be directed to the undersigned at (571) 272-3205.

The matter is being forwarded to the Certificate of Corrections Branch for issuance of the requested certificate of correction.

/ALESIA M. BROWN/

Alesia M. Brown Attorney Advisor Office of Petitions

ATTACHMENT: Corrected Filing Receipt



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Virginia 22313-1450

ſ	APPLICATION	FILING or	GRP ART			1	
L	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
	12/257,693	10/24/2008	3694	1414	2922US1.014033.395	22	4

69603 MOORE & VAN ALLEN, PLLC FOR BOFA 430 DAVIS DRIVE, SUITE 500 POST OFFICE BOX 13706 RESEARCH TRIANGLE PARK, NC 27709 CONFIRMATION NO. 7556 REPLACEMENT FILING RECEIPT



Date Mailed: 12/23/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Xiaohu Liu, Westchester, PA; Richard W. Cole, Westchester, PA; Shaohui Jia, Wilmington, DE;

**Assignment For Published Patent Application** 

BANK OF AMERICA CORPORATION, CHARLOTTE, NC

Power of Attorney: The patent practitioners associated with Customer Number 69603

Domestic Priority data as claimed by applicant

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 10/30/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/257,693** 

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

HYBRID MODEL FOR NEW ACCOUNT ACQUISITION

**Preliminary Class** 

705

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage, facilitate, and accelerate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

THE PROCTER & GAMBLE COMPANY Global Legal Department - IP Sycamore Building - 4th Floor 299 East Sixth Street CINCINNATI OH 45202

MAILED
AUG 0 1: 2011
OFFICE OF PETITIONS

In re Application of

William Joseph Butsch et al.

Application No. 12/257,729

Filed: October 24, 2008

Attorney Docket No. 9350D

**DECISION ON PETITION** 

This is a decision on the petition, filed May 19, 2011, which is being treated as a petition under 37 CFR 1.181 (no fee) requesting withdrawal of the holding of abandonment in the above-identified application.

The petition is **DISMISSED**.

Any request for reconsideration of this decision should be submitted within two (2) months from the mail date of this decision and be entitled "Renewed Petition to Withdraw the Holding of Abandonment under 37 CFR 1.181." See 37 CFR 1.181(f).

This application was held abandoned for failure to reply to the Notice of Allowance and Fees Due of January 20, 2011 (Notice) that required the payment of Issue and Publication fees on or before April 20, 2011. A Notice of Abandonment was mailed on May 5, 2011.

Petitioner contends that the Notice of Abandonment was mailed in error since a timely reply ("Part B - FEE(S) TRANSMITTAL") was filed on February 22, 2011. A review of the application file the record confirms receipt of the "Part B - Fee(s) Transmittal". However, the transmittal did not indicate the mode of payment of the fees due. Petitioner's deposit account authorizing the payment was not included thereon. Petitioner contends that the "Customer Number listed on the Fees Transmittal is associated with the Deposit Account Number 162480, and that the Director of USPTO was authorized to charge any additional fees

under 37 C.F.R. § 1.21." It is noted that the EFS Acknowledgement Receipt (originally submitted October 24, 2008) included with the petition authorized charging any additional fees under 37 CFR 1.21. However, the Issue and Publication Fees are set forth in 37 CFR 1.18 and thus cannot be charged under the authorization provided previously that was limited to charges under 37 CFR 1.21. Accordingly, the reply filed February 22, 2011, did not include proper authorization to charge the Issue and Publication Fees. Therefore, the application was properly held to have gone abandoned for lack of timely payment of the Issue and publication fees, as required by the Notice.

The application became abandoned by law on April 21, 2011. Accordingly, the instant petition to withdraw the holding of abandonment cannot be granted at this time.

#### ALTERNATIVE VENUE

Petitioner is strongly encouraged to consider filing a petition under 37 CFR 1.137(b) to revive an unintentionally abandoned application instead of filing a renewed petition under 37 CFR 1.181 or a petition under 37 CFR 1.137(a).

A grantable petition pursuant to 37 CFR 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed.
- (2) The petition fee as set forth in 37 CFR 1.17(m), **\$810 for a small entity**;
- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

A form for filing a petition to revive an unintentionally abandoned application accompanies this decision for petitioner's convenience. If petitioner desires to file a petition under 37 CFR 1.137(b) instead of filing a request for reconsideration, petitioner must complete the enclosed petition form (PTO/SB/64) and pay the \$810 petition fee.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(571) 273-8300

Attn: Office of Petitions

By hand:

**Customer Service Window** 

Randolph Building 401 Dulany Street Alexandria, VA 22314

Telephone inquiries related to this decision may be directed to the JoAnne Burke at (571) 272-4584.

/Ramesh Krishnamurthy/
Ramesh Krishnamurthy
Petitions Examiner
Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

THE PROCTER & GAMBLE COMPANY Global Legal Department - IP Sycamore Building - 4th Floor 299 East Sixth Street CINCINNATI OH 45202

MAILED
SEP Q 9 2011
OFFICE OF PETITIONS

In re Application of

William Joseph Butsch et al.

Application No. 12/257,729 : DECISION ON PETITION

Filed: October 24, 2008

Attorney Docket No. **9350D** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed August 22, 2011, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to timely pay the issue and publication fees on or before April 20, 2011, as required by the Notice of Allowance and Fee(s) Due, mailed January 20, 2011. Accordingly, the date of abandonment of this application is April 21, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of payment of the issue fee of \$1510 and the publication fee of \$300, (2) the petition fee of \$1620; and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to JoAnne Burke at 571-272-4584.

This application is being referred to the Office of Data Management for processing into a patent.

oAnne Burke Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Altis Law Group, Inc. ATTN: Steven Reiss 288 SOUTH MAYO AVENUE CITY OF INDUSTRY CA 91789

MAILED

DEC 0 6 2011

In re Application of

Chen

**OFFICE OF PETITIONS** 

Application No. 12/257,768

**DECISION ON PETITION** 

Filed: October 24, 2008

Attorney Docket No. 032213M054

This is a decision on the petition to withdraw the holding of abandonment under 37 CFR 1.181(a), filed November 15, 2011.

The petition is dismissed.

This application was held abandoned June 29, 2011, after no reply was received to the non-final Office action mailed March 28, 2011. The notice set forth a shortened statutory period of reply of three months from its mailing date. No response was received within the allowable period and the application became abandoned on June 29, 2011. A Notice of Abandonment was mailed October 24, 2011. The instant petition was filed on November 15, 2011. Petitioner maintains that the notice of March 28, 2011, was never received.

A review of the application file history reveals that, upon filing, applicant requested that the application's correspondence address be associated with that of Customer Number 54000. Such was entered into the record. At some point, the customer number with which the application was associated was changed to that of Customer Number 441, though this request was not made by the applicant. The record makes clear that the non-final Office action mailed March 28, 2011, was sent to the correspondence address of Customer Number 441. Notwithstanding, a successful petition to withdraw the holding of abandonment where applicant is alleging non-receipt of an Office action still requires that petitioner making the showing as set forth in Section 711.03(c) of the *Manual of Patent Examining Procedure*. When, as in this case petitioner is arguing that an Office communication was not received, petitioner must establish non-receipt of the Office communication in accordance with section 711.03(c) of the *Manual of Patent Examining Procedure* that requires the following:

To minimize costs and burdens to practitioners and the Office, the Office has modified the showing required to establish nonreceipt of an Office action. The showing required to establish nonreceipt of an Office communication must include a statement from the practitioner describing the system used for recording an Office action received at the correspondence address of record with the USPTO. The statement should establish that the docketing system is sufficiently reliable. It is expected that the record would include, but not be limited to, the application number, attorney docket number, the mail date of the Office action and the due date for the response.

# In re Application No. 12/257,768

Practitioner must state that the Office action was not received at the correspondence address of record, and that a search of the practitioner's record(s), including any file jacket or the equivalent, and the application contents, indicates that the Office action was not received. A copy of the record(s) used by the practitioner where the non-received Office action would have been entered had it been received is required.

A copy of the practitioner's record(s) required to show non-receipt of the Office action should include the <u>master docket for the firm</u>. That is, if a three month period for reply was set in the nonreceived Office action, a copy of the master docket report showing all replies docketed for a date three months from the mail date of the nonreceived Office action must be submitted as documentary proof of nonreceipt of the Office action. If no such master docket exists, the practitioner should so state and provide other evidence such as, but not limited to, the following: the application file jacket; incoming mail log; calendar; reminder system; or the individual docket record for the application in question.<

Petitioner has not made the evidentiary showing specified above. The petition is dismissed accordingly. The renewed petition must be accompanied by a copy of petitioner's **master docket record**, or, if a master docket does not exists, the renewed petition should so state.

Alternatively, petitioner may revive the application based on unintentional abandonment under 37 CFR 1.137(b). A grantable petition pursuant to 37 CFR 1.137(b) must be accompanied by the required reply, the required petition fee, and a statement that the **entire** delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

**Commissioner for Patents** 

United States Patent and Trademark Office

Box 1450

Alexandria, VA 22313-1450

By facsimile:

(571) 273-8300

Attn: Office of Petitions

Telephone inquiries concerning this decision should be directed to the undersigned (571) 272-3222.

/Kenya A. McLaughlin/

Kenya A. McLaughlin Petitions Attorney Office of Petitions



# United States Patent and Trademark Office

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

Altis Law Group, Inc. ATTN: Steven Reiss 288 SOUTH MAYO AVENUE CITY OF INDUSTRY CA 91789

MAILED
JAN 1 2 2012
OFFICE OF PETITIONS

In re Application of

Chen

Application No. 12/257,768

Filed: October 24, 2008

**DECISION ON PETITION** 

Attorney Docket No. 032213M054

This is a decision on the renewed petition to withdraw the holding of abandonment under 37 CFR 1.181(a), filed December 28, 2011.

The petition is granted.

This application was held abandoned June 29, 2011, after no reply was received to the non-final Office action mailed March 28, 2011. The notice set forth a shortened statutory period of reply of three months from its mailing date. No response was received within the allowable period and the application became abandoned on June 29, 2011. A Notice of Abandonment was mailed October 24, 2011. The instant petition was filed on November 15, 2011. Petitioner maintains that the notice of March 28, 2011, was never received.

When, as in this case petitioner is arguing that an Office communication was not received, petitioner must establish non-receipt of the Office communication in accordance with section 711.03(c) of the *Manual of Patent Examining Procedure* that requires the following:

To minimize costs and burdens to practitioners and the Office, the Office has modified the showing required to establish nonreceipt of an Office action. The showing required to establish nonreceipt of an Office communication must include a statement from the practitioner describing the system used for recording an Office action received at the correspondence address of record with the USPTO. The statement should establish that the docketing system is sufficiently reliable. It is expected that the record would include, but not be limited to, the application number, attorney docket number, the mail date of the Office action and the due date for the response.

Practitioner must state that the Office action was not received at the correspondence address of record, and that a search of the practitioner's record(s), including any file jacket or the equivalent, and the application contents, indicates that the Office action was not received. A copy of the record(s) used by the practitioner where the non-received Office action would have been entered had it been received is required.

A copy of the practitioner's record(s) required to show non-receipt of the Office action should include the master docket for the firm. That is, if a three month period for reply was set in the nonreceived Office action, a copy of the master docket report showing all replies docketed for a date three months from the mail date of the nonreceived Office action must be submitted as documentary proof of nonreceipt of the Office action. If no such master docket exists, the practitioner should so state and provide other evidence such as, but not limited to, the following: the application file jacket; incoming mail log; calendar; reminder system; or the individual docket record for the application in question.

Petitioner has met the burden of proof as established by Section 711.03(c)(II) of the MPEP. The holding of abandonment is, therefore, withdrawn.

The application file is being forwarded to Technology Center GAU 2885.

Questions concerning this decision should be directed to the undersigned at (571) 272-3222.

/Kenya A. McLaughlin/

Kenya A. McLaughlin Petitions Attorney Office of Petitions

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

MAILED

OSTROLENK FABER GERB & SOFFEN 1180 AVENUE OF THE AMERICAS NEW YORK NY 10036-8403 AUG 1 6 2010

OFFICE OF PETITIONS

In re Patent No. 7,665,856

Issue Date: February 23, 2010

Application No. 12/257,816

Filed: October 24, 2008

Attorney Docket No. P/1689-171 V9814

NOTICE

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28. On September 1, 1998, the Court of Appeals for the Federal Circuit held that 37 CFR 1.28(c) is the sole provision governing the time for correction of the erroneous payment of the issue fee as a small entity. See DH Technology v. Synergystex International, Inc. 154 F.3d 1333, 47 USPQ2d 1865 (Fed. Cir. Sept. 1, 1998).

The Office no longer investigates or rejects original or reissue applications under 37 CFR 1.56. 1098 Off. Gaz. Pat. Office 502 (January 3, 1989). Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby ACCEPTED.

This application is no longer entitled to small entity status. Accordingly, all future fees paid in this application must be paid at the large entity rate.

Inquiries related to this communication should be directed to the undersigned at (571) 272-1642.

/AMW/ April M. Wise Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, IL 60610

**MAILED** 

NOV 18 2010

OFFICE OF PETITIONS

In re Application of

Hyung Dal Joo

Application No. 12/257,847

Filed: October 24, 2008

Attorney Docket No. 10125-4319

**DECISION ON PETITION** 

This is a decision on the petition, filed October 22, 2010, which is being treated as a petition under 37 CFR 1.181 (no fee) requesting withdrawal of the holding of abandonment in the above-identified application.

#### The petition is **DISMISSED**.

This application was held abandoned for failure to file a proper reply to the Notice to file Corrected Application Papers mailed December 12, 2008, which set a two (2) month shortened statutory period for reply. A Notice of Abandonment was mailed on August 30, 2010.

Petitioner asserts that a timely response which included replacement drawings was mailed via certificate of mailing on July 7, 2009.

Petitioner should note that although the response submitted on July 7, 2009, via certificate of mailing was received timely, it is not considered as being a proper response since the drawings were not in compliance with 37 CFR 1.84 and 37 CFR 1.21(d). The Abandonment Notice mailed August 30, 2010, indicates that the reply did not include replacement drawings as required. Accordingly, since the replacement drawings were not acceptable, the petition to withdraw of the holding of abandonment in the above-identified application cannot be granted.

#### ALTERNATIVE VENUE

Petitioner is strongly encouraged to consider filing a petition under 37 CFR 1.137(b) to revive an unintentionally abandoned application instead of filing a renewed petition under 37 CFR 1.181 or a petition under 37 CFR 1.137(a).

A grantable petition pursuant to 37 CFR 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed. In nonprovisional utility application abandoned for failure to respond to a non-final Office action, the required reply may be met by filing either (A) an argument or amendment under 37 CFR 1.111 or (B) a continuing application under 37 CFR 1.53(b).
- (2) The petition fee as set forth in 37 CFR 1.17(m), \$1,620.00 for other than small entity;

(3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

A form for filing a petition to revive an unintentionally abandoned application accompanies this decision for petitioner's convenience. If petitioner desires to file a petition under 37 CFR 1.137(b) instead of filing a request for reconsideration, petitioner must complete the enclosed petition form (PTO/SB/64) and pay the \$1,620.00 petition fee.

Petitioner may wish to consider hiring a registered patent attorney or agent to assist in the prosecution of this application. Additionally, petitioner is encouraged to contact the Inventors Assistance Center (IAC) by telephone at 800-786-9199 or 571-272-1000, Monday through Friday from 8:30 AM to 5:30 PM (EST). The IAC provides patent information and services to the public and is staffed by former Supervisory Patent Examiners and experienced Primary Examiners who answer general questions concerning patent examining policy and procedure.

Further correspondence with respect to this matter should be addressed as follows:

By Mail:

Mail Stop PETITION

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

By Hand:

U. S. Patent and Trademark Office

Customer Service Window, Mail Stop Petitions

Randolph Building 401 Dulany Street Alexandria, VA 22314

By Internet:

EFS-Web<sup>1</sup>

The centralized facsimile number is (571) 273-8300.

Telephone inquiries concerning this decision should be directed to Alicia Kelley at (571) 272-6059.

/Carl Friedman/ Carl Friedman Petitions Examiner Office of Petitions

Enclosure: Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b); Form PTO/SB/64 and Privacy Act Statement

www.uspto.gov/ebc/efs\_help.html (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313

**BRINKS HOFER GILSON & LIONE** P.O. BOX 10395 CHICAGO IL 60610

MAILED

MAR 07-2011

OFFICE OF PETITIONS

In re Application of Hyung Dal Joo Application No. 12/257,847

ON PETITION

Filed: October 24, 2008

Attorney Docket No.: 10125-4319

This is a decision on the petition under 37 CFR 1.137(b), filed January 13, 2011, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to file a timely reply to the Notice to File Corrected Application Papers mailed December 12, 2008. Accordingly, a Notice of Abandonment was mailed August 30, 2010.

Receipt of the replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 is acknowledged.

The application is being forwarded to the Office of Patent Application Processing for further processing.

Telephone inquiries concerning this matter should be directed to the undersigned Petitions Attorney at (571) 272-3212.

Patricia Faison-Ball

Senior Petitions Attorney

Office of Petitions

<sup>&</sup>lt;sup>1</sup>Effective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) must be accompanied by:

<sup>(1)</sup> the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continued examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof. In an application abandoned for failure to pay the publication fee, the required reply must include payment of the publication fee.

<sup>(2)</sup> the petition fee as set forth in 37 CFR 1.17(m);

<sup>(3)</sup> a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Director may require additional information where there is a question whether the delay was unintentional; and



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.						
12/257,945	10/24/2008	Joerg Lahann	2115-003831/US	8011						
27572 HARNESS, DI	7590 06/30/201 CKEY & PIERCE, P.L	EXAMINER								
P.O. BOX 828	. ,	QIAN	, YUN							
BLOOMFIELI	O HILLS, MI 48303		ART UNIT	PAPER NUMBER						
			1732							
	•			·						
			MAIL DATE	DELIVERY MODE						
			06/30/2011	PAPER						

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

wk

**DECISION ON** 

**PETITION** 

Mailed:

JUN 3 0 2011

In re application of

Lahann et al.

Serial No. 12/257,945

Filed: October 24, 2008

For: METHODS FOR FORMING BIODEGRADABLE

NANOCOMPONENTS WITH CONTROLLED SHAPES

AND SIZES VIA ELECTRIFIED JETTING

This is a decision on the PETITION FILED UNDER 37 CFR 1.181 on June 17, 2011 to withdraw the Finality of the Office Action mailed March 14, 2011.

#### **DECISION**

Rule 1.181, Section (f) states: § 1.181 Petition to the Commissioner.

(f) Any petition under this part not filed within two months of the mailing date of the action or notice from which relief is requested may be dismissed as untimely, except as otherwise provided. This two-month period is not extendable

Accordingly, the instant petition is **DISMISSED**.

/W. GARY JONES/ Director, Technology Center 1700 Chemical and Materials Engineering

Jennifer Woodside Wojtala HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 BLOOMFIELD HILLS MI 48303



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO									
12/257,957	10/24/2008	Rima F. Kaddurah-Daouk	5405-410IP 8										
20792 MYERS BIGE	7590 02/15/2012 L SIBLEY & SAJOVEC	EXAM	INER										
PO BOX 37428	3	WALLENHORST, MAUREEN											
RALEIGH, NO	27627		ART UNIT	PAPER NUMBER									
			1777										
			MAIL DATE	DELIVERY MODE									
			02/15/2012	PAPER									

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



**DECISION ON** 

PETITION

Mailed: 2/15/12

In re application of

Kaddurah-Daouk et al.

Serial No. 12/257,957

Filed: 10/24/2008

For: LIPIDOMIC APPROACHES TO DETERMINING

DRUG RESPONSE PHENOTYPES IN

**CARDIOVASCULAR DISEASE** 

This is a decision on a PETITION filed January 13, 2012, which has been accepted as a timely petition under 1.59(b) and MPEP 724.02 and is before the Group Director of Technology Center 1700 for consideration.

#### **DECISION**

Petitioner requests that materials submitted on January 11, 2012 be expunged. The petition is **GRANTED.** 

Section 1.59 has been amended to eliminate references to returning documents that have been expunged to recognize that, with electronic Official files, there will be nothing to return when a paper is expunged.

The Office is capturing electronic images of all documents that form the Official file. Where the image is generated from a physical source document, the originating document may be disposed of once the electronic image accuracy is verified. The paper source document will eventually be destroyed under a United States National Archives and Records Administration (NARA) approved schedule. Therefore, if a document is to be expunged from the record, the only operation that will be required will be removal of the image from the Official file.

Paragraph (a)(1) of §1.59 has been amended by deleting the phrase "and returned" from the first sentence, and deleting the second sentence. Paragraph (b) of §1.59 has been amended by deleting the phrase "and return" from each of the first and second sentences. The Office will continue to provide notice in the Official file that a paper has been expunged and the Office will send a decision to the applicant notifying the applicant that the paper has been expunged.



The images will be removed from the Official file.

/Yvonne Eyler/ Director, Technology Center 1700 Chemical and Materials Engineering

wk

MYERS BIGEL SIBLEY & SAJOVEC PO BOX 37428 RALEIGH NC 27627



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

## FITZPATRICK CELLA HARPER & SCINTO 1290 AVENUE OF THE AMERICAS NEW YORK NY 10104-3800

**MAILED** 

SEP 15 2011

OFFICE OF PETITIONS

In re Application of

Engnell et al.

Application No. 12/257,988

Filed: October 24, 2008

Attorney Docket No. 02544.002100.

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed August 22, 2011, to revive the above-identified application.

:

The petition is **GRANTED**.

The application became abandoned for failure to reply in a proper and timely manner to the final Office action mailed, December 15, 2010, which set a shortened statutory period for reply of three (3) months. A three-month extension of time under the provisions of 37 CFR 1.136(a) was timely obtained. Accordingly, the application became abandoned on June 16, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of a Request for Continued Examination (RCE) and fee of \$405.00 (previously paid June 7, 2011) and the submission required by 37 CFR 1.114; (2) the petition fee of \$810.00; and (3) a proper statement of unintentional delay.

Further, 37 CFR 1.137(b)(3) requires a statement that "the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional." Since the statement appearing in the petition varies from the language required by 37 CFR 1.137(b)(3), the statement is being construed as the required statement. Petitioner must notify the Office if this is **not** a correct reading of the statement appearing in the petition.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751.

This matter is being referred to Technology Center AU 3725 for processing of the Request for Continued Examination under 37 CFR 1.114 and the Amendment filed with the instant petition.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions

### PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: MICROSOFT CORPORATION	PCT									
Attention Patricia L. Molen 8/2297 LCA, International Patent Department One Microsoft Way Redmond, Washington 98052- 6388 USA	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONA SEARCHING AUTHORITY, OR THE DECLARATIO									
	(PCT Rule 44.1)									
	Date of mailing iday/month/year) 15 APRIL 2010 (15.04.2010)									
Applicant's or agent's file reference	Note the second of the second									
325244-02WO	FOR FURTHER ACTION See paragraphs 1 and 4 below									
International application No:	laternational filing date (daymonth/year)									
PCT/US2009/060847	15 OCTOBER 2009 (15.10.2009)									
MICROSOFT CORPORATION  1.	search report and the written opinion of the International Searching									
Authority have been established and are transmitted for Filing of amendments and statement under Article The applicant is entitled, if he so wishes, to amend the	19: e claims of the international application (see Rule 46): is normally two months from the date of transmittal of the  1PO, 34 chemin des Colombettes o.: +41 22 338 82 70									
The applicant is hereby notified that no international s     Article 17(2)(a) to that effect and the written opinion to	search report will be established and that the dectaration under of the International Searching Authority are transmitted herewith									
the protest together with the decision thereon has	additional fec(s) under Rule 40.2, the applicant is notified that been transmitted to the International Bureau together with the protest and the decision thereon to the designated Offices.									
no decision has been made yet on the protest; the	applicant will be notified as soon as a decision is made.									
Person If the applicant wishes to avoid or postnone publication	is, the international application will be published by the International on, a notice of withdrawal of the international application, or of the in Rules 90 bis. 1 and 90 bis. 3, respectively, before the completion of									
I take material Durana The International Russian will send a com-	he written opinion of the International Searching Authority to the y of such comments to all designated Offices unless an international. These comments would also be made available to the public but not									
examination must be filed if the applicant wishes to postnone to	f some designated Offices, a demand for international preliminary he entry into the national phase until 30 months from the priority t, within 20 months from the priority date, perform the prescribed offices.									
in respect of other designated Offices, the time limit of 30 more	oths (or later) will apply even if no demand is filed within 19 months.									
See the Annex to Form PCT/IB/301 and, for details about the s Guide, National Chapters.	applicable time limits, Office by Office, see the FCT Applicant's									
Name and mailing address of the ISA/KR	Authorized officer									
Korean Intellectual Property Office										



к.orcan intellectual Property Office Governmen Complex-Daejeon, 139 Sconsa-го, Sco-gu, Daejeon 302-701, Republic of Korea

COMMISSIONER

Telephone No. 8552



#### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide.

In these Notes, "Article", "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

#### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to tile amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication, Furthermore, it should be emphasized that provisional protection is available in some States only (see PCT Applicant's Guide, Annex B).

The attention of the applicant is drawn to the fact that ammendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see PCT Applicant's Guide, paragraph 296).

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Preliminary Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When? Within 2 months from the date of transmittal of the international search report or 16 months from the prinrity date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time. Iimit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet or sheets containing a complete set of claims in replacement of all the claims previously filed must be submitted.

Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively in Arabic numerals (Section 205(a)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

#### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers;
   claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims I to 15 replaced by amended claims 1 to 11."
- (Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims);
  - "Claims 1 to 6 and 14 unchanged, claims 7 to 13 cancelled, new claims 15, 16 and 17 added " or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added, all other claims unchanged."
- 4. [Where various kinds of amendments are made].
  - "Claims 1 10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under Article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(11))

The statement will be published with the international application and the amended claims.

#### It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be indentified as such by a heading preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

#### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

If a demand for international preliminary examination is made, the written opinion of the International Searching Authority will, except in certain cases where the International Preliminary Examining Authority did not act as International Searching Authority and where it has notified the International Bureau under Rule 66.1bis(b), be considered to be a written opinion of the International Preliminary Examining Authority. If a demand is made, the applicant may submit to the International Preliminary Examining Authority a reply to the written opinion together, where apprepriate, with amendments before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later (Rule 43bis.1(c)).

#### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see the PCT Applicant's Guide. National Chapters.

# \* Attention Copies of the documents cited in the international search report can be searched in the following Korean Intellectual Property Office English website for three months from the date of mailing of the international search report. http://www.kipo.go.kr/en/ => Patent Search => PCT-Service ID PCT international application number PW CIUNNOTI Inquiries related to PCT International Search Report or Written Opinion prepared by KIPO as an International Searching Authority can be answered not only by KIPO but also through IPKC (Intellectual Property Korea Center), located in Vienna, VA, which functions as a PCT Help Desk for PCT applicants. Homepage: http://www.ipkcenter.com Email: ipke@ipkcenter.com Phone: +1 703 388 1066 Fax: +1 703 388 1084 Notes to Form PCT/ISA/220 (third sheet) (July 2009)

# PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 325244-02WO	FOR FURTHER ACTION		m PCT/ISA/220 applicable, item 5 below
International application No	International filing date (day/mont	vyear) (Earl	liest) Priority Date (day/month/year)
PCT/US2009/060847	15 OCTOBER 2009 (15.10	(2009) 24 (	OCTOBER 2008 (24,10,2008)
Applicant			Section 1 and Control of the Control
MICROSOFT CORPORATION			
This International search report has been prepared to Article 18. A copy is being transmitted to the		Authority and is tr	ansmitted to the applicant according
This international search report consists of a to	otal of 3 sheets.  By of each prior art document cited	n this report.	
a translation of the international search report to authorized by ur notified to this c. With regard to any nucleotide a Certain claims were found unual Unity of invention is lacking (Substitute of the text is approved as submitted.	on in the language in which it was fational application into he purposes of international search that been established taking into acc. Authority under Rule 91 (Rule 43.6 and/or amino acid sequence discloss exarchable (See Box No. II)  See Box No. III)	iled Rules 12.3(a) and 2 ount the rectifications(a)).	on of an obvious mistake
may, within one month from the  6. With regard to the drawings,  a the figure of the drawings to be publicated by the application as selected by this Authority	cording to Rule 38.2, by this Author date of mailing of this international ished with the abstract is Figure No int.  y, because the applicant failed to sure, because this figure better charact.	ggest a figure.	mit comments to this Authority

#### INTERNATIONAL SEARCH REPORT

International application No PCT/US2009/060847

#### CLASSIFICATION OF SUBJECT MATTER À.

G06F 9/06(2006.01)i, G06F 15/16(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

#### FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean Utility models applications for Utility Models since 1975, IPC as above Japanese Utility Models and application for Utility Models since 1975, IPC as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS, IEEExpl, Google; atomic multiple modification, distributed storage system, lock, metadata;

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Å	US 2004-00133570 At (STEVEN SOLTIS.) 8 Jul. 2004 See the abstract, claims 1-56 and figures 1-12.	1-20
Ą	US 2004-0078658 A1 (CHOON SED PARK et al.) 22 Apr. 2004 See the abstract, claims 1-7 and figures 1-5.	1,-20
Ā	US 2005-0289143 AI (OFER OSHRI et al.) 29 Dec. 2005 See the abstract, claims 1-44 and figures 1-7.	1520
à	US 2008-0041097 AI (ALEXANDER TORMASOV.) 27 Feb. 2003 See the abstract, claims 1-15 and figures 1-7.	1-20

Further documents are listed in the continuation of Box C.

See patent family annex.

- Special categories of cited documents
- document defining the general state of the art which is not considered to be of particular relevance
- earlier application or patent but published on or after the international filing date
- document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
- document referring to an oral disclosure, use, exhibition or other means
- document published prior to the international filing date but later than the priority date claimed
- later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of mailing of the international search report

Date of the actual completion of the international search

14 APRIL 2010 (14.04.2010)

15 APRIL 2010 (15.04.2010)

Name and mailing address of the ISA/KR

Facsimile No. 82-42-472-7140



Korean Intellectual Property Office Government Complex-Dacjeon, 139 Sconsa-ro, Sco-gu, Dacjeon 302-701, Republic of Korea

BOK, Jin Yo

Authorized officer

Telephone No. 82-42-481-5113



# INTERNATIONAL SEARCH REPORT Information on patent family members

.

International application No.

PCT/US2009/060847

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
 US 2004-0133570 A1	08.07.2004	US 06697846 B1	24.02.2004
US 2004-0078658 A1	82.04.2004	KR 20030075864 A KR 10-0453228 B1 US 07139927 B2	26.09.2003 15.10.2004 21.11.2006
US 2005-289143 A1	29.12.2005	US 2009-094243 A1	09.04.2009
US 2003-041097 A1	27.02.2003	None	

# PATENT COOPERATION TREATY

From the

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To: MICROSOFT CORPORATION  Attention Fatricis L. Molen 8/2297 L.CA, International Patent Department One Microsoft Way Redmond, Washington 98052-6388 USA		PCT  WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY  (PCT Rule 43his.1)	
Applicant's or agent's file reference		FOR FURTHER ACTION	
325244-02WO		See paragraph 2 below	
International application No. PCT/US2009/060847	International filing date 15 OCTOBER 20	09 (15.10.2009)	Priority date(day/month/year) 24 OCTOBER 2008 (24.10.2008)
International Patent Classification (IPC) or both national classification and IPC  G06F 9/06(2006.01)1, G06F 15/16(2006.01)1  Applicant  MICROSOFT CORPORATION			
Box No. I Basis of the opinion     Box No. II Priority     Box No. II Priority     Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability     Box No. IV Lack of unity of invention     Box No. V Reasoned statement under Rule 43bis 1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement     Box No. VI Certain documents cited     Box No. VII Certain defects in the international application     Box No. VIII Certain observations on the international application.			
2 FURTHER ACTION If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66. Ibis(b) that written opinions of this International Searching Authority will not be so considered.  If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.  For further options, see Form PCT/ISA/220. 3. For further details, see notes to Form PCT/ISA/220.			

Name and mailing address of the ISA/KR
Korean Intellectual Property Office
Government Complex-Daejeon, 139
Seonsa-ro, Sco-gu, Daejeon 302
-701, Republic of Korea
Facsimile No. 82-42-472-7140

Date of completion of this opinion | Authorized officer

14 APRIL 2010 (14.04.2010)

BOK, Jin Yo

Telephone No.82-42-481-5113



# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2009/060847

Box No. 1 Basis of this opinion	
I. With regard to the language, this opinion has been established on the basis of	
the international application in the language in which it was filed.	
a translation of the international application into, which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b))	
2. This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis. 1(a))	
<ol> <li>With regard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been established on the basis of:</li> </ol>	
a a sequence listing filed or furnished  on paper	
in electronic form	
h. time of filing or turnishing	
contained in the international application as filed.	
illed together with the international application in electronic form.  Furnished subsequently to this Authority for the purposes of search.	
Samuel and the state of the purposes of section.	
4. In addition, in the case that more than one version or copy of a sequence listing has been filed or famished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does	
not go beyond the application as filed, as appropriate, were furnished.	
5. Additional comments:	

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2009/060847

Box No. V Reasoned statement under Rule 43bis, I(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement			
Novelty (N)	Claims	1-20	YES
	Clauns	None	NO
Inventive step (US)	Claims	1-20	YES
	Claims	None	NO
Industrial applicability (IA)	Claims	1~20	YES
	Claims	None	NO

#### 2. Citations and explanations:

Reference is made to the following document:

D1: US 2004-0133570 A1

1) Novelty (PCT Article 33(2)) and Inventive Step (PCT Article 33(3))

The present invention relates to one or more computer-readable media storing computer-executable instructions for performing a method of performing multiple modifications to one or more streams as a single atomic unit comprising selecting one or more streams for modification as one or more selected streams, acquiring a lock on one or more meta-data associated with the one or more selected streams, decoupling each of the one or more selected streams from one or more names associated with each stream, performing a plurality of modifications to the one or more selected streams, coupling each of one or more selected streams to at least one name associated with each stream, and releasing the lock on the one or more meta-data of the one or more selected streams (claims 1-20).

Document D1, which is regarded as being the closest prior art to a present invention, describes a shared storage distributed file system presented that provides users and applications with transparent access to shared data stored on network attached storage devices by utilizing layering techniques to inherit file management functionality from existing file systems. The present invention stores meta-data for the shared data as real-data in a standard, non-modified, client-server distributed file system, such as NFS. In effect, the standard client-server file system acts as a meta-data server. The name space consisting of inode files stored as real-data on the meta-data server acts as the name space for the shared data. Similarly, file attributes of the inode files are utilized as the file attributes of the shared data. By utilizing an existing client-server system as the meta-data server, development time and complexity are greatly reduced, while speed advances in the underlying client-server system may be incorporated without alteration of the present invention. A method for communicating with network attached storage devices over layered file systems is also presented.

Continued on Supplemental Box

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2009/060847

		Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:

Box V

The subject matter of claims 1, 9, and 18 differs from D1 in that one or more computer-readable media storing computer-executable instructions for performing a method of performing multiple modifications to one or more streams as a single atomic unit is comprised performing a first of a plurality of modification to the one or more selected streams, determining a second of a plurality of modifications to the one or more selected streams would create an inconsistency, and reversing the first of a plurality of modifications to one or more selected stream.

And the subject matter of claims 1, 9, and 18 is not obvious to a person skilled in the art by the documents. Therefore, claims 1, 9, and 18 meet the requirements of PCT Article 33(2) and (3) with respect to novelty and inventive step.

Claims 2-8, 10-17 and 19-20 are dependent on claims 1, 9, and 18 respectively, and therefore meet the requirements of PCT Article 33(2) and (3).

2) Industrial Applicability (PCT Article 33(4)) Claims 1-20 are considered to be industrially applicable under PCT Article 33(4).

Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-KR (06-10) Approved for use through 01/31/2012, OMB 0651-0058

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM BETWEEN THE KOREAN INTELLECTUAL PROPERTY OFFICE (KIPO) AND THE USPTO Application No: Filing date: 10-24-2008 12/258,025 First Named Inventor: SAMUEL JAMES MCKELVIE Title of the ATOMIC MULITPLE MODIFICATION OF DATA IN A DISTRIBUTED STORAGE SYSTEM Invention: THIS REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM ALONG WITH THE REQUIRED DOCUMENTS MUST BE SUBMITTED VIA EFS-WEB. INFORMATION REGARDING EFS-WEB IS AVAILABLE AT HTTP://WWW.USPTO.GOV/EBC/EFS\_HELP.HTML APPLICANT HEREBY REQUESTS PARTICIPATION IN THE PCT-PPH PROGRAM AND PETITIONS TO MAKE THE ABOVE-IDENTIFIED APPLICATION SPECIAL UNDER THE PCT-PPH PROGRAM. The above-identified application is (1) a national stage entry of the corresponding PCT application, or (2) a national stage entry of another PCT application which claims priority to the corresponding PCT application, or (3) a national application that claims domestic/ foreign priority to the corresponding PCT application, or (4) a national application which forms the basis for the priority claim in the corresponding PCT application, or (5) a continuing application of a U.S. application that satisfies one of (1) to (4) above, or (6) a U.S. application that claims domestic benefit to a U.S. provisional application which forms the basis for the priority claim in the corresponding PCT application. PCT/US09/60847 The corresponding PCT application number(s) is/are: The international date of the corresponding PCT application(s) is/are: 10-15-2009 I. List of Required Documents: a. A copy of the latest international work product (WO/ISA, WO/IPEA, or IPER) in the above-identified corresponding PCT application(s) Is attached. Is not attached because the document is already in the U.S. application. b. A copy of all claims which were indicated as having novelty, inventive step and industrial applicability in the above-identified corresponding PCT application(s). Is attached. Is not attached because the document is already in the U.S. application. c. English translations of the documents in a. and b. above are attached (if the documents are not in the English language). A statement that the English translation is accurate is attached for the document in b. above.

Registration Number 37,773

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>'</b>	KEQUES		KIPO AND THE USPTO (continued)	T PROGRAM
Application No.:	12/258	,025		
First Named Inventor:	SAMU	EL JAMES MCKELVIE		
WO/ISA, Is attact Has alre  (2) Copies of Are atta	WO/IPE/ ned eady bee f all docu ched.	A, IPER) of the corresponent of the specific of the above-identific uments (except) for U.S. p	ding PCT application.  August ed U.S. application on  patents or U.S. patent application	S references cited
II. Claims Corre	sponde	ence Table:		
Claims in US Appl	ication	Patentable Claims in the corresponding PCT Application	Explanation regarding the corr	respondence
1-20		1-20		
III. All the clain corresponding			iently correspond to the pa	tentable claims in the
Signature /Jame	s R. Ba	nowsky/		Date August 10, 2010

Name

(Print/Typed)

James R. Banowsky

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT** 

First Named Inventor: SAMUEL JAMES MCKELVIE

Application No.: 12/258,025

Filed: October 24, 2008 Customer No.: 45809 Attorney Docket No.: MFCP.143608/325244.02PPH

Group Art Unit: 2165

Examiner: ABEL JALIL, NEVEEN Confirmation Number: 8213

Title: ATOMIC MULITPLE MODIFICATION OF DATA IN A DISTRIBUTED STORAGE SYSTEM

Commissioner for Patents P.O. Box 1460 Alexandria, VA 22313-1450

Statement on Request for Participation in the PCT-Patent Prosecution Highway Pilot Program

Dear Sir:

Applicants state that the claims in the PCT application are identical to the claims in the US application. The only formatting difference is that the claims in the PCT application contain numerical references that refer to the drawings. For your convenience, however, a copy of the claims in the PCT application is also included.

Accordingly, applicants respectfully request the petition to participate in the PCT – Patent Prosecution Highway Pilot Program be granted. If you have any questions, please do not hesitate to call the Applicant's attorney at the telephone number listed below.

Respectfully submitted,

Date: August 29, 2010 By:/James R. Banowsky/

Atty: James R. Banowsky

Reg. No.: 37,773

Direct telephone: (425) 705-3539

Microsoft Corporation
One Microsoft Way

Redmond WA 98052-6399

#### <u>CERTIFICATE OF MAILING OR TRANSMISSION</u> (Under 37 CFR § 1.8(a)) or ELECTRONIC FILING

I hereby certify that this correspondence is being electronically	y deposited with the USPTO	via EFS-Web on the	e date
shown below:			

August 29, 2010	/Eric Matt/
Date	Eric Matt

Docket No.: MFCP.143608/325244.02PPH

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#### **CLAIMS**

#### The invention claimed is:

1. One or more computer-readable media storing computer-executable instructions for performing a method of performing multiple modifications to one or more streams as a single atomic unit, the method comprising:

selecting (601) one or more streams for modification as one or more selected streams;

acquiring (602) a lock on one or more meta-data associated with the one or more selected streams;

decoupling (603) each of the one or more selected streams from one or more names associated with each stream;

performing (604, 605) a plurality of modifications to the one or more selected streams;

coupling (606) each of the one or more selected streams to at least one name associated with each stream; and

releasing (607) the lock on the one or more meta-data of the one or more selected streams.

- 2. The media of claim 1, wherein the one or more streams are appendonly streams.
- 3. The media of claim 1, wherein the decoupling comprises maintaining a temporary mapping storing an original coupling of each of the one or more selected streams from the name associated with each stream.

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- 4. The media of claim 3, wherein the temporary mapping is based on a GUID of each of the one or more streams.
- 5. The media of claim 1, wherein the plurality of modifications comprises one or more of the following: creating a new stream, appending to an existing stream, creating a copy of an existing stream, deleting a stream, renaming a stream, updating the metadata of a stream, and copying a subset of the extents of a stream to a second stream.
- 6. The media of claim 1, wherein the re-coupling comprises associating one or more GUIDs of the one or more selected streams with the one or more names.
  - 7. The media of claim 6, wherein the re-coupling comprises using a temporary mapping between one or more GUIDs identifying streams and one or more names.
- 8. The media of claim 1, wherein the plurality of modifications affect the one or more selected streams by altering one or more pointers to extents without moving the data of the one or more extents.
  - 9. One or more computer-readable media storing computer-executable instructions for performing a method of performing multiple modifications to one or more streams as a single atomic unit, the method comprising:
- selecting (701) one or more streams for modification as one or more selected streams;
  - acquiring (702) a lock on one or more meta-data associated with the one or more selected streams:

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decoupling (703) each of the one or more selected streams from a name associated with each stream;

performing (704) a first of a plurality of modifications to the one or more selected streams;

determining (705) a second of a plurality of modifications to the one or more selected streams would create an inconsistency;

reversing (706) the first of a plurality of modifications to the one or more selected streams;

coupling (707) each of the one or more selected streams to at least one name associated with each stream; and

releasing (708) the lock on the one or more meta-data of the one or more selected streams.

- 10. The media of claim 9, wherein the one or more streams are appendonly.
- 11. The media of claim 9, wherein the decoupling comprises maintaining a temporary mapping storing an original coupling of each of the one or more selected streams from the name associated with each stream.
  - 12. The media of claim 11, wherein the plurality of modifications effect one or more changes in the temporary mapping.
- 20 13. The media of claim 11, wherein each of the plurality of modifications create a new copy of the temporary mapping, each copy indicating a snapshot of the one or more selected streams after the application of a modification of the plurality of modifications associated with the new copy of the temporary mapping.

325244.02 WO

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- 14. The media of claim 11, wherein the reversing the first of a plurality of modifications comprises reversing changes to each of the selected streams.
- 15. The media of 11, wherein the re-coupling uses the temporary mapping storing the original coupling to re-couple each of the one or more selected streams to the name associated with each stream.
- 16. The media of claim 9, wherein the plurality of modifications comprises one or more of the following: creating a new stream, appending to an existing stream, creating a copy of an existing stream, deleting a stream, renaming a stream, updating the metadata of a stream, and copying a subset of the extents of a stream to a second stream.
- 17. The media of claim 9, wherein the plurality of modifications affect the one or more selected streams by altering one or more pointers to extents without moving the data of the one or more extents.
- 18. One or more computer-readable media storing computer-executable instructions for performing a method of performing multiple modifications to one or more streams as a single atomic unit, the method comprising:

selecting (801) one or more append-only streams for modification as one or more selected streams;

acquiring (802) a lock on one or more meta-data associated with the one or more selected streams, wherein acquiring the lock comprises modifying a stream with a predetermined name to be a lock stream;

decoupling (803) each of the one or more selected streams from one or more names associated with each stream;

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performing (804) a first of a plurality of modifications to the one or more selected streams;

determining (805) if a second of a plurality of modifications to the one or more selected streams would create an inconsistency;

if the second of a plurality of modifications would create an inconsistency, reversing (807) the first of a plurality of modifications to the one or more selected streams;

if the second of a plurality of modifications would not create an inconsistency, performing (806) a second of a plurality of modifications to the one or more selected streams;

coupling (808) each of the one or more selected streams to at least one name associated with each stream; and

releasing (809) the lock on the one or more meta-data of the one or more selected streams.

- 19. The media of claim 18, wherein the plurality of modifications affect the one or more selected streams by altering one or more pointers to extents without moving the data of the one or more extents.
- 20. The media of claim 18, wherein the plurality of modifications comprises one or more of the following: creating a new stream, appending to an existing stream, creating a copy of an existing stream, deleting a stream, renaming a stream, updating the metadata of a stream, and copying a subset of the extents of a stream to a second stream.



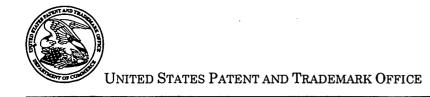
# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/258,025	10/24/2008	SAMUEL JAMES MCKELVIE	MFCP.143608/325244.02PPH	l 8213
45809 SHOOK HAR	7590 10/04/2010 RDY & BACON L.L.P.		EXAM	INER
(MICROSOFT	CORPORATION)		ABEL JALIL	., NEVEEN
	JAL PROPERTY DEPAR BOULEVARD	TMENT	ART UNIT	PAPER NUMBER
KANSAS CIT	Y, MO 64108-2613		2165	_
		•	MAIL DATE	DELIVERY MODE
			10/04/2010 '	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

SHOOK, HARDY & BACON L.L.P. (MICROSOFT CORPORATION) INTELLECTUAL PROPERTY DEPARTMENT 2555 GRAND BOULEVARD KANSAS CITY MO 64108-2613

In re Application of: MCKELVIE et al. Application No. 12/258,025

Docket #: MFCP.143608/325244.02PPH

Filed: October 24, 2008

For: ATOMIC MULITPLE MODIFICATION OF DATA IN A DISTRIBUTED STORAGE SYSTEM

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the PCT-Patent Prosecution Highway (PCT-PPH) pilot program and the petition under 37 CFR 1.102(a), filed August 10, 2010, to make the above-identified application special.

#### The petition is **DISMISSED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application is
  - (a) a national stage entry of the corresponding PCT application

Or

(b) a national application which forms the basis for the priority claim in the corresponding PCT application

Or

(c) a national stage entry of another PCT application (which can be filed in any competent receiving office) which claims priority to the corresponding PCT application

Or

- (d) a national application claiming foreign domestic priority to the corresponding PCT application.
  - Applications subject to a secrecy order (35U.S.C.181) are excluded and not subject to participation in the PCT-PPH pilot program.

Or

- (e) a continuing application (continuation, divisional, or continuation-in-part) of the U.S. application which satisfies one of the above (a) to (d) scenarios.
- (2) The latest work product in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, indicates at least one claim in the PCT application has novelty, inventive step and industrial applicability. In case any observation is described in Box VIII of the WO/ISA, or the WO/IPEA, or the IPER which forms the basis for the PCT-PPH request, applicant must identify and explain why the claim(s) is/are not subject to any observation described in Box VIII irrespective of whether an amendment is submitted to correct the observation described in Box VIII. The U.S. application will not be eligible to participate in the PCT-PPH pilot program if applicant does not identify and explain why the claim(s) is/are not subject to the observation described in Box VIII.

Applicant may not file a request to participate in the PCT-PPH pilot program on the basis of an International Search Report (ISR) only.

#### (3) Applicant must:

- a. Ensure all the claims in the U.S. application sufficiently correspond or be amended to sufficiently correspond to one or more of those claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application and
- b. Submit a claims correspondence table in English;

The USPTO will accept claims written in dependent form in the U.S. application which are narrower in scope than the claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application.

- (4) Substantive Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of:
  - a. the latest international work product, WO/ISA, or WO/IPEA or PER, which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof if the copy of the latest international work product is not in the English language, unless the U.S. application and the corresponding PCT application satisfy the relationship noted in (1)(a) above.
- (6) Applicant must submit a copy of:
  - a. the claims from the corresponding PCT application which were indicated as having novelty, inventive step and industrial applicability in the latest work product of the PCT application,
  - b. an English translation of the claims and
  - c. a statement that the English translation is accurate.

If the claims in the U.S. application are identical to the claims from the corresponding PCT application, and are in the English language, applicant may just indicate such in the PCT-PPH request and it will not be necessary for applicant to submit a copy of the claims from the corresponding PCT application.

#### (7) Applicant must submit:

- a. An IDS listing the documents cited in the work products in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, (unless already submitted in this application)
- b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

The request to participate in the PPH pilot program and petition fail to comply with the above requirements.

Specifically, the Petition fails to list the references cited by the International Search Authority, in an IDS listing in the instant application as required by item 7 above.

The Petition is **DISMISSED**.

Applicant is given a time period of ONE MONTH or THIRTY DAYS, whichever is longer, to correct the deficiencies. NO EXTENSION OF TIME UNDER 37 CFR 1.136 IS PERMITTED.

If the deficiencies are not corrected with the time period given, the application will await action in its regular turn.

Response must submitted by EFS-Web using the document description "Petition to make special under PCT-Patent Pros Hwy".

Telephone inquiries concerning this decision should be directed to Mano Padmanabhan at 571-272-4210.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

/Mano Padmanabhan/	
Mano Padmanabhan	•
Quality Assurance Specialist, Technology	Center 2100, Workgroup 2180

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 12/258,025 Confirmation No. 8213

Applicant : Samuel James McKelvie, et al.

Filed : 10/24/2008

Title : ATOMIC MULTIPLE MODIFICATION OF DATA IN A

DISTRIBUTED STORAGE SYSTEM

Group Art Unit : 2165

Examiner : Neveen Abel Jalil

Docket No. : MFCP.143608/325244.02PPH

Customer No. : 45809

#### **VIA EFS – OCTOBER 27, 2010**

Mail Stop Petition Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 C.F.R § 1.102(A)

In response to the decision mailed October 4, 2010, the time period for response to which expires on November 4, 2010, Applicants hereby submit the enclosed IDS listing the references cited by the International Search Authority.

It is believed that no fee is due. However, the Commissioner is herby authorized to charge any fee which may be required, or credit any overpayment, to Deposit Account 19-2112, with reference to Attorney Docket No. MFCP.143608/325244.02PPH.

Respectfully submitted,

/ALISON L. ERICKSON/

Alison L. Erickson Reg. No. 65,430

ALE/tq SHOOK, HARDY & BACON L.L.P. 2555 Grand Blvd. Kansas City, MO 64108-2613 816-474-6550



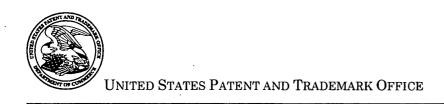
# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/258,025	10/24/2008	SAMUEL JAMES MCKELVIE	MFCP.143608/325244.02PPF	H 8213 .
45809 SHOOK HAR	7590 11/10/2010 LDY & BACON L.L.P.	•	EXAM	INER
(MICROSOFT	CORPORATION)	73 (F) (F)	ABEL JALII	., NEVEEN
	JAL PROPERTY DEPART BOULEVARD	MENT	ART UNIT	PAPER NUMBER
KANSAS CIT	Y, MO 64108-2613		2165	
			MAIL DATE	DELIVERY MODE
			11/10/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usoto.gov

Shook, Hardy, & Bacon, L.L.P. (Microsoft Corporation) Intellectual Property Department 2555 Grand Bulevard Kansas City, MO 64108-2613

In re Application of: MCKELVIE et al.

Application No. 12/258,025

Docket #: MFCP.143608/325244.02PPH

Filed: October 24, 2008

For: Automatic Multiple Modification of Data in a Distributed Storage System.

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(a)

This is a decision on the renewed request to participate in the PCT-Patent Prosecution Highway (PCT-PPH) program and the petition under 37 CFR 1.102(a), filed October 27, 2010 to make the above- identified application special.

#### The petition is **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application is
  - (a) a national stage entry of the corresponding PCT application

Or

(b) a national application which forms the basis for the priority claim in the corresponding PCT application

Or

(c) a national stage entry of another PCT application (which can be filed in any competent receiving office) which claims priority to the corresponding PCT application

Or

- (d) a national application claiming foreign domestic priority to the corresponding PCT application.
  - Applications subject to a secrecy order (35U.S.C.181) are excluded and not subject to participation in the PCT-PPH pilot program.

Or

- (e) a continuing application (continuation, divisional, or continuation-in-part) of the U.S. application which satisfies one of the above (a) to (d) scenarios.
- (2) The latest work product in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, indicates at least one claim in the PCT application has novelty, inventive step and industrial applicability. In case any observation is described in Box VIII of the WO/ISA, or the WO/IPEA, or the IPER which forms the basis for the PCT-PPH request, applicant must identify and explain why the claim(s) is/are not subject to any observation described in Box VIII irrespective of whether an amendment is submitted to correct the observation described in Box VIII. The U.S. application will not be eligible to participate in the PCT-PPH pilot program if applicant does not identify and explain why the claim(s) is/are not subject to the observation described in Box VIII.

Applicant may not file a request to participate in the PCT-PPH pilot program on the basis of an International Search Report (ISR) only.

#### (3) Applicant must:

- a. Ensure all the claims in the U.S. application sufficiently correspond or be amended to sufficiently correspond to one or more of those claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application and
- b. Submit a claims correspondence table in English;

The USPTO will accept claims written in dependent form in the U.S. application which are narrower in scope than the claims indicated as having novelty, inventive step and industrial applicability and free of any observation described in Box VIII in the latest work product of the corresponding PCT application.

- (4) Substantive Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of:
  - a. the latest international work product, WO/ISA, or WO/IPEA or PER, which indicated that the claim(s) has/have novelty, inventive step and industrial applicability along with an English translation thereof if the copy of the latest international work product is not in the English language, unless the U.S. application and the corresponding PCT application satisfy the relationship noted in (1)(a) above.
- (6) Applicant must submit a copy of:
  - a. the claims from the corresponding PCT application which were indicated as having novelty, inventive step and industrial applicability in the latest work product of the PCT application,
  - b. an English translation of the claims and
  - c. a statement that the English translation is accurate.

If the claims in the U.S. application are identical to the claims from the corresponding PCT application, and are in the English language, applicant may just indicate such in the PCT-PPH request and it will not be necessary for applicant to submit a copy of the claims from the corresponding PCT application.

#### (7) Applicant must submit:

- a. An IDS listing the documents cited in the work products in the international phase of the PCT application corresponding to the U.S. application, namely the WO/ISA, or the WO/IPEA, or the IPER, (unless already submitted in this application)
- b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

The request to participate in the PPH pilot program and petition are found to comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Mano Padmanabhan at 571-272-4210.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Mano Padmanabhan/

Mano Padmanabhan Quality Assurance Specialist, Technology Center 2100, Workgroup 2180 571-272-4210





Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

FULWIDER PATTON LLP HOWARD HUGHES CENTER 6060 CENTER DRIVE, TENTH FLOOR LOS ANGELES CA 90045

MAILED

SEP 21 2010

OFFICE OF PETITIONS

In re Application of

Gary R. Hannah, Nathaniel F. Yoder, Jeff Renz

and David Weiss

Application No. 12/258,055 Filed: October 24, 2008

Attorney Docket No. BEAER-78361

For: Liquid Level Sensor For Galley Inserts

**DECISION GRANTING STATUS** 

UNDER 37 CFR 1.47(a)

This is in response to the renewed petition under 37 CFR 1.47(a), filed February 22, 2010.

The petition is **GRANTED**.

Petitioner has shown that the non-signing inventor Hannah has refused to join in the filing of the above-identified application.

The application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in 37 CFR 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

.This application is being referred to the Office of Patent Application Processing for preexamination processing.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3215.

Charlema Grant

**Petitions Attorney** 

Office of Petitions

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Gary R. Hannah 10800 Pflumm Road Lenexa, KS 66215

In re Application of Gary R. Hannah, Nathaniel F. Yoder, Jeff Renz and David Weiss Application No. 12/258,055 Filed: October 24, 2008 Attorney Docket No. BEAER-78361

For: Liquid Level Sensor For Galley Inserts

SEP 2 1 2010

**OFFICE OF PETITIONS** 

MAILED

Dear Sir:

You are named as a co-inventor in the above-identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (571) 272-3215. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to the Certification Division at (571) 272-3150 or 1 (800) 972-6382 (outside the Washington, DC area).

Charlema Grant
Petitions Attorney
Office of Petitions

cc:

FULWIDER PATTON LLP HOWARD HUGHES CENTER 6060 CENTER DRIVE, TENTH FLOOR LOS ANGELES CA 90045

Gary R. Hannah, 6501 Millbrook Avenue, Shawnee, Kansas 66218

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

STINSON MORRISON HECKER LLP ATTN: PATENT GROUP 1201 WALNUT STREET, SUITE 2900 KANSAS CITY MO 64106-2150

MAILED

SEP 26 2011

OFFICE OF PETITIONS

In re Application of

Charles Allen Christenson II

Application No. 12/258,096 Filed: October 24, 2008

Attorney Docket No. 835326-0004

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36, filed August 29, 2011.

The request is **NOT APPROVED**.

The request cannot be approved because it lacks a forwarding correspondence address of the first named inventor or a properly intervening assignee.

If the forwarding correspondence address is to the assignee, the Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest *that properly became of record under 37 CFR 3.71.* 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-2991.

**Petitions Examiner** Office of Petitions

**VICTOR N. LEE** cc:

CIC INDUSTRIES LLC 1035 S. 2<sup>ND</sup>

**ALBION, NE 68620** 



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

STINSON MORRISON HECKER LLP ATTN: PATENT GROUP 1201 WALNUT STREET, SUITE 2900 KANSAS CITY, MO 64106-2150

MAILED

DEC 19 2011

In re Application of

Charles Allen Christenson II Application No. 12/258,096

Filed: October 24, 2008

Attorney Docket No. 835326-0004

OFFICE OF PETITIONS

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the renewed Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed October 26, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The request was signed by Judith L. Carlson on behalf of all attorneys of record. All attorneys/agents have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to the first named inventor Charles Allen Christenson II at the address indicated below.

There is an outstanding Office action mailed July 29, 2011 that requires a reply from the applicant.

Telephone inquiries concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: Charles Allen Christenson II P.O. Box 391321 Omaha, NE 68139-1321



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/258,096

ATTN: PATENT GROUP

STINSON MORRISON HECKER LLP

1201 WALNUT STREET, SUITE 2900 KANSAS CITY, MO 64106-2150

10/24/2008

Charles Allen Christenson II

835326-0004

**CONFIRMATION NO. 8342 POWER OF ATTORNEY NOTICE** 

Date Mailed: 12/13/2011

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/26/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/tsjohnson/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

SPANSION LLC C/O MURABITO , HAO & BARNES LLP TWO NORTH MARKET STREET THRID FLOOR SAN JOSE CA 95113

MAILED

JUN 2 4 2011

OFFICE OF PETITIONS

In re Application of

Junji Tanaka et al.

Application No. 12/258,131

Filed: October 24, 2008

Attorney Docket No. SPSN-AF02822

PETITION DECISION

This is a decision on the petitions under 37 CFR 1.48(a) and 37 CFR 1.47(a) filed March 11, 2011, which are being treated as a petition under 37 CFR 1.48(a) and 37 CFR 1.183 to waive the requirements of 37 CFR 1.64 and 37 CFR 1.48(a) (2).

The petitions are **dismissed**.

The rules and statutory provisions governing the operations of the U.S. Patent and Trademark Office require payment of a fee on filing each petition under 37 CFR 1.183 and 37 CFR 1.48. In this instance, the fees required by law are \$400 and \$130. No fees were provided with the petitions.

The petitions in the above identified application were not accompanied by payment of the required fee. No consideration on the merits can be given to the petition until the required fee is received.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

Director for Patents P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(571)273-8300

Attn: Office of Petitions

By hand:

Customer Service Window

Mail Stop Petition Randolph Building 401 Dulany Street

## Alexandria, VA 22314

Telephone inquiries concerning this matter should be directed to Carl Friedman at (571) 272-6842.

Carl Friedman

**Petitions Examiner** 

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

SPANSION LLC C/O MURABITO , HAO & BARNES LLP
TWO NORTH MARKET STREET
THRID FLOOR
SAN JOSE CA 95113
MAILED

SEP 08 2011

In re Application of : OFFICE OF PETITIONS

Junji Tanaka et al.

Application No. 12/258,131 : PETITION DECISION

Filed: October 24, 2008 :

Attorney Docket No. SPSN-AF02822

This is a decision on the renewed petitions under 37 CFR 1.48(a) and 37 CFR 1.47(a) filed August 26, 2011, which are being treated as a petition under 37 CFR 1.48(a) and 37 CFR 1.183 to waive the requirements of 37 CFR 1.64 and 37 CFR 1.48(a) (2).

The petitions are **dismissed**.

# § 1.48 Correction of inventorship in a patent application, other than a reissue application, pursuant to 35 U.S.C. 116.

- (a) Nonprovisional application after oath /declaration filed. If the inventive entity is set forth in error in an executed § 1.63 oath or declaration in a nonprovisional application, and such error arose without any deceptive intention on the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the inventorship of the nonprovisional application may be amended to name only the actual inventor or inventors. Amendment of the inventorship requires:
- (1) A request to correct the inventorship that sets forth the desired inventorship change;
- (2) A statement from each person being added as an inventor and from each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part;
- (3) An oath or declaration by the actual inventor or inventors as required by § 1.63 or as permitted by §§ 1.42, 1.43 or § 1.47;
- (4) The processing fee set forth in § 1.17(i); and
- (5) If an assignment has been executed by any of the original named inventors, the written consent of the assignee (see § 3.73(b) of this chapter).

This petition is lacking items (2) and (3).

Petitioner requests that the inventorship of the instant application be corrected by deleting Masahiko Harayama and Masanori Onodera and by adding Kouichi Meguro and Yasuhiro Shinma. Junji Tanaka was listed originally as a co-inventor and remains a co-inventor.

The petition does not include a statement from the two inventors to be deleted, Harayama and Onodera, that the error in inventorship occurred without deceptive intention on his or her part.

The petition does not include a statement from the two inventors to be added, Meguro and Shinma, that the error in inventorship occurred without deceptive intention on his or her part.

Petitioner is alleging that the three co-inventors Tanaka, Meguro and Shinma cannot be reached. Petitions under 37 CFR 1.47 are only applicable to the initial execution of an original oath or declaration and are not applicable to supplemental oaths or declarations by the originally signing inventor. In such circumstances, the USPTO will consider a petition under 37 CFR 1.183 requesting waiver or the requirements of 37 CFR 1.64 that each of the actual inventors execute the supplemental oath or declaration.

#### MPEP 603 states in part:

When an inventor who executed the original declaration is refusing or cannot be found to execute a required supplemental declaration, the requirement for that inventor to sign the supplemental declaration may be suspended or waived in accordance with 37 CFR 1.183. All available joint inventor(s) must sign the supplemental declaration on behalf of themselves, if appropriate, and on behalf of the nonsigning inventor. See MPEP § 409.03(a).

#### MPEP 201.03(II)(A) states in part:

In those situations where an inventor to be added refuses to submit a statement supporting the addition or such party cannot be reached, waiver under 37 CFR 1.183 of the requirement for a statement from that party would be appropriate upon a showing of such refusal or inability to reach the inventor.

In discussing waiver requirements under 37 CFR 1.183, the Office is guided by proof similar to that required when an applicant is unavailable or refuses to sign. Petitioner indicates that the non-signing inventors Tanaka, Meguro and Shinma refuses to sign the replacement declaration.

#### MPEP 409.03(d) (II) states in part:

Where a refusal of the inventor to sign the application papers is alleged, the circumstances of the presentation of the application papers and of the refusal must be specified in a statement of facts by the person who presented the inventor with the application papers and/or to whom the refusal was made. Statements by a party not present when an oral refusal is made will not be accepted.

Proof that a bona fide attempt was made to present a copy of the application papers

(specification, including claims, drawings, and oath or declaration) to the nonsigning inventor for signature, but the inventor refused to accept delivery of the papers or expressly stated that the application papers should not be sent, may be sufficient.

Petitioner must demonstrate with documented evidence that an inventor refuses to join in the application after having been presented with the application papers (specification, claims, drawings and oath or declaration). There is no indication herein that joint inventors Meguro and Shinma were presented with a copy of the complete application papers for this application. From the evidence of record, it only appears that petitioner attempted to send only the declaration to Meguro and Shinma. If Meguro and Shinma were not presented with a copy of the application papers for this application, then they could not attest that they have "reviewed and understand the application papers" and could not execute the declaration they were requested to sign. Did Meguro and Shinma receive a copy of the application papers? Unless petitioner can show that a copy of the application papers was presented to them, then petitioner will have to mail a copy of the complete application papers (specification, claims and drawings) to the last known address of Meguro and Shinma, return receipt requested. A cover letter of instructions should accompany the mailing of the application papers setting a deadline or a statement that no response will constitute a refusal. This sort of ultimatum lends support to a finding of refusal by conduct. It is also not clear from the petition if Meguro and Shinma were presented with the required non deceptive intent statement for their signatures.

Further, the applicable statute (35 U.S.C.§ 116) requires that a "diligent effort" have been expended in attempting to find or reach the non-signing inventor. The showing currently fails to demonstrate, with a documented showing, that a diligent effort was made to find or locate non-signing inventors Meguro and Shinma. Where inability to find or locate a named inventor(s) is alleged, a statement of facts should be submitted that fully describes the exact facts which are relied on to establish that a diligent effort was made to locate the inventor.

Petitioner has not demonstrated that all efforts were expended in trying to locate inventors non-signing Meguro and Shinma. In this regard, petitioner should, at the very least, conduct a search of the regional or national registry(s) such as the Internet. The results of such search should be made in any future petition for reconsideration. See MPEP 409.03(d). Additionally, petitioner should state whether he has access to inventor Meguro and Shinma's personnel records and, if so, what does inspection of the records reveal as to a current address, forwarding address, or an address of the nearest living relative? What does inspection of the phone directories for those address locations reveal? If all other attempts to locate or reach the inventors, e.g., through personnel records, co-workers, E-mail, the Internet or the telephone, etc., continue to fail, then applicant will have established that the inventors cannot be reached after diligent effort or has refused to join in the application. The statements of facts must be signed, where at all possible, by a person having firsthand knowledge of the facts recited therein and should be accompanied by documentary evidence in support of the statement of facts. It is important that the forthcoming communication contain statements of fact as opposed to conclusions.

The petition does not address at all what efforts were taken to obtain the required statements from the persons being deleted as an inventor, namely Masahiko Harayama and Masanori Onodera.

Since petitioner has not met the requirements of 37 CFR 1.183, a signed oath or declaration is still required but has not been provided.

For the reasons presented above, the petitions are dismissed.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

Director for Patents P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(571)273-8300

Attn: Office of Petitions

By hand:

Customer Service Window

Mail Stop Petition Randolph Building 401 Dulany Street Alexandria, VA 22314

Telephone inquiries concerning this matter should be directed to Carl Friedman at (571) 272-6842.

Carl Friedman

Petitions Examiner

Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/258,202	10/24/2008	Pung-Ling Huang	API-12449	8551
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SUITE # 101 MESA, AZ 852	201		ART UNIT	PAPER NUMBER
WESA, AZ 53	201		1638	
			MAIL DATE	, DELIVERY MODE
			06/20/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

June 17, 2011

SCHMEISER OLSEN & WATTS 18 E UNIVERSITY DRIVE SUITE # 101 MESA AZ 85201

In re Application of	:	
Pung-Ling Huang et al.	:	<b>DECISION ON PETITION</b>
Application No.12258202	:	
Filed: 10/24/2008	:	
Attorney Docket No. API-12449	:	

This is a decision on the Petition to Accept Color Drawings under 37 C.F.R 1.84 (a) (2), received in the United States Patent and Trademark Office (USPTO) October 24, 2008.

The petition is **DISMISSED**.

A grantable petition under 37 C.F.R. 1.84(a) (2) must be accompanied by the following.

- 1. The fee set forth under 37 C.F.R. 1.17(h),
- 2. Three (3) sets of the color drawings in question, (One (1) set for EFW filings), and
- 3. The specification containing the following language as the first paragraph in that portion of the specification relating to the brief description of the drawings

"The patent or application file contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawing(s) will be provided by the Office upon request and payment of the necessary fee."

	provided by the	4				01,	*****
The petitic	on did not meet the	e following requ	irement(s).	1 <b></b>	2 🔲	3 🔲	

A renewed petition filed under 37 C.F.R. 1.84 (a) (2) must be filed within TWO (2) MONTHS of this decision. If a renewed petition is not filed within the TWO (2) Months of this decision the drawings will be printed in black and white.

Telephone inquires relating to this decision may be directed to the undersigned in the Office of Data Management at 571-272-4200.

/Laura L. Feldman/ Quality Control Specialist Office of Data Management Publications Branch



### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

July 8, 2011

SCHMEISER OLSEN & WATTS 18 E UNIVERSITY DRIVE SUITE # 101 MESA AZ 85201

In re Application of

Pung-Ling Huang et al. : DECISION ON PETITION

Application No. 12258202

Filed: 10/24/2008 : *ACCEPTANCE OF COLOR* 

Attorney Docket No. API-12449 : DRAWINGS

This is a decision on the Petition to Accept Color Drawings under 37 C.F.R 1.84 (a) (2), received in the United States Patent and Trademark Office (USPTO) June 24, 2011.

The petition is **GRANTED**.

A grantable petition under 37 C.F.R. 1.84(a) (2) must be accompanied by the following.

- 1. The fee set forth under 37 C.F.R. 1.17(h),
- 2. Three (3) sets of the color drawings in question, or (1) set if filed via EFS, and
- 3. The specification contains appropriate language referring to the color drawings as the first paragraph in that portion of the specification relating to the brief description of the drawings.

The petition was accompanied by all of the required fees and drawings. The specification contains the appropriate language. Therefore, the petition is <u>GRANTED</u>.

Telephone inquires relating to this decision may be directed to the undersigned in the Office of Data Management at 571-272-4200.

/Laura Feldman/ Quality Control Specialist Office of Data Management Publications Branch

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

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JUN 01 2011

BAKER BOTTS L.L.P. 30 ROCKEFELLER PLAZA 44TH FLOOR NEW YORK NY 10112-4498

OFFICE OF PETITIONS

In re Application of

Jolley et al.

Application No. 12/258,212 : RESPONSE TO PETITION

Filed: October 24, 2008

Attorney Docket No. 070483.0308

This is a response to the petition under 37 CFR 1.59(b), filed November 30, 2010, to expunge information from the above identified application.

## The petition is <u>dismissed</u>.

Petitioner requests that the Amendment, filed November 30, 2010, be expunged from the above identified application. The petition submits that this information was unintentionally submitted in the above identified application.

However, the petition is premature since prosecution of the application has not been closed by way of the allowance of the application, the mailing of an Ex parte Quayle action, or the abandonment of the application. See MPEP 724.06. Accordingly, it is not appropriate to make a final determination of whether or not the material requested to be expunged is "material," with "materiality" being defined as any information which the examiner considers as being important to a determination of patentability of the claims. Thus, the decision on the petition to expunge must be dismissed at this time.

During prosecution on the merits, the examiner will determine whether or not the identified document is considered to be "material." If the information is not considered by the examiner to be material and the conditions related to the expungement of unintentionally submitted information, discussed as A-F in MPEP 724.05 II, are satisfied, the information will be removed from the official file.

After the mailing of a Notice of Allowance, an Ex parte Quayle action or a Notice of Abandonment, the petition to expunge may be renewed by applicant or applicant's representative. No further fee is required for such a second submission of a petition under 37 CFR 1.59 to expunge information. In addition, the requester is cautioned to renew the petition under 37 CFR 1.59 for reconsideration by the Office prior to the point at which the

present file, or file claiming priority to the present file, is forwarded for issuance of the patent. This is to be done no later than immediately after the examiner has issued a Notice of Allowance, an Ex parte Quayle action or a Notice of Abandonment. A failure to timely renew the petition to expunge prior to the point at which the file is forwarded for issuance will result in the material being retained in the patented file and thus becoming open to the public.

Also, the required fee of \$200.00 under 37 CFR 1.17(g) has been charged to the Deposit Account as authorized.

Telephone inquiries concerning this communication should be directed to the undersigned at (571) 272-6692.

Christopher Bottorff Petitions Examiner

Chil Both

Office of Petitions

•		Paper No.:
DATE	: 01/11/11	
TO SPE OF	: ART UNIT: 1728 Attn: N	MAPLES JOHN S
UBJECT	: Request for Certificate of Corre	ection for Appl. No.: 12/258224 Patent No.: 78381
		CofC Mailroom date: 01/05/11
lease respo	ond to this request for a ce	rtificate of correction within 7 days.
OR IFW FI	<u>LES</u> :	
ne IFW app		corrections as shown in the <b>COCIN</b> document(s) in atter should be introduced, nor should the scope or
•	olete the response (see bel	low) and forward the completed response to scanning
OR PAPER	R FILES:	
		corrections as shown in the attached certificate of (see below) and forward it with the file to:
Rand	ficates of Correction Brar olph Square – 9D10-A Location 7580	nch (CofC)
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		Tasneem Siddiqui  Certificates of Correction Branch 703-756-1593 or 703-756-1814
Thank You	For Your Assistance	Certificates of Correction Branch
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The request	t for issuing the above-id on the appropriate box.	Certificates of Correction Branch 703-756-1593 or 703-756-1814  lentified correction(s) is hereby:
The request	t for issuing the above-id on the appropriate box.	Certificates of Correction Branch 703-756-1593 or 703-756-1814  entified correction(s) is hereby:  All changes apply.

SPE Jennifer Michener Art Unit
U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

PTOL-306 (REV. 7/03)

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ORRICK, HERRINGTON & SUTCLIFFE, LLP IP PROSECUTION DEPARTMENT 4 PARK PLAZA SUITE 1600 IRVINE, CA 92614-2558

MAILED
MAR 3 0 2011
OFFICE OF PETITIONS

In re Application of

Kirill Mostov

Application No. 12/258,259 : ON PETITION

Filed: October 24, 2008

Attorney Docket No. 13811-4002

This is a decision in response to the petition, filed March 29, 2011, requesting withdrawal of the above-identified application from issue under the provisions of 37 CFR 1.313(c)(2).

The petition is dismissed as moot for the reasons stated below.

A review of the file record discloses that a Notice of Allowance and Fee(s) Due was mailed on February 3, 2011, with the issue fee being due on or before May 3, 2011. It is noted that the issue fee in this case has not been paid.

The filing of a petition under 37 CFR 1.313(c)(2) is unnecessary, since the mere filing of an Request for Continued Examination (RCE) and submission prior to payment of the issue fee will effectively withdraw an application from issue. In view thereof, the petition to withdraw from issue is dismissed as involving a moot issue. Note MPEP §§ 706.07(h)(IX) and 1308.

Inquiries concerning this decision may be directed to the undersigned at (571) 272-3204.

The matter is being referred to Technology Center AU 2858 for appropriate processing of the RCE filed March 29, 2011, and for consideration of the concurrently filed Information Disclosure Statement.

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED AUG 3 1 2011

OFFICE OF PETITIONS

ORRICK, HERRINGTON & SUTCLIFFE, LLP IP PROSECUTION DEPARTMENT 2050 MAIN STREET, SUITE 1100 IRVINE, CA 92614

In re Application of :

Kirill Mostov :

Application No.: 12/258,259 : ON PETITION

Filed: October 24, 2008

Attorney Docket No.: 13811-4002 :

This is a decision on the petition, filed August 30, 2011, under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on July 14, 2011, cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.<sup>1</sup>

Telephone inquiries relating to this decision should be directed to the undersigned at (571) 272-3204.

The application is being referred to Technology Center AU 2858 for further processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed Information Disclosure Statement (IDS).

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions

<sup>&</sup>lt;sup>1</sup> The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s)
Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

ACUITY LAW GROUP PC 12707 HIGH BLUFF DRIVE SUITE 200 SAN DIEGO CA 92130-2037

MAILED
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OFFICE OF PETITIONS

In re Application of

Williams, et al.

Application No. 12/258,346 : ON PETITION

Filed: October 24, 2008

Attorney Docket No. LPT-3010-CT2

This is in response to the renewed petition to revive an unintentionally abandoned application under 37 CFR 1.137(b), filed December 21, 2011.

The petition under 37 CFR 1.137(b) is **GRANTED**.

The above-identified application became abandoned for failure to timely file a proper response to the final Office action mailed April 7, 2011, which set a shortened statutory period for reply of three months. The final Office action required Terminal Disclaimers over Application Nos. 12/258,383 and 12/258,337. Applicants filed a Terminal Disclaimer over the '383 application on October 7, 2011, made timely by obtaining a three month extension of time. However, the Examiner indicated in an Advisory Action mailed on October 25, 2011 that a Terminal Disclaimer over the '337 application was still needed. As such, the application became abandoned by operation of law on October 8, 2011. The Office mailed a Notice of Abandonment on December 12, 2011.

With the instant petition, petitioner has paid the petition fee, made the proper statement of unintentional delay, and filed a Terminal Disclaimer over application No. 12/258,337 (Patent No. 8,067,549).

The application is being forwarded to Group Art Unit 1643 for consideration of the Terminal Disclaimer filed December 21, 2011.

Telephone inquiries concerning this decision may be directed to the undersigned at 571-272-3207.

Cy G

Cliff Congo Petitions Attorney Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

FIRST NAMED INVENTOR CONFIRMATION NO. APPLICATION NO. **FILING DATE** ATTORNEY DOCKET NO. 10/24/2008 Roger A. SABBADINI LPT-3010-CP 8937 12/258,383 **EXAMINER** 07/05/2011 7590 Acuity Law Group, P.C. GUSSOW, ANNE 12707 High Bluff Drive ART UNIT PAPER NUMBER Suite 200 San Diego, CA 92130-2037 1643 NOTIFICATION DATE **DELIVERY MODE** 07/05/2011 **ELECTRONIC** 

## **ACKNOWLEDGEMENT OF REQUEST**

Notice of Allowance/Allowability Mailed

The request to print a color drawing reference as the first paragraph in the portion of the specification containing a brief description of the drawings as required by 37 CFR 1.84 and MPEP § 608.02 has been received by the United States Patent and Trademark Office and will be entered into the specification.

571-272-4200 or 1-888-786-0101 Application Assistance Unit Office of Data Management



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

June 30, 2011

Acuity Law Group, P.C. 12707 High Bluff Drive Suite 200 San Diego CA 92130-2037

In re Application of

Sabbadini, Roger A. et al

Application No. 12/258,383

Filed: 10/24/2008

Attorney Docket No. LPT-3010-CP

**DECISION ON PETITION** 

ACCEPTANCE OF COLOR

**DRAWINGS** 

This is a decision on the Petition to Accept Color Drawings under 37 C.F.R 1.84 (a) (2), received in the United States Patent and Trademark Office (USPTO) March 27, 2011.

The petition is **GRANTED**.

A grantable petition under 37 C.F.R. 1.84(a) (2) must be accompanied by the following.

- 1. The fee set forth under 37 C.F.R. 1.17(h),
- 2. Three (3) sets of the color drawings in question, or (1) set if filed via EFS, and
- 3. The specification contains appropriate language referring to the color drawings as the first paragraph in that portion of the specification relating to the brief description of the drawings.

The petition was accompanied by all of the required fees and drawings. The specification contains the appropriate language. Therefore, the petition is <u>GRANTED</u>.

Telephone inquires relating to this decision may be directed to the undersigned in the Office of Data Management at 571-272-4200.

/Diane Terry/
Quality Control Specialist
Office of Data Management
Publications Branch

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**Doc Code: PET.GREEN** 

**Document Description: Petition for Green Tech Pilot** 

PTO/SB/420 (11-10)

Approved for use through 01/31/2011. OMB 0651-0062
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION TO MAKE SPEC	CIAL UNDER THE GREEN	FECHNOLOGY PILOT PROGRAM
Attorney Docket Number: 81180513 (67,600-113)	Application Number 12/258,406 (if known):	Filing date: 10/25/2008
First Named Inventor: Bala Chander		
Title: Retractable Overhead Charging Cord	l Dispenser	
APPLICANT HEREBY REQUESTS THE ABOVE-IDENTIFIED APPLICA		EEN TECHNOLOGY PILOT PROGRAM FOR page 2.
This petition must be timely filed electric 1. By filing this petition:	ectronically using the USPTO elec	ctronic filing system, EFS-Web.
	y publication: Applicant hereby ation fee set forth in 37 CFR 1.1	y requests early publication under 8(d) accompanies this request.
elect an invention that meets the		ithout traverse in a telephonic interview and reen Technology Pilot Program, if the Office nvention. See Instruction Sheet.
3. This request is accompanied by	y statements of special status for	the eligibility requirement.
4. The application contains no me	ore than three (3) independent cla	nims and twenty (20) total claims.
5. The application does not contain	any multiple dependent claims.	
6. Other attachments:		
/RANDYW.TUNG/ Signature		Date 03/04/2011
Name RANDY W. TUNG (Print/Typed)		Registration Number 31,311
		neir representative(s) are required in accordance with 3 ssary, submit multiple forms for more than one signature
*Total of forms are submitte	ed.	

The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box** 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### Instruction Sheet for

# Petition to Make Special Under the Green Technology Pilot Program

(Not to be Submitted to the USPTO)

The following is a summary of the requirements (for more information see the notices (i) "Pilot Program for Green Technologies Including Greenhouse Gas Reduction," (ii) "Elimination of Classification Requirement in the Green Technology Pilot Program," and (iii) "Expansion and Extension of the Green Technology Pilot Program," available on the USPTO web site at http://www.uspto.gov/patents/init\_events/green\_tech.jsp):

- The application must be a non-reissue, non-provisional utility application filed under 35 U.S.C. 111

   (a), or an international application that has entered the national stage in compliance with 35 U.S.C.
   371, irrespective of the filing date of the application. Reexamination proceedings are excluded from this pilot program.
- 2) The application must contain three or fewer independent claims and twenty or fewer total claims. The application must not contain any multiple dependent claims. For an application that contains more than three independent claims or twenty total claims, or multiple dependent claims, applicant must file a preliminary amendment in compliance with 37 CFR 1.121 to cancel the excess claims and/or the multiple dependent claims at the time the petition to make special is filed.
- 3) The claims must be directed to a single invention that materially enhances the quality of the environment, or that materially contributes to: (1) the discovery or development of renewable energy resources; (2) the more efficient utilization and conservation of energy resources; or (3) green house gas emission reduction (see the eligibility requirements of sections II and III of the notice (i) cited above). The petition must include a statement that, if the USPTO determines that the claims are directed to multiple inventions (e.g., in a restriction requirement), applicant will agree to make an election without traverse in a telephonic interview, and elect an invention that meets the eligibility requirements in section II or III of the notice (i) cited above.
- The petition to make special must be timely filed electronically using the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Green Tech Pilot" on the EFS-Web screen. Applicant should use form PTO/SB/420, which is available as a Portable Document Format (PDF) fillable form in EFS-Web and on the USPTO Web site.
- The petition to make special must be filed at least one day prior to the date that a first Office action (which may be an Office action containing only a restriction requirement) appears in the Patent Application Information Retrieval (PAIR) system. Applicant may check the status of the application using PAIR.
- The petition to make special must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d).

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

TUNG & ASSOCIATES 838 WEST LONG LAKE, SUITE 120 BLOOMFIELD HILLS MI 48302

In re Application of

Bala Chander : DECISION ON PETITION
Application No. 12/258,406 : TO MAKE SPECIAL UNDER
Filed: October 25, 2008 : THE GREEN TECHNOLOGY

Attorney Docket No. 81180513 (67,600-113) : PILOT PROGRAM

This is a decision on the petition under 37 CFR 1.102, filed on March 08, 2011, to make the above-identified application special under the pilot program for applications pertaining to Green Technologies as set forth 74 Federal Register Notice 64666 (December 8, 2009) and amended by 75 Federal Register Notice 28554 (May 21, 2010) and 75 Federal Register Notice 69049 (November 10, 2010).

### The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 64666 must be directed to a nonprovisional application filed under 35 USC 111(a) or be a national stage entry under 35 USC 371, exclusive of any reissue applications.

In order to qualify for special status, the following requirements must be met. 1) The application must have no more than 3 independent claims and no more than 20 total claims. 2) The application must not contain any multiple dependent claims. 3) The petition must state the basis for seeking special status, i.e., the claimed invention either: A) materially enhances the quality of the environment or B) materially contributes to: i) the discovery or development of renewable energy resources, ii) the more efficient utilization and conservation of energy resources, or iii) greenhouse gas emission reduction. 4) If the disclosure is not clear on its face that the claimed invention materially contributes under category (A) or (B), the petition must be accompanied by a statement by the applicant, assignee, or an attorney/agent registered to practice before the Office explaining how the materiality standard is met. 5) A statement that applicant will agree to make an election without traverse in a telephonic interview if a restriction requirement is made by the examiner. 6) The petition to make special must be filed electronically. 7) The petition must be filed at least one day prior to the date that a first Office Action appears in the Patent Application Information Retrieval (PAIR) system. 8) The petition must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and include the publication fee as set forth in 37 CFR 1.18(d).

The requirement for a fee for consideration of the petition to make special for applications pertaining to Green Technologies has been waived.

The instant petition complies with items 1-8 above. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquires concerning this decision should be directed to Lee W. Young at 571-272-4549.

The application is being forwarded to the Technology Center Art Unit 2858 for action in its regular turn.

Lee W. Young

Quality Assurance Specialist Technology Center 2800

### UNITED STATES PATENT AND TRADEMARK OFFICE



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P.O. Box 1450
Alexandria, VA 22313-1450
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OCEAN LAW 3463 RED BLUFF CT. SIMI VALLEY, CA 93063

MAILED

FEB 18 2011

**OFFICE OF PETITIONS** 

In re Application

Wayne Hammerly

Application No. 12/258,412

Filed: October 26, 2008

Attorney Docket No. OUT-08010

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed January 6, 2011.

The request is **DISMISSED**.

A review of the file record indicates that Ocean Law was never appointed power of attorney in this patent application and therefore, was only designated as the correspondence address of record. As a result, the request to withdraw under 37 C.F.R. § 1.36(b) is not applicable.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

There is an outstanding Office action mailed November 29, 2010, that requires a reply from the applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-6059. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Alicia Kelley

Petitions Examiner

Office of Petitions



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

DAVID G. HENRY PATTON BOGGS LLP 2001 ROSS AVENUE SUITE 3000 DALLAS TX 75021

MAILED

JAN 0 9 2012

OFFICE OF PETITIONS

In re Application of

BARRY, Mark A.

Application No. 12/258,488

Filed: October 27, 2008

Attorney Docket No. 027404.0102PTUS

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the renewed Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed August 11, 2011.

The request is **NOT APPROVED**.

The Office will no longer accept address changes to a new practitioner or law firm filed with a Request, absent the filing of a **power of attorney** to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 C.F.R 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

Accordingly, the request to withdraw from record cannot be approved because the change of address is not that of: (1) the first named inventor; or (2) an assignee of the entire interest under C.F.R 3.71, who has properly intervened. 37 CFR 3.71(c) states:

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-4231.

/Michelle R. Eason/ Michelle R. Eason Paralegal Specialist Office of Petitions

cc: N

MARK A. BARRY/DAVID HENRY C/O DYKEMA GOSSETT PLLC COMERICA BANK TOWER 1717 MAIN STREE, SUITE 4000 DALLAS, T X 75201

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

GIBBONS PC ONE GATEWAY CENTER NEWARK NJ 07102

MAILED

NOV 29 2011

OFFICE OF PETITIONS

In re Application of Embrechts, et al.

Application No. 12/258,490 ON PETITION

Filed: October 27, 2008 Attorney Docket No. 098390 - 77822

This is a decision on the petition to revive under 37 CFR 1.137(b), filed November 4, 2011.

The petition under 37 CFR 1.137(b) is **GRANTED**.

The above-identified application became abandoned for failure to timely file a proper reply in response to the Office action mailed December 1, 2010. This Office action set a shortened statutory period for reply of one (1) month. No reply having been received, the application became abandoned on March 2, 2011. The Office mailed a Notice of Abandonment on June 8, 2011.

With the instant petition, petitioner made the proper statement of unintentional delay, paid the petition fee, and submitted the required reply in the form of an Amendment.

The application is being forwarded to Group Art Unit 1628 for consideration of the Amendment, filed November 4, 2011.

Telephone inquiries related to this decision should be directed to the undersigned at (571)272-3207.

Cliff Congo Petitions Attorney

Office of Petitions



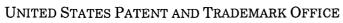
# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/258,523	10/27/2008	Keiichi Imamura	08700/LH	9252
1933 HOLTZ, HOL	7590 03/03/201 TZ, GOODMAN & CH		EXAM	INER
220 Fifth Aver	=		BERHAN,	AHMED A
16TH Floor NEW YORK.	NY 10001-7708		ART UNIT	PAPER NUMBER
,			2622	
			MAIL DATE	DELIVERY MODE
			03/03/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

HOLTZ, HOLTZ, GOODMAN & CHICK PC 220 Fifth Avenue 16TH Floor NEW YORK NY 10001-7708 MAIL

MAR 03 ZUTT

DIRECTOR'S OFFICE
TECHNOLOGY CENTER 2600

In re Application of

IMAMURA, KEIICHI et al. Application No. 12/258,523 Filed: October 27, 2008 Attorney Docket No. 08700/LH DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed January 05, 2011 to make the above-identified application special.

The request and petition are **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the JPO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the JPO application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of all the office actions from each of the JPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the JPO examiner in the JPO office action along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Michael Horabik at 571-272-3068.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Michael Horabik/

Michael Horabik Quality Assurance Specialist Technology Center 2600 Communications

# UNITED STATES PATENT AND TRADEMARK OFFICE



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P.O. Box 1450
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LOWE, HAUPTMAN, HAM & BERNER, LLP (ITW) 1700 DIAGONAL ROAD SUITE 300 ALEXANDRIA VA 22314

MAILED

AUG 0 9 2010

In re Application of

Wu et al.

Application No. 12/258,528

Filed: October 27, 2008

Attorney Docket: 713-1954 (21612)

OFFICE OF PETITIONS

ON PETITION

This is a decision on the petition under 37 CFR 1.182, filed, April 16, 2010, to change the name of inventor "Yu-Ren Lo" to - Yu-Jen Lo -.

The petition is **DISMISSED**.

The petition under 37 CFR 1.182 to change an inventor's name must include (1) an appropriate petition fee, (2) a statement signed by the inventor setting forth both names and (3) the procedure whereby the change of name was effected, or a copy of the court order.

Petitioner has failed to comply with item (2) above, which requires the inventor to submit a statement as set forth under MPEP section 605.04(c).

In view of the above, the petition to change the inventor's name under 37 CFR 1.182 cannot be granted at this time.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop PETITIONS Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

By hand:

Customer Window located at: U.S. Patent and Trademark Office

Customer Service Window Randolph Building

401 Dulany Street Alexandria, VA 22314

By fax:

(571) 273-8300

ÀTTN: Office of Petitions

Any questions concerning this matter may be directed to Alicia Kelley at (571) 272-6059.

/Liana Walsh/ Liana Walsh Petitions Examiner Office of Petitions

#### UNITED STATES PATENT AND TRADEMARK OFFICE



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LOWE, HAUPTMAN, HAM & BERNER, LLP (ITW) 1700 DIAGONAL ROAD SUITE 300 ALEXANDRIA VA 22314

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JUN 02 2011

OFFICE OF PETITIONS

In re Application of

Wu et al.

Application No. 12/258,528

NO. 12/258,528 :

Filed: October 27, 2008

Attorney Docket: 713-1954 (21612)

ON PETITION

This is a decision on the renewed petition under 37 CFR 1.182, filed, April 6, 2011, to change the name of inventor "Yu-Ren Lo" to – Yu-Jen Lo --.

The petition is **GRANTED**.

The petition under 37 CFR 1.182 to change an inventor's name must include (1) an appropriate petition fee, (2) a statement signed by the inventor setting forth both names and (3) the procedure whereby the change of name was effected, or a copy of the court order.

Office records have been updated to reflect the inventor's change of name. A corrected Filing Receipt, which reflects the inventor's change of name, accompanies this decision on petition.

Any questions concerning this matter may be directed to Alicia Kelley-Collier at (571) 272-6059. Any questions concerning the examination procedures or status of the application should be directed to the Technology Center.

This application is being referred to Technology Center AU 2885 for further processing in the normal course of business.

/Carl Friedman/ Carl Friedman Petitions Examiner Office of Petitions

ATTACHMENT: Corrected Filing Receipt



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.ustoto.gov

Γ	APPLICATION	FILING or	GRP ART				
L	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
_	12/258,528	10/27/2008	2885	1090	713-1954 (21612)	8	1

33712 LOWE, HAUPTMAN, HAM & BERNER, LLP (ITW) 1700 DIAGONAL ROAD SUITE 300 ALEXANDRIA, VA 22314 CONFIRMATION NO. 9259
CORRECTED FILING RECEIPT



Date Mailed: 05/31/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Shi-Shan WU, Kaohsiung, TAIWAN; Hung-Ling CHANG, Kaohsiung, TAIWAN; Jing-Ren HU, Kaohsiung, TAIWAN; Yu-Jen LO, Kaohsiung, TAIWAN;

#### **Assignment For Published Patent Application**

ITW ELECTRONIC BUSINESS ASIA CO. LTD., Kaohsiung, TAIWAN

Power of Attorney: The patent practitioners associated with Customer Number 22429

#### Domestic Priority data as claimed by applicant

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)
TAIWAN 96218757 11/07/2007

If Required, Foreign Filing License Granted: 11/06/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/258,528** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

#### STRUCTURE OF A FASTENER WITH ILLUMINATORS

#### **Preliminary Class**

362

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/258,542	10/27/2008	Tetsuji Makino	08574/LH	9283
1933 HOLTZ HOL	7590 03/18/2011 TZ, GOODMAN & CHI		EXAM	INER
220 Fifth Aver		CKIC	BERHAN,	AHMED A
16TH Floor	NY 10001-7708		ART UNIT	PAPER NUMBER
NEW TORK,			2622	
			MAIL DATE	DELIVERY MODE
			03/18/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



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United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

HOLTZ, HOLTZ, GOODMAN & CHICK PC 220 Fifth Avenue 16TH Floor NEW YORK NY 10001-7708

In re Application of

MAKINO, TETSUJI, et al. Application No. 12/258,542

Filed: October 27,2008 Attorney Docket No. **08574/LH**  DECISION ON REQUEST TO

PARTICIPATE IN PATENT PROSECUTION HIGHWAY

PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed January 10, 2011 to make the above-identified application special.

The request and petition are **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the JPO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the JPO application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of all the office actions from each of the JPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the JPO examiner in the JPO office action along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Doris To at 571-272-7629.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

The application will be forwarded to the examiner for action on the merits commensurate with this decision.

/Doris To/

Doris To
Quality Assurance Specialist
Technology Center 2600
Communications

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

MAIL

SEP 23 2010

DIRECTOR'S OFFICE
TECHNOLOGY CENTER 2600

FITZPATRICK CELLA HARPER & SCINTO 1290 Avenue of the Americas NEW YORK NY 10104-3800

In re Application of

ANDO, MOTOFUMI

Application No. 12/258,631 Filed: October 27, 2008

Attorney Docket No. 03500.165627

**DECISION ON REQUEST TO** 

PARTICIPATE IN PATENT

PROSECUTION HIGHWAY

PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(d)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(d), filed August 16, 2010.

The request and petition are **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the JPO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the JPO application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of all the office actions from each of the JPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate;
- (6) Applicant must submit an IDS listing the documents cited by the JPO examiner in the JPO office action along with copies of documents except U.S. patents or U.S. patent application publications; and
- (7) The required petition fee under 37 CFR 1.17(h).

The request to participate in the PPH program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Kenneth Wieder at 571-272-2986.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

Kenneth Wieder

Quality Assurance Specialist

Technology Center 2600

Communications

OK TO ENTER: /D.K.H./

01/23/2012

PTO/SB/44 (09-07) Approved for use through 08/31/2013. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 8,048,035

APPLICATION NO.: 12/258,754

ISSUE DATE : November 1, 2011

INVENTOR(S) : C. Michael MESA, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(1) Claim 1, at column 19, line 3, the word "having" should be deleted.

(2) Please include the corrected	Terminal Disclaimer,	filed concurrently	herewith.	in the file h	istory of	f the
above-identified patent.		•	,			

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Jones Day 222 East 41st Street New York, NY 10017

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# **UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.
CONTROL NO.		PATENT IN REEXAMINATION	

12/258,754 27 October 2008 MESA ET AL. 11201-1002-999

JONES DAY 222 EAST 41ST ST NEW YORK, NY 10017 EXAMINER

DEANNA K. HALL

**ART UNIT PAPER** 3767 20120123

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

Examiner has reviewed the CofC in eDan submitted 12/29/11 concluding that the two corrections are acceptable.

/KEVIN C. SIRMONS/ Supervisory Patent Examiner, Art Unit 3767 /DEANNA K HALL/ Examiner, Art Unit 3767 OK TO ENTER: /D.K.H./

01/23/2012 Electronically Filed

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: C. Michael MESA, et al. Confirmation No.: 9641

Application No.: 12/258,754 Art Unit: 3767

Filed: October 27, 2008 Examiner: Deanna K. HALL

For: AUTOMATIC INJECTOR Attorney Docket No.: 11201-1002-999

WITH NEEDLE COVER

Patent No. 8,048,035

# REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. § 1.323

ATTN: Certificate of Correction Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.322, the Patentees hereby respectfully request the issuance of a Certificate of Correction for U.S. Patent No. 8,048,035. The two errors to be corrected, which are also listed on the enclosed Form PTO/SB/44, are as follows:

- (1) Claim 1, at column 19, line 3, the word "having" should be deleted.
- crroneously filed with the same application's information (serial number and filing date), and was subsequently approved by the U.S. Patent and Trademark Office on December 9, 2010. The above-identified application, which issued as U.S. Patent No. 8,048,035 should have been disclaimed over U.S. Patent No. 7,449,012, as per the Office Action mailed August 11, 2010, the Interview Summary from December 2, 2010, and the Amendment filed concurrently with the Terminal Disclaimer on December 6, 2010. To correct this error, Patentees submit a corrected Terminal Disclaimer, which is filed concurrently

herewith. Please include this Terminal Disclaimer in the file history of U.S. Patent No. 8,048,035.

\* \* \*

The requested revisions are shown in PTO/SB/44 concurrently submitted herewith.

Accordingly, an expedited issuance of Certificate of Correction is respectfully requested.

Please charge the fee for providing a correction of applicant's mistake under 37 C.F.R. § 1.20(a), estimated to be \$100.00, to Jones Day Deposit Account No. 50-3013. Should any additional fees be required, the Commissioner is authorized to charge such fees to Jones Day Deposit Account No. 50-3013.

Respectfully submitted,

/ Thomas J. Bassolino /

Date: December 29, 2011

Thomas J. Bassolino (Reg. No. 65,946) Peter G. Thurlow (Reg. No. 47,138)

JONES DAY

Customer No. 20583 222 East 41st Street New York, New York 10017 (212) 326-3939



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/258,770 10/27/2008 Motonori Kimura			Q109614	9674	
7	590 03/28/2011	EXAM	INER		
SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037			OLSEN, LIN B		
			ART UNIT	PAPER NUMBER	
			3661		
			NOTIFICATION DATE	DELIVERY MODE	
		•	03/28/2011	ELECTRONIC	

# **DECISION GRANTING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment is recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is granted.

The express abandonment is recognized. Any previously paid search fee and excess claims fee are hereby refunded.

Telephone inquiries should be directed to the Office of Data Management at (571) 272-4200.

Tarmet

Patent Publication Branch

Office of Data Management

Doc Code: PET.AUTO		PTO/SB/64 U.S. Patent and Trademark Office				
Document Description: Petition autom	natically granted by EFS-Web	Department of Commerce				
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)					
Application Number	12258841					
Filing Date	27-Oct-2008					
First Named Inventor	Paul von Beck					
Art Unit	3747					
Examiner Name	THOMAS MOULIS					
Attorney Docket Number	PV-1-MV					
Title	tle  MOTORIZED VEHICLES SPARK TIMING CONTROL FOR USE WITH BIOFUEL GASOLINI MIXTURE					
United States Patent and Trademark	is any extensions of time actually obtained.	d proper reply to a notice or action by the after the expiration date of the period set for				
NOTE: A grantable petition requires to (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer w	claimer fee – required for all utility and plant	applications filed before June 8, 1995; and for				
Petition fee The petition fee under 37CFR 1.17(m) i	ah ad					
Applicant claims SMALL ENT						
Applicant is no longer claimi	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).					
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.					
	Applicant(s) status remains as other than SMALL ENTITY.					
Issue Fee and Publication Fee :						
Issue Fee and Publication Fee must acc	company ePetition.					
Issue Fee Transmittal is attached	d					
Drawing corrections and/ or other d	eficiencies.					

lacksquare	Drawing corrections and/ or other deficiencies are not required					
0	I certify, in accordance with 37 CFR 1.4.(D)(4), that drawing corrections and/or other deficiencies have previously been filed on					
0	Drawing corrections and/ or oth	ner deficiencies are attached.				
	STATEMENT: The entire delay in grantable petition under 37 CFR	filing the required reply from the due date for the required reply until the filing of a 1.137(b) was unintentional.				
TH	HIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES				
lc	ertify, in accordance with 37 CFR	1.4(d)(4) that I am:				
•	<ul> <li>An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.</li> </ul>					
	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.					
0	A sole inventor					
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors.					
A joint inventor; all of whom are signing this e-petition.						
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.						
Sig	gnature	/Michael I. Kroll/				
Na	Name Michael I. Kroll					
R€	Registration Number 26755					



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: February 14, 2012

In re Application of : DECISION ON PETITION

DECISION ON PETITION

UNDER CFR 1.137(b)

Paul von Beck Application No:

12258841

Filed: 27-Oct-2008 Attorney Docket No: PV-1-MV

This is an electronic decision on the petition under 37 CFR 1.137(b), filed February 14, 2012, to revive the above-identified application.

#### The petition is **GRANTED.**

The above-identified application became abandoned for failure to reply in a timely manner to the Notice of Allowance and Issue Fee(s) Due. The date of abandonment is the day after the expiration date of the period set for reply in the Notice.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of payment of the Issue Fee and the Publication Fee (if necessary); (2) the petition fee as set forth in 37 CFR 1.17 (m); (3) the drawing correction and/or other deficiencies (if necessary); and (4) the required statement of unintentional delay have been received. Accordingly, the Issue Fee payment is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Office of Data Management.

Office of Petitions

Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-KR (06-10)
Approved for use through 01/31/2012. OMB 0651-0058
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM BETWEEN THE KOREAN INTELLECTUAL PROPERTY OFFICE (KIPO) AND THE USPTO								
Application No:	12/258,857 Filing date: October 27, 2008							
First Named Inventor:	Steven Gardner							
Title of the Invention: Device	and Method of Photother	apy for	Jaundiced Infants					
SUBMITTED VIA EFS-W	ARTICIPATION IN THE PCT-PPH PILOT PROGFIEB. INFORMATION REGARDING EFS-WEB IS OV/EBC/EFS_HELP.HTML							
	REQUESTS PARTICIPATION IN THE PC APPLICATION SPECIAL UNDER THE PC							
The above-identified application is (1) a national stage entry of the corresponding PCT application, or (2) a national stage entry of another PCT application which claims priority to the corresponding PCT application, or (3) a national application that claims domestic/ foreign priority to the corresponding PCT application, or (4) a national application which forms the basis for the priority claim in the corresponding PCT application, or (5) a continuing application of a U.S. application that satisfies one of (1) to (4) above, or (6) a U.S. application that claims domestic benefit to a U.S. provisional application which forms the basis for the priority claim in the corresponding PCT application.								
The corresponding PCT application number(s) is/are: PCT/US2009/047732  The international filing date of the corresponding								
b. A copy of all clabove-identifie Is attached.  Is not attached.  b. A copy of all clabove-identifie Is attached.  Is not attached.  C. English transla	June 18, 2009  Documents: atest international work product (WO/ISA PCT application(s)  ed because the document is already in the Union which were indicated as having now discorresponding PCT application(s).	J.S. application velty, invention J.S. applications are attaches	ve step and industrial applicability in the on. ed (if the documents are not in the English					

Registration Number 30876

U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM BETWEEN THE KIPO AND THE USPTO								
(continued)								
Application No.:	Application No.: 12258857							
First Named Inventor:	Steve	en Gardner						
d. (1) An information disclosure statement listing the documents cited in the international work products (ISR, WO/ISA, WO/IPEA, IPER) of the corresponding PCT application.  Is attached  Has already been filed in the above-identified U.S. application on  (2) Copies of all documents (except) for U.S. patents or U.S. patent application publications)  Are attached.  Have already been filed in the above-identified U.S. application on  8/3/2009								
II. Claims Corres	sponde	ence Table:						
Claims in US Application  Patentable Claims in the corresponding PCT Application  Explanation regarding the correspondence								
1-24		1-24	Original					
III. All the claims in the US application sufficiently correspond to the patentable claims in the corresponding PCT application.								
Signature /John E. Nemazi/ Date April 16, 2011								

Name (Print/Typed) John E. Nemazi

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/258,857	10/27/2008	Steven Gardner	GARD 0108 PUS	9849	
22045 BROOKS KUS	7590 04/20/2011 SHMAN P.C.		EXAMINER CRANDALL, LYNSEY P		
1000 TOWN C	ENTER				
SOUTHFIELD	COND FLOOR D. MI 48075		ART UNIT	PAPER NUMBER	
	,		3769		
	ť		MAIL DATE	DELIVERY MODE	
			04/20/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.





BROOKS KUSHMAN P.C. 1000 TOWN CENTER TWENTY-SECOND FLOOR SOUTHFIELD MI 48075

In re Application of

GARDNER, STEVEN : DECISION ON REQUEST TO Application No. 12/258,857 : PARTICIPATE IN PATENT Filed: Oct. 27, 2008 : PCT/PROSECUTION HIGHWAY

Attorney Docket No. GARD 0108 PUS : PROGRAM AND PETITION Title: DEVICE AND METHOD OF PHOTOTHERAPY : TO MAKE SPECIAL UNDER

FOR JAUNDICED INFANTS : 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed April 18, 2011, to make the above-identified application special.

The request and petition are granted.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must disclose an eligible relationship to one or more PCT applications filed in the KIPO, JPO, EPO or USPTO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the PCT application(s) latest international work product (the written opinion or the IPER) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the PCT application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of the latest international work product from the PCT application containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and
- (6) Applicant must submit an IDS listing the documents cited by the PCT examiner in the international work product along with copies of documents except U.S. patents or U.S. patent application publications.

In light of the petition being properly submitted, the request to participate in the PPH program and the petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

The applicant is encouraged to cite and submit all relevant prior art references, if any, to facilitate examination in this application.

Other inquiries concerning the examination or status of the application should be directed to Henry Johnson, the SPE of Art Unit 3769 at 571-272-4768 for Class 607/90 and also accessible in the PAIR system at <a href="http://www.uspto.gov/ebc.index.html">http://www.uspto.gov/ebc.index.html</a>.

All other inquiries concerning the examination or status of the application should be directed to the Patent Application Information Retrieval (PAIR) system.

This application will be forwarded and docketed to an examiner for action on the merits commensurate with this decision.

Telephone inquiries concerning this decision should be directed to Henry C. Yuen, at 571-272-4856.

The petition is granted.

/Henry C. Yuen/

Henry C. Yuen, Special Programs Examiner Technology Center 3700 – Mechanical Engineering, Manufacturing and Products 571-272-4856



FISH & RICHARDSON P.C. (DC) P.O. BOX 1022 MINNEAPOLIS MN 55440-1022

MAILED
AUG 0 4 2011
OFFICE OF PETITIONS

In re Application of

Erika Takahashi et al Application No. 12/258,866

Filed: October 27, 2008

Attorney Docket No. 12732-0569001

DECISION GRANTING PETITION

UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed, August 3, 2011 to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on July 12, 2011 in the above-identified application cannot be refunded. If, however, the above-identified application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries should be directed to Irvin Dingle at (571) 272-3210.

This matter is being referred to Technology Center AU 2874 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed Information Disclosure Statement.

/Irvin Dingle/
Irvin Dingle
Petitions Examiner
Office of Petitions

<sup>&</sup>lt;sup>1</sup> The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Issue Fee Transmittal Form PTOL-85(b), which includes the following language thereon: Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or re-apply any previously paid issue fee to the application identified above. Petitioner is advised that, whether a fee is indicated as being due or not, the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment. Note the language in bold text on the first page of the Notice of Allowance and Fee(s) Due (PTOL-85).

MUNCY GEISSLER OLDS & LOWE, PLLC 4000 LEGATO ROAD SUITE 310 FAIRFAX, VA 22033

MAILED

AUG 0 6 2010

In re Application of : Chun-Te Hao :

OFFICE OF PETITIONS

Application No. 12/258,948 Filed: October 27, 2008

ON PETITION

Adda wasan Da ali ad Na 212

Attorney Docket No. 3126-965

This is a decision on the petition under 37 CFR 1.137(b), filed July 9, 2010, to revive the above-identified application.

The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the Notice To File Missing Parts of Nonprovisional Application (Notice) mailed November 18, 2008. The Notice set a period for reply of two (2) months from the mail date of the Notice. No extension of time under the provisions of 37 CFR 1.136(a) was obtained. Accordingly, the above-identified application became abandoned on January 19, 2009.

The file does not indicate a change of address has been submitted, although the address given on the petition differs from the address of record. If appropriate, a change of address should be filed in accordance with MPEP 601.03. A courtesy copy of this decision is being mailed to the address given on the petition; however, the Office will mail all future correspondence solely to the address of record.

Telephone inquiries concerning this decision should be directed to Irvin Dingle at (571) 272-3210

This matter is being referred to the Office of Patent Application Processing.

Irvin Dingle
Petitions Examiner
Office of Petitions

cc: Joe McKinney Muncy P.O. Box 1364

Fairfax, VA 22038-1364



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

**J C PATENTS 4 VENTURE, SUITE 250 IRVINE CA 92618** 

MAILED

FEB 28 2011

In re Application of

OFFICE OF PETITIONS

Hsi-Chou Wu

**ON PETITION** 

Application No. 12/258,993 Filed: October 27, 2008

Attorney Docket No. UCIP0062

This is a decision on the petitions under 37 CFR 1.182, filed December 8, 2008 and February 26, 2009, to change the name of the inventor.

The petition is **DISMISSED**.

A petition under 37 CFR 1.182 requires a fee of \$400. Since this fee was not paid, the petition can not be granted at this time.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand:

Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax:

(571) 273-8300

ATTN: Office of Petitions

Telephone inquiries related to this decision should be directed to the Kimberly Inabinet at (571) 272-4618.

/Carl Friedman/ Carl Friedman Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MUNCY, GEISSLER, OLDS & LOWE, PLLC 4000 LEGATO ROAD SUITE 310 FAIRFAX, VA 22033

MAILED

JUN 27 2011

OFFICE OF PETITIONS

In re Application of Chao-Hsiung Cho

Application No. 12/259,031

Filed: October 27, 2008

Attorney Docket No. 5545/0250PUS1

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed May 27, 2011, to revive the above-identified application.

The application became abandoned for failure to reply in a timely manner to the Notice to File Missing Parts of Non-provisional Application (Notice), mailed November 12, 2008. The Notice set a period for reply of two (2) months from the mail date of the Notice. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on January 13, 2009.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of a reply, (2) the petition fee of \$810, and (3) a proper statement of unintentional delay.

In view of the above, the petition is **GRANTED**.

It is not apparent whether the statement of unintentional delay was signed by a person who would have been in a position of knowing that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. Nevertheless, in accordance with 37 CFR 10.18, the statement is accepted as constituting a certification of unintentional delay. However, in the event that petitioner has no knowledge that the delay was unintentional, petitioner must make such an inquiry to ascertain that, in fact, the delay was unintentional. If petitioner discovers that the delay was intentional, petitioner must so notify the Office.

Telephone inquiries concerning this decision should be directed to undersigned at (571) 272-1642. All other inquiries concerning the examination or status of this application should be directed to the Office of Patent Application Processing at their hotline 571-272-4000.

This application is being referred to the Office of Patent Application Processing for pre-examination processing of the reply received May 27, 2011.

April M. Wise

Petitions Examiner
Office of Petitions



TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834

MAILED DEC 222010 OFFICE OF PETITIONS

In re Application of

Young Dong Joo

Application No. 12/259,040

Filed: October 27, 2008

Attorney Docket No. 021653-016500US

For: Word Line Booster for Flash Memory :

Decision Refusing to Accord Status Under 37 CFR 1.47(b) and

Dismissing Petition Under

37 CFR 1.137(b)

This is a decision on the petition under 37 CFR 1.47(b) and the petition under 37 CFR 1.137(b) filed February 18, 2010.

The petition under 37 CFR 1.47(b) is dismissed.

The petition under 37 CFR 1.137(b) is dismissed.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. No further petition fee is required for the request.

#### The Petition Under 37 CFR 1.47(b)

A grantable petition under 37 CFR 1.47(b) requires:

- Proof that the non-signing inventor cannot be found or reached after diligent (1) effort or that the inventor refused to sign the declaration after having been presented with the application papers (specification, claims, and drawings),
- An acceptable oath or declaration; (2)
- The petition fee: (3)
- (4) A statement of the last known address of the non-signing inventor;
- Proof of proprietary interest; and (5)
- Proof of irreparable damage. (6)

The petition lacks items (1), (2), and (5).

As to item (1), the record fails to establish the inventor has refused to sign the declaration or cannot be found or reached after diligent effort.

Petitioner does not assert the inventor has refused to sign the declaration. Therefore, the Office must determine if the record demonstrates the inventor cannot be found or reached after diligent effort.

The petition identifies a last known e-mail address, telephone number, and mailing address for the inventor. Petitioner has attempted to contact the inventor twice by e-mail. Each e-mail has been returned as undeliverable. Petitioner has attempted to contact the inventor twice by telephone. However, each attempt was unsuccessful because the last known phone number appears to have been out of service. Petitioner has failed to send any correspondence to the last known mailing address identified in the petition.

The record fails to indicate Petitioner has made any attempt to contact the inventor at the last known mailing address identified in the petition. Unless a party is aware the last known mailing address for an inventor is invalid, a diligent effort to locate an inventor requires an attempt to contact the inventor at the address. Petitioner has not stated the last known address for the inventor is no longer valid. Therefore, Petitioner's failure to attempt to contact the inventor at the last known mailing address constitutes a failure to establish diligent effort to find the inventor.

Petitioner may already know the last known mailing address provided for the employee is invalid. For example, Petitioner, a former employer of the inventor, may know the mailing address provided in the petition is no longer valid because the identified address is Petitioner's mailing address. However, even if Petitioner had such knowledge and had stated such a fact in the petition, the record would be insufficient to demonstrate diligent effort has been made to locate the effort.

Evidence establishing a party does not know a current e-mail address, telephone number, or mailing address for an inventor is not evidence demonstrating diligent effort to locate current and accurate contact information for an inventor.

In view of the prior discussion, the record is insufficient to demonstrate the inventor cannot be found or located after diligent effort.

Any request for reconsideration should demonstrate Petitioner has attempted to locate a current mailing address or telephone number using an internet search, directory assistance, or similar methods. The request should also establish Petitioner has reviewed its personnel file for the inventor in order to determine if the files reveal a possible current address, telephone number, or e-mail address for the inventor, and if it does, establish Petitioner has attempted to locate the inventor using the information.

If Petitioner is able to find a current mailing address for the inventor, Petitioner should mail a complete copy of the application papers (specification, claims, drawings, oath, etc.) to the inventor's along with a cover letter of instructions which includes a deadline or a statement that

no response will constitute a refusal. This sort of ultimatum lends support to a finding of refusal by conduct. A copy of any cover letter sent to the inventor should accompany any request for reconsideration.

As to item (2), the petition states a copy of a declaration signed by Dah-Bin Kao with the inventor's signature block left out, is being filed with the petition. However, the Office is unable to locate such a declaration in the papers filed February 18, 2002. A copy of the declaration should be filed with any request for reconsideration.

MPEP 409.03(b)(A) states,

Where an oath or declaration is signed by a registered attorney or agent on behalf of a corporation, either proof of the attorney's or agent's authority in the form of a statement signed by an appropriate corporate officer must be submitted, or the attorney or agent may simply state that he or she is authorized to sign on behalf of the corporation.

The petition does *not* state Dah-Bin Kao has the authority to sign a declaration on behalf of Petitioner. If a declaration signed by Dah-Bin Kao is filed with a request for reconsideration, Petitioner should ensure the request for reconsideration or the declaration explicitly states Dah-Bin Kao has the authority to sign the declaration on behalf of Petitioner.

As to item (5), the petition fails to establish Petitioner has sufficient proprietary interest in the subject matter to justify the filing of the application. Specifically, a bare assertion the inventor created and developed the invention while employed by Petitioner is inadequate to demonstrate a sufficient proprietary interest in the subject matter. Acceptable forms of proof of a proprietary interest include:

- (a) A copy of an employment agreement between the non-signing inventor and the Rule 47(b) applicant (company) which covers the invention,
- (b) A copy of an assignment agreement showing that the invention disclosed in the application is assigned to the Rule 47(b) applicant, or
- (c) A legal memorandum signed by an attorney familiar with the law of the jurisdiction stating that a court of competent jurisdiction would by the weight of authority in that jurisdiction award the title of the invention to the Rule 47(b) applicant.

#### The Petition Under 37 CFR 1.137(b)

The application was filed without an oath or declaration. The Office mailed a Notice to File Missing Parts of Nonprovisional Application on November 12, 2008. The Notice set a period for reply of two (2) months from the mail date of the Notice. Petitioner did not file a reply or a request for an extension of time. As a result, the application became abandoned on January 13, 2009.

A grantable petition under 37 CFR 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed,
- (2) The petition fee,

- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, and
- (4) A terminal disclaimer and fee if the application was filed on or before June 8, 1995 or if the application is a design application.

As to item (1), the required reply has not been filed in view of the dismissal of the petition under 37 CFR 1.47(b).

Any request for reconsideration of the dismissal of the petition under 37 CFR 1.137(b) should include a proper reply in the form of a grantable petition under 37 CFR 1.47(b) or a declaration signed by the inventor.

A grantable petition under 37 CFR 1.137(b) must be accompanied by a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. Although the instant petition includes such a statement, the statement should also appear in any request for reconsideration. In other words, any request for reconsideration should include the following statement, or a similar statement: "The entire delay in filing the required reply from the due date for the reply until the filing the instant petition pursuant to 37 CFR 1.137(b) was unintentional."

#### Power of Attorney

The petition states a power of attorney accompanies the petitions. However, the Office is unable to locate a power of attorney in the papers filed with the petitions. Therefore, a new copy of the power of attorney should be filed.

Further correspondence with respect to this matter may be submitted as follows:

By Internet: A request for reconsideration may be filed electronically using EFS Web.<sup>1</sup>

Document Code "PET.OP" should be used if the request is filed electronically.

By mail: Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By facsimile: (571) 273-8300

Attn: Office of Petitions

By hand: U.S. Patent and Trademark Office

Customer Service Window

Randolph Building 401 Dulany Street Alexandria, VA 22314

General Information concerning EFS Web can be found at http://www.uspto.gov/patents/process/file/efs/index.jsp.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Steven Brantley at (571) 272-3203.

Charles Steven Brantley Senior Petitions Attorney

Office of Petitions



KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834

# MAILED APR 262011 OFFICE OF PETITIONS

In re Application of

Young Dong Joo

Application No. 12/259,040 Filed: October 27, 2008

Attorney Docket No. 021653-016500US

For: Word Line Booster for Flash Memory :

Decision Refusing to Accord Status Under 37 CFR 1.47(b) and

Dismissing Petition Under

37 CFR 1.137(b)

This is a decision on the renewed petition under 37 CFR 1.47(b) filed March 22, 2011, which is being treated in part as a renewed petition under 37 CFR 1.137(b).

The petition under 37 CFR 1.47(b) is dismissed.

The petition under 37 CFR 1.137(b) is dismissed.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) <u>are</u> permitted. No further petition fee is required for the request.

#### The Petition Under 37 CFR 1.47(b)

A grantable petition under 37 CFR 1.47(b) requires:

- (1) Proof that the non-signing inventor cannot be found or reached after diligent effort or that the inventor refused to sign the declaration after having been presented with the application papers (specification, claims, and drawings),
- (2) An acceptable oath or declaration;
- (3) The petition fee;
- (4) A statement of the last known address of the non-signing inventor;
- (5) Proof of proprietary interest; and
- (6) Proof of irreparable damage.

The petition lacks item (2).

The petition states a copy of a declaration signed by Dah-Bin Kao is included as Exhibit F. However, Exhibit F does not include any reference to Dah-Bin Kao or the signature of any party. Therefore, the petition under 37 CFR 1.47(b) cannot be granted. Any request for reconsideration should include a properly signed declaration and the declaration should not be provided as an exhibit to the request for reconsideration.

The Office issued a decision dismissing a prior petition under 37 CFR 1.47(b) on December 22, 2010. The decision stated,

The petition does *not* state Dah-Bin Kao has the authority to sign a declaration on behalf of Petitioner. If a declaration signed by Dah-Bin Kao is filed with a request for reconsideration, Petitioner should ensure the request for reconsideration or the declaration explicitly states Dah-Bin Kao has the authority to sign the declaration on behalf of Petitioner.

The instant request for reconsideration does not state Dah-Bin Kao has the authority to sign the declaration on behalf of Petitioner. The Office notes a power of attorney from an assignee to an attorney is not the equivalent of a statement an attorney is permitted to sign a declaration on behalf of the assignee.

If a declaration signed by Dah-Bin Kao is filed with a request for reconsideration filed in response to the instant decision, the request for reconsideration or the declaration should explicitly state Dah-Bin Kao has the authority to sign the declaration on behalf of Semiconductor Manufacturing International (Shanghai) Corporation.

#### The Petition Under 37 CFR 1.137(b)

The December 22, 2010 decision dismissed the prior petition under 37 CFR 1.47(b) and a prior petition under 37 CFR 1.137(b).

The instant petition appears to implicitly seek revival of the application in addition to status under 37 CFR 1.47(b). Therefore, the petition is being treated in part as a renewed petition under 37 CFR 1.137(b).

A grantable petition under 37 CFR 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed,
- (2) The petition fee,
- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, and
- (4) A terminal disclaimer and fee if the application was filed on or before June 8, 1995 or if the application is a design application.

The instant petition lacks items (1) and (3).

As to item (1), the required reply has not been filed in view of the dismissal of the petition under 37 CFR 1.47(b). Any request for reconsideration of the dismissal of the petition under 37 CFR 1.137(b) should include a proper reply in the form of a grantable petition under 37 CFR 1.47(b) or a declaration signed by the inventor.

As to item (3), the petition does not include the required statement of unintentional delay. The December 22, 2010 decision stated,

A grantable petition under 37 CFR 1.137(b) must be accompanied by a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. Although the instant petition includes such a statement, the statement should also appear in any request for reconsideration.

Any request for reconsideration of the instant decision should include the following statement, or a similar statement: "The entire delay in filing the required reply from the due date for the reply until the filing the instant petition pursuant to 37 CFR 1.137(b) was unintentional."

#### Fees

The required petition fee for a petition under 37 CFR 1.47(b) is \$200. A review of the record indicates the Office failed to charge the \$200 petition fee to Deposit Account No. 20-1430 when processing the prior petition under 37 CFR 1.47(b). Therefore, the Office has charged the \$200 petition fee to the deposit account.

The December 22, 2010 decision stated,

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. No further petition fee is required for the request.

The instant petition was not filed within two months of the issuance of the prior decision, the instant petition does not include payment for an extension of time, and the petition does not include a general authorization to charge any necessary fees to a deposit account. However, the petition filed February 18, 2010, included a general authorization to charge any necessary fees to Deposit Account No. 20-1430. Pursuant to 37 CFR 1.136(a)(3), an authorization to charge all necessary fees to a deposit account will be treated as a constructive petition for an extension of time in any concurrent *or future* reply requiring an extension of time. Therefore, the deposit account has been charged \$130 for a one-month extension of time.

Further correspondence with respect to this matter may be submitted as follows:

By Internet: A request for reconsideration may be filed electronically using EFS Web. Document Code "PET.OP" should be used if the request is filed electronically.

General Information concerning EFS Web can be found at http://www.uspto.gov/patents/process/file/efs/index.jsp.

By mail:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By facsimile: (571) 273-8300

Attn: Office of Petitions

By hand:

U.S. Patent and Trademark Office

Customer Service Window

Randolph Building 401 Dulany Street Alexandria, VA 22314

Telephone inquiries regarding this communication should be directed to Petitions Attorney Steven Brantley at (571) 272-3203.

Charles Steven Brantley Senior Petitions Attorney

Office of Petitions



KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834 MAILED
JUN 282011
OFFICE OF PETITIONS

In re Application of

Young Dong Joo

Application No. 12/259,040

Filed: October 27, 2008

Attorney Docket No. 021653-016500US

For: Word Line Booster for Flash Memory :

Decision According Status Under 37 CFR 1.47(b) and

**Granting Petition Under** 

37 CFR 1.137(b)

This is a decision on the renewed petition under 37 CFR 1.47(b) and the renewed petition under 37 CFR 1.137(b) filed June 17, 2011.

The petition under 37 CFR 1.47(b) is granted.

The petition under 37 CFR 1.137(b) is granted.

The Office issued a decision dismissing a prior petition under 37 CFR 1.47(b) and a prior petition under 37 CFR 1.137(b) on April 26, 2011.

The April 26, 2011 decision indicated the petition under 37 CFR 1.47(b) could not be granted because an acceptable oath or declaration had not been filed.

The April 26, 2011 decision indicated the petition under 37 CFR 1.137(b) could not be granted for two reasons. First, the petition did not include the required reply to the outstanding notice in view of the fact the petition under 37 CFR 1.47(b) was being dismissed. Second, the petition did not include the required statement of unintentional delay.

The instant petitions include an acceptable declaration and the required statement of unintentional delay. Therefore, the petitions under 37 CFR 1.47(b) and 37 CFR 1.137(b) are granted.

This application is hereby <u>accorded Rule 47(b) status</u>. As provided in Rule 47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

The Office of Patent Application Processing will be informed of the instant decision and the application will be prepared for examination in due course.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Steven Brantley at (571) 272-3203.

Charles Steven Brantley Senior Petitions Attorney Office of Petitions



KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834

MAILED
MAY 052011
OFFICE OF PETITIONS

In re Application of

David Gao, et al.

Application No. 12/259,095

Filed: October 27, 2008

Attorney Docket No. 87720-711810

DECISION GRANTING PETITION

UNDER 37 CFR 1.313(c)(2)

This is a decision on the petition under 37 CFR 1.313(c)(2), filed May 4, 2011, to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2):

Petitioner is advised that the issue fee paid on April 6, 2011 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance. \(^1\)

Telephone inquiries regarding this decision should be directed to undersigned at (571) 272-1642. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

This application is being referred to Technology Center AU 2823 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed information disclosure statement.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.



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UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834 MAILED SEP 0 8 2010

OFFICE OF PETITIONS

In re Application of

Chen, et al.

Application No. 12/259,152

Filed: October 27, 2008

Atty. Dkt. No.: 021653-018600US

: DECISION GRANTING STATUS

: UNDER 37 CFR 1.47(a) and

:DECISION UNDER 37 CFR 1.137(b)

This decision is in response to the renewed petition under 37 CFR 1.47(a) and the petition under 37 CFR 1.137(b), filed August 5, 2010.

#### **DECISION UNDER 37 CFR 1.47(a)**

The petition under 37 CFR 1.47(a) is GRANTED.

Petitioners have shown that the non-signing inventor either cannot be reached for presentation of the application papers or has refused to join in the filing of the above-identified application.

The application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in 37 CFR 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

### **DECISION UNDER 37 CFR 1.137(b)**

The application became abandoned January 13, 2009 for failure to timely submit a proper reply to the Notice mailed November 12, 2008. The Notice set a two month shortened statutory period of time for reply. No petition for extension of time was timely submitted. Notice of Abandonment was mailed July 24, 2009.

A grantable petition pursuant to 37 C.F.R. § 1.137(b) must be accompanied by: (1) the required reply to the outstanding Office action or notice, unless previously filed; (2) the petition fee as set forth in 37 C.F.R. § 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. § 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee set forth in 37 C.F.R. § 1.20(d)) required pursuant to 37 C.F.R. § 1.137(c).

The instant renewed petition under 37 CFR 1.137(b) has been carefully reviewed and found in compliance with the requirements set forth above.

In view thereof, the petition under 37 CFR 1.137(b) is hereby GRANTED.

The file does not indicate a change of address has been submitted, although the address given on the petition differs from the address of record. If appropriate, a change of address should be filed in accordance with MPEP 601.03. A courtesy copy of this decision is being mailed to the address given on the petition; however, the Office will mail all future correspondence solely to the address of record.

This application is being forwarded to the Office of Patent Application Processing for further processing.

Telephone inquiries related to this decision may be directed to the undersigned at (571) 272-3205.

/ALESIA M. BROWN/

Alesia M. Brown Petitions Attorney Office of Petitions

CC:

Dah-Bin Kao

Townsend and Townsend and Crew LLP

379 Lytton Ave.

Palo Alto, CA 94301-1431



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P.O. BOX 1450
ALEXANDRIA, VA 22313-1450
WWW.USPto.gov

Chun Chi Chen No. 548-2F, Lane 640 Zong Sun Road Hsinchu TAIWAN

SEP 0 8 2010
OFFICE OF PETITION

In re Application of Chen, et al. Application No. 12/259,152 Filed: October 27, 2008

Atty. Dkt. No.: 021653-018600US

Dear Sir:

You are named as a joint inventor in the above-identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (571) 272-3205. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to the Certification Division at (571) 272-3150 or 1 (800) 972-6382 (outside the Washington, DC area).

/ALESIA M. BROWN/

Alesia M. Brown Petitions Attorney Office of Petitions

cc: TOWNSEND AND TOWNSEND AND CREW, LLP

TWO EMBARCADERO CENTER EIGHTH FLOOR

SAN FRANCISCO CA 94111-3834



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vugnia 22313-1450 www.uspto.gov

## 

**Bib Data Sheet** 

**CONFIRMATION NO. 1418** 

SERIAL NUMBE 12/259,152	FILING OR 371(c) DATE 10/27/2008 RULE 1.47  FILING OR 371(c) CLASS 134		GROUP ART UNIT 1792		ATTORNEY DOCKET NO. 021653-018600US	
Kin Mun Cho Chun Chi Ch Wei Zhu, Sha ** CONTINUING Da ** FOREIGN APPL CHINA 2008	en, Shanghai, CHINA; bong, Shanghai, CHINA; nen, Shanghai, CHINA; anghai, CHINA; ATA ***********************************	***				
Foreign Priority claimed 35 USC 119 (a-d) condit met Verified and Acknowledged E	STATE OR COUNTRY CHINA	SHEETS DRAWING 5	WING CLAIMS		INDEPENDENT CLAIMS 3	
ADDRESS 20350						-
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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE P.O. Box 1450 ALEXANDRIA, VA 22313-1450

TOWNSEND AND TOWNSEND AND CREW, LLP

SEP 0 8 2010 OFFICE OF PETITIONS

MAILED

In re Application of

TWO EMBARCADERO CENTER

SAN FRANCISCO CA 94111-3834

**EIGHTH FLOOR** 

Chen, et al. : DECISION GRANTING STATUS

Application No. 12/259,152 : UNDER 37 CFR 1.47(a) and

Filed: October 27, 2008 :DECISION UNDER 37 CFR 1.137(b)

Atty. Dkt. No.: 021653-018600US

This decision is in response to the renewed petition under 37 CFR 1.47(a) and the petition under 37 CFR 1.137(b), filed August 5, 2010.

#### **DECISION UNDER 37 CFR 1.47(a)**

The petition under 37 CFR 1.47(a) is **GRANTED**.

Petitioners have shown that the non-signing inventor either cannot be reached for presentation of the application papers or has refused to join in the filing of the above-identified application.

The application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in 37 CFR 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

This application is being referred to the Office of Patent Application Processing for preexamination processing.

#### **DECISION UNDER 37 CFR 1.137(b)**

The application became abandoned January 13, 2009 for failure to timely submit a proper reply to the Notice mailed November 12, 2008. The Notice set a two month shortened statutory period of time for reply. No petition for extension of time was timely submitted. Notice of Abandonment was mailed July 24, 2009.

A grantable petition pursuant to 37 C.F.R. § 1.137(b) must be accompanied by: (1) the required reply to the outstanding Office action or notice, unless previously filed; (2) the petition fee as set forth in 37 C.F.R. § 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. § 1.137(b)

was unintentional; and (4) any terminal disclaimer (and fee set forth in 37 C.F.R. § 1.20(d)) required pursuant to 37 C.F.R. § 1.137(c).

The instant petition has been carefully reviewed and found in compliance with the requirements set forth above.

In view thereof, the petition to revive under 37 CFR 1.137(b) is hereby **GRANTED** 

This application is being forwarded to the Office of Patent Application Processing for further processing.

Telephone inquiries related to this decision may be directed to the undersigned at (571) 272-3205.

/ALESIA M. BROWN/

Alesia M. Brown Petitions Attorney Office of Petitions



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450
WWW.USPIO.gov

MAILED

Chun Chi Chen No. 548-2F, Lane 640 Zong Sun Road Hsinchu Taiwan

In re Application of Chen, et al. Application No. 12/259,152 Filed: October 27, 2008

Atty. Dkt. No.: 021653-018600US

SEP 0 8 2010 2/259,152 2008 OFFICE OF PETITIONS

Dear Sir:

You are named as a joint inventor in the above-identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (571) 272-3205. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to the Certification Division at (571) 272-3150 or 1 (800) 972-6382 (outside the Washington, DC area).

/ALESIA M. BROWN/

Alesia M. Brown Petitions Attorney Office of Petitions

cc: TOWNSEND AND TOWNSEND AND CREW, LLP

TWO EMBARCADERO CENTER EIGHTH FLOOR

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

Bib Data Sheet

**CONFIRMATION NO. 1418** 

SERIAL NUMBER 12/259,152	FILING O DAT 10/27/ RULE	re `´	C	CLASS 134	GROUP A		22		<b>ATTORNEY DOCKET NO.</b> 021653-018600US	
APPLICANTS Chin Yu Chen, Shanghai, CHINA; Kin Mun Choong, Shanghai, CHINA; Chun Chi Chen, Shanghai, CHINA; Wei Zhu, Shanghai, CHINA;										
** FOREIGN APPLIC CHINA 200810	** CONTINUING DATA *******************************  ** FOREIGN APPLICATIONS ************************************									
			STATE OR COUNTRY CHINA	DRA	TOTAL INDEPENDE CLAIMS 5 18 3					
ADDRESS 20350										
TITLE PHOTORESIST TOO	DL CLEANING	JIG CON	FIGURE	D TO RECEIV	E FLO	W FROI	M TOP A	ND B	ОТТОМ	
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**OFFICE OF PETITIONS** 

MCANDREWS HELD & MALLOY, LTD 500 WEST MADISON STREET SUITE 3400 CHICAGO IL 60661

In re Application of

Tackin, et al.

Application No. 12/259,240

Filed: October 27, 2008 :

Atty Docket No. 17449US04

DECISION ON APPLICATION

PATENT TERM ADJUSTMENT

This is a decision on the "APPLICATION FOR RECONSIDERATION OF THE DETERMINATION OF PATENT TERM ADJUSTMENT UNDER 35 U.S.C. 154(b) ACCOMPANYING THE NOTICE OF ALLOWANCE" filed January 11, 2011, which is properly treated under 37 CFR 1.704(b). Applicants request that the initial determination of patent term adjustment under 35 U.S.C. 154(b) be corrected from sixty-six (66) days to one hundred (100) days.

FOR

The application for patent term adjustment is GRANTED.

The Office has updated the PAIR screen to reflect that the correct Patent Term Adjustment (PTA) determination at the time of the mailing of the Notice of Allowance is **one hundred (100)** days. A copy of the updated PAIR screen, showing the correct determination, is enclosed.

On October 19, 2010, the Office mailed the Determination of Patent Term Adjustment under 35 U.S.C. 154(b) in the above-identified application. The Notice stated that the patent term adjustment (PTA) to date is sixty-six (66) days. On January 11, 2011, applicants timely submitted the instant application for patent term adjustment.

Applicants dispute the reduction of thirty-four (34) days for filing of the terminal disclaimer on August 15, 2010, after a reply had been filed. See 37 C.F.R. § 1.704(c)(8)<sup>2</sup>. Applicants

<sup>&</sup>lt;sup>2</sup> 37 CFR § 1.704(c)(8) states:

contend that no terminal disclaimer was filed on August 15, 2010.

Applicants' contention is well taken. A review of the application file history does not reveal a terminal disclaimer filed August 15, 2010. A non-final Office action was mailed April 9, 2010, to which a response was filed on July 12, 2010, The record does not reveal that a supplemental paper warranting a reduction to the patent term under 37 CFR 1.704(c)(8) was filed in the ensuing period until the mailing of a final rejection on August 31, 2010. Accordingly, the reduction of 34 days is not warranted and is being removed.

In view thereof, the correct patent term adjustment at the time of the mailing of the notice of allowance is **one hundred (100)**  $\mathbf{days}$  (103 days of Office delay - 3 days of applicant delay = 100 days).

Submission of the \$200.00 fee set forth in 37 C.F.R. § 1.18(e) is acknowledged. No additional fee is required.

Applicants are reminded that any delays by the Office pursuant to 37 CFR 1.702(a)(4) and 1.702(b) and any applicant delays under 37 CFR 1.704(c)(10) will be calculated at the time of the issuance of the patent and applicants will be notified of the revised patent term adjustment to be indicated on the patent in the Issue Notification letter that is mailed to applicants approximately three weeks prior to issuance.

Submission of a supplemental reply or other paper, other than a supplemental reply or other paper expressly requested by the examiner, after a reply has been filed, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date the initial reply was filed and ending on the date that the supplemental reply or other such paper was filed[.]

Telephone inquiries regarding this matter should be directed to the undersigned at (571) 272-3222.

/Kenya A. Mclaughlin/

Kenya A. McLaughlin Petitions Attorney Office of Petitions

Enclosure: Copy of REVISED PALM screen

PTA/PTE Information Page 1 of 1



PTA/PTE Information

Patent <u>Term</u> Adjustment

C Delays 0

Patent Term Extension

Application Number\*: 12259240 Explanation of PTA Calculation Explanation of PTE Calculation

PTA Calculations for Application: 12259240

Application Filing Date 10/27/2008 OverLapping Days Between (A and B) or (A and C) 0

Issue Date of Patent Non-Overlapping USPTO Delays: 103

A Delays 103 PTO Manual Adjustment 34

B Delays 0 Applicant Delay (APPL) 37

\* - Sorted Column

File	Cor	tents	History	
	~~	ILCIILS	HISTOI A	

⊡

Total PTA (days) 100

<u>umber</u>	<u>Date</u>	<u>Date</u>	Action Code	Action Description	PTO	Duration APPL	Parent Action Number
	02/28/2011		P028	Adjustment of PTA Calculation by PTO	34		0
1	10/19/2010		MN/=.	Mail Notice of Allowance			0
1	10/18/2010		IREV	Issue Revision Completed			0
	10/18/2010		DVER	Document Verification			0
	10/18/2010		N/=.	Notice of Allowance Data Verification Completed			0
i	10/18/2010		DOCK	Case Docketed to Examiner in GAU			0
	10/12/2010		CNTA	Allowability Notice			0
	10/08/2010		P574	Paralegal TD Accepted			0
1	10/07/2010		FWDX	Date Forwarded to Examiner			0
	10/05/2010	10/05/2010	DIST	Terminal Disclaimer Filed			39
1	10/05/2010		A.NE	Amendment after Final Rejection			0
	09/24/2010		PA	Change in Power of Attorney (May Include Associate POA)			0
	08/31/2010		MCTFR	Mail Final Rejection (PTOL - 326)			0
i	08/30/2010		CTFR	Final Rejection			0
	08/18/2010		P575	Paralegal TD Not accepted			0
	08/15/2010	07/12/2010	DIST	Terminal Disclaimer Filed		34	29
,	07/14/2010		FWDX	Date Forwarded to Examiner			0
	07/12/2010		C602	Oath or Declaration Filed (Including Supplemental)			0
1	07/12/2010	07/09/2010	A	Response after Non-Final Action		2	27
	07/12/2010		XT/G	Request for Extension of Time - Granted			0
	04/09/2010	12/27/2009	MCTNF	Mail Non-Final Rejection	103		-1
i	04/09/2010		CTNF	Non-Final Rejection			0
)	04/01/2010		DOCK	Case Docketed to Examiner in GAU			0
,	02/08/2010		DOCK	Case Docketed to Examiner in GAU			0
	10/06/2009		DOCK	Case Docketed to Examiner in GAU			0
	09/13/2009		DOCK	Case Docketed to Examiner in GAU			0
	08/27/2009		TI1050	Transfer Inquiry to GAU			0
	02/26/2009		PG-ISSUE	PG-Pub Issue Notification			0
	02/13/2009		TSSCOMP	IFW TSS Processing by Tech Center Complete			0
ı	12/09/2008		OIPE	Application Dispatched from OIPE			0
	11/20/2008		PA	Change in Power of Attorney (May Include Associate POA)			0
	11/20/2008		PGPC	Sent to Classification Contractor			0
	11/20/2008		FLRCPT.0	Filing Receipt			0
	10/31/2008		L128	Cleared by L&R (LARS)			0
	10/31/2008		L198	Referred to Level 2 (LARS) by OIPE CSR			0
	10/28/2008		IDSC	Information Disclosure Statement considered			0
			IDSC	Information Disclosure Statement considered			0
	• .		EIDS.	Electronic Information Disclosure Statement			0
			EIDS.	Electronic Information Disclosure Statement			0
			WIDS	Information Disclosure Statement (IDS) Filed			0
			WIDS	Information Disclosure Statement (IDS) Filed			0
			SCAN	IFW Scan & PACR Auto Security Review			0
			A.PE	Preliminary Amendment			0
				Initial Exam Team nn			0
		10/19/2010 10/18/2010 10/18/2010 10/18/2010 10/18/2010 10/18/2010 10/18/2010 10/18/2010 10/08/2010 10/08/2010 10/05/2010 09/24/2010 08/31/2010 08/31/2010 08/31/2010 08/18/2010 08/18/2010 07/12/2010 07/12/2010 07/12/2010 07/12/2010 04/09/2010 04/09/2010 04/09/2010 04/09/2010 04/09/2010 10/06/2009 09/13/2009 08/27/2009 12/09/2008 11/20/2008 11/20/2008 11/20/2008 11/20/2008 10/28/2008	10/19/2010 10/18/2010 10/18/2010 10/18/2010 10/18/2010 10/18/2010 10/18/2010 10/08/2010 10/08/2010 10/05/2010 10/05/2010 09/24/2010 08/31/2010 08/31/2010 08/15/2010 07/12/2010 07/12/2010 07/12/2010 07/12/2010 07/12/2010 07/12/2010 07/12/2010 04/09/2010 04/09/2010 04/09/2010 04/09/2010 04/09/2010 04/09/2010 10/06/2009 09/13/2009 09/13/2009 02/26/2009 02/13/2009 11/20/2008 11/20/2008 11/20/2008 11/20/2008 10/31/2008 10/31/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008 10/28/2008	10/19/2010 MN/=. 10/18/2010 IREV 10/18/2010 DVER 10/18/2010 N/=. 10/18/2010 N/=. 10/18/2010 DOCK 10/12/2010 CNTA 10/08/2010 P574 10/07/2010 FWDX 10/05/2010 10/05/2010 DIST 10/05/2010 MCTFR 08/31/2010 MCTFR 08/31/2010 O7/12/2010 DIST 08/18/2010 O7/12/2010 DIST 07/14/2010 CTFR 08/18/2010 O7/12/2010 DIST 07/12/2010 O7/09/2010 A 07/12/2010 O7/09/2010 A 07/12/2010 TWDX 07/12/2010 O7/09/2010 A 07/12/2010 O7/09/2010 DIST 07/12/2010 DOCK 04/09/2010 DOCK 04/09/2010 DOCK 10/06/2009 DOCK 10/06/2009 DOCK 10/06/2009 PG-ISSUE 02/13/2009 TSSCOMP 12/09/2008 DIPE 11/20/2008 PGPC 11/20/2008 PGPC 11/20/2008 PGPC 11/20/2008 IDSC 10/28/2008 IDSC 10/28/2008 IDSC 10/28/2008 EIDS.	10/19/2010	10/19/2010	10/18/2010   1REV   Issue Revision Completed   10/18/2010   1REV   Issue Revision Completed   10/18/2010   10/18/2010   10/18/2010   10/18/2010   N/=.   Notice of Allowance Data Verification Completed   10/18/2010   10/18/2010   DOCK   Case Docketed to Examiner in GAU   10/18/2010   CRTA   Allowability Notice   10/07/2010   CRTA   Allowability Notice   10/07/2010   10/05/2010   PFWDX   Date Forwarded to Examiner   10/05/2010   10/05/2010   DIST   Terminal Disclaimer Filed   10/05/2010   A.NE   Amendment after Final Rejection   09/24/2010   PA   Change in Power of Attorney (May Include Associate POA)   Maj 1/2010   MCTR   Mail Final Rejection (PTOL - 326)   Final Rejection   08/18/2010   O7/12/2010   DIST   Terminal Disclaimer Filed   14/18/2010   PS75   Paralegal TD Not accepted   08/15/2010   O7/12/2010   DIST   Terminal Disclaimer Filed   14/18/2010   PS75   Paralegal TD Not accepted   O7/14/2010   O7/14/2010   CG02   Oath or Declaration Filed (Including Supplemental)   O7/12/2010   O7/09/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for Extension of Time - Granted   10/27/2010   A.T/G   Request for E

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Facebook/Fenwick Silicon Valley Center 801 California Street Mountain View CA 94041 MAILED
JUL 15 2011
OFFICE OF PETITIONS

In re Application of

James Wang et al.

Application No. 12/259,254 : DECISION ON PETITION

Filed: October 27, 2008

Attorney Docket No. 26295-14655

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed June 28, 2011, to revive the above-identified application.

## The petition is **GRANTED**.

The application became abandoned for failure to properly reply in a timely manner to the final Office action mailed, December 13, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on March 14, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of a RCE (Request for Continued Examination, with the required fee of \$810, (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay. Accordingly, the RCE is accepted as being unintentionally delayed.

The petition fee of \$1,620 and the RCE fee of \$810 will be charged to petitioner's deposit account.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272-4618.

This application is being referred to Technology Center AU 2452 for appropriate action by the Examiner in the normal course of business on the reply received June 28, 2011.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

# United States Patent and Trademark Office

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Venture Pacific Law, PC 5201 Great America Parkway, Suite 270 Santa Clara CA 95054

MAILED

NOV 17 2011

OFFICE OF PETITIONS

In re Application of

Yip et al.

Application No. 12/259265

Filing or 371(c) Date: 10/27/2008

Attorney Docket Number:

Amlogic-05

**ON PETITION** 

This is a decision on the Petition Under 37 CFR 1.137(b), filed October 20, 2011, to revive the above-identified application.

The petition is granted.

The above-identified application became abandoned for failure to timely and properly reply to the non-final Office action, mailed April 1, 2011. The Office action set a three (3) month period for reply from the mail date of the Office action. Extensions of time were available under 37 CFR 1.136(a). No complete and proper reply having been received, the application became abandoned on July 2, 2011.

The petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of an Amendment is filed with the present petition; (2) the petition fee; and (3) the required statement of unintentional delay. Accordingly, the reply is accepted as having been unintentionally delayed.

This application is being referred to Technology Center Art Unit 2164 for processing of the Amendment filed with the petition in due course.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MICHAEL A DESANCTIS
HAMILTON DESANCTIS & CHA LLP
FINANCIAL PLAZA AT UNION SQUARE
225 UNION BOULEVARD, SUITE 150
LAKEWOOD CO 80228

MAILED

JUL 13 2011

OFFICE OF PETITIONS

In re Application of Abraham R. Matthews Application No. 12/259,296

Application No. 12/259,296 Filed: July 6, 2006

Attorney Docket No. FORT-000110

DECISION ON PETITION

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed June 27, 2011, to revive the above-identified application.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed March 9, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on June 10, 2010.

The petition is hereby **GRANTED**.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to JoAnne Burke at (571) 272-4584.

This application is being referred to Technology Center AU 2443 for appropriate action by the Examiner in the normal course of business.

Joanne Burke Petitions Examiner Office of Petitions





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA VA 22314

MAILED

SEP 2/2 2010
OFFICE OF PETITIONS

In re Patent No. 7,704,960 Issue Date: April 27, 2010 Application No. 12/259,335

Filed: October 28, 2008

Attorney Docket No. 329848US0CONT

**DECISION ON PETITION** 

This is a decision on the Petition For Certificate Of Correction Under 37 CFR § 1.183, filed August 4, 2010, which is being treated as a Petition Under 37 CFR 3.81(b) to correct assignee's name. A completed Certificate of Correction Form was submitted with the petition.

The petition under 37 CFR §3.81(b) is **GRANTED**.

Petitioner requests that the present Petition was submitted to correct the assignee's name on the previously submitted PTOL-85B and such error was inadvertent. Accordingly, petitioner requests that a Certificate of Correction (PTO/SB/44) be issued to correct assignee's name to the Title Page of the Letters Patent.

37 CFR 3.81(b), effective June 25, 2004, reads:

After payment of the issue fee: Any request for issuance of an application in the name of the assignee submitted after the date of payment of the issue fee, and any request for a patent to be corrected to state the name of the assignee, must state that the assignment was submitted for recordation as set forth in § 3.11 before issuance of the patent, and must include a request for a certificate of correction under § 1.323 of this chapter (accompanied by the fee set forth in § 1.20(a) and the processing fee set forth in § 1.17(i) of this chapter.

The requisite \$100.00 fee (Fee Code 1811), as set forth under 37 CFR 1.20(a), and the requisite \$130.00 processing fee (Fee Code 1464), as set forth under 37 CFR 1.17(i), have been submitted. Further, Office assignment records are consistent with the requested correction. Accordingly, since the Petition complies with the provisions of 37 CFR 3.81(b), it is appropriate for the Office to issue a Certificate of Correction in accordance with the content of the Form submitted with Petition.

Inquiries related this communication should be directed to the undersigned at (571)272-3213.

Any questions concerning the issuance of a Certificate of Correction should be directed to the Certificates of Correction Branch at (571) 272-4200.

This matter is being referred to the Certificates of Correction Branch for processing of a Certificate of Correction in U.S. Patent No. 7,704,960.

Cheryl Helion Bayloe Cheryl Gibson-Baylor

Petitions Examiner
Office of Petitions



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/259,370	10/28/2008	Hidekazu WATANABE	MNL-2018-2156	1864	
23117 NIXON & VA	7590 11/15/2011 NDERHYE, PC		EXAM	INER	
901 NORTH G	LEBE ROAD, 11TH FLOO	R	YABUT, DANIEL D		
ARLINGTON,	VA 22203		ART UNIT	PAPER NUMBER	
			3656		
			MAIL DATE	DELIVERY MODE	
		•	11/15/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR **ARLINGTON VA 22203** 

In re application of Watanabe et al

Application No. 12/259,370 Filed: October 28, 2008

For: ACCELERATOR PEDAL MODULE

**DECISION ON REQUEST TO** 

PARTICIPATE IN PATENT

PROSECUTION HIGHWAY

PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(a)

This is a decision on the renewed request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed July 29, 2011 to make the above-identified application special.

The request and petition are **DISMISSED** as **MOOT**.

A grantable request to participate in the PPH pilot program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more applications filed in the JPO;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the JPO. application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of the latest Office action from each of the JPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate;
- (6) Applicant must submit an IDS listing the documents cited by the JPO examiner in the JPO Office action along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH pilot is not grantable as per item (4) above in that Examination of the U.S. application has already begun. A Non-Final Rejection was mailed on August 13, 2011.

No time period for reply to this decision is available since an Office action on the merits has already been mailed.

Any inquiry regarding this decision should be directed to Mikado Buiz, Quality Assurance Specialist, at (571) 272-6578.

/ Mikado Buiz /

Mikado Buiz, Quality Assurance Specialist Technology Center 3600

MB/MB: 11/15/11



Commissioner for Patents United States Patent and Trademark Office P. O. Box 1450 Alexandria, VA 22313-1450

DR. BANGER SHIA PATENT OFFICE OF BANG SHIA 102 LINDENCREST CT SUGAR LAND, TX 77479-5201

MAILED: SEP 2 9 2011 OFFICE OF PETITIONS

In re Application of

J.J. Chong

Application No. 12/259,388

Filed: October 28, 2008

Attorney Docket No.: SW-971555 (S-157):

**ON PETITION** 

This is a decision on the petition, filed September 23, 2011, to revive the above-identified application under the provisions of 37 CFR 1.137(b).

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the Restriction Requirement mailed April 9, 2010, which set a shortened statutory period for reply of one (1) month or thirty (30) days (whichever is later). No extension of time under the provisions of 37 CFR 1.136(a) was obtained. Accordingly, the application became abandoned on May 10, 2010. A Notice of Abandonment was subsequently mailed on October 19, 2010. On September 23, 2011, the present petition was filed.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the response in the form of an election of the invention to be examined; (2) the petition fee of \$810; and (3) an adequate statement of unintentional delay.

The application is being referred to Technology Center AU 3636 for consideration of the response filed September 23, 2011.

Telephone inquiries concerning this decision may be directed to the undersigned at (571) 272-3204. All other inquiries regarding this application should be directed to the Technology Center.

/SDB/

Sherry D. Brinkley **Petitions Examiner** Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: January 18, 2012

In re Application of:

Shankar Somasundaram

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12259409

Filed: 28-Oct-2008

Attorney Docket No:

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed January 18, 2012, to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU  $^{2617}$  for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition autom	U.S. Patent and Tra omatically granted by EFS-Web Department					
Electronic Petition Request	PETITION TO WITHDRAW AN APPLICATION FROM ISSUE AFTER PAYMENT OF THE ISSUE FEE UNDER 37 CFR 1.313(c)					
Application Number	12259409					
Filing Date	28-Oct-2008					
First Named Inventor	Shankar Somasundaram					
Art Unit	2617					
Examiner Name	AJIT PATEL					
Attorney Docket Number						
Title	SYSTEM INFORMATION UPDATES IN LTE					
withdraw an application from issue, a	om issue for further action upon petition by applicant must file a petition under this secuns why withdrawal of the application from	tion including the fee set forth in § 1.17(h) and a				
APPLICANT HEREBY PETITIONS TO W	ITHDRAW THIS APPLICATION FROM ISSUE (	JNDER 37 CFR 1.313(c).				
are unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for con	nims, which must be accompanied by an ur such claim or claims, and an explanation as nitinued examination in compliance with § 1	nequivocal statement that one or more claims s to how the amendment causes such claim or I.114 (for a utility or plant application only); or e in favor of a continuing application, but not a				
Petition Fee						
Applicant claims SMALL EN	TITY status. See 37 CFR 1.27.					
Applicant is no longer claim	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).					
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.					
Applicant(s) status remains a	s other than SMALL ENTITY					
Reason for withdrawal from issue						

One or more claims are unpate	ntable						
Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)							
Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).							
RCE request, submission, and fee.							
I certify, in accordance with 37 CFR 1.4(d)(4) that:  The RCE request ,submission, and fee have already been filed in the above-identified application on							
Are attached.	Are attached.   □						
THIS PORTION MUST BE COMPLETE	ED BY THE SIGNATORY OR SIGNATORIES						
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:						
<ul> <li>An attorney or agent registered in this application.</li> </ul>	<ul> <li>An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.</li> </ul>						
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.						
A sole inventor							
A joint inventor; I certify that I ar	m authorized to sign this submission on behalf of all of the inventors						
A joint inventor; all of whom are signing this e-petition							
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71							
Signature	/Michael A. Koptiw/						
Name	Michael A. Koptiw						
Registration Number	57900						



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/259,497	10/28/2008	Joseph Chappell	33322.00208.US12/208B	1096
13565 MoV onno I ono	7590 10/31/2011 g & Aldridge LLP		EXAM	INER
4435 Eastgate l			KALLIS, F	RUSSELL
Suite 400	02121		ART UNIT	PAPER NUMBER
San Diego, CA	92121		1638	
			MAIL DATE	DELIVERY MODE
			10/31/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

McKenna Long & Aldridge LLP 4435 Eastgate Mall Suite 400 San Diego CA 92121

In re Application of: Chappell et al.

Serial No.: 12/259,497 Filed: October 28, 2008 Attorney Docket No:

33322.00208.US12/208B

: PETITION DECISION

This is in response to the petition filed on July 19, 2011 under 3 7 CFR 1.181 to correct the misclassification of submitted Information Disclosure Statements. Specifically, applicants request correction of the classification in PAIR of the Information Disclosure Statements submitted on January 21, 2009 and February 28, 2011 in connection with the above-referenced application and consideration by the Examiner of the documents and information contained therein.

Applicants argue the "Information Disclosure Statements were submitted in connection with the above-captioned application on January 21, 2009 and February 28, 2011. Each Information Disclosure Statement was prepared in accordance with 37 C.F.R 1.97 and 1.98. As required under 37 C.F.R 1.98, each Information Disclosure Statement contained 1) a list of all patents, publications, applications, or other information submitted for consideration by the Office, including a column that provides a space next to each document to be considered, for the examiner's initials and a heading that clearly indicates that the list is an Information Disclosure Statement; and 2) legible copies of all items listed. The items either were in English or a translation was provided. A copy of the misclassified Information Disclosure Statements filed on January 21, 2009 and February 28, 2011 is attached.

The submitted Information Disclosure Statement included a tabular Form PTO-1449, which was classified as an "IDS," and a written disclosure of information. In each instance, the written disclosure of information was misclassified in PAIR as a "Transmittal Letter" (January 21, 2009, "Transmittal Letter" of 2 pages and February 28, 2011, "Transmittal Letter" of 2 pages). Consequently, the information contained therein may not be considered or reviewed by the Examiner."

Applicants' argument has been accorded careful consideration and is persuasive. PAIR will be corrected to reflect the misclassification of the submitted Information Disclosure Statements of January 21, 2009 and February 28, 2011.

#### **DECISION**

The petition is **GRANTED**.

The examiner is instructed to consider the IDS of January 21, 2009 and February 28, 2011 which was misclassified in PAIR as a "Transmittal Letter" (January 21, 2009, "Transmittal Letter" of 2 pages and February 28, 2011, "Transmittal Letter" of 2 pages).

Should there be any questions about this decision please contact Marianne C. Seidel, by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0584 or by facsimile sent to the general Office facsimile number, 571-273-8300.

/MC Seidel/ Marianne C. Seidel, Quality Assurance Specialist Technology Center 1600



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

McKenna Long & Aldridge LLP 4435 Eastgate Mall Suite 400 San Diego CA 92121

MAILED
MAR 1 2 2012
OFFICE OF PETITIONS

In re Application of

Chappell et al.

Application No. 12/259,497

Filed: October 28, 2008

Attorney Docket No. 33322.00208

.US12/208B

Title: NOVEL SESQUITERPENE SYNTHASE GENE AND PROTEIN DECISION ON APPLICATION

PATENT TERM ADJUSTMENT

FOR

This is a decision on the "APPLICATION FOR PATENT TERM ADJUSTMENT UNDER 37 C.F.R. § 1.705(b)," filed February 14, 2012.

Applicants request that the patent term adjustment indicated on the Notice of Allowance and Issue Fee Due be corrected from two (2) days to three hundred and sixty-five (365) days.

The request for reconsideration of the patent term adjustment is **GRANTED**.

The Office has updated the PAIR screen to reflect that the correct Patent Term Adjustment (PTA) determination at the time of the mailing of the Notice of Allowance is three hundred and sixty-five (365) days. A copy of the updated PAIR screen, showing the correct determination, is enclosed.

Applicants state that any patent issuing from the application is not subject to a terminal disclaimer. 1

The Office agrees the reduction of 363 days pursuant to 37 C.F.R. § 1.704(b) is not warranted.

<sup>1</sup> Petition, page 4.

In view thereof, the correct patent term adjustment at the time of the mailing of the notice of allowance is three hundred and sixty-five (365) days (365 days of Office delay minus zero days of Applicant delay).

Submission of the \$200.00 fee set forth in 37 CFR 1.18(e) is acknowledged. No additional fee is required.

Applicants are reminded that any delays by the Office pursuant to 37 CFR 1.702(a)(4) and 1.702(b) and any applicant delays under 37 CFR 1.704(c)(10) will be calculated at the time of the issuance of the patent and applicants will be notified of the revised patent term adjustment to be indicated on the patent in the Issue Notification letter that is mailed to applicants approximately three weeks prior to issuance.

The Office of Data Management has been advised of this decision. This matter is being referred to the Office of Data Management for issuance of the patent.

Telephone inquiries regarding this matter should be directed to Senior Attorney Paul Shanoski at (571) 272-3225.

Paul Shanoski

Senior Attorney

Office of Petitions

Enclosure: Copy of updated PAIR screen



# Adjustments

PTA/PTE Information Patent <u>Term Adjustment</u>

Patent Term <u>E</u>xtension

Application Number\*: 12259497

Search Explanation of PTA Calculation Explanation of PTE Calculation

## PTA Calculations for Application: 12259497

′ _		~~
_	Application Filing Date 10/28/2008	OverLapping Days Between (A and B) or (A and C)
	Issue Date of Patent	Non-Overlapping USPTO Delays: 365
	A Delays 365	PTO Manual Adjustment 363
_	B Delays 0	Applicant Delay (APPL) 363
L	C Delays 0	Total PTA (days) 365

#### \* - Sorted Column

## File Contents History

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Action   Martin   M	, <del>.</del>					
13   13   13   13   13   13   13   13		Recorded		<u>Action</u>		Action
12   13/02/2011   OAR Office Action Review   0   0   13/02/2011   OAR Office Action Review   0   0   13/02/2011   OAR Office Action Review   0   0   0   0   13/02/2011   OAR Office Action Review   0   0   0   0   13/02/2011   OAR Office Action Review   0   0   0   0   0   0   0   0   0	1			<del>-</del>	<u>363</u>	0
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10/31/2011	1					-
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17/19/2011				Information Disclosure Statement considered		0
17/19/2011   RCAP   Reference appture on IDS   0   0   0   0   0   0   0   0   0						-
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9   07/12/2011   C.AD   Correspondence Address Change   0   0   0   0   0   0   0   0   0	1	07/19/2011	WIDS			o
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10	1	02/28/2011	IDSC	Information Disclosure Statement considered		o
10	í					0
39   02/28/2011   XT/G   Request for Extension of Time - Granted   0   38   02/28/2011   RCAP   Reference capture on IDS   0   37   02/28/2011   EIDS.   Electronic Information Disclosure Statement   0   36   02/28/2011   WIDS   Information Disclosure Statement   (IDS) Filed   0   0   37   02/28/2011   PA   Change in Power of Attorney (May Include Associate POA)   0   0   0   0   0   0   0   0   0				•		_
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17			-			-
35	37	02/28/2011	EIDS.			_
34	1		WIDS	Information Disclosure Statement (IDS) Filed		o
12/28/2010   12/28/2009 MCTRS   Mail Restriction Requirement   365   0.5	1					-
12/28/2010   CTRS   Restriction/Election Requirement   0   0   0   0   0   0   0   0   0						-
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28	1	06/11/2010	FLRCPT.C	Filing Receipt - Corrected		o
27	1			•		-
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22   03/31/2010   CRFE   CRF Is Good Technically / Entered into Database   0   2/9/2010   02/21/2009 ADDFLFEE   Additional Application Filing Fees   363   7   7   7   7   7   7   7   7   7	1.			•		- 1
25   02/19/2010   02/21/2009 ADDFLFEE   Additional Application Filing Fees   363   7	1			<del>-</del>		-
24   02/19/2010   CRFL   CRF Disk Has Been Received by Preexam / Group / PCT   0	25			Additional Application Filing Fees		
12/23/2009   CPAP   Corrected Paper   O	24	02/19/2010	CRFL			0
12/23/2009   CPAP   Corrected Paper   0	23	02/19/2010	CORRDRW			o
12/23/2009	21	12/23/2009	CPAP			o
18 01/28/2009 FIFEE Payment of additional filing fee/Preexam 0 15 01/28/2009 SEQLIST computer listings, that describe in 0 14 01/28/2009 CRFL CRF Disk Has Been Received by Preexam / Group / PCT 0 13 01/28/2009 OATHDECL under 35 USC 115, Oath of the Applicant has submitted new drawings to correct Corrected Papers problems Applicants have given acceptable permission for participating 0 10 01/28/2009 APPERMS Applicants have given acceptable permission for participating 0				Mail Pre-Exam Notice		o
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67 01/21/2009 IDSC Information Disclosure Statement considered 0	10	01/28/2009	APPERMS	Applicants have given acceptable permission for participating foreign		•
	67	01/21/2009	IDSC	<del>-</del>		o

54	01/21/2009	WIDS	Information Disclosure Statement (IDS) Filed	0
44	01/21/2009	IDSC	Information Disclosure Statement considered	o
8	01/21/2009	WIDS	Information Disclosure Statement (IDS) Filed	o
17	11/21/2008	W/OA	Pre-Exam Office Action Withdrawn	0
7	11/21/2008	INCD	Notice MailedApplication IncompleteFiling Date Assigned	o
4	11/03/2008	L128	Cleared by L&R (LARS)	0
3	10/31/2008	L198	Referred to Level 2 (LARS) by OIPE CSR	o
2	10/28/2008	SCAN	IFW Scan & PACR Auto Security Review	0
1	10/28/2008	IEXX	Initial Exam Team nn	0
0.5	10/28/2008	EFILE	Filing date	o

Export to: Excel



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: September 30,2011

In re Application of : DECISION ON REQUEST TO WITHDRAW AS

Steve Herbst ATTORNEY/AGENTOF RECORD

Application No: 12259500

Filed: 28-Oct-2008 Attorney Docket No: H0003-1001

This is an electronic decision on the Request to Withdraw as attorney or agent of record under 37 CFR § 1.36(b), filed September 30,2011

The request is **APPROVED.** 

The request was signed by Robert Plotkin (registration no. 43861) on behalf of all attorneys/agents associated with Customer Number 24208 . All attorneys/agents associated with Cusotmer Number 24208 have been withdrawn.

Since there are no remaining attorneys of record, all future communications from the Office will be directed to the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71, with correspondence address:

Name Steve Herbst

Name2

Address 1 605 School Street

Address 2

City Carlisle
State MA
Postal Code 01741
Country US

As a reminder, requester is required to inform the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71 of the electronically processed petition.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition	automatically granted by EFS-Web	PTO/SB/83 U.S. Patent and Trademark Office Department of Commerce				
Electronic Petition Request	REQUEST FOR WITHDRAWAL AS ATTORNE CORRESPONDENCE ADDRESS	Y OR AGENT AND CHANGE OF				
Application Number	12259500	12259500				
Filing Date	28-Oct-2008	28-Oct-2008				
First Named Inventor	Steve Herbst					
Art Unit	2176					
Examiner Name	ARIEL MERCADO	ARIEL MERCADO				
Attorney Docket Number	H0003-1001					
Title	Software Tool for Creating Outlines and Mir Automatically	nd Maps that Generates Subtopics				
	orney or agent for the above identified patent associated with Customer Number:	application and 24208				
The reason(s) for this request are	those described in 37 CFR:					
10.40(c)(5)						
Certifications						
I/We have given reasonable intend to withdraw from em	notice to the client, prior to the expiration of the r ployment	response period, that the practitioner(s)				
I/We have delivered to the control to which the client is entitled	lient or a duly authorized representative of the cli	ent all papers and property (including funds)				
✓ I/We have notified the clien	t of any responses that may be due and the time fi	rame within which the client must respond				
Change the correspondence addre coroperly made itself of record purs	ess and direct all future correspondence to the firs suant to 37 CFR 3.71:	t named inventor or assignee that has				
Name	Steve Herbst					
Address	605 School Street					
City	Carlisle					
State	MA					
Postal Code	01741					
Country	US					

Signature	/Robert Plotkin, Reg#43861/
Name	Robert Plotkin
Registration Number	43861



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

PETERS VERNY, L.L.P. 425 SHERMAN AVENUE SUITE 230 PALO ALTO CA 94306 MAILED

DEC 0 1 2011

OFFICE OF PETITIONS

In re Application of

Lincoln Evans-Beauchamp Application No. 12/259,592

Filed: October 28, 2008

Attorney Docket No. 5449.02 (RDH)

DECISION ON PETITION TO WITHDRAW FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36(b), filed November 17, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40.

The request was signed by Steven M. Colby on behalf of all attorneys of record who are associated with Customer Number 23308.

All attorneys/agents associated with Customer Number 23308 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and all future correspondence will be directed to the first named inventor Lincoln Evans-Beauchamp at the address indicated below.

There is an outstanding Office action mailed September 20, 2011, that requires a reply from the applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-4584.

/JoAnne Burke/ JoAnne Burke Petitions Examiner Office of Petitions

cc: Lincoln Evans-Beauchamp

116 Colorado Avenue Palo Alto, CA 94301



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.grv

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/259,592

10/28/2008

Lincoln Evans-Beauchamp

5449.02 (RDH) **CONFIRMATION NO. 1257** 

POWER OF ATTORNEY NOTICE

23308 PETERS VERNY , L.L.P. 425 SHERMAN AVENUE SUITE 230 PALO ALTO, CA 94306



Date Mailed: 11/30/2011

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/17/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

	/jit	ourke/	•			
	<u>-</u>					
Office	of Data Man	agement.	Application Assistance	e Unit (571) 272-4000.	or (571) 272-4200.	or 1-888-786-010





Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

NEC LABORATORIES AMERICA, INC. 4 INDEPENDENCE WAY Suite 200 PRINCETON NJ 08540 MAILED

JUL 14:2011

OFFICE OF PETITIONS

In re Application of

Guofei Jiang et al.

Application No. 12/259,623

Filed: October 28, 2008

Attorney Docket No. 08017 (449-54)

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed June 28, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to properly reply in a timely manner to the final Office action mailed, October 6, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on January 7, 2011. A Notice of Abandonment was mailed on April 15, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay. Accordingly, the amendment is accepted as being unintentionally delayed.

An extension of time under 37 CFR 1.136 must be filed prior to the expiration of the maximum extendable period for reply. See In re Application of S., 8 USPQ2d 1630, 1631 (Comm'r Pats. 1988). Since the \$1,110 extension of time fee submitted with the petition on June 28, 2011 was subsequent to the maximum extendable period for reply, this fee is unnecessary and will be credited to petitioner's deposit account.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272-4618.

This application is being referred to Technology Center AU 2467 for appropriate action by the Examiner in the normal course of business on the reply received June 28, 2011.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: December 12, 2011

In re Application of : DECISION ON PETITION

UNDER CFR 1.137(b)

Guofei Jiang

Application No: 12259623
Filed: 28-Oct-2008

Attorney Docket No: 08017 (449-54)

This is an electronic decision on the petition under 37 CFR 1.137(b), filed December 12, 2011, to revive the above-identified application.

#### The petition is **GRANTED.**

The above-identified application became abandoned for failure to reply in a timely manner to the Notice of Allowance and Issue Fee(s) Due. The date of abandonment is the day after the expiration date of the period set for reply in the Notice.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of payment of the Issue Fee and the Publication Fee (if necessary); (2) the petition fee as set forth in 37 CFR 1.17 (m); (3) the drawing correction and/or other deficiencies (if necessary); and (4) the required statement of unintentional delay have been received. Accordingly, the Issue Fee payment is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Office of Data Management.

Office of Petitions

		DTO ICD ICA				
Doc Code: PET.AUTO Document Description: Petition auton	natically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce				
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)					
Application Number	12259623					
Filing Date	28-Oct-2008					
First Named Inventor	Guofei Jiang					
Art Unit	2467					
Examiner Name	PRENELL JONES					
Attorney Docket Number	08017 (449-54)					
Title	RANKING THE IMPORTANCE OF ALERTS FOR PROBLEM DETERMINATION IN LARGE SYSTEMS					
United States Patent and Trademark reply in the office notice or action plus APPLICANT HEREBY PETITIONS FOR FINOTE: A grantable petition requires to the state of the petition fee;  (2) Reply and/or issue fee;	us any extensions of time actually obtained. REVIVAL OF THIS APPLICATION he following items:	after the expiration date of the period set for				
all design applications;	Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995; and for all design applications; Statement that the entire delay was unintentional.					
Petition fee The petition fee under 37CFR 1.17(m)	·					
•	Applicant claims SMALL ENTITY status. See 37 CFR 1.27.					
Applicant is no longer claim	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).					
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.					
Applicant(s) status remains a	Applicant(s) status remains as other than SMALL ENTITY.					
Issue Fee and Publication Fee :						
Issue Fee and Publication Fee must ac	company ePetition.					
Issue Fee Transmittal is attached						
Drawing corrections and/ or other deficiencies.						

lacksquare	Drawing corrections and/ or other deficiencies are not required					
0	I certify, in accordance with 37 Con	ertify, in accordance with 37 CFR 1.4.(D)(4), that drawing corrections and/or other deficiencies have previously been filed				
0	Drawing corrections and/ or other deficiencies are attached.					
	STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.					
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES						
lc	I certify, in accordance with 37 CFR 1.4(d)(4) that I am:					
•	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.					
	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.					
0	A sole inventor					
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors.					
0	A joint inventor; all of whom are signing this e-petition.					
0	The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.					
Signature		/Joseph Kolodka/				
Name		Joseph Kolodka				
Registration Number		39731				



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/259,629	10/28/2008	Roman Czernik	H-US-00636 (203-5061)	1317
50855 Tyco Healthca	7590 01/21/2011 are Group LP		EXAMINER	
d/b/a Covidien	- I		HEITBRINK, JILL LYNNE	
555 Long Wharf Drive Mail Stop 8-N1, Legal Department			ART UNIT	PAPER NUMBER
New Haven, C			1743	
			MAIL DATE	DELIVERY MODE
			01/21/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

1/21/2011

wk

Mailed:

In re application of

Czernik et al.

Serial No. 12/259,629

Filed: October 28, 2008

For: Mold For Actuation Sled

DECISION ON

**PETITION** 

This is a response to Applicants Petition filed on October 25, 2010 to review the Advisory Action of December 24, 2009 that refused entry of the Applicants' amendment filed on March 23, 2010 in response to the Final Office Action mailed on October 5, 2009. Applicants further petition the Director to review the Examiner's objection of the drawings under 37 C.F.R. 1.83(a).

A review of the record indicates different dates for the various actions that Applicants have alluded to in their petition. A Final Rejection was mailed on April 23, 2010 in response to an amendment Applicants filed on March 23, 2010. An amendment after final was filed on June 23, 2010. The Examiner issued an Advisory on June 28, 2010. A Notice of Non-Compliant amendment was also issued on June 28, 2010 noting that the amendment to the drawings were not properly identified in the top margin as "replacement sheet", "new sheet" or "annotated sheet" as required by 37 CFR 1.121(d). Applicants filed a Notice of Appeal on July 23, 2010.

In the Final Rejection of April 23, 2010, the Examiner objected to the March 23, 2010 amendment to the drawings because it introduced new matter, made a rejection to claims 1-20 under 35 USC 112, first paragraph and a rejection to claims 1-20 under 35 USC 103(a). In response to the objection, Applicants file an after final amendment on June 23, 2010. The Amendment to the drawings was found non-compliant and the amendment made on March 23, 2010 remains of record.

The Examiner in the First Office action objected to the drawings under 37 CFR 1.83(a) stating that the apparatus for injection molding must be shown or the features canceled from the claims. The Examiner determined in the Final Rejection that the amendment filed on March 23, 2010 along with the addition of figure 8 contained new matter.

Figure 8 was submitted in response to the Examiner's objection that the initial drawings did not clearly show an injection molding apparatus with a first and second half that open and close to allow access to the molded article. Applicants submitted Fig 8 which showed a mold part 12 comprising a top portion 19 and a base 18 which can be separated to allow access to a finished molding product, an actuation sled 29. The Examiner's position was that Fig. 1 was a drawing of a product sled since the shape (mold part 12 of Fig.1) corresponds to that of element 29 in Fig. 8.

Serial Number: 12/259,629

Applicants assert that Fig. 1 is an "injection molding apparatus" (page 6, line 3; page 4, lines 17-18 of the spec.) and that despite the substantial similarity between the injection molding apparatus and the product sled, Fig. 1, is in fact an illustration of the injection molding apparatus, not the product sled. Applicants further point out that molds used to make actuation sleds substantially mirror the shape of the sleds. Applicants further assert that the disclosure introduces each component of the injection apparatus with reference to FIG. 1, by specifically stating "in the embodiment shown in FIG. 1, mold part 12 has a plurality of shaped portions 15" (page 6, line 11 of the spec.). Since Figs. 2-7 are different views of the apparatus illustrated in Fig. 1 (page 4, lines 19-26 of the spec.), these figures also illustrate molding apparatus.

#### **DECISION**

The Petition is **GRANTED**.

The original drawings (figures 1-7) are in compliance with the requirement under 37 CFR 1.83(a). Applicant's after final request that the withdrawal of figure 8 and removal of the previous amendments do not raise new issues as to the claims and disclosure and should be entered. The Notice of Non-Compliance as to Figure 8 should be withdrawn. Figure 8 was submitted with the notation "new drawing sheet" and is found to be in compliance.

/W. GARY JONES/
W. Gary Jones
Director, Technology Center 1700
Chemical and Materials Engineering

Dana A. Brussel Tyco Healthcare Group LP d/b/a Covidien 555 Long Wharf Drive Mail Stop 8-N1, Legal Department New Haven CT 06511



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

STANDLEY LAW GROUP LLP 6300 Riverside Drive Dublin OH 43017

MAILED

AUG 1 6 2010

OFFICE OF PETITIONS

In re Application of

Valery Pavlovich Nedoshivin, et al.

Application No. 12/259,654

Filed: October 28, 2008

Attorney Docket No. UNI 1825-003H

ON PETITION

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed July 15, 2010, to revive the above-identified application.

#### The petition is **GRANTED**.

The application became abandoned for failure to timely file a reply within the meaning of 37 CFR 1.113 to the final Office action of December 30, 2009. The proposed reply required for consideration of a petition to revive must be a Notice of Appeal (and appeal fee required by 37 CFR 41.20(b)(2), an amendment that *prima facie* places the application in condition for allowance, a Request for Continued Examination and submission (37 CFR 1.114), or the filing of a continuing application under 37 CFR 1.53(b). See MPEP 711.03(c)(III)(A)(2). A three (3) month extensions of time pursuant to the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the date of abandonment of this application is July 1, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of a Request for Continued Examination (RCE) and fee of \$810, and the submission required by 37 CFR 1.114; (2) the petition fee of \$1,620; and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-2991.

This application is being referred to Technology Center AU 2831 for processing of the RCE and for appropriate action by the Examiner in the normal course of business on the amendment submitted in accordance with 37 CFR 1.114.

Terri Johnson

Petitions Examiner Office of Petitions

		DTO (CD (c.)	
Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce	
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)		
Application Number	12259670		
Filing Date	28-Oct-2008		
First Named Inventor	XiaoDong Wu		
Art Unit	2612		
Examiner Name	JULIE LIEU		
Attorney Docket Number	H0017950		
Title	MICROWAVE MOTION DETECTORS UTILIZING MULTI-FREQUENCY RANGING AND TARGET ANGLE DETECTION		
United States Patent and Trademark	us any extensions of time actually obtained.	d proper reply to a notice or action by the after the expiration date of the period set for	
<ul><li>(1) Petition fee;</li><li>(2) Reply and/or issue fee;</li></ul>	claimer fee – required for all utility and plant	applications filed before June 8, 1995; and for	
Petition fee The petition fee under 37CFR 1.17(m)	is attached.		
Applicant claims SMALL ENTITY status. See 37 CFR 1.27.			
Applicant is no longer claim	ing SMALL ENTITY status. See 37 CFR 1.27(g)	(2).	
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.		
Applicant(s) status remains as other than SMALL ENTITY.			
Issue Fee and Publication Fee:			
Issue Fee and Publication Fee must ac	company ePetition.		
Issue Fee Transmittal is attached	d		
Drawing corrections and/or other d	eficiencies.		

Drawing corrections and/ or	) Drawing corrections and/ or other deficiencies are not required			
I certify, in accordance with 3 on	I certify, in accordance with 37 CFR 1.4.(D)(4), that drawing corrections and/ or other deficiencies have previously been filed on			
Drawing corrections and/ or	Drawing corrections and/ or other deficiencies are attached.			
	STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.			
THIS PORTION MUST BE COMPL	ETED BY THE SIGNATORY OR SIGNATORIES			
I certify, in accordance with 37 (	CFR 1.4(d)(4) that l am:			
An attorney or agent register in this application.	ered to practice before the Patent and Trademark Office who has been given power of attorney			
An attorney or agent register	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.			
A sole inventor				
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors.				
A joint inventor; all of whom are signing this e-petition.				
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.				
Signature	/arbarkume/			
Name	Anthony R Barkume			
Registration Number 33831				



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: March 13, 2012

In re Application of : DECISION ON PETITION
UNDER CFR 1.137(b)

XiaoDong Wu

Application No : 12259670

Filed : 28-Oct-2008

H0017950

This is an electronic decision on the petition under 37 CFR 1.137(b), filed March 13, 2012 , to revive the above-identified application.

The petition is **GRANTED.** 

Attorney Docket No:

The above-identified application became abandoned for failure to reply in a timely manner to the Notice of Allowance and Issue Fee(s) Due. The date of abandonment is the day after the expiration date of the period set for reply in the Notice.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of payment of the Issue Fee and the Publication Fee (if necessary); (2) the petition fee as set forth in 37 CFR 1.17 (m); (3) the drawing correction and/or other deficiencies (if necessary); and (4) the required statement of unintentional delay have been received. Accordingly, the Issue Fee payment is accepted as having been unintentionally delayed.

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that such an inquiry has not been made, petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, petitioner must notify the Office.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Office of Data Management.

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

LATHROP & GAGE LLP 2345 GRAND BOULEVARD SUITE 2400 KANSAS CITY MO 64108

MAILED

JAN 2 1 2011

OFFICE OF PETITIONS

Applicant: Basimah Khulusi Appl. No.: 12/259,736

Filing Date: October 28, 2008

Title: METHOD AND SYSTEMS FOR PRODUCING FACES OF

N-DIMENSIONAL FORMS Attorney Docket: 481454

Pub. No.: US 2010/0064501 A9 Pub. Date: March 18, 2010 Pub. No.: US 2009/0049677 A1 Pub. Date: February 26, 2009

This is a decision on the request for a corrected patent application publication under 37 CFR 1.221(b), received on May 17, 2010, for the above-identified application.

The request is DISMISSED.

Applicant requests that the application be republished because the patent application publication contains material errors in the specification and claims.

37 CFR 1.221 (b) is applicable "only when the Office makes a material mistake which is apparent from Office records.... Any request for a corrected publication or revised patent application publication other than provided as provided in paragraph (a) of this section must be filed within two months from the date of the patent application publication. This period is not extendable." A material mistake must affect the public's ability to appreciate the technical disclosure of the patent application publication, to determine the scope of the patent application publication, or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent. <sup>1</sup>

The errors pointed out by applicant by in the specification and claims in publication US 2010/0064501 A9; also occur in publication 2009/0049677 A1. Since the errors occurred in the original publication, it is too late to request republication for those errors.

<sup>&</sup>lt;sup>1</sup>Changes to Implement Eighteen-Month Publication of Patent Applications, 65 FR 57023, 57038 (Sept. 20, 2000), 1239, Off. Gaz. Pat. Office Notices 63, 75 (Oct. 10, 2000) (final rule).

Application No.: 12/259,736 Page 2

The applicant is advised that a "request for republication of an application previously published" may be filed under 37 CFR 1.221 (a). Such a request for republication "must include a copy of the application compliance with the Office's electronic filing system requirements and be accompanied by the publication fee set forth in § 1.18 (d) and the processing fee set forth in § 1.17 (i)." If the request for republication does not comply with the electronic filing system requirements, the republication will not take place and the publication fee set forth in § 1.18 (d) will be refunded. The processing fee will be retained.

A Quick Start Guide for filing a request for a Pre-Grant Publication, such as a request for republication, may be found on the link below:

http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp

http://www.uspto.gov/ebc/portal/efs/pgpub\_quickstart.pdf

Any request for republication under 37 CFR 1.221(a), must be submitted via the EFS system, as a "Pre-Grant Publication".

Inquiries relating to this matter may be directed to Mark Polutta at (571) 272-7709.

Mark Polutta

Senior Legal Advisor

Office of Patent Legal Administration

Office of the Deputy Commissioner

for Patent Examination Policy





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FOLEY HOAG, LLP PATENT GROUP, WORLD TRADE CENTER WEST 155 SEAPORT BLVD BOSTON MA 02110

MAILED
MAY 0 9 2011
OFFICE OF PETITIONS

In re Application of

CARROLL, Michael C. et al.

Application No. 12/259,767

Filed: October 28, 2008

Attorney Docket No. CRA-002.02

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 29, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request was signed by Beth E. Arnold on behalf of all attorneys of record who are associated with customer No. 25181. All attorneys/agents associated have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to the address indicated below.

There is an outstanding Office action mailed March 10, 2011 that requires a reply from the applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-4231.

Michelle R. Eason Paralegal Specialist Office of Petitions

IMMUNE DISEASE INSTITUTE, INC. 3 BLACKFAN CIRCLE BOSTON, MA 02115 cc:



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

J. GORDON THOMSON P.O. BOX 8865 VICTORIA BC V8V 3Z1 CA CANADA

**MAILED** 

DEC 0 6 2010

OFFICE OF PETITIONS

In re Application of Steve Carkner et al. Application No. 12/259,781

**ON PETITION** 

Filed: October 28, 2008

Attorney Docket Number: SC-03-RPA

This is a decision on the petition, filed November 17, 2010 under 37 CFR 1.137(b)<sup>1</sup>, to revive the above identified application.

The petition is **GRANTED**.

This application became abandoned November 2, 2010 for failure to pay the issue fee in response to the Notice of Allowance mailed on July 29, 2010. Accordingly, the Notice of Abandonment was mailed on November 12, 2010.

The issue fee in the amount of \$755.00, publication fee in the amount of \$300 and the petition fee in the amount of \$810 have been charged to the credit card provided.

All other requirements of 37 CFR 1.137(b) having now been met, this matter is being referred to the Publishing Division to be processed into a patent.

Telephone inquiries concerning this matter may be directed to the undersigned Petitions

Attorney at (571) 272-3212.

Patricia Faison-Ball Senior Petitions Attorney

Office of Petitions

<sup>&</sup>lt;sup>1</sup>Effective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) <u>must</u> be accompanied by:

<sup>(1)</sup> the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof.

<sup>(2)</sup> the petition fee as set forth in 37 CFR 1.17(m);

<sup>(3)</sup> a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Commissioner may required additional information where there is a question whether the delay was unintentional; and

Doc Code: PET.GREEN

**Document Description: Petition for Green Tech Pilot** 

PTO/SB/420 (12-09)

Approved for use through 05/31/2010. OMB 0651-0062
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION TO MAKE SPECIAL UNDER THE GREEN TECHNOLOGY PILOT PROGRAM			
Attorney Docket Number: 70257.95 (M-17270US) Application Number 12/259,787 Filing date: 10/28/2008			
First Named Inventor: Vahid S. Moshtagh			
Title: CROSS FLOW CVD REACTOR			
APPLICANT HEREBY REQUESTS TO PARTICIPATE IN THE GREEN TECHNOLOGY PILOT PROGRAM FOR THE ABOVE-IDENTIFIED APPLICATION. See Instruction Sheet on page 2.			
This petition must be timely filed electronically using the USPTO electronic filing system, EFS-Web.			
1. By filing this petition:			
Applicant is requesting early publication: Applicant hereby requests early publication under 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d) accompanies this request. Applicant hereby rescinds under 37 CFR 1.213(b) any previous filed request that the above-identified application not be published under 35 U.S.C. 122(b).			
If the application has been published, the petition must still be accompanied by the publication fee set forth in 37 CFR 1.18(d) and a statement that the application has been published.			
2. By filing this petition: applicant is agreeing to make an election without traverse in a telephonic interview and elect an invention that meets the eligibility requirement and classification requirement set forth in the notice entitled "Pilot Program for Green Technologies Including Greenhouse Gas Reduction" that was published in the Federal Register if the Office determines that the claims are not obviously directed to a single invention.			
3. This request is accompanied by statements of special status for the eligibility requirement.			
4. The application contains no more than three (3) independent claims and twenty (20) total claims.			
5. The application does not contain any multiple dependent claims.			
6. Other attachments:			
1040/0040			
Signature Date 12/16/2010			
Name (Print/Typed) Norman E Carte Registration Number 30,455			
<u>Mote</u> : Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below*.			
*Total of forms are submitted.			

The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Applicants:

Vahid S. Moshtagh, et al.

Assignee:

BRIDGELUX, INC.

Title:

CROSS FLOW CVD REACTOR

Serial No.:

12/259,787

Filing Date:

October 28, 2008

Examiner:

Keath T. Chen

Group Art Unit:

1712

Docket No.:

70257.95 (M-17270 US)

Confirmation:

1601

Irvine, California December 16, 2010

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

### STATEMENT UNDER THE GREEN TECHNOLOGY PILOT PROGRAM

Dear Sir:

The basis for this Petition to Make Special Under the Green Technology Pilot Program is energy conservation. This invention contributes to energy conservation and thus qualifies for the Green Technology Pilot Program because the invention facilitates the manufacture and/or use of light emitting diodes (LEDs) which use substantially less energy than contemporary incandescent and fluorescent lights. Thus, it is respectfully submitted that the materiality standard is met.

-1-

Haynes & Boone, LLP Attorney & Counselors

18100 Van Karman Suite 750 Irvine, CA 92612-0169

Serial No.: 12/259,787

### **CONCLUSION**

Authorization is given to charge any fees due or credit any overpayments in regard to this communication to deposit account 08-1394. If the Examiner has any questions or concerns, a telephone call to the undersigned at (949) 202-3000 is welcomed and encouraged.

Certification of Electronic Transmission

I hereby certify that this paper is being electronically transmitted to the U.S. Patent and Trademark Office on the date shown below.

Nuo Qu

**December 16, 2010** 

Date of Signature

Respectfully submitted,

Norman E. Carte Agent for Applicants Reg. No. 30,455

Haynes & Boone, LLP Attorney & Counselors

18100 Van Karman Suite 750 Irvine, CA 92612-0169



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/259,787	10/28/2008	Vahid S. Moshtagh	M-17270 US	1601
32605 Haynes and Bo	7590 01/11/2011		EXAM	INER
IP Section			CHEN, K	EATH T
2323 Victory A SUITE 700	Avenue		ART UNIT	PAPER NUMBER
Dallas, TX 75	219		1712	
			MAIL DATE	DELIVERY MODE
			01/11/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Haynes and Boone, LLP IP Section 2323 Victory Avenue SUITE 700 Dallas TX 75219

JAN 1 1 2011

In re Application of

Moshtagh et al. : DECISION ON PETITION

Application No. 12/259,787 : TO MAKE SPECIAL UNDER Filed: 10/28/2008 : THE GREEN TECHNOLOGY

Attorney Docket No. M-17270 US : PILOT PROGRAM

This is a decision on the petition under 37 CFR 1.102, filed 12/16/2010, to make the above-identified application special under the pilot program for applications pertaining to Green Technologies as set forth in 74 Federal Register Notice 64666 (December 8, 2009) and amended by 75 Federal Register Notice 28554 (May 21, 2010) and 75 Federal Register Notice 69049 (November 10, 2010).

### The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 64666 must be directed to a nonprovisional application filed under 35 USC 111(a) or be a national stage entry under 35 USC 371, exclusive of any reissue applications.

In order to qualify for special status, the following requirements must be met. 1) The application must have no more than 3 independent claims and no more than 20 total claims. 2) The application must not contain any multiple dependent claims. 3) The petition must state the basis for seeking special status, i.e., the claimed invention either: A) materially enhances the quality of the environment or B) materially contributes to: i) the discovery or development of renewable energy resources, ii) the more efficient utilization and conservation of energy resources, or iii) greenhouse gas emission reduction. 4) If the disclosure is not clear on its face that the claimed invention materially contributes under category (A) or (B), the petition must be accompanied by a statement by the applicant, assignee, or an attorney/agent registered to practice before the Office explaining how the materiality standard is met. 5) A statement that applicant will agree to make an election without traverse in a telephonic interview if a restriction requirement is made by the examiner. 6) The petition to make special must be filed electronically. 7) The petition must be filed at least one day prior to the date that a first Office Action appears in the Patent Application Information Retrieval (PAIR) system. 8) The petition must be accompanied by a

request for early publication in compliance with 37 CFR 1.219 and include the publication fee as set forth in 37 CFR 1.18(d).

The requirement for a fee for consideration of the petition to make special for applications pertaining to Green Technologies has been waived.

The instant petition complies with items 1-8 above. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquires concerning this decision should be directed to Tom Dunn at 571-272-1171.

The application is being forwarded to the Technology Center Art Unit 1712 for action on the merits commensurate with this decision.

/Tom Dunn/

Tom Dunn Quality Assurance Specialist Technology Center 1700

PTO/SB/20PCT-KR (06-10)

Approved for use through 01/31/2012, OMB 0651-0058 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paparwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM BETWEEN THE KOREAN INTELLECTUAL PROPERTY OFFICE (KIPO) AND THE USPTO Application No. 12259845 Filing date: 2008-10-28 GE, Anthony Wen First Named Inventor: Title of the COMBINING FEATURE BOUNDARIES invention: THIS REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM ALONG WITH THE REQUIRED DOCUMENTS MUST BE SUBMITTED VIA EFS-WEB. INFORMATION REGARDING EFS-WEB IS AVAILABLE AT HTTP://WWW.USPTO.GOV/EBC/EFS\_HELP.HTML APPLICANT HEREBY REQUESTS PARTICIPATION IN THE PCT-PPH PROGRAM AND PETITIONS TO MAKE THE ABOVE-IDENTIFIED APPLICATION SPECIAL UNDER THE PCT-PPH PROGRAM. The above-identified application is (1) a national stage entry of the corresponding PCT application, or (2) a national stage entry of another PCT application which claims priority to the corresponding PCT application, or (3) a national application that claims domestic/ foreign priority to the corresponding PCT application, or (4) a national application which forms the basis for the priority claim in the corresponding PCT application, or (5) a continuing application of a U.S. application that satisfies one of (1) to (4) above, or (6) a U.S. application that claims domestic benefit to a U.S. provisional application which forms the basis for the priority claim in the corresponding PCT application. The corresponding PCT application number(s) is/are: PCT/US2008/86309 The international filing date of the corresponding PCT application(s) is/are: 2008-12-11 1. List of Required Documents: a. A copy of the latest international work product (WO/ISA, WO/IPEA, or IPER) in the above-identified corresponding PCT application(s) is attached. Is not attached because the document is already in the U.S. application. b. A copy of all claims which were indicated as having novelty, inventive step and industrial applicability in the above-identified corresponding PCT application(s). is attached.

Is not attached because the document is already in the U.S. application.

Page 1 01 2]
This collection of information is required by 35 U.S.C. 119, 37 CFR 1.55, and 37 CFR 1.102(d). The information is required to obtain or retain a bariest by the public, which is to tile (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.C. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

C. English translations of the documents in a. and b. above are attached (if the documents are not in the English language). A statement that the English translation is accurate is attached for the document in b. above,

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid CMB control number.

REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM SETWEEN THE KIPO AND THE USPTO			
		(continued)	
Application No.:		***************************************	***************************************
First Named Inventor.			
d. (1) An information disclosure statement listing the documents cited in the international work products (ISR, WO/ISA, WO/IPEA, IPER) of the corresponding PCT application.  Is attached    2010-66-06, 2008-10-28 & 2009-05-27			
II. Claims Corresp	ondence Table:		
Claims in US Application Patentable Claims in the corresponding Explanation PCT Application		Explanation regarding the con	respondence
1-28	1-28		Same
III. All the claims in the US application sufficiently correspond to the patentable claims in the corresponding PCT application.			
······································			
Signature X	VIII all many		Date 2011-06-06
Name (Print/Typed) Stephen B. Salai			Registration Number 26990



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/259,845	259,845 10/28/2008 Anthony Wen Ge	91604.000113	1702	
23387 Stephen R. Sa	7590 06/10/2011		EXAM	INER
Stephen B. Salai, Esq. Harter Secrest & Emery LLP		MEHTA, BHAVESH M		
1600 Bausch & Rochester, NY	& Lomb Place		ART UNIT	PAPER NUMBER
Rochester, 14 i	14004-2711		2624	
			NOTIFICATION DATE	DELIVERY MODE
		•	06/10/2011	FLECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

bsalai@hselaw.com coffen@hselaw.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Stephen B. Salai, Esq. Harter Secrest & Emery LLP 1600 Bausch & Lomb Place Rochester NY 14604-2711

In re Application of

GE, ANTHONY WEN et al. Application No. 12/259,845 Filed: October 28, 2008

Att. Docket No. 91604.000113

:

DECISION ON REQUEST TO

PARTICIPATE IN PATENT

PROSECUTION HIGHWAY PROGRAM AND PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(a)

This is a decision on the request to participate in the PCT Patent Prosecution Highway (PPH) pilot program and the petition under 37 CFR 1.102(a), filed June 6, 2011 to make the above-identified application special.

The request and petition are **GRANTED**.

A grantable request to participate in the PCT-PPH pilot program and petition to make special require:

- (1) The U.S. application be (a) a national stage entry of the corresponding PCT application, or (b) a national stage entry of another PCT application which claims priority to the corresponding PCT application, or (c) a national stage application that claims domestic/foreign priority to the corresponding PCT application, (d) a national application which forms the basis for the priority claim in the corresponding PCT application, or (e) a continuation application of the U.S. application which satisfies one of the above (a) to (d) scenarios.
- (2) A copy of the latest international work product (WO/ISA, WO/IPEA, or IPER) in the corresponding PCT application(s) which indicates at least one claim in the PCT application has novelty, inventive step, and industrial applicability.
- (3) A copy of all claims which were indicated as having novelty, inventive step and industrial applicability in the corresponding PCT application(s).
- (4) English translations of the documents in (2) and (3) (if the documents are not in the English language).
- (5) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the claims which were indicated as having novelty, inventive step, and industrial applicability in the corresponding PCT application(s).
- (6) Examination of the U.S. application has not begun; and
- (7) An information disclosure statement listing the documents cited in the international work products (ISR, WO/ISA. WO/IPEA, IPER) of the corresponding PCT application(s) and copies of all of the documents cited in the international work products of the PCT application (unless

copies have already been filed in the U.S. application) corresponding to the U.S. application except U.S. patents or U.S. patent application publications.

The request to participate in the PCT-PPH pilot program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Doris To at 571-272-7629.

All other inquiries concerning the examination or status of the application should be directed to Patent Application Information Retrieval (PAIR) system.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Doris To/

Doris To Quality Assurance Specialist Technology Center 2600 Communications



MR 1 8 5010

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

HOLLAND & HART 222South Main Street, Suite 2200 P.O. Box 11583 Salt Lake City, UT 84110

In re Application of Eric C. Pope

Appl. No.: 12/259,901 Filed: October 28, 2008

For: SUPERABRASIVE INSERTS INCLUDING AN

ARCUATE PERIPHERAL SURFACE

DECISION ON PETITION UNDER 37 CFR 1.84(a)(2) TO ACCEPT COLOR PHOTOGRAPHS

This is a decision on-the petition under 37 CFR § 1.84(a)(2), filed January 13, 2009, to accept-color photographs.

### The Petition is Dismissed.

A petition under 37 CFR 1.84(a) (2) must contain:

- (A) An explanation why the color drawings are necessary;
- (B) The fee set forth in §1.17(h);
- (C) Three (3) sets of color drawings;
- (D) An amendment to the specification to insert the following language as the first paragraph of the brief description of the drawings:

  The patent or application file contains at least one drawing executed in color.

  Copies of this patent or patent application publication with color drawing(s) will be provided by the Office upon request and payment of the necessary fee.

The petition lacks item (D). Note the current specification at paragraph [0017] actually recites that the current detailed description does not include any color drawings.

Application/Control Number: 11/231,269

Art Unit: 3672

Telephone inquiries concerning this matter should be directed to the undersigned at (571) 272-6999.

David J! Bagnell

Supervisory Patent Examiner Technology Center 3600

DB: 08/19/10

Page 3



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE P.O. BOX 1450 ALEXANDRIA, VA 22313-1450 www.uspio.gov

HOLLAND & HART 222South Main Street, Suite 2200 P.O. Box 11583 Salt Lake City, UT 84110

In re Application of Eric C. Pope

Appl. No.: 12/259,901 Filed: October 28, 2008

For: SUPERABRASIVE INSERTS INCLUDING AN

ARCUATE PERIPHERAL SURFACE

DECISION ON PETITION UNDER 37 CFR 1.84(a)(2) TO ACCEPT COLOR PHOTOGRAPHS

This is a decision on the renewed petition under 37 CFR § 1.84(a)(2), filed September 17, 2010, to accept color photographs.

The Petition is **Granted**.

A petition under 37 CFR 1.84(a) (2) must contain:

- (A) An explanation why the color drawings are necessary;
- (B) The fee set forth in §1.17(h);
- (C) Three (3) sets of color drawings;
- (D) An amendment to the specification to insert the following language as the first paragraph of the brief description of the drawings:

  The patent or application file contains at least one drawing executed in color.

  Copies of this patent or patent application publication with color drawing(s) will be provided by the Office upon request and payment of the necessary fee.

The petition includes all of the items (A)-(D).

Telephone inquiries concerning this matter should be directed to the undersigned at (571) 272-6999.

David J. Bagnell

Supervisory Patent Examiner Technology Center 3600

DB:10/8/10



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP 1279 OAKMEAD PARKWAY SUNNYVALE CA 94085-4040 MAILED
MAR 2 2 2011
OFFICE OF PETITIONS

In re Application of

TAYLOR, John R. et al.

Application No. 12/259,945 Filed: October 28, 2008

Attorney Docket No. 8604P001

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 17, 2011.

The request is **NOT APPROVED**.

The Office has revised its change in procedure for request to withdraw from representation applies to requests filed on or after May 12, 2008.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request cannot be approved because no address was provided.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to Tredelle Jackson at 571-272-2783.

/Tredelle D. Jackson/ Paralegal Specialist Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# MAILED

APR 06 2011

**OFFICE OF PETITIONS** 

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP 1279 OAKMEAD PARKWAY SUNNYVALE, CA 94085-4040

In re Application of

TAYLOR, John R. et al.

Application No. 12/259,958

Filed: October 28, 2008

Attorney Docket No. PANZ11-1003

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 17, 2011.

The request is **MOOT** because a revocation of power of attorney has been previously filed.

A review of the file record indicates that the power of attorney to BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP has been revoked by the assignee of the patent application on March 28, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to Tredelle Jackson at 571-272-2783.

/Tredelle D. Jackson/ Petitions Examiner Office of Petitions

cc: PARK, VAUGHAN, FLEMING & DOWLER LLP

2820 FÍFTH STREÉT DAVIS CA 95618-7759

Doc Code: PET.AUTO Document Description: Petition auton	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request	PETITION TO WITHDRAW AN APPLI THE ISSUE FEE UNDER 37 CFR 1.313	CATION FROM ISSUE AFTER PAYMENT OF B(c)
Application Number	12259971	
Filing Date	28-Oct-2008	
First Named Inventor	David Whiley	
Art Unit	2811	
Examiner Name	NITIN PAREKH	
Attorney Docket Number	BOUL/0024	
Title	WIND TURBINE ROTOR	
withdraw an application from issue, a		y the applicant. To request that the Office ction including the fee set forth in § 1.17(h) and a n issue is necessary.
APPLICANT HEREBY PETITIONS TO W	ITHDRAW THIS APPLICATION FROM ISSUE	UNDER 37 CFR 1.313(c).
are unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for cor	aims, which must be accompanied by an usuch claim or claims, and an explanation a	unequivocal statement that one or more claims as to how the amendment causes such claim or 1.114 (for a utility or plant application only); or be in favor of a continuing application, but not a
Petition Fee		
Applicant claims SMALL EN	TITY status. See 37 CFR 1.27.	
Applicant is no longer claim	ning SMALL ENTITY status. See 37 CFR 1.27	7(g)(2).
Applicant(s) status remains a	as SMALL ENTITY.	
Applicant(s) status remains as other than SMALL ENTITY		
Reason for withdrawal from issue		

One or more claims are unpatentable				
Consideration of a request for c	Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)			
	Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).			
RCE request, submission, and fee.				
The RCE request ,submission,	I certify, in accordance with 37 CFR 1.4(d)(4) that:  The RCE request ,submission, and fee have already been filed in the above-identified application on			
Are attached.				
THIS PORTION MUST BE COMPLETE	ED BY THE SIGNATORY OR SIGNATORIES			
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:			
<ul> <li>An attorney or agent registered in this application.</li> </ul>	<ul> <li>An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.</li> </ul>			
An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.				
A sole inventor				
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors				
A joint inventor; all of whom are signing this e-petition				
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71				
Signature	/William B. Patterson/			
Name	William B. Patterson			
Registration Number	egistration Number 34102			
-				



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: April 19, 2012

In re Application of:

David Whiley

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12259971

Filed: 28-Oct-2008 Attorney Docket No: BOUL/0024

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed April 19, 2012 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU  $^{2811}$  for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614

MAILED

FEB 02 2011 OFFICE OF PETITIONS

Applicant: Leon M. Silverstone

Appl. No.: 12/259,995

Filing Date: October 28, 2010

Title: A NON-INVASIVE METHOD AND APPARATUS FOR THE TREATMENT OF

**VIRAL INFECTIONS** 

Attorney Docket: BIOSCI.002A Pub. No.: US 2010/0106205 A1

Pub. Date: April 29, 2010

This is a decision on the request for a corrected patent application publication under 37 CFR 1.221(b), received on June 29, 2010 for the above-identified application.

The request is DISMISSED.

Applicant requests that the application be republished because the patent application publication contains material errors wherein the title of the invention filed with the preliminary amendment on February 4, 2009, was not included in the publication.

37 CFR 1.221 (b) is applicable "only when the Office makes a material mistake which is apparent from Office records.... Any request for a corrected publication or revised patent application publication other than provided as provided in paragraph (a) of this section must be filed within two months from the date of the patent application publication. This period is not extendable." A material mistake must affect the public's ability to appreciate the technical disclosure of the patent application publication, to determine the scope of the patent application publication, or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent. <sup>1</sup>

The error noted by requestor with respect to the preliminary amendment is <u>not</u> an Office error. The title is printed as it appears in the ADS, the specification, and the OATH filed with the application on October 28, 2010. Furthermore, the patent application publication does not include a mistake regarding the failure to include the preliminary amendment to the specification because patent application publications are not required to include preliminary amendments. See

<sup>&</sup>lt;sup>1</sup>Changes to Implement Eighteen-Month Publication of Patent Applications, 65 FR 57023, 57038 (Sept. 20, 2000), 1239, Off. Gaz. Pat. Office Notices 63, 75 (Oct. 10, 2000) (final rule).

Application No.: 12/259,995 Page 2

37 CFR 1.215(a). The "failure to include an amendment is not an Office error." See MPEP 1130(b). The publication accurately reflected the specification as filed.

Applicant is reminded of his duty to conduct a reasonable inquiry before filing a paper before the Office. See MPEP 410.

Applicants' request for a corrected patent application publication on February 18, 2009, may constitute a "failure to engage in reasonable efforts to conclude processing or examination of the application." See 1.704(c). This determination will be made on or after a mailing of a Notice of Allowance.

The applicant is advised that a "request for republication of an application previously published" may be filed under 37 CFR 1.221 (a). Such a request for republication "must include a copy of the application compliance with the Office's electronic filing system requirements and be accompanied by the publication fee set forth in § 1.18 (d) and the processing fee set forth in § 1.17 (i)." If the request for republication does not comply with the electronic filing system requirements, the republication will not take place and the publication fee set forth in § 1.18 (d) will be refunded. The processing fee will be retained.

A Quick Start Guide for filing a request for a Pre-Grant Publication, such as a request for republication, may be found on the link below:

http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp

http://www.uspto.gov/ebc/portal/efs/pgpub\_quickstart.pdf

Any request for republication under 37 CFR 1.221(a), must be submitted via the EFS system, as a "Pre-Grant Publication".

Inquiries relating to this matter may be directed to Mark Polutta at (571) 272-7709.

Mark Polutta

Senior Legal Advisor

Office of Patent Legal Administration

Office of the Deputy Commissioner

for Patent Examination Policy



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Blakely, Sokoloff Taylor & Zafman, LLP 1279 Oakmead Parkway Sunnyvale, CA 94085-4040 MAILED

MAY 09 2011

OFFICE OF PETITIONS

In re Application of

John Richard Taylor et. al.

Application No. 12/260,032

Filed: October 28, 2008 Attorney Docket No. 8604P002 DECISION ON PETITION

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 17, 2011.

The request is moot because a revocation of power of attorney has been filed.

A review of the file record indicates that the power of attorney to Blakely, Sokoloff, Taylor & Zafman, LLP, has been revoked by the assignee of the patent application on March 28, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to Kimberly Inabinet at 571-272-4618.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

cc: Park, Vaughan, Fleming & Dowler, LLP

2820 Fifth Street

Davis, CA 95618-7759



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP 1279 OAKMEAD PARKWAY SUNNYVALE CA 94085-4040

MAILED

MAR 22 2011

In re Application of

OFFICE OF PETITIONS

CHOU, Randy Yen-Pang et al. Application No. 12/260,034

**DECISION ON PETITION** 

Filed: October 28, 2008

TO WITHDRAW FROM RECORD

Attorney Docket No. 8604P004

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 17, 2011.

The request is **NOT APPROVED**.

The Office has revised its change in procedure for request to withdraw from representation applies to requests filed on or after May 12, 2008.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request cannot be approved because no address was provided.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to Tredelle Jackson at 571-272-2783.

/Tredelle D. Jackson/ Paralegal Specialist Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

**Decision Date** January 16, 2012

In re Application of Chang Guo

**DECISION ON PETITION** Application No. 12260121 UNDER CFR 1.137(b) Filed:

Attorney Docket No. CN920070079US1

29-Oct-2008

This is an electronic decision on the petition under 37 CFR 1.137(b), January 16, 2012 , to revive the above-identified application.

#### The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the outstanding Office communication. The date of abandonment is the day after the last day of the period set for reply in the Office action plus any applicable extensions of time properly requested.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of a response; (2) the petition fee as set forth in 37 CFR 1.17 (m); and (3) the required statement of unintentional delay have been received. Accordingly, the response is accepted as having been unintentionally delayed.

The statement of unintentional delay is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay and by a person having firsthand or direct knowledge of the facts and circumstances of the delay at issue. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg.53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that such an inquiry has not been made, petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, petitioner must notify the Office.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Technology Center.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition a	utomatically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce	
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)		
Application Number	12260121		
Filing Date	29-Oct-2008		
First Named Inventor	Chang Guo		
Attorney Docket Number	CN920070079US1		
Title	APPARATUS AND METHOD FOR GE	ENERATING A MONITORING VIEW OF AN EXECUTABLE	
United States Patent and Traden		mely and proper reply to a notice or action by the he day after the expiration date of the period set for tained.	
APPLICANT HEREBY PETITIONS F	OR REVIVAL OF THIS APPLICATION		
NOTE: A grantable petition requi (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disc design applications; and (4) Statement that the entire del	laimer fee - required for all utility and plar	nt applications filed before June 8, 1995; and for all	
Petition Fee			
O	ΓΙΤΥ status. See 37 CFR 1.27.		
Applicant is no longer claim	ing SMALL ENTITY status. See 37 CFR 1.2	7(g)(2).	
Applicant(s) status remains	as SMALL ENTITY.		
<u> </u>	as other than SMALL ENTITY.		
2. Reply and/or fee			
I certify, in accordance with already been filed in the abo	37 CFR 1.4(d)(4) that the amendment and ve-identified application on	d response have	
<ul> <li>Amendment and response</li> </ul>	are attached		
RCE request, submission, and fee.			
I certify, in accordance with already been filed in the abo	37 CFR 1.4(d)(4) that the RCE Request, Subve-identified application on	omission, and Fee have	
RCE Request, Submission, a	nd Fee are attached		
Notice of Appeal			

I certify, in accordance with 37 CFR 1.4(d)(4) that the Notice of Appeal and Fee have already been filed in the above-identified application on				
Notice of Appeal and Fee are a	Notice of Appeal and Fee are attached			
	. Terminal Disclaimer is not required, since the Electronic Petition format is not support for Design applications and pplications filed before June 8, 1995. Please file using regular petition format for review by the Office of Petitions.			
	STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.			
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES			
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:			
An attorney or agent registered in this application.	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.			
An attorney or agent registered	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.			
A sole inventor				
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors				
A joint inventor; all of whom are signing this e-petition				
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71				
Signature	/Lily Neff/			
Name	Lily Neff			
Registration Number	38254			

Inventor(s): Mats Sågfors, et al. § Group Art Unit: 2112

§

Application No: 12/260,189 § Examiner: Jacques Louis Jacques

8

Filed: 10-29-2008 § Confirmation No. 2329

For: METHODS AND APPARATUS FOR PROCESSING ERROR CONTROL MESSAGES

IN A WIRELESS COMMUNICATION SYSTEM

## Via EFS-Web

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313.1450

#### **CERTIFICATE OF TRANSMISSION BY EFS-WEB**

I hereby certify that this paper or fee is being transmitted to the United States Patent and Trademark Office electronically via EFS-Web.

Date: December 31, 2010

Signature: /Todd A. Cason, Reg No 54,020/

Name: Todd A. Cason

Dear Sir:

# PETITION TO MAKE SPECIAL UNDER 37 C.F.R. § 1.102

In accordance with the U.S.P.T.O.'s "Backlog Reduction Stimulus Plan," as set forth in the Official Gazette Notices of December 22, 2009 (see 1349 Off. Gaz. Pat. Off. 304), February 23, 2010 (see 1351 Off. Gaz. Pat. Off. 202), and July 20, 2010 (see 1356 Off. Gaz. Pat. Off. 173) (collectively the "Official Gazette Notices"), Telefonaktiebolaget LM Ericsson ("Applicant") submits this Petition to Make Special (this "Petition") and requests that the above-referenced application (the "Present Application") be accorded special status under 37 C.F.R. § 1.102.

As required by the *Official Gazette Notices*, the following conditions have been satisfied:

1) The Present Application is a non-provisional application that has an actual filing date earlier than October 1, 2009;

- 2) Applicant is the assignee of another non-provisional application, U.S. Pat. Appl. No. 12/439,409 (the "Abandoned Application") that has an actual filing date earlier than October 1, 2009 and is complete under 37 C.F.R. § 1.53;
- 3) Applicant is currently the sole assignee of both the Present Application and the Abandoned Application and has been since before October 1, 2009;
- 4) On the date this Petition to Make Special was filed, Applicant also filed a Declaration of Express Abandonment Under 37 C.F.R.§ 1.138 (the "Abandonment Declaration") expressly abandoning the Abandoned Application. Applicant believes the Abandonment Declaration was filed prior to the Abandoned Application being taken up for examination. Additionally, in accordance with the *Office Gazette Notices*, the Abandonment Declaration included:
  - a) A statement that Applicant has not and will not file an application that claims the benefit of the filing date of the Abandoned Application under any provision of Title 35 of the U.S.C.;
  - b) A statement that Applicant agrees not to, in the future, request a refund of any fees paid in the Abandoned Application; and
  - c) A statement that Applicant has not and will not file a new application claiming the same inventions as is currently claimed in the Abandoned Application; and
- 5) Applicant now files this Petition under 37 C.F.R. § 1.102 in the Present Application. As part of this Petition, Applicant respectfully notes that:
  - a) The basis under which special status is being sought is the express abandonment of another copending application pursuant to the Backlog Reduction Stimulus Plan established by the *Official Gazette Notices* conditioned on the granting of this Petition;
    - b) A copy of the Abandonment Declaration is submitted herewith;
  - c) The Present Application and the Abandoned Application have both been owned by Telefonaktiebolaget LM Ericsson, as sole assignee, since before October 1, 2009, which qualifies the Present Application for special status pursuant to the Backlog Reduction Stimulus Plan;

Attorney Docket No. P24916-US2

d) As indicated above, the Abandoned Application was assigned the

application number, U.S. Pat. Appl. No. 12/439,409;

e) Applicant has not filed petitions requesting special status under the

Backlog Reduction Stimulus Plan in more than fourteen (14) other applications;

and

f) Applicant agrees to make an election without traverse via a telephone

interview if the U.S.P.T.O. deems the claims of the Present Application to be

directed to two or more independent and distinct inventions.

Applicant respectfully notes that, pursuant to the Official Gazette Notices, the fee

requirement for petitions to make special under 37 C.F.R. § 1.102 is waived, and

Applicant believes no further fees are necessary at this time. However, the

Commissioner is hereby authorized to deduct any necessary fees from, or to credit any

overcharges to, Deposit Account No. 50-1379.

Respectfully submitted,

/Todd A. Cason, Reg No 54,020/

Todd A. Cason Reg. No. 54,020

Ericsson Inc. 6300 Legacy Drive M/S EVR 1-C-11 Plano, TX 75024 972-583-8510

Electronic Acknowledgement Receipt		
EFS ID:	9146812	
Application Number:	12439409	
International Application Number:		
Confirmation Number:	3596	
Title of Invention:	METHODS AND ARRANGEMENTS FOR PREFIX MANAGEMENT IN MOVING NETWORKS	
First Named Inventor/Applicant Name:	Johan Rune	
Customer Number:	27045	
Filer:	Steven Ware Smith/Todd Cason	
Filer Authorized By:	Steven Ware Smith	
Attorney Docket Number:	P21814-US1	
Receipt Date:	31-DEC-2010	
Filing Date:	13-MAR-2009	
Time Stamp:	16:58:39	
Application Type:	U.S. National Stage under 35 USC 371	

# **Payment information:**

Submitted with Payment no

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Letter Express Abandonment of the	P21814-RequestFile.pdf	24951	no	2
'	application	1 2 1014 Requesti ne.pai	40a3833c2170bb9632539f9e1f2d5dc2356 c7668		2

# Warnings:

# Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Inventor(s): Johan Rune, et al. § Group Art Unit: 2432

Application No: 12/439,409 § Examiner: Gilberto Barron, Jr.

Filed: March 13, 2009 § Confirmation No. 3596

FOR: METHODS AND ARRANGEMENTS FOR PREFIX MANAGEMENT IN MOVING NETWORKS

# Via EFS-Web

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313.1450

## CERTIFICATE OF TRANSMISSION BY EFS-WEB

I hereby certify that this paper or fee is being transmitted to the United States Patent and Trademark Office electronically via EFS-Web.

Date: Dec. 31, 2010

Signature: /Todd A. Cason, Reg No 54,020/

Name: Todd A. Cason

Dear Sir:

# DECLARATION OF EXPRESS ABANDONMENT UNDER 37 C.F.R § 1.138

For the purpose of participating in the U.S.P.T.O.'s Backlog Reduction Stimulus Plan set forth in the Official Gazette Notices of December 22, 2009 (see 1349 Off. Gaz. Pat. Off. 304), February 23, 2010 (see 1351 Off. Gaz. Pat. Off. 202), and July 20, 2010 (see 1356 Off. Gaz. Pat. Off. 173) (collectively, the "Official Gazette Notices"), Telefonaktiebolaget LM Ericsson ("Applicant"), as sole assignee of the relevant patent, expressly abandons U.S. Pat. Appl. No. 12/439,409 (the "Abandoned Application") under 37 C.F.R. § 1.138. To the extent permissible under the Backlog Reduction Stimulus Plan, Applicant conditions this abandonment of the Abandoned Application on the U.S.P.T.O. granting the Petition to Make Special Under 37 C.F.R. § 1.102 filed concurrently with this Declaration in U.S. Pat. Appl. No. 12/260,189.

Attorney Docket No. P21814-US1

Additionally, in accordance with the requirements of the *Office Gazette Notices*, Applicant has not filed and will not file any other application claiming the same invention presently claimed by the Abandoned Application. Applicant also has not filed and will not file another application claiming the benefit of the Abandoned Application's filing date under any provision of Title 35 of the U.S.C. Moreover, Applicant agrees not to, in the future, request refund of any fees paid on the Abandoned Application.

Respectfully submitted,

/Todd A. Cason, Reg No 54,020/

Todd A. Cason Reg. No. 54,020

Ericsson Inc. 6300 Legacy Drive M/S EVR 1-C-11 Plano, TX 75024 972-583-8510



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

ERICSSON INC. 6300 LEGACY DRIVE M/S EVR 1-C-11 PLANO TX 75024

MAILED

JAN 1 4 2011

OFFICE OF PETITIONS

In re Application of SAGFORS, et al.
Application No. 12/

Application No. 12/260,189 Filed: October 29, 2008

Attorney Docket No. P24916-US2

DECISION ON PETITION TO MAKE SPECIAL 37 CFR 1.102

This is a decision on the petition under 37 CFR 1.102, filed December 31, 2010, to make the above-identified application special under the Patent Application Backlog Reduction Stimulus Plan which is a pilot program set forth at 74 Federal Register Notice 62285 (November 27, 2009) and 75 Federal register Notice 36063 (June 24, 2010).

# The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 62285 and 75 FR 36063 must be directed to a nonprovisional application filed prior to October 1, 2009.

The USPTO will accord special status for examination under Patent Application Backlog Reduction Stimulus Plan under the following conditions:

- (1) The application for which special status is sought is a nonprovisional application that has an actual filing date earlier than October 1, 2009;
- (2) The applicant has another copending nonprovisional application that has an actual filing date earlier than October 1, 2009, and is complete under 37 CFR 1.53;
- (3) The application for which special status is sought and the other copending nonprovisional application either are owned by the same party as of October 1, 2009, or name at least one inventor in common;
- (4) The applicant files a letter of express abandonment under 37 CFR 1.138(a) in the copending nonprovisional application before it has been taken up for examination and
  - a) includes a statement that the applicant has not and will not file a new application that claims the same invention claimed in the expressly abandoned application;

- b) includes a statement that the applicant has not and will not file an application that claims the benefit of the expressly abandoned application under any provision of title 35, United States Code, and
- c) includes a statement that the applicant agrees not to request a refund of any fees paid in the expressly abandoned application; and
- (5) The applicant files a petition under 37 CFR 1.102 in the application for which special status is sought that
  - a) includes a specific identification of the relationship between the applications that qualifies the application for special status;
  - b) identifies, by application number if available, the application that is being expressly abandoned;
  - c) provides a statement certifying that applicant has not filed petitions in more than fourteen (14) other applications requesting special status under this program; and
  - d) provides a statement that applicant agrees to make an election without traverse in a telephonic interview if the Office determines that the claims of the application to be made special are directed to two or more independent and distinct inventions.

The requirement for a fee for consideration of the petition to make special for applications pertaining to Patent Application Backlog Reduction Stimulus Plan has been waived.

The instant petition complies with the conditions required under Patent Application Backlog Reduction Stimulus Plan. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquires concerning this decision should be directed to Brian W. Brown at 571-272-5338.

All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

The application is being forwarded to the Office of Patent Application Processing for further processing commensurate with this decision.

Bran-W. Brown Petitions Examiner Office of Petitions

# SPE RESPONSE FOR CERTIFICATE OF CORRECTION

	<u> </u>	Entirior Contraction
		<b>Paper No</b> .:1202
DATE	: February 06, 2012	
TO SPE (	OF: ART UNIT 2833	
SUBJECT	T: Request for Certificate of Correct	ion on Patent No.: 7815364
A response	e is requested with respect to the accompa	anying request for a certificate of correction.
Certificat	omplete this form and return with file, w tes of Correction Branch - ST (South ation <b>7590</b> - Tel. No. (703) 305-8309	
read as sh	<b>3</b> ( ) .	Office and/or Applicant's errors, should the patent w matter should be introduced, nor should the scope or
Thank Yo	ou For Your Assistance	Certificates of Correction Branch
•	est for issuing the above-identified of ision on the appropriated box.	correction(s) is hereby:
$\boxtimes$	Approved	All changes apply.
	Approved in Part	Specify below which changes <b>do not</b> apply.
	Denied	State the reasons for denial below.
Commen	ts:	
	Rene	/renee s luebke/ se Luebke - SPE - AU 2833



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/260,219	10/29/2008	Atsushi Yamaguchi	28951.5658	2364	
	7590 11/17/2010 OHNSON LLP		EXAM	INER	
STEPTOE & JOHNSON LLP 1330 CONNECTICUT AVE., NW WASHINGTON, DC 20036			NGO, H	NGO, HUNG V	
			ART UNIT	PAPER NUMBER	
			2835		
			MAIL DATE	DELIVERY MODE	
			11/17/2010	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usplo.gov

November 5, 2010

STEPTOE & JOHNSON LLP 1330 CONNECTICUT AVE., NW WASHINGTON, DC 20036

In re Application of YAMAGUCHI ET AL.

Application No.: 12/260219 Filed: October 29, 2008

For: MOUNTED STRUCTURE

WITHDRAWAL FROM ISSUE

37 C.F.R. § 1.313

The above-identified application is withdrawn from issue after payment of the issue fee due to unpatentability of one or more claims. See 37 C.F.R. § 1.313(b)(3).

The above-identified application is hereby withdrawn from issue.

The issue fee is refundable upon request. If, however, the application is again found allowable, the issue fee can be applied toward payment of the issue fee in the amount identified on the new Notice of Allowance and Fees Due upon written request. This request and any balance due must be received on or before the due date noted in the new Notice of Allowance and Fees Due in order to prevent abandonment of the application.

Telephone inquiries should be directed Jinhee Lee, Supervisory Patent Examiner, at (571) 272-1977.

The above-identified application is being forwarded to the examiner for prompt appropriate action, including notifying applicant of the new status of this application.

John Cabeca, Director

Technology Center 2800

Semiconductors, Electrical and Optical

Systems and Components

Art Unit: 2835

### **DETAILED ACTION**

# Allowable Subject Matter

The indicated allowability of claims 1-9 is withdrawn in view of the newly discovered reference(s), submitted in IDS by the applicant after notice of allowance, to Ando et al (US 6,433,412), Fujimoto et al (US 2007/0152025). Rejections based on the newly cited reference(s) follow.

Applicant is advised that the Notice of Allowance mailed 9/29/2010 is vacated. If the issue fee has already been paid, applicant may request a refund or request that the fee be credited to a deposit account. However, applicant may wait until the application is either found allowable or held abandoned. If allowed, upon receipt of a new Notice of Allowance, applicant may request that the previously submitted issue fee be applied. If abandoned, applicant may request refund or credit to a specified Deposit Account.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 9 is rejected under 35 U.S.C. 102(b) as being anticipated by Ando et al (US 6,433,412).

Re claim 9, Ando et al disclose a plurality of semiconductor elements (1) is adjacently mounted on a substrate (2) by a conductive adhesive (6); an electronic part (7) other than the semiconductor elements (col. 7, lines 48-51) is mounted on the

Art Unit: 2835

substrate between the adjacently mounted semiconductor elements by a conductive adhesive (8), and spaces between the plurality of semiconductor elements and the substrate, spaces between the electronic part and the substrate, and spaces between the plurality of semiconductor elements and the electronic part are integrally molded with a molding resin (10).

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ando et al (US 6,433,412) in view of Fujimoto et al (US 2007/0152025).

The teaching as discussed above does not disclose the solder having a melting point of 200°C or lower (re claim 1), wherein an interval between the adjacent semiconductor elements is 40 mm or less (re claim 2), wherein a composition of the solder includes at least one type of metal selected from Bi and In, and a balance of the composition is Sn (re claim 3), wherein the composition of the solder includes at least one type of metal selected from 50 to 70% Bi by weight and 10 to 25% In by weight, and the balance of the composition is Sn (re claim 4), wherein the composition of the solder further includes at least one type of metal selected from a group made up of Cu, Ge, and Ni (re claim 5), wherein the composition of the solder further includes at least one type of metal selected from a group made up of 0.1 to 1.0% Cu by weight, 0.001 to

Application/Control Number: 12/260,219

Art Unit: 2835

0.1% Ge by weight, and 0.001 to 0.1% Ni by weight (re claim 6), wherein a thickness of the substrate is 0.5 mm or less (re claim 7).

Re claims 1, 3, 5, Fujimoto et al teach the use of a solder having a melting point of 200°C or lower [0043], wherein a composition of the solder includes a Bi-Sn and In-Sn [0044], wherein the composition of the solder further includes Cu, Ge or Ni [0044]. It would have been obvious to one of ordinary skill in the art at the time the invention was made to use low temperature solder for the solder of Ando et al for the purpose of having a high reliability and enables joining solder at a fine pitch.

Re claims 4, 6, as to a specific amount of Bi, In, Cu, Ge, or Ni, it would have been obvious to one of ordinary skill in the art at the time the invention was made to select the specific amount of Bi, In, Cu, Ge, or Ni of the modified Ando et al for optimizing the melting temperature of the solder, since it has been held that where the general conditions of a claim are disclosed in prior art, discovering optimum ranges involves only routine skill in the art. In re Aller, 105 USPQ 233.

Re claims 2, 7, as to a specific dimension, it would have been obvious to one of ordinary skill in the art at the time the invention was made select a specific thickness of the substrate or to select a specific interval between semiconductor elements for intended use, since it has been held that where the general conditions of a claim are disclosed in prior art, discovering optimum ranges involves only routine skill in the art. In re Aller, 105 USPQ 233.

Art Unit: 2835

Re claim 8, Ando et al disclose wherein the electronic part is mounted at an intermediate position of the semiconductor elements adjacently mounted on the substrate (Fig 14).

### Communication

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hung V. Ngo whose telephone number is (571) 272-1979. The examiner can normally be reached on Monday to Friday 9:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jinhee Lee can be reached on (571) 272-1977. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jinhee J Lee/ Supervisory Patent Examiner, Art Unit 2835

/Hung V Ngo/ Primary Examiner, Art Unit 2835



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/260,223	10/29/2008	Kouji IMAEDA	RYM-2635-672	2369
23117 7590 09/29/2011 NIXON & VANDERHYE, PC			EXAM	INER
901 NORTH GLEBE ROAD, 11TH FLOOR	ł	WU, JU	NCHUN	
ARLINGTON, VA 22203			ART UNIT	PAPER NUMBER
			2191	
			MAIL DATE	DELIVERY MODE
			09/29/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON VA 22203

In re Application of: IMAEDA et al.

Application No. 12/260,223

Attorney Docket #: RYM-2635-672

Filed: October 29, 2008

For: SYSTEM AND PROGRAM PRODUCT FOR EXECUTING PROGRAM TO THEREBY TEST THE

**PROGRAM** 

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY PROGRAM AND PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed August 26, 2011, to make the above-identified application special.

### The petition is **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application for which participation in the PPH program is requested and the corresponding JPO application must have the same priority/filing date. In particular, the U.S. application (including national stage entry of a PCT application and a so-called bypass application filed under 35 U.S.C. § 111(a) which validly claims benefit under 35 U.S.C. § 120 to a PCT application):
  - (a) is an application that validly claims priority under 35 U.S.C. § 119(a) and 37 CFR 1.55 to one or more applications filed with JPO,

(b) is an application which is the basis of a valid priority claim under the Paris Convention for the application filed in JPO

(c) is an application which shares a common priority document with the application filed in JPO

Or

Or

Or

- (d) and the JPO application are derived from/related to a PCT application having no priority claim
- (2) Applicant must submit a copy of:
  - a. The allowable/patentable claim(s) from the JPO application(s)
  - b. An English translation of the allowable/patentable claim(s) and
  - c. A statement that the English translation is accurate;

If the JPO office action does not explicitly state that a particular claim is allowable, the applicant must include a statement in the request for participation in the PPH program or in the transmittal letter accompanying the request for participation that no rejection has been made in the JPO office action regarding that claim, and therefore, the claim is deemed allowable by JPO.

# (3) Applicant must:

- a. Ensure all the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s). Claims are considered to "sufficiently correspond" where, accounting for differences due to translations and claim format, the claims in the USPTO are of the same or similar scope as the claims in the JPO, or the claims in the USPTO are narrower in scope than the claims in the JPO. In this regard, a claim that is narrower in scope occurs when a JPO claim is amended to be further limited by an additional feature that is supported in the specification (description and/or claims). A claim in the USPTO which introduces a new/different category of claims to those claims indicated as allowable in the JPO is not considered to sufficiently correspond.
- b. Submit a claims correspondence table in English;
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit:
  - a. Documentation of prior office action:
    - i. a copy of the office action(s)just prior to the "Decision to Grant a Patent" from each of the JPO application(s) containing the allowable/patentable claim(s) or ii. if the allowable/patentable claims(s) are from a "Notification of Reasons for Refusal" then the Notification of Reasons for Refusal or iii. if the JPO application is a first action allowance then no office action from the JPO is necessary should be indicated on the request/petition form;
  - b. An English language translation of the JPO Office action from (5)(a)(i)-(ii) above
  - c. A statement that the English translation is accurate;
- (6) Applicant must submit:
  - a. An IDS listing the documents cited by the JPO examiner in the JPO office action (unless already submitted in this application)
  - b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

# The petition is **GRANTED.**

The request to participate in the PPH pilot program and petition are found to comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Mano Padmanabhan at 571-272-4210.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

/Mano Padmanabhan/

Mano Padmanabhan Quality Assurance Specialist, Technology Center 2100, Workgroup 2180 571-272-4210



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: March 5, 2012

In re Application of:

Junichi Hiruma

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12260240

Filed: 29-Oct-2008 Attorney Docket No: 10053770US01

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed March 5, 2012 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU  $^{2625}$  for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce	
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313(	ATION FROM ISSUE AFTER PAYMENT OF	
Application Number	12260240		
Filing Date	29-Oct-2008		
First Named Inventor	Junichi Hiruma		
Art Unit	2625		
Examiner Name	STEVEN KAU		
Attorney Docket Number	10053770US01		
Title	Title  IMAGE FORMING APPARATUS AND MANAGEMENT SYSTEM UTILIZING COUNTER AND JOB LOG INFORMATION FOR USAGE TRACKING		
An application may be withdrawn from issue for further action upon petition by the applicant. To request that the Office withdraw an application from issue, applicant must file a petition under this section including the fee set forth in § 1.17(h) and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary.  APPLICANT HEREBY PETITIONS TO WITHDRAW THIS APPLICATION FROM ISSUE UNDER 37 CFR 1.313(c).  A grantable petition requires the following items:  (1) Petition fee; and			
(2) One of the following reasons:  (a) Unpatentability of one or more claims, which must be accompanied by an unequivocal statement that one or more claims are unpatentable, an amendment to such claim or claims, and an explanation as to how the amendment causes such claim or claims to be patentable;  (b) Consideration of a request for continued examination in compliance with § 1.114 (for a utility or plant application only); or (c) Express abandonment of the application. Such express abandonment may be in favor of a continuing application, but not a CPA under 37 CFR 1.53(d).			
Petition Fee			
Applicant claims SMALL ENTITY status. See 37 CFR 1.27.			
Applicant is no longer claim	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).		
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.		
Applicant(s) status remains as other than SMALL ENTITY			
Reason for withdrawal from issue			

One or more claims are unpatentable			
Consideration of a request for c	ontinued examination (RCE) (List of Required Documents and Fees)		
Applicant hereby expressly aba have power of attorney pursuar	ndons the instant application (any attorney/agent signing for this reason must nt to 37 CFR 1.32(b)).		
RCE request, submission, and fee.			
	37 CFR 1.4(d)(4) that: and fee have already been filed in the above-identified application on		
Are attached.			
THIS PORTION MUST BE COMPLETE	ED BY THE SIGNATORY OR SIGNATORIES		
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:		
An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.			
An attorney or agent registered	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.		
A sole inventor	A sole inventor		
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors			
A joint inventor; all of whom are signing this e-petition			
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71			
Signature	/Abigail Cotton/		
Name	Abigail Cotton		
Registration Number	52773		



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Stuart Smith 10411 Samage Drive Oakton VA 22124 MAILED
APR 1 8 2011
OFFICE OF PETITIONS

In re Application of

Vernon Eric Staton, et al.

Application No. 12/260,322 : DECISION ON PETITION

Filed: October 29, 2008

Attorney Docket No. 053651.0015

This is a decision on the petition under 37 CFR 1.182, filed August 14, 2009, to change the order of the names of the inventors.

The petition is **GRANTED**.

Office records have been corrected to reflect the change in the order of the named inventors. A corrected Filing Receipt, which sets forth the desired order of the named inventors, accompanies this decision on petition.

The \$400 fee for the petition under 37 CFR 1.182 has been waived due to the order of inventors was incorrect due to the fault of the Office.

This application is being referred to Technology Center AU 3742 for examination in due course.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

ATTACHMENT: Corrected Filing Receipt



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION FILING or GRP ART 371(c) DATE FIL FEE REC'D ATTY.DOCKET.NO TOT CLAIMS ND CLAIMS NUMBER UNIT 12/260,322 10/29/2008 3742 527 053651.0015

69469 Stuart Smith 10411 Samage Drive Oakton, VA 22124

**CONFIRMATION NO. 2551** CORRECTED FILING RECEIPT



Date Mailed: 04/14/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt, If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Vernon Eric STATON, Lexington, VA; Jeremy C. CHERON, Alexandria, VA; Soorena SADRI, Alexandria, VA;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CIP of 11/595,948 11/13/2006 PAT 7,446,289 which claims benefit of 60/735,217 11/10/2005

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 11/07/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/260,322** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

**ENHANCED PLASMA FILTER** 

**Preliminary Class** 

219

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

		DTO (CD (c.)	
Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/64 U.S. Patent and Trademark Office Department of Commerce	
Electronic Petition Request	PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)		
Application Number	12260349		
Filing Date	29-Oct-2008		
First Named Inventor	Tracy Flynn		
Art Unit	3687		
Examiner Name	OLUSEGUN GOYEA		
Attorney Docket Number	13480		
Title	METHOD AND APPARATUS FOR CUSTOMER SPECIFIC BASED FOOD PREPARATION PREDICTION		
United States Patent and Trademark reply in the office notice or action plus APPLICANT HEREBY PETITIONS FOR FOR THE NOTE: A grantable petition requires to the petition fee;  (2) Reply and/or issue fee;  (3) Terminal disclaimer with disclaimer applications;	us any extensions of time actually obtained. REVIVAL OF THIS APPLICATION the following items: claimer fee – required for all utility and plant	d proper reply to a notice or action by the after the expiration date of the period set for applications filed before June 8, 1995; and for	
(4) Statement that the entire delay was unintentional.  Petition fee			
The petition fee under 37CFR 1.17(m)	s attached.		
Applicant claims SMALL ENTITY status. See 37 CFR 1.27.			
Applicant is no longer claim	Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).		
Applicant(s) status remains a	Applicant(s) status remains as SMALL ENTITY.		
Applicant(s) status remains as other than SMALL ENTITY.			
Issue Fee and Publication Fee:			
Issue Fee and Publication Fee must ac	company ePetition.		
Issue Fee Transmittal is attached			
Drawing corrections and/ or other d	eficiencies.		

•	Drawing corrections and/ or other deficiencies are not required		
0	I certify, in accordance with 37 CFR 1.4.(D)(4), that drawing corrections and/ or other deficiencies have previously been filed on		
0	Drawing corrections and/ or oth	ner deficiencies are attached.	
	STATEMENT: The entire delay in Igrantable petition under 37 CFR	filing the required reply from the due date for the required reply until the filing of a 1.137(b) was unintentional.	
TH	HIS PORTION MUST BE COMPLETE	ED BY THE SIGNATORY OR SIGNATORIES	
lc	ertify, in accordance with 37 CFR	1.4(d)(4) that I am:	
•	An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.		
0	An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity.		
0	) A sole inventor		
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors.		
0	A joint inventor; all of whom are signing this e-petition.		
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71.			
Sig	gnature	/Paul W. Martin, Reg. # 34,870/	
Na	ame	Paul W. Martin	
Re	Registration Number 34870		



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date : April 4, 2012

In re Application of : DECISION ON PETITION

UNDER CFR 1.137(b)

Tracy Flynn

Application No: 12260349
Filed: 29-Oct-2008

Attorney Docket No: 13480

This is an electronic decision on the petition under 37 CFR 1.137(b), filed April 4, 2012 , to revive the above-identified application.

### The petition is **GRANTED.**

The above-identified application became abandoned for failure to reply in a timely manner to the Notice of Allowance and Issue Fee(s) Due. The date of abandonment is the day after the expiration date of the period set for reply in the Notice.

The electronic petition satisfies the conditions for revival pursuant to the provisions of 37 CFR 1.137(b) in that (1) the reply in the form of payment of the Issue Fee and the Publication Fee (if necessary); (2) the petition fee as set forth in 37 CFR 1.17 (m); (3) the drawing correction and/or other deficiencies (if necessary); and (4) the required statement of unintentional delay have been received. Accordingly, the Issue Fee payment is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being directed to the Office of Data Management.

Office of Petitions

CERTIFICATION AND REQUEST
FOR RELIEF DUE TO EVENTS OF MARCH 11, 2011, IN JAPAN (Page 1 of 2

Nonprovisional Application Number or Control Number (if applicable):	Patent Number (if applicable):
12/260,375	
First Named Inventor:	Title of Invention:
KATAOKA, et al.	ANALYSIS MODEL GENERATION SYSTEM

# APPLICANT/PATENTEE/REEXAMINATION PARTY HEREBY CERTIFIES AND REQUESTS THE FOLLOWING FOR THE ABOVE-IDENTIFIED APPLICATION/PATENT/REEXAMINATION PROCEEDING.

- 1. FOR PATENT APPLICATIONS AND REEXAMINATION PROCEEDINGS PENDING IN THE USPTO AS OF MARCH 11, 2011, IN WHICH A COMMUNICATION FROM THE USPTO IS SOUGHT TO BE REMAILED:
  - a. One or more inventors, an assignee, or a correspondence address (for the application/proceeding) is in an area of Japan affected by the earthquake and/or tsunami of March 11, 2011.
  - b. A reply or response to an Office action (final, non-final, or other), a notice of allowance, or other Office notice (hereinafter collectively referred to as "Office communication") is outstanding on March 11, 2011.
  - c. The statutory or non-statutory time period set for response has not yet expired.
  - d. Withdrawal and reissuance of the Office communication is requested.
  - e. It is acknowledged that if this request is not made within sufficient time so that withdrawal and reissuance of the Office communication occur prior to expiration of the statutory or non-statutory time period (as permitted to be extended under 37 CFR 1.136(a), or as extended under 37 CFR 1.550(c) or 1.956), this request may not be granted.
  - f. The need for the reissuance of the Office communication was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - g. This request is being sent via EFS-Web or by mail directed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- 2. FOR PATENTEES WHO WERE UNABLE TO TIMELY PAY A PATENT MAINTENANCE FEE DURING THE SIX-MONTH GRACE PERIOD FOLLOWING THE WINDOW TO PAY THE MAINTENANCE FEE:
  - a. The original window of time to pay the maintenance fee without the surcharge required by 37 CFR 1.20(h) expired on or after March 11, 2011.
  - b. The delay in paying the fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - c. The USPTO is requested to sua sponte waive the surcharge in 37 CFR 1.20(h) for paying a maintenance fee during the six-month grace period following the window to pay the maintenance fee.
  - d. This request and payment of the maintenance fee during the six-month grace period following the window to pay the maintenance fee is being mailed to: Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314; or being transmitted via facsimile to: 571-273-6500.

# CERTIFICATION AND REQUEST FOR RELIEF DUE TO EVENTS OF MARCH 11, 2011, IN JAPAN (Page 2 of 2)

- 3. FOR PATENTEES WHO NEED TO FILE A PETITION TO ACCEPT A DELAYED MAINTENANCE FEE PAYMENT UNDER 37 CFR 1.378(c):
  - a. The maintenance fee payment was required to have been paid after March 10, 2011.
  - b. A petition under 37 CFR 1.378(c) (using USPTO form PTO/SB/66 Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent (37 CFR 1.378(c))) is being promptly filed accompanied by the applicable maintenance fee payment (but not the surcharge under 37 CFR 1.20(i)).
  - c. The delay in payment of the maintenance fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - d. The USPTO is requested to *sua sponte* waive the surcharge in 37 CFR 1.20(i) for accepting a delayed maintenance fee payment.
  - e. It is acknowledged that the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) must be filed by March 11, 2012, in order to be entitled to a waiver of the surcharge under 37 CFR 1.20(i).
  - f. It is acknowledged that the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) must be filed within twenty-four months from the expiration date of the patent. See 35 U.S.C 41(c).
  - g. This request and the petition to accept a delayed maintenance fee payment under 37 CFR 1.378(c) is being submitted via EFS-Web or by mail directed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- 4. FOR NONPROVISIONAL PATENT APPLICATIONS FILED WITHOUT AN EXECUTED OATH OR DECLARATION OR PAYMENT OF THE BASIC FILING FEE, SEARCH FEE, AND/OR EXAMINATION FEE:
  - a. The nonprovisional patent application was filed on or after March 11, 2011, and prior to April 12, 2011.
  - b. The late filing of the oath or declaration or the basic filing fee, search fee, or examination fee was due to the effects of the earthquake and/or tsunami of March 11, 2011.
  - c. The USPTO is requested to *sua sponte* waive the surcharge set forth in 37 CFR 1.16(f) for the late filing of the oath or declaration or basic filing fee, search fee, and/or examination fee.
  - d. This request, together with the executed oath or declaration or the basic filing fee, search fee, or examination fee, as well as the reply to the Notice to File Missing Parts, is being submitted via EFS-Web or by mail directed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature /Paul J. Skwierawski/	<sub>Date</sub> July 1, 2011		
Name (Print/Typed) Paul J. Skwierawski	Practitioner 32,173 Registration Number		
Note: Signatures of all the inventors, § 1.41(b) applicants, or assignees of record of the entire interest or their representative(s), or reexamination requesters at the appeal stage are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below*.			
*Total of forms are submitted.			

# **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ANTONELLI, TERRY, STOUT & KRAUS, LLP 1300 NORTH SEVENTEENTH STREET SUITE 1800 ARLINGTON VA 22209-3873

MAILED

JUL 0 6 2011

OFFICE OF PETITIONS

In re Application of

Kataoka et al.

Application No. 12/260,375

Filed: October 29, 2008

Attorney Docket No. 520.49338X00

DECISION ON PETITION

This is a decision on the request filed July 1, 2011, seeking relief under the provisions of "Relief Available to Patent and Trademark Applicants, Patentees and Trademark Owners Affected by the Catastrophic Events of March 11, 2011 in Japan," 1365 Off. Gaz. Pat. Office 170 (April 19, 2011).

The request for relief is **GRANTED**.

In the above-identified application, an Office action was mailed on March 2, 2011. The instant petition was filed prior to the expiration of the period for reply and the certifications for granting of relief are considered to be met by the submission of the request.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

This application is being referred to the Technology Center, Art Unit 2128 for re-mailing the Office action of March 2, 2011. The period for reply will run from the mailing date of the Office action.

/Joan Olszewski/ Joan Olszewski Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Patent No.

: 7801026

Ser. No.

: 12/260430

Inventor(s)

KURASAWA, YUSUKE

Issued

: 09/21/2010

Title

: VIRTUALIZATION SWITCH AND METHOD FOR CONTROLLING A

VIRTUALIZATION SWITCH

Docket No. : 0221.1205

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

In regards to the alleged error(s) in the Abstract, the Abstract is printed in accordance with the Abstrct filed on 10-29-08 by the applicant/attorney.

In view of the foregoing, your request, in this matter, is hereby denied.

A Certificate of Correction Request accompanied by the \$100 fee can be filed to correct this error.

Omega Lewis For Mary Diggs Decisions & Certificates Of Correction Branch (703)756-1575 or (703) 756-1814

STAAS & HALSEY LLP **SUITE 700** 1201 NEW YORK AVENUE, N.W. **WASHINGTON DC 20005** 



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED
AUG 0 9 2011
OFFICE OF PETITIONS

MICHAEL A DESANCTIS HAMILTON DESANCTIS & CHA LLP FINANCIAL PLAZA AT UNION SQUARE 225 UNION BOULEVARD, SUITE 150 LAKEWOOD CO 80228

In re Application of

HUSSAIN, et al

Application No. 12/260,524 : ON PETITION

Filed: October 29, 2008

Attorney Docket No. FORT-002420 :

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed July 12, 2011, to revive the above-identified application.

### The petition is **GRANTED**.

The application became abandoned for failure to timely file a reply within the meaning of 37 CFR 1.113 to the final Office action of October 18, 2010. The proposed reply required for consideration of a petition to revive must be a Notice of Appeal (and appeal fee required by 37 CFR 41.20(b)(2), an amendment that *prima facie* places the application in condition for allowance, a Request for Continued Examination and submission (37 CFR 1.114), or the filing of a continuing application under 37 CFR 1.53(b). See MPEP 711.03(c)(II)(A)(2). No extensions of time pursuant to the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the date of abandonment of this application is January 19, 2011. A Notice of Abandonment was mailed May 27, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of a Request for Continued Examination (RCE) and fee of \$810, and the submission required by 37 CFR 1.114; (2) the petition fee of \$1620; and (3) a proper statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

This application is being referred to Technology Center AU 2465 for processing of the RCE and for appropriate action by the Examiner in the normal course of business on the amendment submitted in accordance with 37 CFR 1.114.

/Diane C. Goodwyn/ Diane C. Goodwyn Petitions Examiner Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: November 18,2011

In re Application of : DECISION ON REQUEST TO WITHDRAW AS

Brian Finkel ATTORNEY/AGENTOF RECORD

Application No: 12260578

Filed: 29-Oct-2008

Attorney Docket No: FINK 0102 PUS

This is an electronic decision on the Request to Withdraw as attorney or agent of record under 37 CFR § 1.36(b), filed November 18,2011

The request is **APPROVED.** 

The request was signed by David R. Syrowik (registration no. 27956 ) on behalf of all attorneys/agents associated with Customer Number 22045 . All attorneys/agents associated with Cusotmer Number 22045 have been withdrawn.

Since there are no remaining attorneys of record, all future communications from the Office will be directed to the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71, with correspondence address:

Name Brian G. Finkel

Name2

Address 1 21805 28 Mile Road, Ray Road

Address 2

City Ray Township

State MI

Postal Code 48096

Country US

As a reminder, requester is required to inform the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71 of the electronically processed petition.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petition	n automatically granted by EFS-Web	PTO/SB/83 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS			
Application Number	12260578			
Filing Date	29-Oct-2008			
First Named Inventor	Brian Finkel			
Art Unit	3657	3657		
Examiner Name	MARIANO SY			
Attorney Docket Number	FINK 0102 PUS			
Title	BRAKE CALIPER INCLUDING HEAT PIPES			
the practitioners of record	torney or agent for the above identified paten d associated with Customer Number:	t application and 22045		
The reason(s) for this request ar	e those described in 37 CFR:			
10.40(c)(1)(iv) 10.40(c)(1)(vi)				
Certifications				
I/We have given reasonabl intend to withdraw from er	e notice to the client, prior to the expiration of the mployment	response period, that the practitioner(s)		
I/We have delivered to the to which the client is entitle	client or a duly authorized representative of the cl	ient all papers and property (including funds)		
✓ I/We have notified the clie	nt of any responses that may be due and the time f	rame within which the client must respond		
Change the correspondence add oroperly made itself of record pu	ress and direct all future correspondence to the first rsuant to 37 CFR 3.71:	st named inventor or assignee that has		
Name	Brian G. Finkel			
Address	21805 28 Mile Road, Ray Road			
City	Ray Township			
State	MI			
Postal Code 48096				

Country	US		
I am authorized to sign on behalf of myself and all withdrawing practitioners.			
Signature	/David R. Syrowik/		
Name	David R. Syrowik		
Registration Number	27956		

Doc Code: PET.AUTO Document Description: Petition automatically granted by EFS-Web		PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce	
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313(	ATION FROM ISSUE AFTER PAYMENT OF	
Application Number	12260598		
Filing Date	29-Oct-2008		
First Named Inventor	Shigeru UTSUMI		
Art Unit	1782		
Examiner Name	MICHAEL MIGGINS		
Attorney Docket Number	334106US2		
Title	RADOME AND METHOD OF PRODUCING	THE SAME	
An application may be withdrawn from issue for further action upon petition by the applicant. To request that the Office withdraw an application from issue, applicant must file a petition under this section including the fee set forth in § 1.17(h) and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary.  APPLICANT HEREBY PETITIONS TO WITHDRAW THIS APPLICATION FROM ISSUE UNDER 37 CFR 1.313(c).			
A grantable petition requires the following items:  (1) Petition fee; and (2) One of the following reasons:  (a) Unpatentability of one or more claims, which must be accompanied by an unequivocal statement that one or more claims are unpatentable, an amendment to such claim or claims, and an explanation as to how the amendment causes such claim or claims to be patentable; (b) Consideration of a request for continued examination in compliance with § 1.114 (for a utility or plant application only); or (c) Express abandonment of the application. Such express abandonment may be in favor of a continuing application, but not a CPA under 37 CFR 1.53(d).			
Petition Fee			
Applicant claims SMALL ENTITY status. See 37 CFR 1.27.			
Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).			
Applicant(s) status remains as SMALL ENTITY.			
Applicant(s) status remains as other than SMALL ENTITY			
Reason for withdrawal from issue			

One or more claims are unpatentable			
Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)			
Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).			
RCE request, submission, and fee.			
I certify, in accordance with 37 CFR 1.4(d)(4) that:  The RCE request ,submission, and fee have already been filed in the above-identified application on  Are attached.			
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES		
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:		
<ul> <li>An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.</li> </ul>			
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.		
A sole inventor			
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors			
A joint inventor; all of whom are signing this e-petition			
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71			
Signature	/Eckhard H. Kuesters/		
Name	Eckhard H. Kuesters		
Registration Number	28870		



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: July 18,2011

In re Application of:

Shigeru UTSUMI

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12260598

Filed: 29-Oct-2008 Attorney Docket No: 334106US2

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed July 18,2011 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 1782 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

DATE

03/07/12

TO SPE OF

: ART UNIT \_\_\_\_\_1722\_

SUBJECT

: Request for Certificate of Correction for Appl. No.: 12260659 Patent No.:

CofC mailroom date: 02/29/12

Please respond to this request for a certificate of correction within 7 days.

#### **FOR IFW FILES:**

Please review the requested changes/corrections as shown in the COCIN document(s) in the IFW application image. No new matter should be introduced, nor should the scope or meaning of the claims be changed.

Please complete the response (see below) and forward the completed response to scanning using document code COCX.

#### **FOR PAPER FILES:**

Please review the requested changes/corrections as shown in the attached certificate of correction. Please complete this form (see below) and forward it with the file to:

Certificates of Correction Branch (CofC) Randolph Square - 9D10-A Palm Location 7580

Should the changes in the claims be approved?

Lamonte Newsome

**Certificates of Correction Branch** 

<u>571-272-3421</u>

Thank You For Your Assistance

The request for issuing the above-identified correction(s) is hereby:

Note your decision on the appropriate box.

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

app. 12260659 pot 8084192

Approved	OR CERTIFICATE OF CORRECTION  All changes apply.	
☐ Approved in Part	Specify below which chang	ges <b>do not</b> apply.
☐ Denied	State the reasons for denia	al below.
Comments:		
	Goth Hall	1722
	SPE	Art Unit





Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

BANNER & WITCOFF, LTD., ATTORNEYS FOR CLIENT NO. 006119 10 SOUTH WACKER DRIVE SUITE 3000 CHICAGO IL 60606

MAILED

DEC 08 2011

In re Application of

Iyer et al.

na :

Application No. 12/260709

Filing or 371(c) Date: 10/29/2008

Attorney Docket Number:

006119.00146

OFFICE OF PETITIONS

ON PETITION

This is a decision on the "Petition Pursuant to 37 CFR 1.181(a) to Withdraw Holding of Abandonment", filed November 16, 2011.

#### This Petition is hereby granted.

The above-identified application became abandoned for failure to timely and properly reply to the Office communication, mailed April 7, 2011. The Office action set a two (2) month period for reply, and provided for extensions of time under 37 CFR 1.136(a). No response having been received, the application became abandoned on June 8, 2011. A Notice of Abandonment was mailed October 27, 2011.

#### Applicant's Assertion

Applicant files the present petition and asserts that a timely reply to the Office action, including a request and fee for a one (1) month extension of time, was filed on July 7, 2011.

## Office records

A review of Office records reveal that the Office received, *inter alia*, an amendment and one (1) month extension of time on July 7, 2011.

#### Conclusion

In view of the foregoing the petition is granted. The holding of abandonment is hereby withdrawn.

The application will be referred to Technology Center Art Unit 3694 for processing of the amendment and remarks, filed July 7, 2011, and for continued examination in the normal course of business.

Telephone inquiries concerning this matter should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASHINGTON, DC 20004-2128

MAILED

JUN 22 2011

OFFICE OF PETITIONS

In re Application of

Shunpei Yamazaki, et al.

Application No. 12/260,788

Filed: October 29, 2008

Attorney Docket No.: 740756-3236

**DECISION ON PETITION** 

This is a decision on the petition, filed May 23, 2011, which is being treated as a petition under 37 CFR 1.8(b), requesting withdrawal of the holding of abandonment in the above-identified application.

#### The petition is **DISMISSED**.

This application was held abandoned for failure to timely pay the issue and publication fees on or before March 16, 2011, as required by the Notice of Allowance and Fee(s) Due, mailed December 16, 2010, which set a statutory period for reply of three (3) months.

Petitioner states that a timely reply was mailed via certificate of transmission on March 16, 2011 which included payment of the requisite issue fee. In support petitioner submits a copy of the sending unit's report and a copy of the previously transmitted correspondence.

The file record does not include the originally submitted papers. Failure to receive correspondence which includes a certificate of mailing or certificate of facsimile transmission is addressed in 37 CFR 1.8(b), reproduced below:

In the event that correspondence is considered timely filed by being mailed or transmitted in accordance with paragraph (a) of this section, but not received in the U.S. Patent and Trademark Office after a reasonable amount of time has elapsed from the time of mailing or transmitting of the correspondence, or after the application is held to be abandoned, or after the proceeding is dismissed, terminated, or decided with prejudice, the correspondence will be considered timely if the party who forwarded such correspondence:

(1) Informs the Office of the previous mailing or transmission of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence;

- (2) Supplies an additional copy of the previously mailed or transmitted correspondence and certificate; and
- (3) Includes a statement which attests on a personal knowledge basis or to the satisfaction of the Director to the previous timely mailing or transmission. If the correspondence was sent by facsimile transmission, a copy of the sending unit's report confirming transmission may be used to support this statement.

The petition does not comply with item (2), inasmuch as the copy of the previously transmitted correspondence is not legible. Consequently, the petition cannot be granted at this time.

If petitioner cannot supply the evidence necessary to withdraw the holding of abandonment, or simply does not wish to, petitioner should consider filing a petition under 37 CFR 1.137(b) stating that the delay was unintentional. Public Law 97-247, § 3, 96 Stat. 317 (1982), which revised patent and trademark fees, amended 35 U.S.C. § 41(a)(7) to provide for the revival of an "unintentionally" abandoned application without a showing that the delay in prosecution or in late payment of the issue fee was "unavoidable." This amendment to 35 U.S.C. § 41(a)(7) has been implemented in 37 CFR 1.137(b). An "unintentional" petition under 37 CFR 1.137(b) must be accompanied by the \$770 petition fee.

The filing of a petition under 37 CFR 1.137(b) cannot be intentionally delayed and therefore must be filed promptly. A person seeking revival due to unintentional delay cannot make a statement that the delay was unintentional unless the entire delay, including the date it was discovered that the application was abandoned until the filing of the petition to revive under 37 CFR 1.137(b), was unintentional. A statement that the delay was unintentional is not appropriate if petitioner intentionally delayed the filing of a petition for revival under 37 CFR 1.137(b).

Further correspondence with respect to this matter should be delivered through one of the following mediums:

By mail: Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand: Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax: (571) 273-8300

ATTN: Office of Petitions

By Internet:

EFS-Web<sup>1</sup>

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3204.

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions

<sup>&</sup>lt;sup>1</sup> www.uspto.gov/ebc/efs\_help.html (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

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JUL 292011

OFFICE OF PETITIONS

NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASHINGTON, DC 20004-2128

In re Application of

Shunpei Yamazaki, et al.

Application No.: 12/260,788

Filed: October 29, 2008

Attorney Docket No.: 740756-3236

**DECISION ON PETITION** 

This is a decision on the renewed petition, filed July 18, 2011, under 37 CFR 1.181 (no fee), requesting withdrawal of the holding of abandonment in the above-identified application.

## The petition is **GRANTED**.

The application was held abandoned for failure to timely pay the issue fees on or before March 16, 2011, as required by the Notice of Allowance and Fee(s) Due, mailed December 16, 2010, which set a statutory period for reply of three (3) months. A Notice of Abandonment was mailed on April 1, 2011.

Petitioner states that a timely reply was filed by facsimile transmission on March 16, 2011, which included the Fee Transmittal. Petitioner has submitted (1) a copy of Part B – Fee(s) Transmittal, which bears a certificate of mailing or transmission dated March 16, 2011 and (2) a transmission verification report showing a date and time of 3-16 15.28.

On reconsideration, the petition satisfies the above requirements of 37 CFR 1.8(b). Accordingly, the holding of abandonment for failure to timely file a reply to the Office action of December 16, 2010 is hereby withdrawn and the application restored to pending status.

The Notice of Abandonment mailed April 1, 2011 is vacated.

The Part B – Fee(s) Transmittal copy received with the petition will be accepted in place of the reply shown to have been transmitted by facsimile on March 16, 2011.

This application is being referred to the Office of Data Management for further processing in accordance with this decision on petition.

Telephone inquires related to this decision should be directed to the undersigned at (571) 272-3204. Telephone inquiries related to processing as a patent should be directed to (571) 272-4200.

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

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NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASHINGTON, DC 20004-2128

MAILED

AUG 112011

OFFICE OF PETITIONS

In re Application of

Shunpei Yamazaki, et al.

Application No.: 12/260,788

Filed: October 29, 2008

Attorney Docket No.: 740756-3236

ON PETITION

This is a decision on the petition, filed August 10, 2011, under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The petition is not signed by a registered patent attorney or patent agent of record. However, in accordance with 37 CFR 1.34, the signature of Mr. Jeffrey L. Costellia appearing on the correspondence shall constitute a representation to the United States Patent and Trademark Office that he is authorized to represent the particular party on whose behalf he acts.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on July 18, 2011, cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance. \(^1\)

Telephone inquiries relating to this decision should be directed to the undersigned at (571) 272-3204.

The application is being referred to Technology Center AU 2826 for further processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed Information Disclosure Statement (IDS).

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions

<sup>&</sup>lt;sup>1</sup> The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s)
Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

David B. Tingey 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, UT 84145

MAILED

JAN 03 2011

OFFICE OF PETITIONS

In re Application of

Michael Elliot

Application No. 12/260,789

Filed: October 29, 2008

Attorney Docket No. 15618.4

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed November 19, 2010.

# The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The request was signed by David B. Tingey on behalf of all attorneys of record. All attorneys/agents have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to the first named inventor Michael Elliot at the address indicated below.

The application became abandoned for failure to respond to the Office action mailed on May 17, 2010.

Telephone inquiries concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc:

Michael Elliot P.O. Box 521547 Salt Lake City, UT 84152



83126

David B. Tingey

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Abxandria, Vignina 22313-1450

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/260,789

1800 Eagle Gate Tower 60 East South Temple Salt Lake City, UT 84145 10/29/2008

Michael Elliott

15618.4

CONFIRMATION NO. 3308
POWER OF ATTORNEY NOTICE

\*0C00000045069693\*

Date Mailed: 12/21/2010

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/19/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/tsjohnson/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

JAN 24 2011

OFFICE OF PETITIONS

MAILED

Paul, Hastings, Janofsky & Walker, LLP 875 15<sup>th</sup> Street, NW Washington, DC 20005

In re Application of

Kevin Stewart Dick et al.

Application No. 12/260,821

Filed: October 29, 2008 Attorney Docket No. 70215.00007.CON1 **DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed December 8, 2010.

The request is moot because a revocation of power of attorney has been filed.

A review of the file record indicates that the power of attorney to Paul, Hastings, Janofsky & Walker, LLP., has been revoked by the assignee of the patent application on January 12, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to Kimberly Inabinet at 571-272-4618.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

cc:

Cooley, LLP Attn: Patent Group Suite 1100 777 – 6<sup>th</sup> Street, NW Washington, DC 20001



COMMISSIONER FOR PATENTS JNITED STATES PATENT AND TRADEMARK OFFICE P.D. 80X 1450 ALEXANDRIA, VA 223131450

Mark J. Pandiscio
Pandiscio & Pandiscio, P.C.
470 Totten Pond Road
Waltham MA 02451

MAILED
DEC 1 3 2010
OFFICE OF PETITIONS

In re Application of

Rourke, et al.

Application No. 12/260,858 Filed: October 29, 2008

Atty. Dkt. No.: VIA-50

: DECISION ON PETITION

This is a decision on the petition to withdraw the holding of abandonment under 37 CFR 1.181, filed November 16, 2010.

The Notice of Abandonment mailed November 4, 2010 indicates that the application is abandoned for failure to timely submit a proper reply to the Office action mailed April 28, 2010.

Petitioner asserts that a complete reply to the Office action was timely filed October 28, 2010 and have provided a copy of the reply.

The reply said to have been mailed October 28, 2010 was received by the Office on November 2, 2010 and is contained in the official application file. As the reply includes the appropriate extension of time fee as well as a certificate of mailing, the reply is deemed timely within the meaning of 37 CFR 1.8.

In view of the evidence presented, the petition to withdraw the holding of abandonment is hereby GRANTED.

The Notice of Abandonment is hereby <u>VACATED</u> and the holding of abandonment is <u>WITHDRAWN</u>.

The application file is being forwarded to Technology Center 3738 for further processing.

Telephone inquiries concerning this matter may be directed to the undersigned at (571) 272-3205.

|ALESIA M. BROWN| (

Alesia M. Brown Petitions Attorney Office of Petitions

#### United States Patent and Trademark Office



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United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
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## WILMERHALE/BOSTON 60 STATE STREET BOSTON MA 02109

MAILED

JAN 182011
OFFICE OF PETITIONS

In re Application of Elias Georges, et al.

Application No. 12/260,892 Filed: October 29, 2008

Attorney Docket No. 0112418.00147US4 /

AUR-01

DECISION ON PETITION
TO WITHDRAW
FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36, filed December 13, 2010.

The request is **NOT APPROVED**.

The request cannot be approved because it lacks a forwarding correspondence address of the first named inventor or a properly intervening assignee.

If the forwarding correspondence address is to the assignee, the Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest *that properly became of record under 37 CFR 3.71*. 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

Also, certification box #2 was checked.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: Marco Lestage, MBA, Adm.A.
2 complexe Desjardins, Suite 1717
P.O. Box 760
Desjardins Postal Station
Montreal, QC H5B 1B8
Canada



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usobo.gov

REGENERATION TECHNOLOGIES, INC. c/o MCANDREWS, HELD & MALLOY 500 WEST MADISON STREET 34TH FLOOR CHICAGO IL 60661

MAILED

JUN 10 2011

**OFFICE OF PETITIONS** 

In re Application of Davna Buskirk et al. Application No. 12/260,898

ON PETITION

Filed: October 29, 2008

Attorney Docket No. 13879US04

This is a decision on the petition filed May 12, 2011, to withdraw the holding of abandonment. The petition is being treated under 37 CFR 1.181, in accordance with the reasoning of the decision in <u>Delgar Inc. v. Schuyler</u>, 172 USPQ 513.

The petition under 37 CFR 1.181 is **GRANTED**.

This application became abandoned January 22, 2009 for failure to file a response to the Notice to File Corrected Application Papers mailed November 22, 2008. Accordingly, a Notice of Abandonment was mailed July 28, 2009.

Petitioner claims that notwithstanding a Revocation and Power of Attorney, the Notice to File Corrected Application Papers and Notice of Abandonment were not received as they were both addressed to Timothy H. Van Dyke at Bencen & Van Dyke, P.A., 1630 Hillcrest Street, Orlando, FL 32803.

A review of the file reveals that while the OATH submitted upon filing was from parent application 11/007,679, and gave the power of attorney to Timothy H. Van Dyke at Bencen & Van Dyke, P.A., 1630 Hillcrest Street, Orlando, FL 32803, as noted by petitioner, a Revocation and Power of Attorney was also filed and the correspondence address should have been that associated with customer number 52727. Thus, the Notice to File Corrected Application Papers was mailed to the wrong address and as petitioners claim, not received.

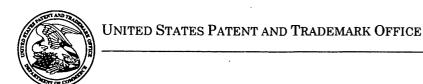
In view of the above, no petition fees are due and none have been charged.

The Notice of Abandonment is withdrawn and this matter is being referred to the Office of Patent Application Processing for a re-mailing of the Notice to File Corrected Application Papers.

Telephone inquiries concerning this matter should be directed to the undersigned Petitions Attorney at (571) 272-3212.

Patricia Faison-Ball Senior Petitions Attorney

Office of Petitions



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Muncy, Geissler, Olds & Lowe, PLLC 4000 Legato Road Suite 310 FAIRFAX VA 22033 MAILED
DEC 0 7 2010
OFFICE OF PETITIONS

In re Application of

Lo : DECISION ON PETITION

Application No. 12/261,222

Filed: October 30, 2008

Atty. Dkt. No.: 5545/0375PUS1

This is a decision on the petition under 37 CFR 1.137(b), filed November 16, 2010.

## The petition is **GRANTED**.

This application became abandoned January 18, 2009 for failure to timely reply to the Notice to File Corrected Application Papers (Notice) mailed November 17, 2008. The Notice set a two month statutory period of time for reply. Notice of Abandonment was mailed July 24, 2009.

A grantable petition under 37 CFR 1.137(b) must be accompanied by: (1) the required reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d). Where there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137 was unintentional, the Director may require additional information. See, MPEP 711.03(c)(II)(C) and (D).

The instant petition has been carefully reviewed and found in compliance with the requirements set forth above.

The file does not indicate a change of address has been submitted, although the address given on the petition differs from the address of record. If appropriate, a change of address should be filed in accordance with MPEP 601.03. A courtesy copy of this decision is being mailed to the address given on the petition; however, the Office will mail all future correspondence solely to the address of record.

In view thereof, this application is being forwarded to the Office of Patent Application Processing for further processing.

Telephone inquiries concerning this matter may be directed to the undersigned at (571) 272-3205.

# /ALESIA M. BROWN/

Alesia M. Brown Petitions Attorney Office of Petitions

CC: Joe McKinney Muncy PO Box 1364 Fairfax, VA 22038-1364



# United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/261,374	10/30/2008	Klaus Wolff	REDL:002USC1/10909093	4318
			EXAMINER	
FULBRIGHT & JAWORSKI L.L.P. 600 CONGRESS AVE. SUITE 2400 AUSTIN, TX 78701		SHEN, WU CHENG WINSTON		
		1	ART UNIT	PAPER NUMBER
AUSTIN, TX 7	6701		1632	
			NOTIFICATION DATE	DELIVERY MODE
		,	03/22/2011	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

aopatent@fulbright.com

#### UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 2 2 2011

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

TRAVIS M. WOHLERS FULBRIGHT & JAWORSKI L.L.P. 600 CONGRESS AVENUE, SUITE 2400 AUSTIN, TEXAS 78701

In re Application of

Wolff et al.

Decision on Petition

Serial No.: 12/261,374 Filed: October 30, 2008

Attorney Docket No.: REDL: 002USC1/10909093

This letter is in response to the Petition of December 27, 2010 under 37 CFR 1.144 to request the reconsideration of the restriction requirement mailed on October 15, 2009.

#### **BACKGROUND**

On October 30, 2008, the present application was filed as a continuation application of U.S. patent application 10/490,920 (filed on March 26, 2004), which was in turn a national stage application of PCT/EP02/10899, filed on September 27, 2002. Because the application was filed under 35 USC 111(a), it is entitled to consideration under U.S. restriction practice.

The present application was filed with 19 claims, including claims 1 and 3.

On October 15, 2009, the Examiner issued a Requirement for Restriction ("Requirement") requiring an election of one of elective Groups I-IX. Of these Groups, each of Groups I-IV included claims 1 and 3. Groups I-IV were set forth as follows:

1. Claims 1-4 and 8-13, drawn to an isolated polynucleotide molecule comprising a nucleotide sequence of an infectious human endogenous retrovirus, which sequence is or is complementary to a sequence which: (a) has at least 99% identity to SEQ ID NO: 1; (b) has 100% identity to a fragment of SEQ ID NO: 1, the fragment comprising at least 15 nucleotides of SEQ ID NO:I and including at least one of nucleotides 416, 602, 630, 639, 654, 738, 799, 802, 866, 945, 953, 972, 1149, 1348, 1413, 1642, 1653, 1668, 1701, 1989, 2068, 2087, 2090, 2097, 2100, 2124, 2163, 2198, 2238, 2253, 2273, 2305, 2313, 2330, 2461, 2501, 2502, 2543, 2554, 2590, 2654, 2659-2661, 2677, 2741, 2763, 2808, 2815, 2816, 2878, 2938, 2974, 3052, 3091, 3105, 3124, 3178, 3363, 3664, 3700, 4040, 4115, 4219, 4225, 4255, 4336, 4465, 4517, 4771, 4780, 5402, 5719, 6456, 6458, 6464, 6802, 7146, 7262, 7340, 7347, 7388, or 7436 of SEQ ID NO: I; and/or (c)

- comprises a sequence inserted into a vector pCR4-Topo and deposited as any of "MERV-env", "MERV-gag", "MERV-prt" and "MERV-pol" at the DSMZ on 26 September 2001 or a fragment of that sequence, wherein the fragment of SEQ ID NO: 1 comprises a sequence identical to SEQ ID NO: 2, classified in class 536, subclass 23.1.
- II. Claims 1-3, 5, and 8-13, drawn to an isolated polynucleotide molecule comprising a nucleotide sequence of an infectious human endogenous retrovirus, which sequence is or is complementary to a sequence which: (a) has at least 99% identity to SEQ ID NO: 1; (b) has 100% identity to a fragment of SEQ ID NO: 1, the fragment comprising at least 15 nucleotides of SEQ ID NO:I and including at least one of nucleotides 416, 602, 630, 639, 654, 738, 799, 802, 866, 945, 953, 972, 1149, 1348, 1413, 1642, 1653, 1668, 1701, 1989, 2068, 2087, 2090, 2097, 2100, 2124, 2163, 2198, 2238, 2253, 2273, 2305, 2313, 2330, 2461, 2501, 2502, 2543, 2554, 2590, 2654, 2659-2661, 2677, 2741, 2763, 2808, 2815, 2816, 2878, 2938, 2974, 3052, 3091, 3105, 3124, 3178, 3363, 3664, 3700, 4040, 4115, 4219, 4225, 4255, 4336, 4465, 4517, 4771, 4780, 5402, 5719, 6456, 6458, 6464, 6802, 7146, 7262, 7340, 7347, 7388, or 7436 of SEQ ID NO: 1; and/or (c) comprises a sequence inserted into a vector pCR4-Topo and deposited as any of "MERV-env", "MERV-gag", "MERV-prt" and "MERV-pol" at the DSMZ on 26 September 2001 or a fragment of that sequence, wherein the fragment of SEQ ID NO: 1 comprises a sequence identical to SEQ ID NO: 4, classified in class 536, subclass 23.1.
- Claims 1-3, 6, and 8-13, drawn to an isolated polynucleotide molecule comprising a nucleotide sequence of an infectious human endogenous retrovirus, which sequence is or is complementary to a sequence which: (a) has at least 99% identity to SEQ ID NO: 1; (b) has 100% identity to a fragment of SEQ ID NO: 1, the fragment comprising at least 15 nucleotides of SEQ ID NO:I and including at least one of nucleotides 416, 602, 630, 639, 654, 738, 799, 802, 866, 945, 953, 972, 1149, 1348, 1413, 1642, 1653, 1668, 1701, 1989, 2068, 2087, 2090, 2097, 2100, 2124, 2163, 2198, 2238, 2253, 2273, 2305, 2313, 2330, 2461, 2501, 2502, 2543, 2554, 2590, 2654, 2659-2661, 2677, 2741, 2763, 2808, 2815, 2816, 2878, 2938, 2974, 3052, 3091, 3105, 3124, 3178, 3363, 3664, 3700, 4040, 4115, 4219, 4225, 4255, 4336, 4465, 4517, 4771, 4780, 5402, 5719, 6456, 6458, 6464, 6802, 7146, 7262, 7340, 7347, 7388, or 7436 of SEQ ID NO: 1; and/or (c) comprises a sequence inserted into a vector pCR4-Topo and deposited as any of "MERV-env", "MERV-gag", "MERV-prt" and "MERV-pol" at the DSMZ on 26 September 2001 or a fragment of that sequence, wherein the fragment of SEQ ID NO: 1 comprises a sequence identical to SEQ ID NO: 5, classified in class 536, subclass 23.1.
- IV. Claims 1-3, 7, and 8-13, drawn to an isolated polynucleotide molecule comprising a nucleotide sequence of an infectious human endogenous retrovirus, which sequence is or is complementary to a sequence which: (a) has at least 99% identity to SEQ ID NO: 1; (b) has 100% identity to a fragment of SEQ ID NO: 1, the fragment comprising at least 15 nucleotides of SEQ ID NO:I and including at least one of nucleotides 416, 602, 630, 639, 654, 738, 799, 802, 866, 945, 953, 972, 1149, 1348, 1413, 1642, 1653, 1668, 1701, 1989, 2068, 2087, 2090, 2097, 2100, 2124, 2163, 2198, 2238, 2253, 2273, 2305, 2313, 2330, 2461, 2501, 2502, 2543, 2554, 2590, 2654, 2659-2661, 2677, 2741, 2763, 2808, 2815, 2816, 2878, 2938, 2974, 3052, 3091, 3105, 3124, 3178, 3363, 3664, 3700, 4040, 4115, 4219, 4225, 4255, 4336, 4465, 4517, 4771, 4780, 5402, 5719, 6456, 6458, 6464, 6802, 7146, 7262, 7340, 7347, 7388, or 7436 of SEQ ID NO: 1; and/or (c) comprises a sequence inserted into a vector pCR4-Topo and deposited as any of "MERV-env", "MERV-gag", "MERV-prt" and "MERV-pol" at the DSMZ on 26 September 2001 or a fragment of that sequence, wherein the fragment of SEQ ID NO: 1 comprises a sequence identical to SEQ ID NO: 3, classified in class 536, subclass 23.1.

It is noted that each of these Groups varies from the others by the identification of a different fragment from those presented in claim 3, which is itself dependent from claim 1.

The Requirement included the statement that "Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all of the limitations of an allowable generic claim as provided by 37 CFR 1.141."

On November 16, 2009, Applicants elected, without traverse, the claims drawn to Group I and made appropriate species elections. Response to Restriction Requirement of November 16, 2009. However, while not traversing the requirement of election among Groups I-IV, the Response did specifically note that claim 1 linked the inventions of Groups I-IV, and that they reserved the right to have the restriction requirement of these groups withdrawn upon the indication of allowability of a linking claim.

On January 22, 2010, the Examiner issued a first Non-Final Office action, which action set forth an objection to claim 3 for reading on non-elected subject matter.

On March 19, 2010, the Applicant submitted a first Amendment in response to the first Non-Final action arguing that the various inventions encompassed by claim 3 are linked by claim 1, and that they are retaining the non-elected subject matter in claim 3 for potential rejoinder upon indication of an allowable linking claim (claim 1).

The Examiner maintained the objection in each of the following Office actions of June 16 and September 27, 2010; with the Applicant arguing the presence of the linking claim in each response to those actions filed on September 16 and December 27, 2010.

The present Petition was filed along with the response of December 27, 2010. In the Petition, the Applicant asks for recognition of claim 1 as a generic linking claim, which recognition should have been included in the original Requirement according to MPEP 818.03(d).

#### DISCUSSION

The application, file history, and petition filed on August 30, 2010 to request review of the restriction requirement has been considered.

MPEP § 806.04(d) defines a generic claim as a claim that requires no material element additional to those required by the species claims, and wherein each of the species requires all of the limitations of the generic claim.

MPEP § 809 indicates that "There are a number of situations which arise in which an application has claims to two or more properly divisible inventions, so that a requirement to restrict the claims of the application to one would be proper, but presented in the same case are one or more claims (generally called "linking" claims) which, if allowable, would require rejoinder of the otherwise divisible inventions." This section of the MPEP also indicates that "The linking claims must be examined with, and thus are considered part of, the invention elected. When all claims directed to the elected invention are allowable, should any linking claim be allowable, the restriction requirement between the linked inventions must be withdrawn."

MPEP § 814 III indicates that "The generic or other linking claims should not be associated with any one of the linked inventions since such claims must be examined with the elected invention."

In the present case, the Requirement included each of claims 1 and 3 in the claim listing for each of Groups I-IV. The Examiner asserts that, by including each of these claims in each of Groups I-IV, it was indicated that these claims were not considered linking claims. See e.g., Action of September 2010, page 4. This is consistent with MPEP § 814 III.

However, the Examiner has nowhere provided any basis as to why claim 1 is not considered to be generic to the indicated inventive Groups.

Claim 3 sets forth the sequences on which the four Groups were based, SEQ ID NOs: 2-5. Claim 3 is a dependent claim from claim 1. This indicates that claims 1 meets the definitions of a linking claim as set forth in MPEP §§ 806.04(d) and 809. Therefore, on its face, claim 1 appears to be generic to each of the sequences of claim 3, and therefore to each of Groups I-IV.

Thus, while the Examiner may not have recognized claim 1 as a generic linking claim to Groups I-IV, the facts of the case indicate that the claim should have been so recognized. As such, the Examiner is obliged to consider the patentability of claim 1 under MPE0 § 809, and would be required to withdraw the restriction among the inventions encompassed by this claim upon indication that the linking claim is allowable.

#### **DECISION**

For these reasons above, the petition under 37 C.F.R. 1.181 is GRANTED.

Claim 1 is a linking claim which will be examined according to MPEP §§ 809 and 818.03(d). If the linking claim becomes allowable, the restriction requirement will be withdrawn between the linked inventions, and the non-elected claims will be rejoined according to MPEP § 821.04(a).

The application will be forwarded to the examiner for consideration of the papers filed on 27 December 2010 and for preparation of an Office action consistent with this decision.

Should there be any questions regarding this decision, please contact Supervisory Patent Examiner Zachariah Lucas, by mail addressed to Director, Technology Center 1600, PO BOX 1450, ALEXANDRIA, VA 22313-1450, or by telephone at (571) 272-1600 or by Official Fax at 703-872-9306.

Jacqueline Stone

motore

Director, Technology Center 1600



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GIBSON & DERNIER, LLP 900 ROUTE 9 NORTH SUITE 504 WOODBRIDGE, NJ 07095

MAILED

JUN 15 2011

In re Application of

Hartley D. Peavey

Application No. 12/261,401 Filed: October 30, 2008

Attorney Docket No. 509/45

OFFICE OF PETITIONS

DECISION ON PETITION TO WITHDRAW FROM

RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 CFR §§ 1.36(b) or 10.40 filed May 6, 2011.

The request is **APPROVED**.

The request was signed by Matthew B. Dernier on behalf of himself and all attorneys/agents associated with Customer Number 27538. Therefore, Matthew B. Dernier and all the attorneys/agents associated with Customer Number 27538 have been withdrawn.

Applicant is reminded that there are no attorneys/agents of record at this time.

There is an outstanding Office action mailed on March 28, 2011, that requires a reply from the applicant.

All future communications from the Office will be directed to the intervening assignee who complied with the requirements of 37 CFR 3.73(b) at the address listed below until otherwise properly notified by the applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at

(571) 272-3226

Andrea Smith Petitions Examiner Office of Petitions

cc: Peavey Electronics Corporation 5022 Hartley Peavey Drive Meridian, MS 39305



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Viginia 22313-1450 www.uuppio.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/261,401

10/30/2008

Hartley D. Peavey

509/45 CONFIRMATION NO. 4363

27538 GIBSON & DERNIER LLP 900 ROUTE 9 NORTH SUITE 504

WOODBRIDGE, NJ 07095

POWER OF ATTORNEY NOTICE

Date Mailed: 06/10/2011

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/06/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/amsmith/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

**DEERE & COMPANY** ONE JOHN DEERE PLACE **MOLINE. IL 61265** 

MAILED JAN 1 0 2011 OFFICE OF PETITIONS

In re Application of

Terry L. SNIPES, et al.

Application No. 12/261,454 Filed: October 30, 2008

DECISION ON PETITION . UNDER 37 CFR 1.137(b)

Attorney Docket No. 18626-US

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed August 11, 2010, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the nonfinal Office action mailed, January 12, 2010, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on April 13, 2010.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$1620; and (3) an adequate statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to Monica A. Graves at (571) 272-7253.

This application is being referred to Technology Center AU 3641 for appropriate action by the Examiner in the normal course of business on the reply received August 11, 2010.

Thurman K. Page Petitions Examiner Office of Petitions

# SPE RESPONSE FOR CERTIFICATE OF CORRECTION

		Раре	er <b>No</b> .:20110829
DATE	: August 30, 2011		
TO SPE OF	: ART UNIT 3662		
SUBJECT	: Request for Certificate of Correct	ction on Patent No.: 7986585	
A response is	requested with respect to the accomp	canying request for a certificate of c	correction.
Please complete this form and return with file, within <b>7</b> days to: <b>Certificates of Correction Branch - PK 3-910</b> Palm location <b>7590</b> - Tel. No. 305-8201			
With respect to the change(s) requested, correcting Office and/or Applicant's errors, should the patent read as shown in the certificate of correction? No new matter should be introduced, nor should the scope or meaning of the claims be changed.			
Thank You F	For Your Assistance	Certificates of Correction	Branch
	for issuing the above-identified on the appropriate box.	correction(s) is hereby:	
⊠ Ар	proved	All changes apply.	
□Ар	proved in Part	Specify below which changes do I	not apply.
☐ De	nied	State the reasons for denial below	·
Comments:			
		SPE: /Thomas H. Tarcza/	Art Unit 3662



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RICHARD R. MUCCINO 758 Springfield Avenue Summit, NJ 07901

# MAILED

AUG 3 0 2010

OFFICE OF PETITIONS

In re Application of

Gilbert Buchalter

Application No. 12/261,564

Filed: October 30, 2008

Attorney Docket No. PI1-003

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 23, 2010.

# The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The request was signed by Richard R. Muccino on behalf of all attorneys of record. All attorneys/agents have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to the first named inventor Gilbert Buchalter at the address indicated below.

Telephone inquiries concerning this decision should be directed to Terri Johnson at 571-272-2991.

Petitions Examiner Office of Petitions

cc:

Gilbert Buchalter

**Pharmaceutical Innovations** 897 Frelinghusen Avenue Newark NJ 07114



41606

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/261,564

RICHARD R. MUCCINO 758 SPRINGFIELD AVENUE

**SUMMIT, NJ 07901** 

10/30/2008

Gilbert Buchalter

PI1-003

**CONFIRMATION NO. 4638 POWER OF ATTORNEY NOTICE** 

Date Mailed: 08/30/2010

# NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/23/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/tsjohnson/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

#### UNITED STATES PATENT AND TRADEMARK OFFICE



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RAJA SINGH TULI SUITE 1130 555 RENE LEVES'QUE WEST MONTREAL QC H2Z 1B1 CA CANADA

**MAILED** 

JUL 15 2011

OFFICE OF PETITIONS

In re Application of

Tuli

Application No. 12/261,617 : DECISION

Filed/Deposited: 30 October, 2008

Attorney Docket No. LOWER 02

This is a decision on the papers filed on 28 June, 2011, considered as a petition pursuant to 37 C.F.R. §1.181 (no fee) requesting withdrawal of the holding of abandonment in the above-identified application.

## **NOTE:**

The regulations at 37 C.F.R. §1.23 provide:

# 37 C.F.R. §1.23 Method of payment.

- (a) All payments of money required for United States Patent and Trademark Office fees, including fees for the processing of international applications (§ 1.445), shall be made in U.S. dollars and in the form of a cashier's or certified check, Treasury note, national bank notes, or United States Postal Service money order. If sent in any other form, the Office may delay or cancel the credit until collection is made. Checks and money orders must be made payable to the Director of the United States Patent and Trademark Office. (Checks made payable to the Commissioner of Patents and Trademarks will continue to be accepted.) Payments from foreign countries must be payable and immediately negotiable in the United States for the full amount of the fee required. Money sent to the Office by mail will be at the risk of the sender, and letters containing money should be registered with the United States Postal Service.
- (b) Payments of money required for United States Patent and Trademark Office fees may also be made by credit card, except for replenishing a deposit account. Payment of a fee by credit card must specify the amount to be charged to the credit card and such other information as is necessary to process the charge, and is subject to collection of the fee. The Office will not accept a general authorization

to charge fees to a credit card. If credit card information is provided on a form or document other than a form provided by the Office for the payment of fees by credit card, the Office will not be liable if the credit card number becomes public knowledge. (Emphasis supplied.)

Petitioner appears to have chosen not to comply with these requirements.

The petition pursuant to 37 C.F.R. §1.181 is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 C.F.R. §1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition pursuant to 37 C.F.R. §1.181."

This is **not** a final agency action within the meaning of 5 U.S.C. §704.

# As to the Request to Withdraw the Holding of Abandonment

Petitioner is directed to the Commentary at MPEP §711.03(c)(I) for guidance as to the proper showing requirements for relief pursuant to 37 C.F.R. §1.181.

Petitioner appears <u>not</u> to comply with the guidance in the Commentary at MPEP §711.03(c)(I)—as discussed below, Petitioner has failed to satisfy the showing requirements set forth there. Petitioner may find it beneficial to review that material and move step-wise through that guidance in the effort to satisfy the showing requirements (statements and supporting documentation).

#### **BACKGROUND**

The record reflects as follows:

Petitioner failed to reply timely and properly to the Notice of Allowance/Allowability and Fees Due mailed on 7 March, 2011, with reply due under a non-extendable deadline on or before 7 June, 2011.

The application went abandoned after midnight 7 June, 2011.

The Office mailed the Notice of Abandonment on 20 June, 2011.

On 28 June, 2011, Petitioner filed, *inter alia*, a petition pursuant to 37 C.F.R. §1.181—with an averment that he submitted payment by check. An examination of the copy of the document submitted by Petitioner reveals—despite the fact that Petitioner blacked out the bank routing number—clearly demonstrates that the bank on which the check was drawn was the "Bank of Montreal" (BMO), which is not a bank in the United States, and that the funds specified were not U.S. funds.

As noted above, the regulations at 37 C.F.R. §1.23 provide:

## 37 C.F.R. §1.23 Method of payment.

- (a) All payments of money required for United States Patent and Trademark Office fees, including fees for the processing of international applications (§ 1.445), shall be made in U.S. dollars and in the form of a cashier's or certified check, Treasury note, national bank notes, or United States Postal Service money order. If sent in any other form, the Office may delay or cancel the credit until collection is made. Checks and money orders must be made payable to the Director of the United States Patent and Trademark Office. (Checks made payable to the Commissioner of Patents and Trademarks will continue to be accepted.) Payments from foreign countries must be payable and immediately negotiable in the United States for the full amount of the fee required. Money sent to the Office by mail will be at the risk of the sender, and letters containing money should be registered with the United States Postal Service.
- (b) Payments of money required for United States Patent and Trademark Office fees may also be made by credit card, except for replenishing a deposit account. Payment of a fee by credit card must specify the amount to be charged to the credit card and such other information as is necessary to process the charge, and is subject to collection of the fee. The Office will not accept a general authorization to charge fees to a credit card. If credit card information is provided on a form or document other than a form provided by the Office for the payment of fees by credit card, the Office will not be liable if the credit card number becomes public knowledge. (Emphasis supplied.)

Petitioner appears to have chosen not to comply with these requirements. The Rules of Practice provide protections and benefits for applicants and practitioners, however, those protections and benefits are unavailable when those rules are not complied with/followed. The Office is not responsible for a Petitioner's choice not to comply with the Rules of Practice.

Thus, Petitioner has failed to satisfy the requirements under the Rule, and so has not satisfied the showing under the Rule.

The guidance in the Commentary at MPEP §711.03(c)(I) provides in pertinent part as to timely fling:

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37 C.F.R. §1.10(c) through §1.10(e) and §1.10(g) set forth procedures for petitioning the Director of the USPTO to accord a filing date to correspondence as of the date of deposit of the correspondence as "Express Mail." A petition to withdraw the holding of abandonment relying upon a timely reply placed in "Express Mail" must include an appropriate petition under 37 C.F.R. §1.10(c), (d), (e), or (g) (see MPEP §513). When a paper is shown to have been mailed to the Office using the "Express Mail" procedures, the paper must be entered in PALM with the "Express Mail" date.

Similarly, applicants may establish that a reply was filed with a postcard receipt that properly identifies the reply and provides *prima facie* evidence that the reply was timely filed. See MPEP §503. For example, if the application has been held abandoned for failure to file a reply to a first Office action, and applicant has a postcard receipt showing that an amendment was timely filed in response to the Office action, then the holding of abandonment should be withdrawn upon the filing of a petition to withdraw the holding of abandonment. When the reply is shown to have been timely filed based on a postcard receipt, the reply must be entered into PALM using the date of receipt of the reply as shown on the post card receipt.

Where a certificate of mailing under 37 C.F.R. §1.8, but not a postcard receipt, is relied upon in a petition to withdraw the holding of abandonment, see 37 C.F.R. 1.8(b) and MPEP §512. As stated in 37 C.F.R. §1.8(b)(3) the statement that attests to the previous timely mailing or transmission of the correspondence must be on a personal knowledge basis, or to the satisfaction of the Director of the USPTO. If the statement attesting to the previous timely mailing is not made by the person who signed the Certificate of Mailing (i.e., there is no personal knowledge basis), then the statement attesting to the previous timely mailing should include evidence that supports the conclusion that the correspondence was actually mailed (e.g., copies of a mailing log establishing that correspondence was mailed for that application). When the correspondence is shown to have been timely filed based on a certificate of mailing, the correspondence is entered into PALM with the actual date of receipt (i.e., the date that the duplicate copy of the papers was filed with the statement under 37 C.F.R. §1.8).

37 C.F.R. §1.8(b) also permits applicant to notify the Office of a previous mailing or transmission of correspondence and submit a statement under 37 C.F.R. §1.8(b)(3) accompanied by a duplicate copy of the correspondence when a reasonable amount of time (e.g., more than one month) has elapsed from the time of mailing or transmitting of the correspondence. Applicant does not have to wait until the application becomes abandoned before notifying the Office of the previous mailing or transmission of the correspondence. Applicant should check the private Patent Application Information

Retrieval (PAIR) system for the status of the correspondence before notifying the Office. See MPEP §512. <sup>1</sup>

\*\*\*

A Petitioner unable to comply with and/or otherwise satisfy these requirements may wish to revive the application: Petitioner may wish to properly file a petition to the Commissioner requesting revival of an application abandoned due to unintentional delay under 37 C.F.R. §1.137(b). (See:

http://www.uspto.gov/web/offices/pac/mpep/documents/0700\_711\_03\_c.htm#sect711.03c)

Out of an abundance of caution, Petitioners always are reminded that the filing of a petition under 37 C.F.R. §1.181 does not toll any periods that may be running any action by the Office and a petition seeking relief under the regulation must be filed within two (2) months of the act complained of (see: 37 C.F.R. §1.181(f)), and those registered to practice and all others who make representations before the Office must inquire into the underlying facts of representations made to the Office and support averments with the appropriate documentation—since all owe to the Office the continuing duty to disclose.<sup>2</sup>

The availability of applications and application papers online to applicants/practitioners who diligently associate their Customer Number with the respective application(s) now provides an applicant/practitioner on-demand information as to events/transactions in an application.

#### STATUTES, REGULATIONS

Congress has authorized the Commissioner to "revive an application if the delay is shown to the satisfaction of the Commissioner to have been "unavoidable." 35 U.S.C. §133 (1994). And the regulations at 37 C.F.R. §1.137(a) and (b) set forth the requirements for a Petitioner to revive a previously unavoidably or unintentionally, respectively, abandoned application.<sup>3</sup>,<sup>4</sup>

See: MPEP §711.03(c) (I)(B).

<sup>2</sup> See supplement of 17 June, 1999. The Patent and Trademark Office is relying on Petitioner's duty of candor and good faith and accepting a statement made by Petitioner. See Changes to Patent Practice and Procedure, 62 Fed. Reg. at 53160 and 53178, 1203 Off. Gaz. Pat. Office at 88 and 103 (responses to comments 64 and 109)(applicant obligated under 37 C.F.R. §11.18 (formerly 37 C.F.R. §10.18) to inquire into the underlying facts and circumstances when providing statements to the Patent and Trademark Office).

<sup>&</sup>lt;sup>3</sup> See: Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. at 53158-59 (October 10, 1997), 1203 Off. Gaz. Pat. Office at 86-87 (October 21, 1997).

The language of 35 U.S.C. §133 and 37 C.F.R. §1.137(a) is clear, unambiguous, and without qualification: the delay in tendering the reply to the outstanding Office action, as well as filing the first petition seeking revival, must have been unavoidable for the reply now to be accepted on petition. (Therefore, by example, an unavoidable delay in the payment of the Filing Fee might occur if a reply is shipped by the US Postal Service, but due to catastrophic accident, the delivery is not made.) Delays in responding properly raise the question whether delays are unavoidable. Where there is a question whether the delay was unavoidable, Petitioners must meet the burden of establishing that the delay was unavoidable within the meaning of 35 U.S.C. §133 and 37 C.F.R. §1.137(a) And the Petitioner must be diligent in attending to the matter. Failure to do so does not constitute the care required under Pratt, and so cannot satisfy the test for diligence and due care. (By contrast, unintentional delays are those that do not satisfy the very strict statutory and regulatory requirements of unavoidable delay, and also, by definition, are not intentional.))

Moreover, the Office has set forth in the Commentary at MPEP §711.03(c)(I) the showing and timeliness requirements for a proper showing for relief under 37 C.F.R. §1.181 in these matters.

Decisions on reviving abandoned applications on the basis of "unavoidable" delay have adopted the reasonably prudent person standard in determining if the delay was unavoidable:

The word 'unavoidable' . . . is applicable to ordinary human affairs, and requires no more or greater care or diligence than is generally used and observed by prudent and careful men in relation to their most important business. It permits them in the exercise of this care to rely upon the ordinary and trustworthy agencies of mail and telegraph, worthy and reliable employees, and such other means and instrumentalities as are usually employed in such important business. If unexpectedly, or through the unforeseen fault or imperfection of these agencies and instrumentalities, there occurs a failure, it may properly be said to be unavoidable, all other conditions of promptness in its rectification being present.<sup>5</sup>

Allegations as to the Request to Withdraw the Holding of Abandonment

The guidance in the Commentary at MPEP §711.03(c)(I) specifies the showing required and how it is to be made and supported.

Petitioner appears not to have made the showing required.

## **CONCLUSION**

Accordingly, the petition pursuant to 37 C.F.R. §1.181 is dismissed.

## **ALTERNATIVE VENUE**

Should Petitioner wish to revive the application, Petitioner may wish to properly file a petition to the Commissioner pursuant to 37 C.F.R. §1.137(b) requesting revival of an application abandoned due to unintentional delay. (See:

http://www.uspto.gov/web/offices/pac/mpep/documents/0700 711 03 c.htm#sect711.03c)

<sup>&</sup>lt;sup>5</sup> In re Mattullath, 38 App. D.C. 497, 514-15 (1912)(quoting Ex parte Pratt, 1887 Dec. Comm'r Pat. 31, 32-33 (1887)); see also Winkler v. Ladd, 221 F. Supp. 550, 552, 138 USPQ 666, 167-68 (D.D.C. 1963), aff'd, 143 USPQ 172 (D.C. Cir. 1963); Ex parte Henrich, 1913 Dec. Comm'r Pat. 139, 141 (1913). In addition, decisions on revival are made on a "case-by-case basis, taking all the facts and circumstances into account." Smith v. Mossinghoff, 671 F.2d 533, 538, 213 USPQ 977, 982 (D.C. Cir. 1982). Finally, a petition cannot be granted where a petitioner has failed to meet his or her burden of establishing that the delay was "unavoidable." Haines v. Quigg, 673 F. Supp. 314, 316-17, 5 USPQ2d 1130, 1131-32 (N.D. Ind. 1987).

A petition to revive on the grounds of unintentional delay <u>must be filed promptly and such</u> <u>petition must be accompanied by the reply, the petition fee, a terminal disclaimer and fee where appropriate and a statement that "the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional." (The statement is in the form available online.)</u>

Petitioner is cautioned that the failure to file timely a petition pursuant to 37 C.F.R. §1.137(b) seeking to revive an application abandoned due to unintentional delay may be considered *indicia* of delay that is other than unintentional.

Further correspondence with respect to this matter should be addressed as follows:

By Mail: Mail Stop PETITION

**Commissioner for Patents** 

P. O. Box 1450

Alexandria, VA 22313-1450

By hand: U. S. Patent and Trademark Office

Customer Service Window, Mail Stop Petitions

Randolph Building 401 Dulany Street Alexandria, VA 22314

By facsimile: (571) 273-8300

Attn: Office of Petitions

Telephone inquiries regarding this decision may be directed to the undersigned at (571) 272-3214—it is noted, however, that all practice before the Office is in writing (see: 37 C.F.R. §1.2<sup>6</sup>) and the proper authority for action on any matter in this regard are the statutes (35 U.S.C.), regulations (37 C.F.R.) and the commentary on policy (MPEP). Therefore, no telephone discussion may be controlling or considered authority for Petitioner's action(s).

/John J. Gillon, Jr./ John J. Gillon, Jr.

Senior Attorney

Office of Petitions

The regulations at 37 C.F.R. §1.2 provide: §1.2 Business to be transacted in writing.

All business with the Patent and Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

**RAJA SINGH TULI SUITE 1130** 555 RENE LEVES'QUE WEST MONTREAL QC H2Z 1B1 CA CANADA

MAILED

AUG 22 2011

OFFICE OF PETITIONS

In re Application of

Tuli

Application No. 12/261,617

Filed/Deposited: 30 October, 2008

Attorney Docket No. LOWER 02

DECISION

This is a decision on the papers filed on 12 August, 2011, , for revival of an application abandoned due to unintentional delay pursuant to 37 C.F.R. §1.137(b).

The petition pursuant to 37 C.F.R. §1.137(b) is **GRANTED**.

# As to the Allegations of Unintentional Delay

The requirements of a grantable petition pursuant to 37 C.F.R. §1.137(b) are the petition and fee therefor, a reply, a proper statement and/or showing of unintentional delay under the regulation, and, where applicable, a terminal disclaimer and fee

Petitioners' attentions always are directed to the guidance in the Commentary at MPEP §711.03(c)(II).

#### **BACKGROUND**

The record reflects as follows:

Petitioner failed to reply timely and properly to the Notice of Allowance/Allowability and Fees Due mailed on 7 March, 2011, with reply due under a non-extendable deadline on or before 7 June, 2011.

The application went abandoned after midnight 7 June, 2011.

The Office mailed the Notice of Abandonment on 20 June, 2011.

On 28 June, 2011, Petitioner filed, *inter alia*, a petition pursuant to 37 C.F.R. §1.181—with an averment that he submitted payment by check. An examination of the copy of the document submitted by Petitioner reveals—despite the fact that Petitioner blacked out the bank routing number—clearly demonstrates that the bank on which the check was drawn was the "Bank of Montreal" (BMO), which is not a bank in the United States, and that the funds specified were not U.S. funds. The petition was dismissed on 15 July, 2011.

On 12 August, 2011, Petitioner filed a petition (with fee) pursuant to 37 C.F.R. §1.137(b) with a reply in the form of, *inter alia*, fees due, and made the statement of unintentional delay.

Petitioners' attentions always are directed to the guidance in the Commentary at MPEP  $\S711.03(c)$  as to the showing regarding unintentional delay and a petition pursuant to 37 C.F.R.  $\S1.137(b)$ .

The availability of applications and application papers online to applicants/practitioners who diligently associate their Customer Number with the respective application(s) now provides an applicant/practitioner on-demand information as to events/transactions in an application.

Out of an abundance of caution, Petitioners always are reminded that those registered to practice <u>and</u> all others who make representations before the Office **must** inquire into the underlying facts of representations made to the Office and support averments with the appropriate documentation—since all owe to the Office the continuing duty to disclose.<sup>1</sup>

#### STATUTES, REGULATIONS AND ANALYSIS

Congress has authorized the Commissioner to revive an application if the delay is shown to the satisfaction of the Commissioner to have been "unavoidable." 35 U.S.C. §133 (1994).<sup>2</sup> The regulations at 37 C.F.R. §1.137(a) and (b) set forth the requirements for a Petitioner to revive a previously unavoidably or unintentionally, respectively, abandoned application under this congressional grant of authority.

Unintentional delays are those that do not satisfy the very strict statutory and regulatory requirements of unavoidable delay, and, by definition, are not intentional.<sup>3</sup>))

See supplement of 17 June, 1999. The Patent and Trademark Office is relying on petitioner's duty of candor and good faith and accepting a statement made by Petitioner. See Changes to Patent Practice and Procedure, 62 Fed. Reg. at 53160 and 53178, 1203 Off. Gaz. Pat. Office at 88 and 103 (responses to comments 64 and 109)(applicant obligated under 37 C.F.R. §10.18 to inquire into the underlying facts and circumstances when providing statements to the Patent and Trademark Office).

<sup>&</sup>lt;sup>2</sup> 35 U.S.C. §133 provides:

<sup>35</sup> U.S.C. §133 Time for prosecuting application.

Upon failure of the applicant to prosecute the application within six months after any action therein, of which notice has been given or mailed to the applicant, or within such shorter time, not less than thirty days, as fixed by the Commissioner in such action, the application shall be regarded as abandoned by the parties thereto, unless it be shown to the satisfaction of the Commissioner that such delay was unavoidable.

As to Allegations of Unintentional Delay

The requirements of a grantable petition pursuant to 37 C.F.R. §1.137(b) are the petition and fee therefor, a reply, a proper statement of unintentional delay under the regulation, and, where applicable, a terminal disclaimer and fee.

It appears that the requirements under the rule have been satisfied.

# **CONCLUSION**

Accordingly, the petition pursuant to 37 C.F.R. §1.137(b) is granted.

The instant application is released to the Publications Branch to be processed into a patent in due course.

Petitioner may find it beneficial to view Private PAIR within a fortnight of the instant decision to ensure that the revival has been acknowledged by the Publications Branch in response to this decision. It is noted that all inquiries with regard to status need be directed to the Publications Branch where that change of status must be effected—that does not occur in the Office of Petitions.

Telephone inquiries regarding this decision may be directed to the undersigned at (571) 272-3214—it is noted, however, that all practice before the Office is in writing (see: 37 C.F.R. §1.2<sup>4</sup>) and the proper authority for action on any matter in this regard are the statutes (35 U.S.C.), regulations (37 C.F.R.) and the commentary on policy (MPEP). Therefore, no telephone discussion may be controlling or considered authority for Petitioner's action(s).

John J. Gillon, Jr./ John J. Gillon, Jr. Senior Attorney Office of Petitions

Therefore, by example, an <u>unintentional</u> delay in the reply might occur if the reply and transmittal form are <u>to be</u> prepared for shipment by the US Postal Service, but other pressing matters distract one's attention and the mail is not timely deposited for shipment.

The regulations at 37 C.F.R. §1.2 provide:

<sup>§1.2</sup> Business to be transacted in writing.

All business with the Patent and Trademark Office should be transacted in writing. The personal attdance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-KR (06-10) Approved for use through 01/31/2012. OMB 0651-0058

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM BETWEEN THE KOREAN INTELLECTUAL PROPERTY OFFICE (KIPO) AND THE USPTO Application No: 12/261,677 Filing date: October 30, 2008

Nagaraj Jayanth First Named Inventor:

Title of the COMPRESSOR SENSOR MODULE Invention:

THIS REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM ALONG WITH THE REQUIRED DOCUMENTS MUST BE SUBMITTED VIA EFS-WEB. INFORMATION REGARDING EFS-WEB IS AVAILABLE AT HTTP://WWW.USPTO.GOV/EBC/EFS\_HELP.HTML

APPLICANT HEREBY REQUESTS PARTICIPATION IN THE PCT-PPH PROGRAM AND PETITIONS TO MAKE THE ABOVE-IDENTIFIED APPLICATION SPECIAL UNDER THE PCT-PPH PROGRAM.

The above-identified application is (1) a national stage entry of the corresponding PCT application, or (2) a national stage entry of another PCT application which claims priority to the corresponding PCT application, or (3) a national application that claims domestic/ foreign priority to the corresponding PCT application, or (4) a national application which forms the basis for the priority claim in the corresponding PCT application, or (5) a continuing application of a U.S. application that satisfies one of (1) to (4) above, or (6) a U.S. application that claims domestic benefit to a U.S. provisional application which forms the basis for the priority claim in the corresponding PCT application.

The corresponding PCT PCT/US2008/012362 application number(s) is/are:

The international filing date of the corresponding PCT application(s) is/are:

10/31/2008

a. <b>A</b>	of Required Documents: copy of the latest international work product (WO/ISA, WO/IPEA, or IPER) in the above–identified prresponding PCT application(s) Is attached.
<b>~</b>	Is <u>not</u> attached because the document is already in the U.S. application.
	copy of all claims which were indicated as having novelty, inventive step and industrial applicability in the pove-identified corresponding PCT application(s).  Is attached.
<u></u>	Is <u>not</u> attached because the document is already in the U.S. application.

c. English translations of the documents in a. and b. above are attached (if the documents are not in the English language). A statement that the English translation is accurate is attached for the document in b. above.

Registration Number 52,825

U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM BETWEEN THE KIPO AND THE USPTO								
(continued)								
Application No.: 12/261,677								
First Named Inventor:								
d. (1) An information disclosure statement listing the documents cited in the international work products (ISR, WO/ISA, WO/IPEA, IPER) of the corresponding PCT application.  Is attached  Has already been filed in the above-identified U.S. application on								
Are attac	hed.	uments (except) for U.S. pen filed in the above-identif	•	2/9/2	on publications) 010 and 4/6/2011			
II. Claims Corres	ponde	ence Table:	_					
Claims in US Application		Patentable Claims in the corresponding PCT Application	Explanation regarding the correspondence		espondence			
19-38		19-38	The claims are identical					
40		39	The claims are identical		aims are identical			
III. All the claims corresponding		e US application suffici oplication.	ently correspond t	o the pat	tentable claims in the			
Signature / Mich	nael	P. Doerr /			<sub>Date</sub> April 13, 2011			

Name (Print/Typed) Michael P. Doerr

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR ·	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/261,677 10/30/2008		Nagaraj Jayanth	0315-000609	4803	
27572 HARNESS, D	7590 05/03/2011 ICKEY & PIERCE, P.L.C.		EXAM	INER	
P.O. BOX 828	ŕ		SCHECHTER, ANDREW M		
BLOOMFIEL	D HILLS, MI 48303	•	ART UNIT	PAPER NUMBER	
		•	2857		
			MAIL DATE	DELIVERY MODE	
			05/03/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 **BLOOMFIELD HILLS MI 48303** 

In re Application of

JAYANTH et al.

**Application No.: 12/261,677** 

Attorney Docket No.: 0315-000609 For: COMPRESSOR SENSOR

**MODULE** 

: DECISION ON REQUEST TO

: PARTICIPATE IN THE PATENT

: PROSECUTION HIGHWAY

: PROGRAM AND PETITION

: TO MAKE SPECIAL UNDER

: 37 CFR 1.102(a)

This is a decision on the request to participate in the PCT Patent Prosecution Highway (PCT-PPH) pilot program and the petition under 37 CFR 1.102(a), filed on 13 April 2011, to make the above-identified application special.

The request and petition are **GRANTED**.

#### **Discussion**

A grantable request to participate in the PCT-PPH pilot program and petition to make special require:

- (1) The U.S. application must have an eligible relationship to one or more PCT applications where the ISA or IPEA are the JPO, EPO, KIPO, or USPTO;
- (2) At least one claim in the PCT application has novelty, inventive step, and industrial applicability and must be free of any observations in Box VIII in the latest work product in the international stage or applicant must identify and explain why the claim(s) is/are not subject to the observation in Box VIII;
- (3) Applicant must submit a copy of the claim(s) from the PCT application(s) that have novelty, inventive step, and industrial applicability along with an English translation thereof and a statement that the English translation is accurate, if the claims are not in the English language;
- (4) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the claim(s) that have novelty, inventive step, and industrial applicability in the PCT application(s);
- (5) Examination of the U.S. application has not begun;
- (6) Applicant must submit a copy of the latest international work product from the PCT application indicating that the claim(s) have novelty, inventive step, and industrial applicability along with an English translation thereof and a statement that the English translation is accurate

if the latest international work product is not in the English language;

(7) Applicant must submit an IDS listing the documents cited by the PCT examiner in the international work product along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PCT-PPH pilot program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Lee W. Young at 571-272-4549.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at <a href="http://www.uspto.gov/ebc.index.html">http://www.uspto.gov/ebc.index.html</a>.

This application will be forwarded to the examiner for action on the merits commensurate with this decision once this application's formality reviews have been completed.

Lee W. Young

TQAS Technology Center 2800 - Semiconductors, Electrical & Optical Systems & Components

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

DEC 20 2010

#### BRUCE E. WEIR 12 SPARROW VALLEY COURT MONTGOMERY VILLAGE MD 20886-1265

OFFICE OF PETITIONS

In re Application of

Robert C. TAYLOR

Application No. 12/261,782

Filed: October 30, 2008 Attorney Docket No. 0281 DECISION ON PETITION

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed November 30, 2010.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request was signed by Bruce E. Weir on behalf of all the attorneys of record.

All the attorneys of record have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address indicated below until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/Diane Goodwyn/ Diane Goodwyn Petitions Examiner Office of Petitions

Cc:

ROBERT C. TAYLOR 1743 STAFFORD ROAD COLUMBUS OH 43229



32366

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vriginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

BRUCE E. WEIR

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/261,782

12 SPARROW VALLEY COURT

MONTGOMERY VILLAGE, MD 20886-1265

10/30/2008

Robert C. Taylor

0281

**CONFIRMATION NO. 4987** 

**POWER OF ATTORNEY NOTICE** 

Date Mailed: 12/20/2010

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/30/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/dcgoodwyn/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

M.H.K.K.G., P.C. / ADOBE SYSTEMS INCORPORATED ROBERT C. KOWERT P.O. BOX 398 AUSTIN, TX 78767-0398

MAILED

APR 29 2011

OFFICE OF PETITIONS

In re Application of Stephen J. Diverdi, et al. Application No. 12/261,801 Filed: October 30, 2008

Attorney Docket No.: 6067-27400

DECISION GRANTING PETITION UNDER 37 CFR 1.137(b)

This is a decision on the petition, filed March 9, 2011, which is being treated as a petition under 37 CFR 1.137(b) to revive the instant nonprovisional application for failure to timely notify the U.S. Patent and Trademark (USPTO) of the filing of an application in a foreign country, or under a multinational treaty that requires publication of applications eighteen months after filing. See 37 CFR 1.137(f).

## The petition is **GRANTED**.

Petitioner states that the instant nonprovisional application is the subject of an application filed in an eighteen month publication country on October 30, 2009. However, the USPTO was unintentionally not notified of this filing within 45 days subsequent to the filing of the subject application in an eighteen month publication country.

In view of the above, this application became abandoned pursuant to 35 U.S.C. §122(b)(2)(B)(iii) and 37 CFR 1.213(c) for failure to timely notify the Office of the filing of an application in a foreign country or under a multilateral international agreement that requires publication of applications 18 months after filing.

A petition to revive an application abandoned pursuant to 35 U.S.C. § 122(b)(2)(B)(iii) for failure to notify the USPTO of a foreign filing must be accompanied by:

(1) the required reply which is met by the notification of such filing in a foreign country or under a multinational treaty;

(2) the petition fee as set forth in 37 CFR 1.17(m); and

(3) a statement that the entire delay in filing the required reply from the due date of the reply until the filing of a grantable petition was unintentional.

The instant petition is found to be in compliance with 37 CFR 1.137(b). Accordingly, the failure to timely notify the USPTO of a foreign or international filing within 45 days after the date of filing of such foreign or international application as provided by 35 U.S.C. § 122(b)(2)(B)(iii) and 37 CFR 1.213(c) is accepted as having been unintentionally delayed.

The previous Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i) has been rescinded. A Notice Regarding Rescission of Nonpublication Request which sets forth the projected publication date of July 28, 2011 accompanies this decision on petition.

Any inquiries concerning this decision may be directed to the undersigned at (571) 272-3204.

This application is being forwarded to Technology Center Art Unit 2628.

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions

ATTACHMENT: Notice Regarding Rescission of Nonpublication Request



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/261,801

10/30/2008

Stephen J. DiVerdi

6067-27400 CONFIRMATION NO. 5021

65132 M.H.K.K.G., P.C. / ADOBE SYSTEMS INCORPORATED Robert C. Kowert P.O. BOX 398 AUSTIN, TX 78767-0398

LETTER

NONPUBLICATION RESCISSION

Date Mailed: 04/20/2011

# Communication Regarding Rescission Of Nonpublication Request and/or Notice of Foreign Filing

Applicant's rescission of the previously-filed nonpublication request and/or notice of foreign filing is acknowledged. The paper has been reflected in the Patent and Trademark Office's (USPTO's) computer records so that the earliest possible projected publication date can be assigned.

The projected publication date is 07/28/2011.

If applicant rescinded the nonpublication request <u>before or on the date</u> of "foreign filing," then no notice of foreign filing is required.

If applicant foreign filed the application <u>after filing the above application and before</u> filing the rescission, and the rescission did not also include a notice of foreign filing, then a notice of foreign filing (not merely a rescission) is required to be filed within 45 days of the date of foreign filing. <u>See</u> 35 U.S.C. § 122(b)(2)(B)(iii), and <u>Clarification of the United States Patent and Trademark Office's Interpretation of the Provisions of 35 U.S.C.</u> § 122(b)(2)(B)(ii)-(iv), 1272 Off. Gaz. Pat. Office 22 (July 1, 2003).

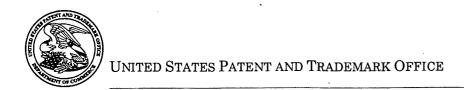
If a notice of foreign filing is required and is not filed within 45 days of the date of foreign filing, then the application becomes abandoned pursuant to 35 U.S.C. § 122(b)(2)(B)(iii). In this situation, applicant should either file a petition to revive or notify the Office that the application is abandoned. See 37 CFR 1.137(f). Any such petition to revive will be forwarded to the Office of Petitions for a decision. Note that the filing of the petition will not operate to stay any period of reply that may be running against the application.

Questions regarding petitions to revive should be directed to the Office of Petitions at (571) 272-3282.

<sup>1</sup> Note, for purpose of this notice, that "foreign filing" means "filing an application directed to the same invention in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing".

/sdbrinkley/					
 			<del></del>		

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

SCHLUMBERGER-DOLL RESEARCH ATTN: INTELLECTUAL PROPERTY LAW DEPARTMENT P.O. BOX 425045 CAMBRIDGE MA 02142 **MAILED**JUN 16 2011

OFFICE OF PETITIONS

In re Application of

Brian CONSIDINE et al.

ON PETITION

Application No. 12/261,807

Filed: October 30, 2008

Atty. Docket No.: 60.1804 USCON

This is a decision on the petition under 37 CFR 1.137(b), filed May 5, 2011, to revive the above-identified application.

## The petition is **GRANTED**.

The application went abandoned for failure to reply in a timely manner to the final Office action mailed July 6, 2010, which set a shortened statutory period of reply of three (3) months. No reply was received. No extension of time under the provisions of 37 CFR 1.136(a) was obtained. The application became abandoned October 7, 2010. A Notice of Abandonment was mailed February 4, 2011.

The petition satisfies the conditions for revival pursuant to 37 CFR 1.137(b) by including (1) a reply in the form of a Request for Continued Examination (RCE) and RCE fee, and the submission required under 37 CFR 1.114, (2) a petition fee of \$1620, and (3) a statement of unintentional delay. The reply to the outstanding Office action is accepted as having been unintentionally delayed.

An extension of time under 37 CFR 1.136 must be filed prior to the expiration of the maximum extendable period for reply. See In re Application of S., 8 USPQ2d 1630, 1631 (Comm'r Pats. 1988). Since the \$1110 extension of time fee submitted with the petition on May 5, 2011 was subsequent to the maximum extendable period for reply, the fee is unnecessary and will be credited to petitioner's deposit account.

Telephone inquires regarding this decision should be directed to Robert DeWitty, Petitions Attorney, Office of Petitions (571-272-8427).

The application file will be referred to Technology Center Art Unit 3676 for consideration of the submission under 37 CFR 1.114.

David Bucci

Petitions Examiner

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FIALA & WEAVER P.L.L.C. C/O CPA GLOBAL P.O. BOX 52050 MINNEAPOLIS MN 55402

MAILED
MAR 27 2012
OFFICE OF PETITIONS

In re Application of

Elias Nemer et al.

Application No. 12/261,868

Filed: October 30, 2008

Attorney Docket No. **A05.00610001** 

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed March 7, 2012, to revive the above-identified application.

The application became abandoned for failure to reply in a timely manner to the Restriction Requirement, mailed June 30, 2011, which set a shortened statutory period for reply of one (1) month or thirty (30) days (whichever is later). No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on July 31, 2011.

## The petition is **GRANTED**.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an Election, (2) the petition fee of \$1,620, and (3) a proper statement of unintentional delay.

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that such an inquiry has not been made, petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply from the due date for the reply until the

filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, petitioner must notify the Office.

Telephone inquiries concerning this decision should be directed to JoAnne Burke at (571)272-4584.

This application is being referred to Technology Center AU 2814 for appropriate action by the Examiner in the normal course of business on the reply received.

/JoAnne Burke/ JoAnne Burke Petitions Examiner Office of Petitions

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

BEUSSE WOLTER SANKS MORA & MAIRE, P. A. 390 NORTH ORANGE AVENUE SUITE 2500 ORLANDO FL 32801

MAILED

JUL 2:1:2011

In re Application of

Scott Deans

OFFICE OF PETITIONS

Application No. 12/261,888

**DECISION ON PETITION** 

Filed: October 30, 2008

Attorney Docket No. 10908-004

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed June 28, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the Restriction Requirement mailed December 22, 2010, which set a shortened statutory period for reply of one (1) month or thirty (30) days (whichever is later). No extensions of time under the provisions of 37 CFR 1.136(a) were obtained.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment and election, (2) the petition fee of \$810, and (3) a proper statement of unintentional delay. Accordingly the election is accepted as being unintentionally delayed.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272 -4618.

This application is being referred to Technology Center AU 3635 for appropriate action by the Examiner in the normal course of business on the reply received June 28, 2011.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MARSHALL, GERSTEIN & BORUN LLP 233 SOUTH WACKER DRIVE 6300 WILLIS TOWER CHICAGO IL 60606-6357

MAILED

DEC 0.8 2011

OFFICE OF PETITIONS

In re Patent No. 7,952,036

Issue Date: May 31, 2011

Application No. 12/261,908

Filed: October 30, 2008

Attorney Docket No. 19036/44324

**DECISION ON PETITION** 

This is a decision on the petition under 37 CFR 1.182, filed October 05, 2011, requesting issuance of duplicate Letters Patent for the above-identified patent.

The petition is **GRANTED**.

The Office of Data Management is directed to issue duplicate Letters Patent.

As authorized, the \$400 fee for the petition under 37 CFR 1.182 has been assessed to petitioner's deposit account.

Telephone inquiries concerning this decision may be directed to Michelle R. Eason at (571) 272-4231. Inquiries regarding the issuance of duplicate Letters Patent may be directed to Ollie Person at (703) 756-1555 or Kimberly Terrell at (703) 756-1568 in the Office of Data Management.

A copy of this decision is being sent to Office of Data Management for issuance of duplicate Letters Patent.

Thurman K. Page Petitions Examiner Office of Petitions

cc: Ollie Person and Kimberly Terrell, Randolph Square, 9<sup>th</sup> Floor, Room D30-B (Fax No. (571) 270-9764 and (571) 270-9958).

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

SCHWABE, WILLIAMSON & WYATT, P.C. 1420 FIFTH, SUITE 3400 SEATTLE WA 98101-4010

MAILED

OCT 19 2010

OFFICE OF PETITIONS

In re Application of

Lepape et al.

Application No. 12/261,912

Filed: October 30, 2008

Attorney Docket No. 109893-161595

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed September 29, 2010.

The request is **NOT APPROVED**.

The Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71, or, if no assignee of the entire interest has properly been made of record, the most current address information provided for the first named inventor. 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with  $\S$  3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

Therefore, as there is currently no Statement under 37 CFR 3.73(b) of record in the instant application, the Office cannot change the correspondence address to the address on the Request to Withdraw.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Currently, there is no outstanding Office action that requires a reply.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-7751.

Joan Olszewski Petitions Examiner Office of Petitions

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

SCHWABE, WILLIAMSON & WYATT, P.C. 1420 FIFTH AVENUE, SUITE 3400 SEATTLE WA 98101-4010

MAILED

NOV 05 2010

OFFICE OF PETITIONS

In re Application of

Lepape et al.

Application No. 12/261,912

Filed: October 30, 2008

Attorney Docket No. 109893-161595

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the renewed Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed October 25, 2010.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by Al AuYeung on behalf of all attorneys of record who are associated with Customer Number 60172.

All attorneys/agents associated with the Customer Number 60172 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to the first named inventor at the address indicated below.

Currently, there is no outstanding Office action that requires a reply.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski Petitions Examiner Office of Petitions

cc: Oliver V. Lepape

2 rue Antoine Roucher

Paris, FR 75016



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED JUL 27 2011

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834 OFFICE OF PETITIONS

In re Application of

BHAT, et al

Application No. 12/261,968

Filed: October 30, 2008 Attorney Docket No. 026705-000710US **DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 5, 2011.

# The request is **DISMISSED**.

The request to withdraw from record cannot be approved because the request to change the correspondence address is not acceptable. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

All future communications from the Office will continue to be directed to the above-identified address until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/Diane C. Goodwyn/ Diane C. Goodwyn Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

# MAILED

JUL 27:2011

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834 OPPICE OF PETITIONS

In re Application of

BHAT, et al

Application No. 12/261,978

Filed: October 30, 2008

Attorney Docket No. 026705-000810US

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 5, 2011.

#### The request is **DISMISSED**.

The request to withdraw from record cannot be approved because the request to change the correspondence address is not acceptable. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

All future communications from the Office will continue to be directed to the above-identified address until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/Diane C. Goodwyn/ Diane C. Goodwyn Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

Alexandria, VA 22313-1450

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C. P.O. BOX 458 ALAMEDA, CA 94501

SEP 2 2 2010

MAILED

**OFFICE** OF PETITIONS

In re Application of

Eric Brustad et al

Application No. 12/262,025

Filed: October 30, 2008

Attorney Docket No. 54-003520US

**DECISION ON PETITION** 

This is a decision on the petitions, filed July 26, 2010, which is being treated as petitions under 37 CFR 1.8(b), requesting withdrawal of the holding of abandonment in the above-identified application and under 37 CFR 1.27(c) seeking status as a small entity.

The petition under 37 CFR 1.8(b) is **GRANTED**.

This application was held abandoned for failure to timely respond to the Office action of December 30, 2009, which set a shortened statutory period for reply of three (3) months. Three (3) month extension of time pursuant to the provisions of 37 CFR 1.136(a) was obtained. Accordingly, a reply was due on or before June 30, 2010.

Office records show a timely reply was received July 1, 2010 (certificate of mailing June 29, 2010), which includes the amendment and the three (3) month extension of time. Petitioner has also submitted a copy of the previously mailed correspondence, which bears a certificate of mailing dated June 29, 2010.

The petition satisfies the requirements of 37 CFR 1.8(b). Accordingly, the holding of abandonment for failure to timely file a reply to the Office action of December 30, 2009 is hereby withdrawn and the application restored to pending status.

The petition under 37 CFR 1.27(c) is GRANTED.

The Verified Statement Claiming Small Entity Status of July 26, 2010 has been made of record and small entity status has been accorded.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3210. This application is being referred to Technology Center AU 1652 for appropriate action in the normal course of business on the reply received July 1, 2010.

Irvin Dingle
Petitions Examiner
Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ABEL LAW GROUP, LLP 7300 FM 2222 Bldg 1, Ste 210 AUSTIN TX 78730

MAILED

DEC 202011

OFFICE OF PETITIONS

In re Application of

Yves Stefani et al.

Application No. 12/262,087

DEC

**DECISION ON PETITION** 

Filed: October 30, 2008

Attorney Docket No. 1035-OFS4810-B-US

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed December 2, 2011, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to submit formal drawings in a timely manner in reply to the Notice of Allowability, mailed July 27, 2011, which set a period for reply of three (3) months. Accordingly, this application became abandoned on October 28, 2011. A Notice of Abandonment was mailed on November 15, 2011.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of corrected drawings, (2) the petition fee of \$1,860, and (3) a proper statement of unintentional delay. Accordingly, the corrected drawings are accepted as being unintentionally delayed.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at (571) 272-4618.

This application is being referred to the Office of Data Management for further processing into a patent.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/262,157	10/30/2008	Homer H. Chen	HMOP0075USA	5670	
27765 7590 12/01/2011 NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION P.O. BOX 506			EXAMINER ZARKA, DAVID PETER		
MERRIFIELD	), VA 22116		ART UNIT	PAPER NUMBER	
			2624		
	4		NOTIFICATION DATE	DELIVERY MODE	
			12/01/2011	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Patent.admin.uspto.Rcv@naipo.com mis.ap.uspto@naipo.com

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION P.O. BOX 506 MERRIFIELD VA 22116

In re Application of

CHEN, HOMER H., at el

Serial No.: 12262157

Filed: January 22, 2009

**DECISION ON PETITION** 

ACCEPTANCE OF COLOR DRAWINGS

For:

# SYSTEMS, METHODS, AND COMPUTER-READABLE MEDIA FOR CHANGING COLORS OF DISPLAYED ASSETS

This is a decision on the petition under 37 CFR §1.184(a)(2), filed January 22, 2009 requesting acceptance of color drawings.

The petition requests that the color drawings identified in FIGS.6-7 be accepted in lieu of black and white drawings.

A grantable petition under 37 C.F.R. §1.84(a)(2) must be accompanied by a fee set forth under 37 C.F.R. § 1.17(h), 3 (three) sets of the color drawings in question, and the specification must contain, or be amended to contain, the following language as the first paragraph in that portion of the specification relating to the brief description of the drawings:

"The file of this patent contains at least one drawing executed in color. Copies of this patent with color drawing(s) will be provided by the Patent and Trademark Office upon request and payment of the necessary fee."

The petition is GRANTED.

Wellington Chin

Quality Assurance Specialist

Technology Center 2600

Communications

#### **SCORE Placeholder Sheet for IFW Content**

Application Number: 12262157 Document Date: 01/22/2009

The presence of this form in the IFW record indicates that the following document type was received in paper and is scanned and stored in the SCORE database.

Drawings

Images of the original documents are scanned in gray scale or color and stored in SCORE. Bi-tonal images are also stored in IFW. Defects visible in both IFW and SCORE are indicative of defects in the original paper documents.

To access the documents in the SCORE database, refer to instructions developed by SIRA.

At the time of document entry (noted above):

- Examiners may access SCORE content via the eDAN interface.
- Other USPTO employees can bookmark the current SCORE URL (http://es/ScoreAccessWeb/).
- External customers may access SCORE content via the Public and Private PAIR interfaces.

Form Revision Date: December 8, 2006

**Doc Code: PET.GREEN** 

**Document Description: Petition for Green Tech Pilot** 

PTO/SB/420 (03-11)

#### PETITION TO MAKE SPECIAL UNDER THE GREEN TECHNOLOGY PILOT PROGRAM

Attorney Docket Number: 1-50366 Application Number 12/262164 Filing date: 2008/10/30

First Named Inventor: Karl D. Bishop, et al.

# Title: STRUCTURE FOR MOLECULAR SEPARATIONS

APPLICANT HEREBY REQUESTS TO PARTICIPATE IN THE GREEN TECHNOLOGY PILOT PROGRAM FOR THE ABOVE-IDENTIFIED APPLICATION. See Instruction Sheet on page 2.

This petition must be timely filed electronically using the USPTO electronic filing system, EFS-Web.

1. By filing this petition:

<u>Applicant is requesting early publication</u>: Applicant hereby requests early publication under 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d) accompanies this request.

- 2. By filing this petition: applicant is agreeing to make an election without traverse in a telephonic interview and elect an invention that meets the eligibility requirements for the Green Technology Pilot Program, if the Office determines that the claims are not obviously directed to a single invention. See Instruction Sheet.
- 3. This request is accompanied by statements of special status for the eligibility requirement.
- 4. The application contains no more than three (3) independent claims and twenty (20) total claims.
- 5. The application does not contain any multiple dependent claims.
- 6. Other attachments: Amendment and Statement Of Special Status

Signature /Gary M. Sutter/	Date 04-15-2011
Name (Print/Typed) Gary M. Sutter	Registration Number 31574

**Note:** Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below\*.



\*Total of \_\_\_\_ forms are submitted.

# Instruction Sheet for Petition to Make Special Under the Green Technology Pilot Program

(Not to be Submitted to the USPTO)

The following is a summary of the requirements (for more information see the notices (i) "Pilot Program for Green Technologies Including Greenhouse Gas Reduction," (ii) "Elimination of Classification Requirement in the Green Technology Pilot Program," and (iii) "Expansion and Extension of the Green Technology Pilot Program," available on the USPTO web site at http://www.uspto.gov/patents/init\_events/green\_tech.jsp):

- (1) The application must be a non-reissue, non-provisional utility application filed under 35 U.S.C. 111(a), or an international application that has entered the national stage in compliance with 35 U.S.C. 371, irrespective of the filing date of the application. Reexamination proceedings are excluded from this pilot program.
- (2) The application must contain three or fewer independent claims and twenty or fewer total claims. The application must not contain any multiple dependent claims. For an application that contains more than three independent claims or twenty total claims, or multiple dependent claims, applicant must file a preliminary amendment in compliance with 37 CFR 1.121 to cancel the excess claims and/or the multiple dependent claims at the time the petition to make special is filed.
- (3) The claims must be directed to a single invention that materially enhances the quality of the environment, or that materially contributes to: (1) the discovery or development of renewable energy resources; (2) the more efficient utilization and conservation of energy resources; or (3) green house gas emission reduction (see the eligibility requirements of sections II and III of the notice (i) cited above). The petition must include a statement that, if the USPTO determines that the claims are directed to multiple inventions (e.g., in a restriction requirement), applicant will agree to make an election without traverse in a telephonic interview, and elect an invention that meets the eligibility requirements in section II or III of the notice (i) cited above.
- (4) The petition to make special must be timely filed electronically using the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Green Tech Pilot" on the EFS-Web screen. Applicant should use form PTO/SB/420, which is available as a Portable Document Format (PDF) fillable form in EFS-Web and on the USPTO Web site.
- (5) The petition to make special must be filed at least one day prior to the date that a first Office action (which may be an Office action containing only a restriction requirement) appears in the Patent Application Information Retrieval (PAIR) system. Applicant may check the status of the application using PAIR.
- (6) The petition to make special must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d).

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/262,164	10/30/2008	Karl D. Bishop	1-50366	5690		
4859	7590 05/25/2011	EXAMINER				
ONE MARITI	N SOBANSKI & TODD, ME PLAZA FIFTH FLO	NGUYEN, COLETTE B				
720 WATER STREET TOLEDO, OH 43604-1619			ART UNIT PAPER NUMBER			
	· · · · · · · · · · · · · · · · · · ·		1732			
		• *				
			MAIL DATE	DELIVERY MODE		
		05/25/2011	PAPER			

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

#### **Best Available Copy**



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

MACMILLAN SOBANSKI & TODD, LLC ONE MARITIME PLAZA FIFTH FLOOR 720 WATER STREET TOLEDO OH 43604-1619

MAY 25 2011

In re Application of

Bishop et al.

Application No. 12/262,164

Filed: 10/30/2008

Attorney Docket No. 1-50366

**DECISION ON PETITION** 

TO MAKE SPECIAL UNDER

THE GREEN TECHNOLOGY

PILOT PROGRAM

This is a decision on the petition under 37 CFR 1.102, filed 4/15/2011, to make the aboveidentified application special under the pilot program for applications pertaining to Green Technologies as set forth in 74 Federal Register Notice 64666 (December 8, 2009) and amended by 75 Federal Register Notice 28554 (May 21, 2010) and 75 Federal Register Notice 69049 (November 10, 2010).

#### The petition is **DISMISSED**.

If reconsideration of this decision is desired, a petition for reconsideration must be filed within ONE (1) MONTH OR THIRTY (30) DAYS from the mail date of this decision, whichever is longer. No extension of this time limit can be granted under 37 CFR 1.136(a) or (b). The petition for reconsideration should include an exhaustive attempt to provide the lacking item(s) noted below, since, after a decision on the petition for reconsideration, no further reconsideration or review of the matter will be undertaken by the Director.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 64666 must be directed to a nonprovisional application filed under 35 USC 111(a) or be a national stage entry under 35 USC 371, exclusive of any reissue applications.

In order to qualify for special status, the following requirements must be met. 1) The application must have no more than 3 independent claims and no more than 20 total claims. 2) The application must not contain any multiple dependent claims. 3) The petition must state the basis for seeking special status, i.e., the claimed invention either: A) materially enhances the quality of the environment or B) materially contributes to: i) the discovery or development of renewable energy resources, ii) the more efficient utilization and conservation of energy resources, or iii) greenhouse gas emission reduction. 4) If the disclosure is not clear on its face that the claimed invention materially contributes under category (A) or (B), the petition must be accompanied by

a statement by the applicant, assignee, or an attorney/agent registered to practice before the Office explaining how the materiality standard is met. 5) A statement that applicant will agree to make an election without traverse in a telephonic interview if a restriction requirement is made by the examiner. 6) The petition to make special must be filed electronically. 7) The petition must be filed at least one day prior to the date that a first Office Action appears in the Patent Application Information Retrieval (PAIR) system. 8) The petition must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and include the publication fee as set forth in 37 CFR 1.18(d).

The requirement for a fee for consideration of the petition to make special for applications pertaining to Green Technologies has been waived.

The petition lacks item(s) 4.

Applicants argue that the instant membrane will have the ability to provide energy efficient purification of alternate fuels from biomass, as well as purification of water produced during oil and gas exploration. The instant claims however are merely directed to a method for making a structure for molecular separations and a molecular sieve. There is no mention of energy efficient purification of alternate fuels from biomass, or purification of water produced during oil and gas exploration in the instantly claimed invention. The instant specification does not specifically disclose the embodiments argued but rather discloses general uses of the molecular sieves that include numerous different embodiments such as use in the oil and petrochemical industries. The materiality standard does not permit an applicant to speculate how an end user might specifically apply the invention in a manner that could materially contribute to (1) materially enhancing the quality of the environment or materially contributing to (2) development of renewable energy resources or energy conservation, or (3) greenhouse gas reduction.

Any reconsideration of this decision should be submitted through the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Green Tech Pilot" on the EFS-Web screen.

Telephone inquiries concerning this decision should be directed to Tom Dunn at 571-272-1171.

The application is being forwarded to the Technology Center Art Unit 1732 for action in its regular turn.

/Tom Dunn/

Tom Dunn Quality Assurance Specialist Technology Center 1700



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

ww.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/262,173	10/30/2008	Naoki KATO	XA-11212/T3663-15823US01 5708			
7590 04/12/2012 MILES & STOCKBRIDGE PC			EXAMINER PEYTON, TAMMARA R			
						1751 PINNACLE SUITE 500
MCLEAN, VA 22	2102-3833		2184			
			NOTIFICATION DATE	DELIVERY MODE		
			04/12/2012	ELECTRONIC		

#### **DECISION DISMISSING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment will not be recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is dismissed.

The express abandonment will **not** be recognized for the reason(s) indicated below:

- 1. The petition was not filed in sufficient time to permit the appropriate officials to recognize the abandonment before an examination has been made of the application. See 37 CFR 1.138(d).
- 2. The petition was not signed by a party authorized by 37 CFR 1.33(b)(1), (3) or (4).
- 3. ☐ The application is not an application filed under 35 U.S.C. 111(a) on or after December 8, 2004.
- 4. ☐ The petition for express abandonment under 1.138(♣) is dismissed because the applicant did not pay any search fee and excess claims fees in the above-identified application.

Telephone inguifies should be directed to the Office of Data Management at (571) 272-4200.

Patent Publication Branch Office of Data Management



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

IP & T GROUP LLP 7700 Little River Turnpike Suite 207 Annandale VA 22003

In re Application of

HA, SUNG-JOO

Application No.: 12/262,269

Filing or 371(c) Date: October 31, 2008

Attorney Docket Number: P07H7026/US

MAR 29 2011

**DECISION ON** 

**PETITION** 

This is a decision on the Petition to Withdraw Holding of Abandonment received in the United States Patent and Trademark Office (USPTO) on February 2, 2011.

#### This petition is **GRANTED**.

The application was held abandoned for failure to timely submit the Issue Fee and Publication fee as required by the Notice of Allowance mailed October 15, 2010 which set forth a three (3) month statutory period of reply. The Notice of Abandonment was mailed on January 28, 2011.

Petitioner states that the issue fee transmittal and payment were timely filed via the USPTO on January 18, 2011. Petitioner submitted a copy of the original submission which included a properly completed Certificate of Mailing/Transmission which included a deposit account.

In view of the foregoing, the holding of abandonment for failure to timely pay the issue fee is hereby withdrawn and the application restored to pending status.

Telephone inquiries concerning this matter should be directed to the undersigned at (703) 756-1547.

D. Pinkney

Application Assistance Unit Office of Data Management



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

IP & T GROUP LLP 7700 Little River Turnpike Suite 207 Annandale, VA 22003 MAILED
APR 0 8 2011
OFFICE OF PETITIONS

In re Application of Sung-Joo Ha

Application No. 12/262,269

Decision on Petition

Filing Date: October 31, 2008

Attorney Docket No. P07H7026/US

This is a decision on the petition under 37 CFR 1.181 filed February 2, 2011, requesting the Office withdraw the holding of abandonment.

The petition is granted.

The Office mailed a Notice of Allowance on October 15, 2010. The notice required the submission of \$1,510 for the issue fee and \$300 for the publication fee.

The Notice of Allowance set a time period for reply of three months. As a result, the fees were due on or before January 18, 2010.

The Office's file for the instant application includes an issue fee transmittal form received by facsimile transmission on January 18, 2011. The transmittal form indicates payment of the fees is being submitted by credit card. The form includes the following language added by the Office after receipt of the form: "The PTO did not receive the following listed item(s) credit card payment form."

The Office mailed a Notice of Abandonment on January 28, 2011. The notice states the application is abandoned because the Office failed to receive the issue fee and publication fee.

A review of the issue fee transmittal form indicates the form includes an authorization to charge any necessary fees to Deposit Account No. 50-5025. Therefore, the issue fee and publication were timely received by the Office and the holding of abandonment is hereby withdrawn.

The Office of Data Management, Patent Publication Branch, will be informed of the instant decision and the application will be issued as a patent in due course.

<sup>&</sup>lt;sup>1</sup> January 15, 2011, was a Saturday. January 16, 2011, was a Sunday. January 17, 2011, was a federal holiday.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Steven Brantley at (571) 272-3203.

Charles Steven Brantley Senior Petitions Attorney Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

KATHERINE N. ADDISON 965 ALVARADO, ST #3 SAN FRANCISCO, CA 94114

**MAILED** 

AUG 17 2010

In re Application of
John Thao To
Application No. 12/262,409
Filed: October 31, 2008
Attorney Docket No. SVTI003N

OFFICE OF PETITIONS
DECISION ON PETITION
TO WITHDRAW
FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent under 37 C.F.R. § 1.36(b) filed July 16, 2010.

The request is **NOT APPROVED**.

The Office will no longer approve requests from practitioners to withdraw from application where the requesting practitioners is acting, or has acted, in a representative capacity pursuant to 37 CFR 1.34. In these situations, the practitioner is responsible for the correspondence the practitioner files in the application while acting in a representative capacity. As such, there is no need for the practitioner to obtain the Office's permission to withdraw from representation. However, practitioners acting in a representative capacity, like practitioners who have power of attorney in the application, remain responsible for noncompliance with 37 CFR 1.56, as well as 37 CFR 10.18, with respect to the documents they file.

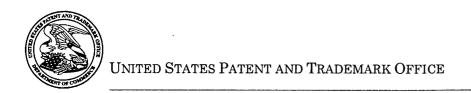
A review of the file record indicates that Katherine N. Addison does not have power of attorney in this patent application. See 37 C.F.R. § 10.40. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is not applicable.

All future communications from the Office will continue to be directed to the above-listed address until otherwise properly notified by the applicant.

Telephone inquires concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

cc: JOHN THAO TO FOUNDER & CEO SPECIALIZED VASCULAR TECHNOLOGIES, INC. 36514 DIJON DR NEWARK, CA 95460



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

VERTEX PHARMACEUTICALS INC. Susan Batty-Gunn 130 WAVERLY STREET CAMBRIDGE MA 02139-4242 MAILED
NOV 02 2011
OFFICE OF PETITIONS

In re Application of

Juan-Miguel JIMENEZ et al.

Application No.12/262,459

Filed: October 31, 2008

Atty. Docket No.: VPI/07-148 US

ON PETITION

This is a decision on the petition under 37 CFR 1.137(b), filed October 18, 2011, to revive the above-identified application.

#### The petition is **GRANTED**.

The application became abandoned for failure to respond in a timely manner to the Notice of Allowance and Fee(s) Due mailed June 27, 2011 (Notice), which set a statutory period for reply of three (3) months. The application became abandoned September 28, 2011. A Notice of Abandonment was mailed October 12, 2011.

The petition satisfies the conditions for revival pursuant to 37 CFR 1.137(b) by including (1) a reply in the form of a payment of the issue and publication fees in accordance with the Notice mailed June 27, 2011, (2) a petition fee of \$1860, and (3) a statement of unintentional delay. The reply to the Notice is accepted as having been unintentionally delayed.

37 CFR 1.137(b)(3) requires a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. If the statement contained in the instant petition varies from the language required by 37 CFR 1.137(b)(3), the statement contained in the instant petition is being construed as the statement required by 37 CFR 1.137(b)(3) and petitioner must notify the Office if this is **not** a correct interpretation of the statement contained in the instant petition.

The file does not indicate a change of address has been submitted, although the address given on the petition differs from the address of record. If appropriate, a change of address should be filed in accordance with MPEP 601.03. A courtesy copy of this

decision is being mailed to the address given on the petition; however, the Office will mail all future correspondence solely to the address of record.

Telephone inquiries relating to this decision should be directed to Robert DeWitty, Petitions Attorney, Office of Petitions (571-272-8427).

The application will be referred to Office of Data Management for further processing.

Robert DeWitty

Petitions Attorney

Office of Petitions

cc: Vertex Pharmaceuticals Incorporated

88 Milton Park

Abingdon, Oxfordshire OX14 4RY U.K.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

VERTEX PHARMACEUTICALS INC. SUSAN BATTY-GUNN 130 WAVERLY STREET CAMBRIDGE, MA 02139-4242

MAILED

NOV 142011

OFFICE OF PETITIONS

In re Application of

Juan-Miguel Jimenez, et al.

Application No.: 12/262,459

Filed: October 31, 2008

Attorney Docket No.: VPI/07-148 US

ON PETITION

This is a decision on the petition, filed November 10, 2011, under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The file does not indicate a change of address has been submitted, although the address given on the petition differs from the address of record. If appropriate, a change of address should be filed in accordance with MPEP 601.03. A courtesy copy of this decision is being mailed to the address given on the petition; however, the Office will mail all future correspondence solely to the address of record.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on October 18, 2011, cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance. \(^1\)

Telephone inquiries relating to this decision should be directed to the undersigned at (571) 272-3204.

The application is being referred to Technology Center AU 1625 for further processing of the request for continued examination and for consideration of the concurrently filed Information Disclosure Statement (IDS).

/SDB/

Sherry D. Brinkley Petitions Examiner Office of Petitions

cc:

RORY C. STEWART

VERTEX PHARMACENTICALS INCOPORATED

88 MILTON PARK

ABINGDON, OXFORDSHIRE OX14 4RY U.K.

<sup>&</sup>lt;sup>1</sup> The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s)
Transmittal Form (along with any balance due at the time of submission). Petitioner is advised that the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

BANNER & WITCOFF, LTD ATTORNEYS FOR CLIENT NUMBER 007131 10 SOUTH WACKER DR. **SUITE 3000** CHICAGO IL 60606

MAILED

AUG 22 2011

**OFFICE OF PETITIONS** 

In re Application of

Nichols et al.

Patent Number: 7,965,184

Issue Date: 06/21/2011

Application No. 12/262502 Filing or 371(c) Date: 10/31/2008

Attorney Docket Number: 007131.00306

DECISION ON

PETITION FOR

: PATENT TERM ADJUSTMENT

NOTICE OF INTENT TO ISSUE : CERTIFICATE OF CORRECTION

This is a decision on the petition filed on July 22, 2011, which is being treated as a petition under 37 CFR 1.705(d), requesting that the patent term adjustment indicated on the above-identified patent be corrected to indicate that the term of the above-identified patent is extended or adjusted by two hundred eighty (280) days.

The petition to correct the patent term adjustment indicated on the above-identified patent to indicate that the term of the above-identified patent is extended or adjusted by two hundred eighty (280) days is GRANTED.

Regarding the period of adjustment of 54 days in connection with the filing of the Information Disclosure Statement filed April 29, 2011, a review of the application history confirms that the Office mailed a response to the IDS on May 10, 2011, and the reduction pursuant to 37 CFR 1.704(c)(10) is properly calculated at 12 days, beginning on the date the IDS was filed, and ending on the mailing date of the Office action in response to the IDS.

The Office will sua sponte issue a certificate of correction. Pursuant to 37 CFR 1.322, the Office will not issue a certificate of correction without first providing assignee or patentee an opportunity to be heard. Accordingly, patentees are given one (1) month or thirty (30) days, whichever is longer, from the mail date of this decision to respond. No extensions of time will be granted under § 1.136.

Nothing in this decision shall be construed as a waiver of the requirement of 35 U.S.C. 154(b)(4) that any civil action by an applicant dissatisfied with a determination made by the Director under Application No. 12/262502

Patent No. 7,965,184

Page 2

35 U.S.C. 154(b)(3) be filed in the United States District Court for the District of Columbia within 180 days after the grant of the patent.

The Office acknowledges submission of the \$200.00 fee set forth in 37 CFR 1.18(e). No additional fees are required.

The application is being forwarded to the Certificate of Corrections Branch for issuance of a certificate of correction. The Office will issue a certificate of correction indicating that the term of the above-identified patent is extended or adjusted by **two hundred eighty (280) days**.

Telephone inquiries specific to this matter should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions

Enclosure: Copy of DRAFT Certificate of Correction

# **CERTIFICATE OF CORRECTION**

PATENT : 7,965,184 B1

DATED : June 21, 2011

INVENTOR(S): Nichols et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page,

[\*] Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 USC 154(b) by 238 days.

Delete the phrase "by 238 days" and insert – by 280 days--

DATE	:03-02-12	<del></del>	
TO SPE OF	: ART UNIT	,	
SUBJECT	: Request for Certificate of Correcti	ion for Appl. No.: 12/262533 Patent No.: 802789	
		CofC mailroom date: 02-19-12	
Please respo	and to this request for a cert	ificate of correction within 7 days.	
FOR IFW FI	LES:	-	
the IFW app		orrections as shown in the <b>COCIN</b> document(s) in tter should be introduced, nor should the scope of	
-	plete the response (see belo ent code COCX.	ow) and forward the completed response to scan	
FOR PAPER	R FILES:		
correction. F Certific Randol		prrections as shown in the attached certificate of see below) and forward it with the file to:	
Note:		Angela Green 571.272.9005	
		CofC Branch 703-756-1814	
Thank You	For Your Assistance	·	
	for issuing the above-ide on the appropriate box.	ntified correction(s) is hereby:	
	Approved	All changes apply.	
	Approved in Part	Specify below which changes do not apply.	
	Denied	State the reasons for denial below.	
Comments:		,	



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

PLUMSEA LAW GROUP, LLC 10411 MOTOR CITY DRIVE SUITE 320 BETHESDA MD 20817

**MAILED** 

JUN 2 1 2011

Applicant: Xue Bai Appl. No.: 12/262,601

OFFICE OF PETITIONS

Filing Date: October 31, 2008

Title: SYSTEM AND METHOD FOR COLLECTING AND CONVEYING POINT OF

INTEREST INFORMATION Attorney Docket No.: 48-1250 Pub. No.: US 2010/0114478 A1

Pub. Date: May 6, 2010

This is a decision on the request for a corrected patent application publication under 37 CFR 1.221(b), received on November 1, 2010, for the above-identified application

The request is DISMISSED.

Applicant requests that the application be republished because the patent application publication contains a material error on the front page of the application wherein the name of the assignee was omitted.

37 CFR 1.221 (b) is applicable "only when the Office makes a material mistake which is apparent from Office records.... Any request for a corrected publication or revised patent application publication other than provided as provided in paragraph (a) of this section must be filed within two months from the date of the patent application publication. This period is not extendable." A material mistake must affect the public's ability to appreciate the technical disclosure of the patent application publication, to determine the scope of the patent application publication, or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent. \(^1\)

The request for corrected publication received on November 1, 2010, was not timely filed under 37 CFR 1.221(b).

The error on the front page of the published patent application wherein the assignee's name "Honda Motor Co., Ltd., Tokyo, Japan" was omitted is not a material Office error under 37 CFR 1.221(b). The omission of the assignee's name does not affect the understanding of the application. The error in assignment information is not a material mistake because it does not affect the public's ability to appreciate the technical disclosure of the patent application

<sup>&</sup>lt;sup>1</sup>Changes to Implement Eighteen-Month Publication of Patent Applications, 65 FR 57023, 57038 (Sept. 20, 2000), 1239, Off. Gaz. Pat. Office Notices 63, 75 (Oct. 10, 2000) (final rule).

Application No.: 12/262,601 Page 2

publication, to determine the scope of the patent application publication or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent. See MPEP 1130. Applicant should not file requests for corrected publication when a publication includes errors in the assignee's name or assignment information. See MPEP 1130.

The application data sheet (ADS) submitted on January 21, 2009 does not comply with 37 CFR 1.76(c) for supplemental application data sheets.

On January 20, 2008 and January 29, 2009, Filing Receipts were mailed by the Office, which omitted the assignee's name. To avoid this type of problem in the future, applicant's representative should correct the error and make a request for a corrected filing receipt prior to export of the application to the publisher and publication of the application.

The applicant is advised that a "request for republication of an application previously published" may be filed under 37 CFR 1.221(a). Such a request for republication "must include a copy of the application compliance with the Office's electronic filing system requirements and be accompanied by the publication fee set forth in § 1.18(d) and the processing fee set forth in § 1.17(i)." If the request for republication does not comply with the electronic filing system requirements, the republication will not take place and the publication fee set forth in § 1.18(d) will be refunded. The processing fee will be retained.

A guide for filing a request for a Pre-Grant Publication, such as a request for republication, may be found on the link below:

 $\frac{http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp}{OR}$ 

http://www.uspto.gov/ebc/portal/efs/pgpub\_quickstart.pdf

Any request for republication under 37 CFR 1.221(a), must be submitted via the EFS system, as a "Pre-Grant Publication" and questions or request for reconsideration of the decision, should be addressed as follows:

By mail to: Mail Stop PGPUB

Commissioner for Patents

P.O. Box 1450

Alexandria, Va. 22313-1450

Inquiries relating to this matter may be directed to Mark Polutta at (571) 272-7709.

Mark Polutta

Senior Legal Advisor
Office of Patent Legal Administration
Office of the Deputy Commissioner
for Patent Examination Policy

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

DUANE MORRIS LLP - Philadelphia IP DEPARTMENT 30 SOUTH 17TH STREET PHILADELPHIA PA 19103-4196

MAILED

OCT 262011

OFFICE OF PETITIONS

In re Patent No. 8,057,473

Issued: 11/15/2011

Application No. 12/262,628

Filed: 10/31/2008

Attorney Docket No. E3383-00093

NOTICE

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28 filed October 7, 2011.

The Office no longer investigates or rejects original or reissue patent under 37 CFR 1.56. 1098 Off. Gaz. Pat. Office 502 (January 3, 1989). Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby ACCEPTED.

This patent is no longer entitled to small entity status. Accordingly, all future fees paid in this patent must be paid at the large entity rate.

Inquiries related to this communication should be directed to the undersigned at (571) 272-3211.

Christina Tartera Donnell Senior Petitions Attorney

C. Y. Donnell

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 223150 400

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP 600 GALLERIA PARKWAY, S.E.

STE 1500 ATLANTA GA 30339-5994

MAILED

APR 06 2011

In re Application of

**OFFICE OF PETITIONS** 

Gordon Bremer et al.

Application No. 12/262,657

DECISION ON PETITION

Filed: October 31, 2008

TO MAKE SPECIAL UNDER

Attorney Docket No. 050131-1010

37 CFR 1.102(c)(1)

This is a decision on the petition under 37 CFR 1.102(c)(1), filed January 20, 2011, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

#### The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required

The instant petition includes a statement by an attorney on behalf inventor Gordon Bremer attesting to his/her age. The above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Kimberly Inabinet at 571-272-4618.

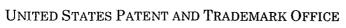
All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

The application is being forwarded to the Technology Center Art Unit 2617 for action on the merits commensurate with this decision.

/Kimberly Inabinet/

Kimberly Inabinet Petitions Examiner Office of Petitions

DATE : <u>1/30/12</u>	Paper No.:			
TO SPE OF : ART UNIT: <u>1643</u>				
	tion for Appl. No.: <u>12/262,729</u> Patent No. <u>8,029,780</u>			
• • • • • • • • • • • • • • • • • • •	CofC mailroom date 1/19/12			
Please respond to this request for a cert				
FOR IFW FILES:	•			
Please review the requested changes/corrections as shown in the <b>COCIN</b> documentable. No new matter should be introduced, nor should the scope neaning of the claims be changed.				
Please complete the response (see belousing document code COCX	ow) and forward the completed response to scanning			
FOR PAPER FILES:				
Please review the requested changes/cocorrection. Please complete this form (s	orrections as shown in the attached certificate of see below) and forward it with the file to:			
Certificates of Correction Brand Randolph Square – 9D10-A Palm Location 7580	ch (CofC)			
	Ernest C. White, LIE			
•	Certificates of Correction Branch			
	703-756-1814			
Thank You For Your Assistance				
The request for issuing the above-ide Note your decision on the appropriate box.	entified correction(s) is hereby:			
☑ Approved	All changes apply.			
☐ Approved in Part	Specify below which changes do not apply.			
☐ Denied	State the reasons for denial below.			
Comments:				
	musole 4 1643			





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MEADWESTVACO CORPORATION ATTN: IP LAW GROUP - PATENTS 501 South 5th Street, 3rd Floor Richmond, VA 23219-0501

#### MAILED

NOV 22 2011

**OFFICE OF PETITIONS** 

In re Application of Caleb Loftin, et. al.

Application No. 12/262,770

Filed: October 31, 2008 Attorney Docket No. 21015-1 **DECISION ON PETITION** 

UNDER 37 CFR 1.78(a)(6)

This is a decision on the petition under 37 CFR 1.78(a)(6), filed November 3, 2011, to accept an unintentionally delayed claim under 35 U.S.C. §119(e) for the benefit of the prior-filed provisional application set forth in the amendment filed with the present petition.

#### The petition is **GRANTED**.

A petition under 37 CFR 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after expiration of the period specified in 37 CFR 1.78(a)(5)(ii) and must be filed during the pendency of the nonprovisional application. In addition, the petition must be accompanied by:

- (1) the reference required by 35 U.S.C. § 119(e) and 37 CFR 1.78(a)(5)(i) to the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in § 1.17(t); and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

All of the above requirements having been satisfied, the late claim for priority under 35 U.S.C. § 119(e) is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed application under 37 CFR 1.78(a)(6) should not be construed as meaning that this application is entitled to the benefit of the filing date of the prior-filed application. In order for this application to be entitled to the benefit of the prior-filed application, all other requirements under 35 U.S.C. §119(e) and 37 CFR 1.78(a)(4) and (a)(5) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed application should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed application noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed provisional application, is enclosed with this decision.

The application is being forwarded to Technology Center Art Unit 3788 for consideration by the examiner of the claim under 35 U.S.C. §119(e) for the benefit of priority to the prior-filed provisional application.

Any inquiries concerning this decision may be directed to the undersigned at (571) 272-3226. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

Andrea \$mith
Petitions Examiner
Office of Petitions

**Enclosure: Corrected Filing Receipt** 



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Virginia 22313-1450 www.usplu.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/262.770	10/31/2008	3788	1090	21015-1	14	3

66061

MEADWESTVACO CORPORATION ATTN: IP LAW GROUP - PATENTS 501 South 5th Street, 3rd Floor Richmond, VA 23219-0501 CONFIRMATION NO. 6828
CORRECTED FILING RECEIPT



Date Mailed: 11/21/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Caleb Loftin, Raleigh, NC:

William Rigby, Spring Hope, NC;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/984,274 10/31/2007

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 11/14/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/262,770** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

CHILD RESISTANT BLISTER PACKAGE HOUSING WITH REMOVABLE TAB STRIPS

#### **Preliminary Class**

206

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE P.O. Box 1450 ALEXANDRIA, VA 22313-1450 WWW.USPTO.GOV

Paper No.

E I DU PONT DE NEMOURS AND COMPANY LEGAL PATENT RECORDS CENTER BARLEY MILL PLAZA 25/1122B 4417 LANCASTER PIKE WILMINGTON DE 19805

MAILED JUL 0 1 2011 OFFICE OF PETITIONS

In re Application of Levit et al.

Application No. 12/262,778 Filed: October 31, 2008 DECISION ON PETITION

PURSUANT TO

Attorney Docket Number: 37 C.F.R. § 1.181

TK4620USNA

Title: BROADBAND PASSIVE DISTRIBUTED TUNED VIBRATION AND ACOUSTIC ABSORBER FOR MODALLY

DENSE STRUCTURES

This is a decision on the petition filed on May 26, 2011, pursuant to 37 C.F.R. § 1.181, requesting that the holding of abandonment in the above-identified application be withdrawn.

This petition is DISMISSED.

#### BACKGROUND

A Notice of Allowance and Issue Fee Due (first notice) was mailed on February 2, 2011, which set a three-month period for the submission of the issue and publication fees. No extensions of time are permitted for transmitting issue fees or publication fees.2

Regarding the first notice, the Notice of Allowability correctly indicated that claims 3, 17, 23, 27, and 29 were allowed, however the Detailed Action erroneously indicated that claims 3, 17, 27, and 29 were allowed. It follows that on March 22, 2011, a Supplemental Notice of Allowability (second notice) was mailed, where both the Supplemental Notice of Allowability and the Detailed Action correctly indicated that claims 3, 17, 23, 27, and 29 were allowed.

<sup>1</sup> See MPEP § 710.02(e)(III).

<sup>2</sup> See 37 C.F.R. § 1.211(e).

The Office set one deadline for submitting the issue and publication fees: the first notice set a non-extendable period for submitting both the issue and publication fees by May 2, 2011. The second notice did not restart this period. The mailing of the second notice did not affect the deadline for the submission of the issue and publication fees that had been set by the first notice.

The issue and publication fees were not received prior to May 3, 2011. Accordingly, the above-identified application became abandoned on May 3, 2011. A Notice of Abandonment was mailed on May 20, 2011.

#### RELEVANT LAW AND PORTIONS OF THE C.F.R.

#### 35 U.S.C. 151 Issue of patent.

If it appears that applicant is entitled to a patent under the law, a written notice of allowance of the application shall be given or mailed to the applicant. The notice shall specify a sum, constituting the issue fee or a portion thereof, which shall be paid within three months thereafter.

Upon payment of this sum the patent shall issue, but if payment is not timely made, the application shall be regarded as abandoned.

Any remaining balance of the issue fee shall be paid within three months from the sending of a notice thereof, and, if not paid, the patent shall lapse at the termination of this three-month period. In calculating the amount of a remaining balance, charges for a page or less may be disregarded.

If any payment required by this section is not timely made, but is submitted with the fee for delayed payment and the delay in payment is shown to have been unavoidable, it may be accepted by the Director as though no abandonment or lapse had ever occurred.

#### 37 C.F.R. § 1.134: Time period for reply to an Office action.

An Office action will notify the applicant of any non-statutory or shortened statutory time period set for reply to an Office action. Unless the applicant is notified in writing that a reply is required in less than six months, a maximum period of six months is allowed.

#### 37 C.F.R. § 1.135: Abandonment for failure to reply within time period.

- (a) If an applicant of a patent application fails to reply within the time period provided under § 1.134 and § 1.136, the application will become abandoned unless an Office action indicates otherwise.
- (b) Prosecution of an application to save it from abandonment pursuant to paragraph (a) of this section must include such

complete and proper reply as the condition of the application may require. The admission of, or refusal to admit, any amendment after final rejection or any amendment not responsive to the last action, or any related proceedings, will not operate to save the application from abandonment.

(c) When reply by the applicant is a bona fide attempt to advance the application to final action, and is substantially a complete reply to the non-final Office action, but consideration of some matter or compliance with some requirement has been inadvertently omitted, applicant may be given a new time period for reply under § 1.134 to supply the omission.

#### ANALYSIS

With the present petition, Petitioner has asserted that "the deadline for the issue and publication fees should be June 22, 2011," which is the three-month anniversary of the mailing of the second notice.

While the Office is not unmindful of Petitioner's situation, the fact remains that, as set forth above, the second notice did not reset the period for responding to the first notice.

Petitioner failed to submit the issue and publication fees in a timely manner, and as such, this application went abandoned by operation of law, pursuant to 35 U.S.C. § 151 and 37 C.F.R. § 1.135, cited above.

Moreover, the first notice indicated the period for response. The second notice indicated "[i]f not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course." In this instance, the Notice of Allowance (PTOL-85) was "previously mailed" such that a new Notice should not have been expected. The time frame for reply given in that previously mailed Notice (the first notice) governs, regardless of the circumstances presented in the supplemental notice (the second notice).

It follows that the record does not support a finding that the holding of abandonment should be withdrawn.

#### CONCLUSION

Any reply to this decision must be submitted within **TWO MONTHS** from the mail date of this decision. Extensions of time under 37 C.F.R. § 1.136(a) are permitted.

The reply should include a cover letter entitled "Renewed Petition pursuant to 37 C.F.R. § 1.181(a)." This is not a final agency action within the meaning of 5 U.S.C § 704.

Petitioner may also file a petition pursuant to 37 C.F.R.

§§ 1.137(a) and/or (b), which may be filed independently or concurrently with any renewed petition pursuant to 37 C.F.R. § 1.181.

Any submission in response to this decision should indicate in a prominent manner that the attorney handling this matter is Paul Shanoski, and may be submitted by mail, hand-delivery, or facsimile. Registered users of EFS-Web may alternatively submit a response to this decision via EFS-Web.

If responding by mail, Petitioner is advised <u>not</u> to place the undersigned's name on the envelope. Only the information that appears in the footnote should be included - adding anything else to the address will delay the delivery of the response to the undersigned.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3225. All other inquiries concerning examination procedures or status of the application should be directed to the Technology Center.

/Paul Shanoski/
Paul Shanoski
Senior Attorney
Office of Petitions

<sup>3</sup> Mail Stop Petition, Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

<sup>4</sup> Customer Window, Randolph Building, 401 Dulaney Street, Alexandria, VA, 22314.

<sup>5 (571) 273-8300:</sup> please note this is a central facsimile number.

<sup>6</sup> https://sportal.uspto.gov/authenticate/authenticateuserlocalepf.html

<sup>7</sup> Petitioner will note that all practice before the Office should be in writing, and the action of the Office will be based exclusively on the written record in the Office. See 37 C.F.R. § 1.2. As such, Petitioner is reminded that no telephone discussion may be controlling or considered authority for Petitioner's further action(s).



Commissioner for Patents

United States Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

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AUG 23 2011

Paper No.

E I DU PONT DE NEMOURS AND COMPANY LEGAL PATENT RECORDS CENTER BARLEY MILL PLAZA 25/1122B 4417 LANCASTER PIKE WILMINGTON DE 19805

OFFICE OF PETITIONS

In re Application of

Levit et al.

Application No. 12/262,778 : DECISION ON PETITION

Filed: October 31, 2008 : PURSUANT TO

Attorney Docket Number: : 37 C.F.R. § 1.137(B)

TK4620USNA

Title: BROADBAND PASSIVE : DISTRIBUTED TUNED VIBRATION AND : ACOUSTIC ABSORBER FOR MODALLY :

DENSE STRUCTURES

This is a decision on the petition pursuant to 37 C.F.R. § 1.137(b), filed July 26, 2011, to revive the above-identified application.

This petition pursuant to 37 C.F.R. § 1.137(b) is GRANTED.

A Notice of Allowance and Issue Fee Due (first notice) was mailed on February 2, 2011, which set a three-month period for the submission of the issue and publication fees. No extensions of time are permitted for transmitting issue fees<sup>1</sup> or publication fees.<sup>2</sup>

Regarding the first notice, the Notice of Allowability correctly indicated that claims 3, 17, 23, 27, and 29 were allowed, however the Detailed Action erroneously indicated that claims 3, 17, 27, and 29 were allowed. It follows that on March 22, 2011, a Supplemental Notice of Allowability (second notice) was mailed, where both the Supplemental Notice of Allowability and the Detailed Action correctly indicated that claims 3, 17, 23, 27, and 29 were allowed.

<sup>1</sup> See MPEP § 710.02(e)(III).

<sup>2</sup> See 37 C.F.R. § 1.211(e).

The Office set one deadline for submitting the issue and publication fees: the first notice set a non-extendable period for submitting both the issue and publication fees by May 2, 2011. The second notice did not restart this period. The mailing of the second notice did not affect the deadline for the submission of the issue and publication fees that had been set by the first notice.

The issue and publication fees were received on May 26, 2011, which was subsequent to May 2, 2011. Accordingly, the above-identified application became abandoned on May 3, 2011. A Notice of Abandonment was mailed on May 20, 2011.

A petition pursuant to 37 C.F.R. § 1.181 was filed on May 26, 2011, and was dismissed via the mailing of a decision on July 1, 2011.

A grantable petition pursuant to 37 C.F.R. § 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed;
- (2) The petition fee as set forth in 37 C.F.R.
  § 1.17(m);
- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional, and;
- (4) Any terminal disclaimer (and fee as set forth in 37 C.F.R. § 1.20(d)) required pursuant to paragraph (d) of this section.

With this petition, Applicant has submitted the petition fee and the proper statement of unintentional delay. As such, requirements one through three of Rule 1.137(b) have been met. The fourth requirement of Rule 1.137(b) is not applicable, as a terminal disclaimer is not required.<sup>3</sup>

It is noted that Petitioner has also submitted duplicate payments of the issue and publication fees. These duplicate payments have been refunded to Deposit Account No. 04-1928.

<sup>3 &</sup>lt;u>See</u> Rule 1.137(d).

Application No. 12/262,778

Decision on Petition pursuant to 37 C.F.R. § 1.137(b)

The Office of Patent Publication will be notified of this decision so that the present application can be processed into a patent.

Petitioner may find it beneficial to view Private PAIR within a fortnight of the present decision to ensure that the revival has been acknowledged by the Office of Patent Publication in response to this decision. It is noted that all inquiries with regard to any failure of that change in status should be directed to the Office of Patent Publication where that change of status must be effected - the Office of Petitions cannot effectuate a change of status.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3225. All other inquiries concerning the status of the application should be directed to the Office of Patent Publication at 571-272-4200.

/Paul Shanoski/
Paul Shanoski
Senior Attorney
Office of Petitions

<sup>4</sup> Petitioner will note that all practice before the Office should be in writing, and the action of the Office will be based exclusively on the written record in the Office. See 37 C.F.R. § 1.2. As such, Petitioner is reminded that no telephone discussion may be controlling or considered authority for any further action(s) of Petitioner.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MOTOROLA SOLUTIONS, INC. IP Law Docketing 1303 EAST ALGONQUIN ROAD IL01/11th Floor SCHAUMBURG IL 60196

MAILED

NOV 1 0 2011

OFFICE OF PETITIONS

In re Application of

Liang GUO et al.

Application No. 12/262,786

Filed: October 31, 2008

Attorney Docket No. CM12322

**ON PETITION** 

This is a decision on the petition under 37 CFR 1.59(b), filed August 26, 2011, requesting that the Amendment submitted with Papers filed on August 26, 2011 as EFS ID No. 10827893 be expunged.

# The petition is **DISMISSED AS MOOT**.

Petitioner requests that the Amendment submitted with Papers filed on August 26, 2011 in the above-identified application be expunged as it was incorrectly submitted herein.

# MPEP 502 states:

A minor error in the identification of the application can be corrected by the Office provided the correct information identification can be quickly discovered. Examples of minor errors are transposed number, typographical errors, and listing the parent application number.

### MPEP 724.05(III) states:

Where the Office can determine the correct application file that the papers were actually intended for, based on identifying information in the heading of the papers (e.g. application number, filing date, title of invention and inventor(s) name(s)), the Office will transfer the papers to the correct application file for which they were intended without the need of a petition.

The Amendment submitted with Papers received in above-identified application on August 26, 2011 was filed by EFS-Web in an incorrect application, however, it was filed with the correct application serial number, attorney docket number, title of invention, and inventor's name. Accordingly, the papers provided enough identifying indicia for the Office to transfer the papers to the correct application file.

In a paper file, the unintentionally submitted exhibits could, but not necessarily would, have been physically removed from the file wrapper and returned to applicant. In the IFW realm the corresponding action(s) is to close the document and also remove such from the listing of "Public[ly available] Documents." It is agreed that it would be appropriate in this instance to close the information that was erroneously filed in the above identified application, and also remove such from the listing of publicly available documents for this image File Wrapper (IFW).

In view of the above, the papers filed August 26, 2011 as EFS ID No. 10827893, having already been filed in the correct application are closed and removed from the listing of "Public[ly available] Documents."

The previously submitted fee of \$130 for a one (1) month extension of time filed with the above-identified application was timely filed in the correct application. Accordingly, the duplicate \$130 extension of time fee is being refunded to Petitioner's Deposit Account No. 50-2117.

Telephone inquiries concerning this decision should be directed to Wilson Lee at (571) 272-1824 or in his absence, the undersigned at (571) 272-7099.

The application file is being referred to the Technology Center AU 2493 for further processing.

Petitions Examiner
Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: April 18,2011

In re Application of : DECISION ON REQUEST TO WITHDRAW AS

Richard Crosby

ATTORNEY/AGENTOF RECORD

Application No: 12262845

Filed: 31-Oct-2008 Attorney Docket No: F-9109

This is an electronic decision on the Request to Withdraw as attorney or agent of record under 37 CFR § 1.36(b), filed April 18,2011

The request is **APPROVED.** 

The request was signed by Laurence A. Greenberg (registration no. 29308) on behalf of all attorneys/agents associated with Customer Number 24131 . All attorneys/agents associated with Cusotmer Number 24131 have been withdrawn.

Since there are no remaining attorneys of record, all future communications from the Office will be directed to the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71, with correspondence address:

Name Richard

Address 1 P.O. Box 417

Crosby

Address 2

Name2

City Big Pine Key

State FL
Postal Code 33043
Country US

As a reminder, requester is required to inform the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71 of the electronically processed petition.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

Office of Petitions

Doc Code: PET.AUTO Document Description: Petiti	on automatically granted by EFS-Web	PTO/SB/8. U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	REQUEST FOR WITHDRAWAL AS ATTO CORRESPONDENCE ADDRESS	REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS		
Application Number	12262845			
Filing Date	31-Oct-2008			
First Named Inventor	Richard Crosby	Richard Crosby		
Art Unit	2425	2425		
Examiner Name	FERNANDO ALCON	FERNANDO ALCON		
Attorney Docket Number	F-9109			
Title	Broadcast Television Distribution Service	Broadcast Television Distribution Services Architecture		
	attorney or agent for the above identified pa ord associated with Customer Number:	tent application and 24131		
The reason(s) for this request	are those described in 37 CFR:			
10.40(c)(1)(iv)				
10.40(b)(c)(1)(vi)				
Certifications				
I/We have given reasona intend to withdraw from	ble notice to the client, prior to the expiration of employment	the response period, that the practitioner(s)		
I/We have delivered to the to which the client is enti		e client all papers and property (including funds)		
	ient of any responses that may be due and the tir	me frame within which the client must respond		
Change the correspondence ac properly made itself of record p	ddress and direct all future correspondence to the oursuant to 37 CFR 3.71:	e first named inventor or assignee that has		
Name	Richard Crosby			
Address	P.O. Box 417			
City	Big Pine Key			
State	FL			
Postal Code				

Country	US		
I am authorized to sign on behalf of myself and all withdrawing practitioners.			
Signature /Laurence A. Greenberg/			
Name Laurence A. Greenberg			
Registration Number	29308		



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspro.gov

JOHN ALUMIT 16830 VENTURA BLVD. SUITE 360 ENCINO CA 91364

MAILED

JUL 25 2011 OFFICE OF PETITIONS

In re Application of :

Abdulla Sirazhutdinovich Aliev : Application No. 12/262,856 :

Filed: 10/31/2008 :

Attorney Docket Number: 22754- : 024

ON PETITION

This is a decision in response to the petition under 37 CFR 1.137(b) filed on June 7, 2011, to revive the above-identified application.

The petition is GRANTED.

This application became abandoned on January 20, 2009, for failure to timely submit a reply to the Notice to File Missing Parts mailed on November 19, 2008, which set a two (2) month shortened period for reply. On July 13, 2009, an untimely reply including a four (4) month extension of time was filed. Notice of Abandonment was mailed on July 23, 2009.

Receipt of the reply filed on June 7, 2011 is acknowledged.

The application is being referred to the Office of Patent Application Processing for further processing.

Telephone inquiries concerning this matter may be directed to the undersigned at (571) 272-3231.

Douglas I. Wood

Senior Petitions Attorney

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Paper No.

MILES & STOCKBRIDGE PC 1751 PINNACLE DRIVE SUITE 500 MCLEAN VA 22102-3833 MAILED
AUG 2 3 2011
OFFICE OF PETITIONS

In re Application of :

Tanitsu :

Application No. 12/262,930 : DECISION ON PETITION Filed: October 31, 2008 : PURSUANT TO 37 C.F.R.

Attorney Docket Number: XA- : § 1.137(B)

11211 (T3767-15822US01

Title: OPTICAL UNIT, : ILLUMINATION OPTICAL APPARATUS, : EXPOSURE APPARTUS, EXPOSURE : METHOD, AND DEVICE :

MANUFACTURING METHOD

This is a decision on the petition filed July 19, 2011, pursuant to 37 C.F.R. § 1.137(b), to revive the above-identified application.

The petition pursuant to 37 C.F.R. § 1.137(b) is GRANTED.

The above-identified application became abandoned for failure to reply in a timely manner to the non-final Office action, mailed January 3, 2011, which set a shortened statutory period for reply of three months. No response was received, and no extensions of time under the provisions of 37 C.F.R. § 1.136(a) were requested. Accordingly, the above-identified application became abandoned on April 4, 2011. A notice of abandonment was mailed on July 15, 2011.

A grantable petition pursuant to 37 C.F.R. § 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed;
- (2) The petition fee as set forth in 37 C.F.R.

 $\S 1.17(m);$ 

- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional, and;
- (4) Any terminal disclaimer (and fee as set forth in 37 C.F.R. § 1.20(d)) required pursuant to paragraph (d) of this section.

With this petition, Petitioner has submitted the petition fee and the proper statement of unintentional delay. Petitioner has further indicated that a continuation application has been filed.

Office records confirm that continuation application no. 13/174,996 was filed on July 1, 2011.

The first three requirements of Rule 1.137(b) have been met. The fourth requirement of Rule 1.137(b) is not applicable, as a terminal disclaimer is not required.

Since this application is being revived for purposes of continuity only and since continuity has been established by this decision reviving the application, the application is again abandoned in favor of continuation application number 13/174,996.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3225. All other inquiries concerning examination procedures should be directed to the Technology Center.

/Paul Shanoski/
Paul Shanoski
Senior Attorney
Office of Petitions

<sup>1 &</sup>lt;u>See</u> Rule 1.137(d).

<sup>2</sup> Petitioner will note that all practice before the Office should be in writing, and the action of the Office will be based exclusively on the written record in the Office. See 37 C.F.R. § 1.2. As such, Petitioner is reminded that no telephone discussion may be controlling or considered authority for any further action(s) of Petitioner.



#### United States Patent and Trademark Office

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

November 21, 2011

VENABLE LLP P.O. BOX 34385 WASHINGTON DC 20043-9998

In re Application of

Baudis, Ulrich et al : **DECISION ON PETITION** 

Application No.12/262,960

Filed: 10/31/2008 : *ACCEPTANCE OF COLOR* 

Attorney Docket No.37934-264751 : DRAWINGS

This is a decision on the Petition to Accept Color Drawings under 37 C.F.R 1.84 (a) (2), received in the United States Patent and Trademark Office (USPTO) December 15, 2008.

The petition is **GRANTED**.

A grantable petition under 37 C.F.R. 1.84(a) (2) must be accompanied by the following.

- 1. The fee set forth under 37 C.F.R. 1.17(h),
- 2. Three (3) sets of the color drawings in question, or (1) set if filed via EFS, and
- 3. The specification contains appropriate language referring to the color drawings as the first paragraph in that portion of the specification relating to the brief description of the drawings.

The petition was accompanied by all of the required fees and drawings. The specification contains the appropriate language. Therefore, the petition is <u>GRANTED.</u>

Telephone inquires relating to this decision may be directed to the undersigned in the Office of Data Management at 571-272-4200.

/Diane Terry/
Quality Control Specialist
Office of Data Management
Publications Branch





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MCDERMOTT, WILL & EMERY LLP 600 13th Street, NW Washington DC 20005-3096

MAILED MAR 2 1 2011

OFFICE OF PETITIONS

In re Application of

Trey Ratcliff

Application No. 12/262,980

Filed: October 31, 2008

Attorney Docket No. 083233-0038

DECISION ON PETITION

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed February 4, 2011.

# The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a).

The Office cannot approve the request at this time since the reasons provided do not meet any of the conditions under the mandatory or permissive categories enumerated in 37 CFR 10.40. Section 10.40 of Title 37 of the Code of Federal Regulation states, "[a] practitioner shall not withdraw from employment in a proceeding before the Office without permission from the Office[.]" More specifically, 37 CFR 10.40 states, "[i]f paragraph (b) of this section is not applicable, a practitioner may not request permission to withdraw in matter pending before the Office unless such request or such withdrawal is" for one the permissive reasons listed in 37 CFR 10.40(c). The reasons set forth in the request, the assignee Electric Bat Interactive, LLC has requested no additional work be taken by McDermott Will & Emery, do not meet any the conditions set forth in 37 CFR 10.40.

In order to request or take action in a patent matter, the assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, a Statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary

evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquiries concerning this decision should be directed to Terri Johnson at 571-272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

cc: Heico Companies LLC
Attn: Douglas Johnson
2626 Warnerville Rd., Suite 300
Downers Grove, IL 60515



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MCDERMOTT WILL & EMERY LLP 600 13TH STREET, NW WASHINGTON, DC 20005-3096

MAILED

JUL 28 2011

OFFICE OF PETITIONS

In re Application of

Trey Ratcliff

Application No. 12/262,980

Filed: October 31, 2008

Attorney Docket No. 083233-0038

DECISION ON PETITION

TO WITHDRAW

FROM RECORD

This is a decision on the renewed request to withdraw as attorney of record under 37 CFR § 1.36, filed July 21, 2011.

The request is **NOT APPROVED**.

The request cannot be approved because it lacks a forwarding correspondence address of the first named inventor or a properly intervening assignee.

If the forwarding correspondence address is to the assignee, the Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest that properly became of record under 37 CFR 3.71. 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

Also, the attorneys of record were appointed using customer number 09123. Therefore, customer number 09123 must be used to withdraw the attorneys of record; not customer number 31824.

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions

CC: ELECTRIC BAT INTERACTIVE, LLC
C/O CINDY ARMSTRONG
301 CONGRESS AVENUE, SUITE 800
AUSTIN, TC 78701



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.						
12/263,019 10/31/2008 Leigh Ann		Leigh Ann Blevins Joyce	11867-133	7333						
7590 10/20/2011 BRINKS HOFER GILSON & LIONE P.O. BOX 10395			EXAMINER FRANKLIN, JODI C							
						CHICAGO, IL 6	0610		ART UNIT	PAPER NUMBER
						·		1741		
			MAIL DATE	DELIVERY MODE						
			10/20/2011	DADED						

# **DECISION DISMISSING PETITION UNDER 37 CFR 1.138(d)**

The declaration of express abandonment will not be recognized

This is in response to the petition under 37 CFR 1.138(d), requesting for a refund of any previously paid search fee and excess claims fee in the above-identified application.

The petition is dismissed.

The express abandonment will not be recognized for the reason(s) indicated below:

- 1. 1 The petition was not filed in sufficient time to permit the appropriate officials to recognize the abandonment before an examination has been made of the application. See 37 CFR 1.138(d).
- 2. 

  The petition was not signed by a party authorized by 37 CFR 1.33(b)(1), (3) or (4).
- 3. The application is not an application filed under 35 U.S.C. 111(a) on or after December 8, 2004.
- 4.  $\square$  The petition for express abandonment under 1.138(d) is dismissed because the applicant did not pay any search fee and excess claims fees in the above-identified application.

Telephone inquiries should be directed to the Office of Data Management at (571) 272-4200.

Palent Publication Branch Office of Data Management



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

ARNOLD & PORTER LLP ATTN: IP DOCKETING DEPT. 555 TWELFTH STREET, N.W. WASHINGTON, DC 20004-1206

MAILED NOV 16 2011

OFFICE OF PETITIONS

In re Application of John E. Scarboro

Application No. 12/263,087 Filed: October 31, 2008

Attorney Docket No. P30874US00/23116.002

DECISION ON PETITION TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed October 25, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request was signed by Todd T. Smith on behalf of all attorneys of record who are associated with customer No. 28381. All attorneys/agents associated with the Customer Number 28381 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address indicated below.

There is an outstanding Office action mailed August 18, 2011 that requires a reply from the applicant.

Telephone inquiries concerning this decision should be directed to undersigned at 571-272-1642. All other inquiries concerning the examination or status of this application should be directed to the Technology Center.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

cc: JOHN E. SCARBORO 706 S. LONGMONT AVENUE LAFAYETTE, CO 80026



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/263,087

10/31/2008

John E. Scarboro

P30874US00/23116.002 **CONFIRMATION NO. 7455** 

**POWER OF ATTORNEY NOTICE** 

Date Mailed: 11/09/2011

28381 ARNOLD & PORTER LLP

ATTN: IP DOCKETING DEPT. 555 TWELFTH STREET, N.W. WASHINGTON, DC 20004-1206

# NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/25/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/amwise/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Doc Code: PET.AUTO Document Description: Petition autom	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313(	ATION FROM ISSUE AFTER PAYMENT OF
Application Number	12263092	
Filing Date	31-Oct-2008	
First Named Inventor	Hiroshige Owaki	
Art Unit	2874	
Examiner Name	ELLEN KIM	
Attorney Docket Number	16443.373	
Title	LIQUID EJECTING HEAD, METHOD FOR MA APPARATUS	AKING THE SAME, AND LIQUID EJECTING
withdraw an application from issue, a showing of good and sufficient reaso	om issue for further action upon petition by applicant must file a petition under this sect ons why withdrawal of the application from ITHDRAW THIS APPLICATION FROM ISSUE U	ion including the fee set forth in § 1.17(h) and a issue is necessary.
are unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for con	aims, which must be accompanied by an un such claim or claims, and an explanation as attinued examination in compliance with § 1	equivocal statement that one or more claims to how the amendment causes such claim or .114 (for a utility or plant application only); or e in favor of a continuing application, but not a
Petition Fee		
Applicant claims SMALL EN	TITY status. See 37 CFR 1.27.	
Applicant is no longer claim	ing SMALL ENTITY status. See 37 CFR 1.27(g	ງ)(2).
Applicant(s) status remains a	as SMALL ENTITY.	
Applicant(s) status remains a	s other than SMALL ENTITY	
Reason for withdrawal from issue		

One or more claims are unpatentable				
Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)				
Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).				
RCE request, submission, and fee.				
I certify, in accordance with 3  The RCE request ,submission,	37 CFR 1.4(d)(4) that: and fee have already been filed in the above-identified application on			
Are attached.				
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES			
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:			
<ul> <li>An attorney or agent registered in this application.</li> </ul>	<ul> <li>An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application.</li> </ul>			
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.			
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors				
A joint inventor; all of whom are signing this e-petition				
○ The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71				
Signature	/Adrian J. Lee, 42785/			
Name	Adrian J. Lee			
Registration Number	42785			



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: March 19, 2012

In re Application of:

Hiroshige Owaki

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12263092

Filed: 31-Oct-2008 Attorney Docket No: 16443.373

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed March 19, 2012 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 2874 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

QUARLES & BRADY, LLP 411 E. WISCONSIN AVENUE SUITE 2040 MILWAUKEE, WI 53202-4497

**MAILED** 

SEP 13 2010

In re Application of

**OFFICE OF PETITIONS** 

Wayne S. Richey

Application No. 12/263,110

Filed: October 31, 2008

Attorney Docket No. 124121.00003

DECISION ON PETITION TO WITHDRAW FROM

RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 CFR §§ 1.36(b) or 10.40 filed July 22, 2010.

The request is **NOT APPROVED**.

The request cannot be approved because a review of the file record shows that John D. Franzini and the attorneys/agents associated with Customer Number 26710 do not have power of attorney in this patent application. Accordingly, the request to withdraw under 37 CFR § 1.36(b) is not applicable<sup>1</sup>.

In view of the above, all future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

There is an outstanding Office action mailed on June 9, 2010 that requires a reply from the applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at (571)[272-8226. (

Andrea Smith Petitions Examiner Office of Petitions

cc:

Wayne S. Richey

1760 Down River Drive Woodland, WA 98674

<sup>&</sup>lt;sup>1</sup> The Office will no longer approve requests from practitioners to withdraw from application where the requesting practitioners is acting, or has acted, in a representative capacity pursuant to 37 CFR 1.34.

Doc Code: PPH.PCT.652

Document Description: Petition to make special under PCT-Patent Pros Hwy

PTO/SB/20PCT-KR (06-10)

Approved for use through 01/31/2012. OMB 0651-0058 U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# REQUEST FOR PARTICIPATION IN THE PATENT COOPERATION TREATY - PATENT PROSECUTION HIGHWAY (PCT-PPH) PILOT PROGRAM BETWEEN THE KOREAN INTELLECTUAL PROPERTY OFFICE (KIPO) AND THE USPTO Application No: Filing date: October 31, 2008 12/263,120 First Named Inventor: Wenbin Hong Title of the CYCLIC OLEFIN COMPOSITIONS FOR TEMPORARY WAFER BONDING Invention: THIS REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM ALONG WITH THE REQUIRED DOCUMENTS MUST BE SUBMITTED VIA EFS-WEB. INFORMATION REGARDING EFS-WEB IS AVAILABLE AT HTTP://WWW USPTO GOV/FBC/EFS\_HELP.HTML APPLICANT HEREBY REQUESTS PARTICIPATION IN THE PCT-PPH PROGRAM AND PETITIONS TO MAKE THE ABOVE-IDENTIFIED APPLICATION SPECIAL UNDER THE PCT-PPH PROGRAM. The above-identified application is (1) a national stage entry of the corresponding PCT application, or (2) a national stage entry of another PCT application which claims priority to the corresponding PCT application, or (3) a national application that claims domestic/ foreign priority to the corresponding PCT application, or (4) a national application which forms the basis for the priority claim in the corresponding PCT application, or (5) a continuing application of a U.S. application that satisfies one of (1) to (4) above, or (6) a U.S. application that claims domestic benefit to a U.S. provisional application which forms the basis for the priority claim in the corresponding PCT application. PCT/US2009/061633 The corresponding PCT application number(s) is/are: The international date of the corresponding PCT application(s) is/are: October 22, 2009 I. List of Required Documents: a. A copy of the latest international work product (WO/ISA, WO/IPEA, or IPER) in the above-identified corresponding PCT application(s) Is attached. Is not attached because the document is already in the U.S. application. b. A copy of all claims which were indicated as having novelty, inventive step and industrial applicability in the above-identified corresponding PCT application(s). Is attached. Is not attached because the document is already in the U.S. application. c. English translations of the documents in a. and b. above are attached (if the documents are not in the English language). A statement that the English translation is accurate is attached for the document in b. above.

Approved for use through 01/31/2012. OMB 0651-0058
U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR PARTICIPATION IN THE PCT-PPH PILOT PROGRAM					
BETWEEN THE KIPO AND THE USPTO (continued)					
Application No.:	12/263,	2/263,120			
First Named Inventor:	Wenbin Hong				
WO/ISA, No list attach  Has alre  (2) Copies of  Are attach	NO/IPEA ed ady beer all docu ched.	, IPER) of the correspond	July 27, ad U.S. application on	ion publications)	
II. Claims Corre	sponde	nce Table:			
Claims in US Application Patentable Claims in the corresponding PCT Application PCT Application				espondence	
1-35		1-35	Identical claims	filed in both applications	
		****			
		<u> </u>			
III. All the claims in the US application sufficiently correspond to the patentable claims in the corresponding PCT application.					
Signature 4	lacu	Borns.		Date 9/24/10	
Name (Print/Typed)	4	Tracy Bar	nman	Registration Number 42,347	



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/263,120	10/31/2008	Wenbin Hong	39709	7534
23589 7590 11/23/2010 Hovey Williams LLP 10801 Mastin Blvd., Suite 1000			EXAMINER	
			AFTERGUT, JEFF H	
Overland Park, KS 66210		210	ART UNIT	PAPER NUMBER
			1.746	
			MAIL DATE	DELIVERY MODE
			11/23/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

CT

November 23, 2010

In re application of : DECISION ON REQUEST TO Wenbin Hong et al : PARTICIPATE IN PATENT Serial No. 12/263.120 : PROSECUTION HIGHWAY

Filed: October 31, 2008 : PROGRAM AND

For: CYCLIC OLEFIN COMPOSITIONS : PETITION TO MAKE SPECIAL

FOR TEMPORARY WAFER BONDING: UNDER 37 CFR 1.102(a)

This is a decision on the request to participate in the PCT Patent Prosecution Highway (PCT-PPH) pilot program and the petition under 37 CFR 1.102(a), filed September 24, 2010, to make the above-identified application special.

The request and petition are **GRANTED**.

A grantable request to participate in the PCT-PPH pilot program and petition to make special require:

- (1) The U.S. application must disclose an eligible relationship to one or more PCT applications where the ISA or IPEA are the JPO, EPO, USPTO or KIPO;
- (2) At least one claim in the PCT application has novelty, inventive step, and industrial applicability and must be free of any observations in Box VIII in the latest work product in the international stage or applicant must identify and explain why the claim(s) is/are not subject to the observation in Box VIII;
- (3) Applicant must submit a copy of the claim(s) from the PCT application(s) that have novelty, inventive step, and industrial applicability along with an English translation thereof and a statement that the English translation is accurate, if the claims are not in the English language;
- (4) Applicant must submit a claims correspondence table in English and all the independent claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the claim(s) that have novelty, inventive step, and industrial applicability in the PCT application(s);
- (5) Examination of the U.S. application has not begun;
- (6) Applicant must submit a copy of the latest international work product from the PCT application indicating that the claim(s) have novelty, inventive step, and industrial

Application No. 12/263,120

applicability along with an English translation thereof if the latest international work product is not in the English language; and

(7) Applicant must submit an IDS listing the documents cited by the PCT examiner in the international work product along with copies of documents except U.S. patents or U.S. patent application publications.

The request to participate in the PPH program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

The application is being forwarded to the examiner for action on the merits commensurate with this decision.

Any inquiry regarding this decision should be directed to Christine Tierney, Quality Assurance Specialist, at (571) 272-1055.

All other inquiries concerning the examination or status of the application is accessible in the PAIR system at http://www.uspto.gov/ebc/index.html.

/Christine Tierney/

Christine Tierney
Quality Assurance Specialist
Technology Center 1700



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

LAW OFFICES OF RONALD M ANDERSON 600 108TH AVE, NE SUITE 507 BELLEVUE WA 98004 MAILED
AUG 0 1: 2011
OFFICE OF PETITIONS

In re Application of

Allal Amrani

Application No. 12/263,149
Filed: October 31, 2008

Attorney Docket No. AMRA0004

DECISION ON PETITION TO WITHDRAW

FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36(b), filed July 18, 2011.

The request is **NOT APPROVED**.

The request cannot be approved because the attorney cannot withdraw attorneys' individually when the power of attorney was originally granted by Customer Number in the Combined Declaration and Power of Attorney filed December 8, 2008.

The Office will only accept correspondence address changes to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71, or, if no assignee of the entire interest has properly been made of record, the most current address information provided for the first named inventor. 37 CFR 3.71(c) states:

An assignee becomes of record either in a national patent application or a reexamination proceeding by filing a statement in compliance with § 3.73(b) that is signed by a party who is authorized to act on behalf of the assignee.

The assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, the statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain

of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

All future communications from the Office will continue to be directed to the above-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-4584.

JoAn Burke

Petitions Examiner

Office of Petitions



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

LAW OFFICES OF RONALD M ANDERSON 600 108TH AVE, NE SUITE 507 BELLEVUE WA 98004

MAILED

AUG 09 2011

OFFICE OF PETITIONS

In re Application of

Allal Amrani :

Application No. 12/263,149 : Filed: October 31, 2008 :

Attorney Docket No. AMRA0004

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 CFR § 1.36(b), filed August 3, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40.

The request was signed by Ronald M. Anderson on behalf of all attorneys of record who are associated with Customer Number 25268.

All attorneys/agents associated with Customer Number 25268 have been withdrawn. Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and all future correspondence will be directed to the first named inventor Allal Amrani at the address indicated below.

There is an outstanding Office action mailed August 2, 2011, that requires a reply from the applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-4584.

/JoAnne Burke/ JoAnne Burke Petitions Examiner Office of Petitions

cc: Allal Amrani

20704 Des Moines Memorial Drive

Seatac, WA 98198-3501



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/263,149

10/31/2008

Allal Amrani

AMRA0004

CONFIRMATION NO. 7589
POWER OF ATTORNEY NOTICE



25268 LAW OFFICES OF RONALD M ANDERSON 600 108TH AVE, NE SUITE 507 BELLEVUE, WA 98004

Date Mailed: 08/09/2011

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/03/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/jlburke/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO CA 94303 MAILED

MAY 02 2011

OFFICE OF PETITIONS

In re Application of

DA SILVA, et al

Application No. 12/263,159

Filed: October 31, 2008

Attorney Docket No: DSLVN00100

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 8, 2011.

# The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request was signed by David A. Levine on behalf of the attorneys of record associated with Customer No. 40518.

The attorneys of record associated with Customer No. 40518 have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address indicated below until otherwise properly notified by the applicant.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-

/DCG/ Diane C. Goodwyn Petitions Examiner Office of Petitions

cc:

LUIZ B. DA SILVA 6248 PRESTON AVENUE LIVERMORE, CA 94551



40518

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 12/263,159

FILING OR 371(C) DATE 10/31/2008

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE
DSLVNZ00100

Luiz B. DA SILVA

**CONFIRMATION NO. 7605** 

**POWER OF ATTORNEY NOTICE** 

\*OC00000047396885\*

Date Mailed: 04/28/2011

LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303

# NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/08/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/dcgoodwyn/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



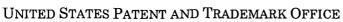
# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/263,216	10/31/2008	Rihito Kaneko	13372/61	7715	
23838 KENYON & K	7590 02/22/2011 FNYON LLP		EXAMINER		
1500 K STREET N.W. SUITE 700 WASHINGTON, DC 20005			MOULIS, THOMAS N		
			ART UNIT	PAPER NUMBER	
	,		3747		
		-			
			MAIL DATE	DELIVERY MODE	
			02/22/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

KENYON & KENYON LLP 1500 K STREET N.W. SUITE 700 WASHINGTON DC 20005

In re Application of:

KANEKO, RIHITO et al Serial No.: 12/263,216

Filed: Oct. 31, 2008 Docket: 13372/61

Title:

**IGNITION TIMING** 

CONTROLLING APPARATUS

AND IGNITION TIMING

CONTROLLING METHOD FOR INTERNAL COMBUSTION

EVICE II

**ENGINE** 

:: :

::

DECISION ON REQUEST TO PARTICIPATE IN PATENT PROSECUTION HIGHWAY (PPH) AND PETITION TO MAKE

SPECIAL UNDER 37 CFR

1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed Feb. 17, 2011, to make the above-identified application special.

The request and petition are **GRANTED**.

A grantable request to participate in the PPH program and petition to make special require:

- (1) The U.S. application must validly claim priority under 35 U.S.C. 119(a) to one or more corresponding application(s) filed in the JPO or to a PCT application that does not contain any priority claim, or the U.S. application must be a national stage entry of a PCT application that does not contain any priority claim;
- (2) Applicant must submit a copy of the allowable/patentable claim(s) from the JPO application(s) along with an English translation thereof and a statement that the English translation is accurate;
- (3) All the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the JPO application(s);
- (4) Examination of the U.S. application has not begun;
- (5) Applicant must submit a copy of all the office actions from each of the JPO application(s) containing the allowable/patentable claim(s) along with an English translation thereof and a statement that the English translation is accurate; and

(6) Applicant must submit an IDS listing the documents cited by the JPO examiner in the JPO office action along with copies of documents except U.S. patents or U.S. patent application publications.

In light of the petition being properly submitted, the request to participate in the PPH program and the petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

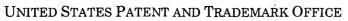
The applicant is encouraged to cite and submit all relevant prior art references, if any, to facilitate examination in this application. This application will be forwarded to an examiner for examination.

Telephone inquiries concerning this decision should be directed to Henry C. Yuen at 571-272-4856. All other inquiries concerning the examination or status of the application should be directed to Steve Cronin, SPE of Art Unit 3747, and 571-272-4536 for Class 123/406 and also accessible in the PAIR system at <a href="http://www.uspto.gov/ebc.index.html">http://www.uspto.gov/ebc.index.html</a>.

Petition is granted.

/Henry C. Yuen/

Henry C. Yuen, Special Programs Examiner Technology Center 3700 – Mechanical Engineering, Manufacturing and Products 571-272-4856





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

SCHWABE WILLIAMSON & WYATT PACWEST CENTER, SUITE 1900 1211 SW FIFTH AVENUE PORTLAND OR 97204 MAILED OCT 2 6 2010

OFFICE OF PETITIONS

In re Application of

Carl EBELING, et al

Application No. 12/263,223

Filed: October 31, 2008 Attorney Docket No. 109893-161594 **DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed October 1, 2010.

### The request is **NOT APPROVED.**

The Office will no longer accept address changes to a new practitioner or law firm filed with a Request, absent the filing of a power of attorney to the new representative. The Office will either change the correspondence address of record to the most current address information provided for the assignee of the entire interest who properly became of record under 37 CFR 3.71 or, if no assignee of the entire interest has properly been made of record under 37 CFR 3.71, the most current address information provided for the first named inventor.

In this regard, the request to withdraw from record cannot be approved because the correspondence address provided for future communications from the Office is not proper since the assignee indicated has not been properly made of record under 37 C.F.R 3.71.

In order to request or take action in a patent matter, the assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, a Statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

All future communications from the Office will continue to be directed to the above-identified address until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/DCG/ Diane C. Goodwyn Petitions Examiner Office of Petitions

ABOUND LOGIC S.A.S. PARC BUROSPACE, 1 ROUTE DE GISY-HALL 1 BIS Cc:

BIEVRES 91570 FR

Cc:

AL AU YEUNG 1420  $5^{\rm TH}$  AVE., SUITE 3400 SEATTLE WA 98101

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

SCHWABE, WILLIAMSON & WYATT, P.C. 1420 FIFTH AVENUE, SUITE 3400 **SEATTLE WA 98101-4010** 

MAILED

DEC 1 0 2010 OFFICE OF PETITIONS

In re Application of

Carl EBELING, et al

Application No. 12/263,223

Filed: October 31, 2008

Attorney Docket No. 109893-161594

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed November 12, 2010.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request was signed by Al AuYeung on behalf of the attorneys of record associated with Customer No. 60172.

The attorneys of record associated with Customer No. 60172 have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address copied below until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/Diane C. Goodwyn/ Diane C. Goodwyn Petitions Examiner Office of Petitions

Cc: CARL EBELING 101 ACACIA LANE REDWOOD CITY CA 94062



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/263,223

10/31/2008

Carl Ebeling

109893-161594

CONFIRMATION NO. 7728
POWER OF ATTORNEY NOTICE

60172 SCHWABE, WILLIAMSON & WYATT, P.C. 1420 FIFTH AVENUE, SUITE 3400 SEATTLE, WA 98101-4010

Date Mailed: 12/09/2010

### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/12/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/dcgoodwyn/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

SYNECOR LLC P.O. BOX 5325 LARKSPUR CA 94977

MAILED
DEC 1 3 2011
OFFICE OF PETITIONS

In re Application of Terrance Ransbury et al. Application No. 12/263,240

ON PETITION

Filed: October 31, 2008

Attorney Docket No: INPLS-42

This is a decision on the petition filed November 29, 2011 under 37 CFR 1.137(b),<sup>1</sup> to revive the above-identified application.

### The petition is **GRANTED**.

This application became abandoned June 7, 2011 for failure to timely reply to the non-Final Office Action mailed March 4, 2011 within the shortened statutory period of three months set for reply. Accordingly, a Notice of Abandonment was mailed September 29, 2011.

The record reveals that a three month extension of time was filed with the instant petition, however, pursuant to 37 CFR 1.136, an extension of time must be filed prior to the expiration of the maximum period obtainable for reply to avoid abandonment. Accordingly, since the \$635.00 extension of time fee submitted with the petition on November 29, 2011, was subsequent to the maximum period obtainable for reply, this fee is unnecessary and will be refunded back to the credit card provided.

This matter is being referred to Technology Center 3762 for appropriate action on the amendment filed November 29, 2011.

Telephone inquiries concerning this matter should be directed to the undersigned Petitions Attorney at (571) 272-3212.

Patricia Faison-Ball Senior Petitions Attorney Office of Petitions

<sup>&</sup>lt;sup>1</sup>Effective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) must be accompanied by:

<sup>(1)</sup> the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continued examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof. In an application abandoned for failure to pay the publication fee, the required reply must include payment of the publication fee.

<sup>(2)</sup> the petition fee as set forth in 37 CFR 1.17(m);

<sup>(3)</sup> a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Director may require additional information where there is a question whether the delay was unintentional; and

Doc Code: PET.AUTO Document Description: Petition automatically granted by EFS-Web		PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313	CATION FROM ISSUE AFTER PAYMENT OF		
Application Number	12263243			
Filing Date	31-Oct-2008			
First Named Inventor	Ynjiun Wang			
Art Unit	2876			
Examiner Name	TABITHA CHEDEKEL			
Attorney Docket Number	H0021417			
Title	INDICIA READING TERMINAL INCLUDING	FRAME QUALITY EVALUATION PROCESSING		
An application may be withdrawn from issue for further action upon petition by the applicant. To request that the Office withdraw an application from issue, applicant must file a petition under this section including the fee set forth in § 1.17(h) and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary.				
APPLICANT HEREBY PETITIONS TO W	ITHDRAW THIS APPLICATION FROM ISSUE	UNDER 37 CFR 1.313(c).		
A grantable petition requires the following items:  (1) Petition fee; and (2) One of the following reasons: (a) Unpatentability of one or more claims, which must be accompanied by an unequivocal statement that one or more claims are unpatentable, an amendment to such claim or claims, and an explanation as to how the amendment causes such claim or claims to be patentable; (b) Consideration of a request for continued examination in compliance with § 1.114 (for a utility or plant application only); or (c) Express abandonment of the application. Such express abandonment may be in favor of a continuing application, but not a CPA under 37 CFR 1.53(d).				
Petition Fee				
Applicant claims SMALL ENTITY status. See 37 CFR 1.27.				
Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).				
Applicant(s) status remains as SMALL ENTITY.				
Applicant(s) status remains as other than SMALL ENTITY				
Reason for withdrawal from issue				

One or more claims are unpatentable				
Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)				
Applicant hereby expressly abai have power of attorney pursuar	ndons the instant application (any attorney/agent signing for this reason must at to 37 CFR 1.32(b)).			
RCE request, submission, and fee.				
I certify, in accordance with 37 CFR 1.4(d)(4) that:  The RCE request ,submission, and fee have already been filed in the above-identified application on				
Are attached.				
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES			
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:			
An attorney or agent registered in this application.	to practice before the Patent and Trademark Office who has been given power of attorney			
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.			
A sole inventor				
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors				
A joint inventor; all of whom are signing this e-petition				
The assignee of record of the entire interest that has properly made itself of record pursuant to 37 CFR 3.71				
Signature	/George S. Blasiak/			
Name	George S. Blasiak			
Registration Number 37283				



### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: December 29, 2011

In re Application of :

Ynjiun Wang

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 12263243

Filed: 31-Oct-2008 Attorney Docket No: H0021417

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed December 29, 201,1to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.** 

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 2876 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

WOMBLE CARLYLE SANDRIDGE RICE PLLC

Attn.: IP DOCKETING

P.O. BOX 7037

ATLANTA, GA 30357-0037

NOV 11 2011

In re Application of:

Wilson Wong et al. Serial No.: 12/263,290

Filed: October 31, 2008

Attorney Docket No.: ALTEP183

DECISION SUA SPONTE WITHDRAWING HOLDING

OF ABANDONMENT

This is a decision, *sua sponte*, withdrawing the holding of abandonment of the above-identified application.

The application was held abandoned for failure to timely reply to the non-final Office action of March 29, 2011, and a Notice of Abandonment was mailed on October 13, 2011.

A non-final Office action was mailed on March 29, 2011. A reply was filed on October 7, 2011, along with a petition for an extension of time of three months to extend the due date for response to September 29, 2011. The requisite fee was charged to applicant's deposit account. The reply includes thereon a Certificate of Mailing dated September 29, 2011. Pursuant to 37 CFR 1.8(a), the reply was timely filed and the holding that the instant application became abandoned was in error.

Although no petition or request to withdraw the holding of abandonment in this application has been filed, the Notice of Abandonment is hereby vacated, the holding of abandonment withdrawn, and the application restored to pending.

An Office action will be mailed in due course.

Any inquiry regarding this decision should be directed to Melissa Koval, Supervisory Patent Examiner, at (571) 272-2121.

Diego Gutierrez, Acting Group Director

Diego F.F. Guteur

Technology Center 2800

Circuits/Measuring and Testing

Systems and Components



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

LAW OFFICES OF JERRY A. SCHULMAN 18376 SUMMIT AVENUE COURT C OAKBROOK TERRACE IL 60181

MAILED

MAR 252011

OFFICE OF PETITIONS

In re Application of:

Takayuki AKAHOSHI

Application No. 12/263,315

Filing Date: October 31, 2008

Attorney Docket No. 10007-2-152

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed on September 03, 2010, to revive the above-identified application.

The petition is **GRANTED**.

The above-identified application became abandoned for failure to file a proper reply in a timely manner to the Notice to File Missing Parts of Nonprovisional Application mailed November 18, 2008, which set a shortened statutory period for reply of two (2) months. No extensions of time under the provisions of 37 CFR 1.136(a) was obtained. Accordingly, the above-identified application became abandoned on January 19, 2009.

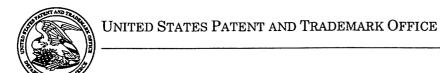
The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of the required fees, replacement drawings and signed oath or declaration, (2) the petition fee of \$810, and (3) a proper statement of unintentional delay. Accordingly, the reply to the Notice to File Missing Parts of Nonprovisional Application of November 18, 2008 is accepted as having been unintentionally delayed.

Telephone inquiries concerning this decision should be directed to Tredelle Jackson at 571-272-2783.

The application is being referred to the Office of Patent Application Processing for further processing.

Ramesh Krishnamurthy Petitions Examiner

Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

GREENBERG TRAURIG, LLP (SV)
IP DOCKETING
2450 COLORADO AVENUE
SUITE 400E
SANTA MONICA, CA 90404

MAILED
NOV 0 8 2010
OFFICE OF PETITIONS

In re Application of

Russell A. Houser et al.

Application No. 12/263322

Filed: October 31, 2008

Attorney Docket No. **37163-709.503** 

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 CFR § 1.36(b), filed September 23, 2010.

The request is **NOT APPROVED** as moot.

A review of the file record indicates that the power of attorney to attorneys/agents associated with Customer Number 64494 has been revoked by the applicants of the patent application on October 4, 2010. Accordingly, the request to withdraw under 37 CFR § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to the undersigned at 571-272-4584.

/JoAnne Burke/ JoAnne Burke Petitions Examiner Office of Petitions

cc:

WILSON, SONSINI, GOODRICH & ROSATI

650 PAGE MILL ROAD PALO ALTO CA 94304-1050

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

# THE WEBOSTAD FIRM, A PROFESSIONAL CORPORATION 150 NORTH WIGET LANE

SUITE 200

MAILED

**WALNUT CREEK CA 94598** 

SEP 2 7 2010

OFFICE OF PETITIONS

**NOTICE** 

In re Application of

Andrew Horch

Application No. 12/263,376

•

Patent No. 6,953,953

Filed: October 1, 2002

Attorney Docket No. C023

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28.

The Office no longer investigates or rejects original or reissue patent under 37 CFR 1.56. 1098 Off. Gaz. Pat. Office 502 (January 3, 1989). Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby ACCEPTED.

This patent is no longer entitled to small entity status. Accordingly, all future fees paid in this patent must be paid at the large entity rate.

Inquiries related to this communication should be directed to the undersigned at (571) 272-2991.

/Terri Johnson/ Terri Johnson Petitions Examiner Office of Petitions **Doc Code: PET.GREEN** 

**Document Description: Petition for Green Tech Pilot** 

PTO/SB/420 (11-10)
Approved for use through 01/31/2011. OMB 0651-0062
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION TO MAKE SPECIAL UNDER THE GREEN TECHNOLOGY PILOT PROGRAM				
Attorney Docket Number: 81186334 (67,600-119)	Application Number 12/263,388 (if known):	Filing date: 10/31/2008		
First Named Inventor: Daniel A. Gabor				
Title: REDUCED ENERGY VACUUM PUMP (	CONTROL			
APPLICANT HEREBY REQUESTS THE ABOVE-IDENTIFIED APPLICATION OF THE ABOVE THE ABOVE THE APPLICATION OF THE ABOVE THE ABOVE THE APPLICATION OF THE ABOVE THE AB		EEN TECHNOLOGY PILOT PROGRAM FOR page 2.		
This petition must be timely filed el  1. By filing this petition:	ectronically using the USPTO elec	ctronic filing system, EFS-Web.		
	y publication: Applicant hereby ation fee set forth in 37 CFR 1.1	y requests early publication under 8(d) accompanies this request.		
2. By filing this petition: applicant is agreeing to make an election without traverse in a telephonic interview and elect an invention that meets the eligibility requirements for the Green Technology Pilot Program, if the Office determines that the claims are not obviously directed to a single invention. See Instruction Sheet.				
3. This request is accompanied by statements of special status for the eligibility requirement.				
4. The application contains no more than three (3) independent claims and twenty (20) total claims.				
5. The application does not contain any multiple dependent claims.				
6. Other attachments:				
/RANDYW.TUNG/	Date 03/04/2011			
Name RANDY W. TUNG (Print/Typed)	Registration Number 31,311			
<b>Note:</b> Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 31 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature see below*.				
*Total of forms are submitted.				

The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box** 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### Instruction Sheet for

## Petition to Make Special Under the Green Technology Pilot Program

(Not to be Submitted to the USPTO)

The following is a summary of the requirements (for more information see the notices (i) "Pilot Program for Green Technologies Including Greenhouse Gas Reduction," (ii) "Elimination of Classification Requirement in the Green Technology Pilot Program," and (iii) "Expansion and Extension of the Green Technology Pilot Program," available on the USPTO web site at http://www.uspto.gov/patents/init\_events/green\_tech.jsp):

- The application must be a non-reissue, non-provisional utility application filed under 35 U.S.C. 111

   (a), or an international application that has entered the national stage in compliance with 35 U.S.C.
   371, irrespective of the filing date of the application. Reexamination proceedings are excluded from this pilot program.
- 2) The application must contain three or fewer independent claims and twenty or fewer total claims. The application must not contain any multiple dependent claims. For an application that contains more than three independent claims or twenty total claims, or multiple dependent claims, applicant must file a preliminary amendment in compliance with 37 CFR 1.121 to cancel the excess claims and/or the multiple dependent claims at the time the petition to make special is filed.
- 3) The claims must be directed to a single invention that materially enhances the quality of the environment, or that materially contributes to: (1) the discovery or development of renewable energy resources; (2) the more efficient utilization and conservation of energy resources; or (3) green house gas emission reduction (see the eligibility requirements of sections II and III of the notice (i) cited above). The petition must include a statement that, if the USPTO determines that the claims are directed to multiple inventions (e.g., in a restriction requirement), applicant will agree to make an election without traverse in a telephonic interview, and elect an invention that meets the eligibility requirements in section II or III of the notice (i) cited above.
- The petition to make special must be timely filed electronically using the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Green Tech Pilot" on the EFS-Web screen. Applicant should use form PTO/SB/420, which is available as a Portable Document Format (PDF) fillable form in EFS-Web and on the USPTO Web site.
- The petition to make special must be filed at least one day prior to the date that a first Office action (which may be an Office action containing only a restriction requirement) appears in the Patent Application Information Retrieval (PAIR) system. Applicant may check the status of the application using PAIR.
- The petition to make special must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and the publication fee set forth in 37 CFR 1.18(d).

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/263,388	10/31/2008	Daniel A. Gabor	81186334 (67,600-119) 8056	
32997 TUNG & ASS	7590 04/19/2011 OCIATES		EXAM	INER
TUNG & ASSOCIATES 838 WEST LONG LAKE, SUITE 120		MORRIS, I	MORRIS, LESLEY D	
BLOOMFIEL	D HILLS, MI 48302		ART UNIT	PAPER NUMBER
			3611	
	·			
			MAIL DATE	DELIVERY MODE
			04/19/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

# APR 1 9 2011

TUNG & ASSOCIATES 838 WEST LONG LAKE, SUITE 120 BLOOMFIELD HILLS MI 48302

In re Application of

Daniel A. GABOR : DECISION ON PETITION
Application No. 12/263,388 : TO MAKE SPECIAL UNDER
Filed: October 31, 2008 : THE GREEN TECHNOLOGY

Attorney Docket No. 81186334 (67,600-119) : PILOT PROGRAM

This is a decision on the petition under 37 CFR 1.102, filed March 8, 2011 to make the above-identified application special under the pilot program for applications pertaining to Green Technologies as set forth in 74 Federal Register Notice 64666 (December 8, 2009) and amended by 75 Federal Register Notice 28554 (May 21, 2010) and 75 Federal Register Notice 69049 (November 10, 2010).

#### The petition is **DISMISSED**.

If reconsideration of this decision is desired, a petition for reconsideration must be filed within ONE (1) MONTH OR THIRTY (30) DAYS from the mail date of this decision, whichever is longer. No extension of this time limit can be granted under 37 CFR 1.136(a) or (b). The petition for reconsideration should include an exhaustive attempt to provide the lacking item(s) noted below, since, after a decision on the petition for reconsideration, no further reconsideration or review of the matter will be undertaken by the Director.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 64666 must be directed to a nonprovisional application filed under 35 USC 111(a) or be a national stage entry under 35 USC 371, exclusive of any reissue applications.

In order to qualify for special status, the following requirements must be met. 1) The application must have no more than 3 independent claims and no more than 20 total claims. 2) The application must not contain any multiple dependent claims. 3) The petition must state the basis for seeking special status, i.e., the claimed invention either: A) materially enhances the quality of the environment or B) materially contributes to: i) the discovery or development of renewable energy resources, ii) the more efficient utilization and conservation of energy resources, or iii) greenhouse gas emission reduction. 4) If the disclosure is not clear on its face that the claimed invention materially contributes under category (A) or (B), the petition must be accompanied by a statement by the applicant, assignee, or an attorney/agent registered to practice before the Office explaining how the materiality standard is met. 5) A statement that applicant will agree to

make an election without traverse in a telephonic interview if a restriction requirement is made by the examiner. 6) The petition to make special must be filed electronically. 7) The petition must be filed at least one day prior to the date that a first Office Action appears in the Patent Application Information Retrieval (PAIR) system. 8) The petition must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and include the publication fee as set forth in 37 CFR 1.18(d).

The requirement for a fee for consideration of the petition to make special for applications pertaining to Green Technologies has been waived.

The petition lacks items 3 and 4.

In regard to item 3, petitioner should note that the instant petition fails to state the basis for the special status (i.e., whether the instant invention (1) materially enhances the quality of the environment or materially contributes to (2) development of renewable energy resources or energy conservation, or (3) greenhouse gas reduction) as required by sections II and III of the notice.

In regard to item 4, as stated in the notice, applicant must also provide a statement pertaining to the materiality standard if the application disclosure is not clear on its face as to the materiality of the basis for the special status of the invention. The disclosure of the instant application does not adequately explain how the claimed method for controlling a hydraulic brake system vacuum pump in a vehicle would increase energy efficiency of the vacuum pump, conserve energy resources or reduce greenhouse gas emissions. As stated in the notice, the materiality standard does not permit an applicant to speculate as to how a hypothetical end-user might specially apply the invention in a manner than could materially contribute to category (A) or (B). Also see MPEP § 708.02 (VI). Accordingly, it is unclear as to how the claimed invention would materially contribute to category (B), and it is not agreed that the application on its face meets that materiality standard.

Any reconsideration of this decision should be submitted through the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Green Tech Pilot" on the EFS-Web screen.

Telephone inquiries concerning this decision should be directed to Lanna Mai at 571-272-6867.

The application is being forwarded to the Technology Center Art Unit 3611 for action in its regular turn.

Lanna Mai

Quality Assurance Specialist
Technology Center 3600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel A. Gabor

Group Art Unit: 3611

Serial No.: 12/263,388

Examiner: Morris, Lesley

Filed: 10/31/2008

For: REDUCED ENERGY VACUUM PUMP CONTROL

Attorney Docket No.: 81186334 (67600-119)

#### **CERTIFICATE OF MAILING OR FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Patent and Trademark Office via Electronic Filing.

Signature

Randy W. Tung

Printed Name

Please forward all correspondence to

4/25/11

Date

TUNG & ASSOCIATES 838 W. Long Lake Road, Suite 120 Bloomfield Hills, MI 48302

# PETITION FOR RECONSIDERATION OF DECISION ON PETITION TO MAKE SPECIAL UNDER THE GREEN TECHNOLOGY PILOT PROGRAM

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, Va 22313-1450

Dear Sir:

In response to a Dismissal of the Petition filed 03/08/2011, under 37 CFR 1.102 for making the above-identified application special under the Pilot Program for applications pertaining to Green Technologies, the Applicants respectfully request of reconsideration of the petition.

The petition was dismissed for not fulfilling requirement numbers 3 and 4 to qualify for special status in that "the basis for the special status is not stated" and the materiality standard is not met.

The basis for seeking special status for the instant invention is that it materially contributes to the conservation of energy resources and furthermore, achieving greenhouse gas emission reduction of a hybrid electric vehicle.

The Applicants hereby respectfully submit that the present application fulfills the special status that the invention materially contributes to energy conservation in enabling the proper operation of a hybrid electric vehicle.

As stated in the instant Application, paragraphs 002, 003, 0011 and 0012:

[002] Power brake systems for vehicles, for example, including hybrid electric vehicles (HEV's), typically include a hydraulic brake boosting system which increases the hydraulic force applied to the brakes when engaging a vehicle brake system (e.g., depressing a brake pedal).

- [003] A hydraulic brake system typically includes a vacuum pump which may be operated by a motor connected to the vehicle power system so as to generate negative pressure (vacuum) on a working fluid (e.g., air) which is stored in a brake booster, e.g., attached to the master cylinder Typically, when the brake pedal is engaged (e.g., depressed), negative pressure is introduced into the hydraulic brake system to increase (boost) the force applied to the brake system (e.g., master cylinder) in order to operate the brakes.
- [0011] Therefore it is an object of the invention to provide an improved method to control the operation of a vacuum pump included in a hydraulic brake system (brake booster vacuum pump) of a hybrid electric vehicle in order to improve operating efficiency of the brake system including reduced power consumption and reduced pump operating noise.
- [0012] In an exemplary embodiment, a method is provided that includes determining a state of a hybrid eletric vehicle ignition switch; determining a state of the vehicle, the vehicle state including at least one of whether the vehicle is in a torque producing mode, whether the vehicle is moving, and whether the vehicle brake system is engaged; and, controlling the vacuum pump in response to the vehicle state and the ignition switch state."

The Applicants therefore respectfully submit that the present invention discloses a technology to reduce energy consumption of the coolant heater to enable the proper operation of the vehicle and therefore, materially contributes to energy conservation by such operation.

A reconsideration for making special of the present application under the Green Technology Pilot Program is respectfully requested of the Examiner.

In the event that the present invention as claimed is not in condition for allowance for any reason, the Examiner is respectfully invited to call the Applicants representative at his Bloomfield Hills, Michigan office at (248) 540-4040 such that necessary action may be taken to place the application in a condition for allowance.

Respectfully submitted,

Randy W. Tung, Registration No. 31311



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION	
12/263,388	10/31/2008	Daniel A. Gabor	81186334 (67,600-119) 8056	
32997 TUNG & ASS	7590 06/09/2011 OCIATES		EXAMINER	
838 WEST LONG LAKE, SUITE 120			STABLEY, MICHAEL R	
BLOOMFIELI	D HILLS, MI 48302		ART UNIT	PAPER NUMBER
			3611	-
			<u></u>	
			MAIL DATE	DELIVERY MODE
			06/09/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspio.gov

## JUN 0 9 2011

TUNG & ASSOCIATES 838 WEST LONG LAKE, SUITE 120 BLOOMFIELD HILLS MI 48302

In re Application of

Daniel A. GABOR : DECISION ON PETITION
Application No. 12/263,388 : TO MAKE SPECIAL UNDER
Filed: October 31, 2008 : THE GREEN TECHNOLOGY

Attorney Docket No. 81186334 (67,600-119) : PILOT PROGRAM

This is a decision on the renewed petition under 37 CFR 1.102, filed April 25, 2011 to make the above-identified application special under the pilot program for applications pertaining to Green Technologies as set forth in 74 Federal Register Notice 64666 (December 8, 2009) and amended by 75 Federal Register Notice 28554 (May 21, 2010) and 75 Federal Register Notice 69049 (November 10, 2010).

### The petition is **DENIED**.

A grantable petition to make an application special under 37 CFR 1.102 and the pilot program as set forth in 74 FR 64666 must be directed to a nonprovisional application filed under 35 USC 111(a) or be a national stage entry under 35 USC 371, exclusive of any reissue applications.

In order to qualify for special status, the following requirements must be met. 1) The application must have no more than 3 independent claims and no more than 20 total claims. 2) The application must not contain any multiple dependent claims. 3) The petition must state the basis for seeking special status, i.e., the claimed invention either: A) materially enhances the quality of the environment or B) materially contributes to: i) the discovery or development of renewable energy resources, ii) the more efficient utilization and conservation of energy resources, or iii) greenhouse gas emission reduction. 4) If the disclosure is not clear on its face that the claimed invention materially contributes under category (A) or (B), the petition must be accompanied by a statement by the applicant, assignee, or an attorney/agent registered to practice before the Office explaining how the materiality standard is met. 5) A statement that applicant will agree to make an election without traverse in a telephonic interview if a restriction requirement is made by the examiner. 6) The petition to make special must be filed electronically. 7) The petition must be filed at least one day prior to the date that a first Office Action appears in the Patent Application Information Retrieval (PAIR) system. 8) The petition must be accompanied by a request for early publication in compliance with 37 CFR 1.219 and include the publication fee as set forth in 37 CFR 1.18(d).

The requirement for a fee for consideration of the petition to make special for applications pertaining to Green Technologies has been waived.

The petition lacks item 4.

In regard to item 4, petitioner's arguments have been considered but not found persuasive. The claimed method of controlling the operation of a vacuum pump included in a hydraulic brake system may make the brake system more efficient by maintaining a predetermined vacuum pressure range, but it does not appear to reduce power consumption because the vacuum pump must be operated when the vehicle is moving (as disclosed in paragraphs 0027 and 0029 of the specification), or in a torque producing mode (see paragraph 0028 of the specification), or in the event the brake system is being engaged (see paragraph 0029 of the specification) even when the vehicle ignition is in an off position. These are evidence that the vacuum pump is operational almost all the time regardless of whether the vehicle is moving, and energy must be provided to the vacuum pump to keep it operational. Since the disclosure does not explain how much fuel one can save using the claimed invention, petitioner's assertion of the claimed invention's reduced power consumption is mostly speculative. As for petitioner's assertion of reduced pump operating noise, there is no evidence that the pump operating noise is reduced when the pump is operational almost all the time even when the vehicle ignition is in an off position.

As stated in the notice, the materiality standard does not permit an applicant to speculate as to how a hypothetical end-user might specially apply the invention in a manner than could materially contribute to category (A) or (B). Also see MPEP § 708.02 (VI). Accordingly, it is not agreed that the application on its face meets that materiality standard.

Telephone inquiries concerning this decision should be directed to Lanna Mai at 571-272-6867.

The application is being forwarded to the Technology Center Art Unit 3611 for action in its regular turn.

/Lanna Mai/

Lanna Mai Quality Assurance Specialist Technology Center 3600



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

KILPATRICK TOWNSEND & STOCKTON LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111-3834

MAILED
MAR 3 1 2011
OFFICE OF PETITIONS

In re Application of

Williams et al.

Application No.: 12/263397 Filing or 371(c) Date: 10/31/2008

Attorney Docket Number:

027095-003460US

**DECISION ON** 

**PETITION** 

This is a decision in response to the Petition to Withdraw Holding of Abandonment Based on Failure to Receive Office Action Under MPEP § 711.03(c) and 37 CFR § 1.181(a), filed January 24, 2011.

This Petition is hereby **granted**.

The above-identified application became abandoned for failure to timely and properly reply to the Notice to File Corrected Application Papers (Notice"), mailed March 26, 2011. The Notice set a two (2) month period for reply. No reply having been received, the application became abandoned on May 27, 2010. A Notice of Abandonment was mailed December 2, 2010.

With the present petition, Applicant has demonstrated non-receipt of the Notice by a preponderance of the evidence.

In view of the foregoing, the petition is granted. The holding of abandonment is hereby withdrawn.

The application will be referred to the Office of Patent Application Processing for processing of the response to the Notice, filed with the present petition on January 24, 2011.

Telephone inquiries concerning this matter should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP 400 INTERSTATE NORTH PARKWAY SE

**SUITE 1500** 

ATLANTA GA 30339

JAN 27 2012

OFFICE OF PETITIONS

In re Application of

Application No. 12/263,456 DECISION ON PETITION

Filed: November 1, 2008 PURSUANT TO

Attorney Docket No. 251812-37 C.F.R. § 1.137(B)

3870

Title: PHASE LOCK LOOP WITH

PHASE INTERPOLATION BY

REFERENCE CLOCK AND METHOD

FOR THE SAME

This is a decision on the petition pursuant to 37 C.F.R. § 1.137(b), filed December 23, 2011, to revive the aboveidentified application.

This petition pursuant to 37 C.F.R. § 1.137(b) is GRANTED.

The above-identified application became abandoned for failure to reply in a timely manner to a non-final Office action, mailed May 12, 2011, which set a shortened statutory period for reply of three months. No response was received, and no extensions of time under the provisions of 37 C.F.R. § 1.136(a) were requested. Accordingly, the above-identified application became abandoned on August 13, 2011. A notice of abandonment was mailed on December 1, 2011.

A grantable petition pursuant to 37 C.F.R. § 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed;
- (2) The petition fee as set forth in 37 C.F.R. \$1.17(m);

- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional, and;
- (4) Any terminal disclaimer (and fee as set forth in 37 C.F.R. § 1.20(d)) required pursuant to paragraph (d) of this section.

With this petition, Petitioner has submitted an amendment, the petition fee, and the proper statement of unintentional delay. As such, the first three requirements of Rule 1.137(b) have been met. The fourth requirement of Rule 1.137(b) is not applicable.

The Technology Center will be notified of this decision, and jurisdiction over this application is transferred to the Technology Center, so that the application may receive further processing. The Technology Center's support staff will notify the Examiner of this decision, so that the amendment that was received on December 23, 2011 can be processed in due course.

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. In the event that such an inquiry has not been made, Petitioner must make such an inquiry. If such inquiry results in the discovery that the delay was intentional, Petitioner must notify the Office.

Petitioner may find it beneficial to view Private PAIR within a fortnight of the present decision to ensure that the revival has been acknowledged by the Technology Center in response to this decision. It is noted that all inquiries with regard to any failure of that change in status should be directed to the Technology Center where that change of status must be effected - the Office of Petitions cannot effectuate a change of status.

<sup>1</sup> See 37 C.F.R. § 10.18(b); cf. Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997).

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3225. All other inquiries concerning this application should be directed to the Technology Center.

Paul Shanoski Senior Attorney Office of Petitions

<sup>2</sup> Petitioner will note that all practice before the Office should be in writing, and the action of the Office will be based exclusively on the written record in the Office. See 37 C.F.R.  $\S$  1.2. As such, Petitioner is reminded that no telephone discussion may be controlling or considered authority for Petitioner's further action(s).

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

LOZA & LOZA LLP 305 NORTH SECOND AVE., #127 UPLAND, CA 91786-6064

**MAILED** 

DEC 1 5 2010

In re Application of

Shimon Dery et al

Application No. 12/263,461

Filed: November 1, 2008

Attorney Docket No. NEXT-1001

OFFICE OF PETITIONS

**DECISION ON PETITION** TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed November 13, 2010.

The request is **APPROVED**.

The request was signed by Julio M. Loza on behalf of the practitioners of record associated with Customer Number 58875.

Applicant is reminded that there is no attorney of record at this time.

All future correspondence will be directed to inventor Shimon Dery at the address indicated below.

Telephone inquiries concerning this decision should be directed to Irvin Dingle at (571) 272-3210.

Irvin Dingle Petitions Examiner

Office of Petitions

cc:

Shimon Dery

16850 Collins Ave. #259

Miami, FL 33160



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMIT United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE **NEXT-1001** 

12/263,461

11/01/2008

SHIMON DERY

**CONFIRMATION NO. 8205 POWER OF ATTORNEY NOTICE** 

58875 LOZA & LOZA LLP 305 North Second Ave., #127 Upland, CA 91786-6064



Date Mailed: 12/15/2010

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/13/2010.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/idingle/		

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Shimon Dery

Miami, FL 33160

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/263,461

16850 Collins Ave. #259

11/01/2008

SHIMON DERY

NEXT-1001 CONFIRMATION NO. 8205

POA ACCEPTANCE LETTER

Date Mailed: 12/15/2010

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/13/2010.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/idingle/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED
APR 292011
OFFICE OF PETITIONS

Hewlett-Packard Company Intellectual Property Administration 3404 E. Harmony Road Mail Stop 35 Fort Collins, CO 80528

In re Application of Alexander Leon

Application No. 12/263,469

Filed: November 1, 2008

Attorney Docket No. 200501508-6

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed March 23, 2011, to revive the above-identified application.

The application became abandoned for failure to file a timely reply to the Notice of Allowance and Fee(s) Due (Notice) mailed on October 26, 2010. A Notice of Abandonment was mailed February 10, 2011.

The required petition fee of \$1,620 has been charged to petitioner's deposit account, as authorized.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of \$1,510 for payment of the issue fee and \$300 for payment of the publication fee; (2) the petition fee of \$1,620; and (3) a proper statement of unintentional delay. Therefore, the petition is **GRANTED**.

This application file is being referred to the Office of Data Management for further processing into a patent.

Telephone inquiries concerning this decision should be directed to the undersigned at

(5/71) 272-3226.

Angrear Smith
Petitions Examiner
Office of Petitions

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

WESTMAN CHAMPLIN & KELLY, P.A. SUITE 1400
900 SECOND AVENUE SOUTH
MINNEAPOLIS MN 55402

MAILED

AUG 0 1 2011

OFFICE OF PETITIONS

In re Application

Uwe Kortshagen et al.

Application No. 12/263,616

Filed: November 3, 2008

Attorney Docket No. U11.12-0202

**NOTICE** 

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28 filed June 27, 2011. On September 1, 1998, the Court of Appeals for the Federal Circuit held that 37 CFR 1.28(c) is the sole provision governing the time for correction of the erroneous payment of the issue fee as a small entity. See DH Technology v. Synergystex International, Inc. 154 F.3d 1333, 47 USPQ2d 1865 (Fed. Cir. Sept. 1, 1998).

The Office no longer investigates or rejects original or reissue applications under 37 CFR 1.56. **1098 Off. Gaz. Pat. Office 502 (January 3, 1989)**. Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby accepted. The petition is GRANTED.

This application is no longer entitled to small entity status. Accordingly, all future fees paid in this application must be paid at the large entity rate.

This file is being forwarded to the Office of Publications.

Telephone inquiries related to this decision should be directed to the Kimberly Inabinet at (571) 272-4618.

/Kimberly Inabinet/ Kimberly Inabinet Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MCNEES WALLACE & NURICK LLC 100 PINE STREET P.O. BOX 1166 HARRISBURG, PA 17108-1166

MAILED

MAR 282011

OFFICE OF PETITIONS

In re Application of

Anthony P. Delucia et al

Application No. 12/263,654

Filed: November 3, 2008

Attorney Docket No. 282660-00349/11631-

9003

ON PETITION

This is a decision on the petition, filed February 16, 2011, which is being treated as a petition under 37 CFR 1.137(b) to revive the instant nonprovisional application for failure to timely notify the U.S. Patent and Trademark (USPTO) of the filing of an application in a foreign country, or under a multinational treaty that requires publication of applications eighteen months after filing. See 37 CFR 1.137(f).

#### The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(b)." This is **not** a final agency action within the meaning of 5 U.S.C. § 704. No additional petition fee is required.

A review of the file record shows that a Request to Rescind was filed with petition on February 16, 2011. However, petitioner has not provided the exact filing date of the foreign application. Before a proper determination on the merits of the petition can be decided, petitioner must supply the filing date of the foreign application in a renewed petition under 37 CFR 1.137(b). A courtesy copy of a Petition for Revival of an Application for Patent Abandoned for Failure to Notify the Office of a Foreign or International Filing (37 CFR 1.137(f)) is enclosed.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop PETITION Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450 By hand:

Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By Fax:

(571) 273-8300

ATTN: Office of Petitions

Telephone inquiries concerning this decision should be directed to Irvin Dingle at (571) 272-3210.

Petition Examiner

Office of Petitions

Attachment: blank copy of a Petition for Revival of an Application for Patent Abandoned for Failure to Notify the Office of a Foreign or International Filing (37 CFR 1.137(f)) form



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

MAILED MAY .02/2011

OFFICE OF PETITIONS

MCNEES WALLACE & NURICK LLC 100 PINE STREET P.O. BOX 1166 HARRISBURG, PA 17108-1166

In re Application of Anthony P. Delucia et al

Application No. 12/263,654
Filed: November 3, 2008

Attorney Docket No. 282660-00349/11631-9003

DECISION ON PETITION UNDER 37 CFR 1.137(b)

This is a decision on the petition, filed April 1, 2011, which is being treated as a renewed petition under 37 CFR 1.137(b) to revive the instant nonprovisional application for failure to timely notify the U.S. Patent and Trademark (USPTO) of the filing of an application in a foreign country, or under a multinational treaty that requires publication of applications eighteen months after filing. See 37 CFR 1.137(f).

## The petition is **GRANTED**.

Petitioner states that the instant nonprovisional application is the subject of an application filed in an eighteen months publication country on November 3, 2008. However, the USPTO was unintentionally not notified of this filing within 45 days subsequent to the filing of the subject application in an eighteen months publication country.

In view of the above, this application became abandoned pursuant to 35 U.S.C. § 122(b)(2)(B)(iii) and 37 CFR 1.213(c) for failure to timely notify the Office of the filing of an application in a foreign country, or under a multilateral international agreement, that requires publication of applications 18 months after filing.

A petition to revive an application abandoned pursuant to 35 U.S.C. 122(b)(2)(B)(iii) for failure to notify the USPTO of a foreign filing must be accompanied by:

- (1) the required reply which is met by the notification of such filing in a foreign country or under a multinational treaty;
- (2) the petition fee as set forth in 37 CFR 1.17(m); and
- (3) a statement that the entire delay in filing the required reply from the due date of the reply until the filing of a grantable petition was unintentional.

The instant petition has been found to be in compliance with 37 CFR 1.137(b). Accordingly, the failure to timely notify the USPTO of a foreign or international filing within 45 days after the date of filing of

such foreign or international application as provided by 35 U.S.C. § 122(b)(2)(B)(iii) and 37 CFR 1.213(c) is accepted as having been unintentionally delayed.

The previous Request and Certification under 35 U.S.C. § 122 (b) (2) (B) (i) has been rescinded. A Notice Regarding Rescission of Nonpublication Request, which sets forth the projected publication date will be mailed in a separate correspondence.

Any inquiries concerning this decision may be directed to Irvin Dingle at (571) 272-3210.

This magter is being referred to Technology Center AU 3617 for examination in due course.

Irvin Dingle

Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

## ABSOLUTE TECHNOLOGY LAW GROUP LLC 3316 W. WISCONSIN AVENUE MILWAUKEE WI 53208

MAILED
AUG 0 8 2011
OFFICE OF PETITIONS

In re Application of

**VERHEIJEN** 

Application No. 12/263,733

Filed: November 3, 2008

Attorney Docket No. VERHEIJEN- NP-1008

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 15, 2011.

## The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office requires the practitioner(s) requesting withdrawal to certify that he, she, or they have: (1) given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any responses that may be due and the time frame within which the client must respond, pursuant 37 CFR 10.40(c).

The request was signed by Jill Gilbert Welytok on behalf of the attorneys of record associated with Customer No. 57520.

The attorneys of record associated with Customer No. 57520 have been withdrawn.

Applicant is reminded that there is no attorney of record at this time.

The correspondence address of record has been changed and the new correspondence address is the address first copied below until otherwise properly notified.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-6735.

/Diane C. Goodwyn/ Diane C. Goodwyn Petitions Examiner Office of Petitions

LUISA VERHEIJEN 438 MOHAWK ROAD JANESVILLE WI 53545 cc:

cc:

JILL GILBERT WELYTOK 135 W WELLS STREET, SUITE 518 MILWAUKEE WI 53203



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

## EMERSON, THOMSON & BENNETT, LLC 1914 AKRON-PENINSULA ROAD AKRON OH 44313

**MAILED** 

MAR 1 1 2011

OFFICE OF PETITIONS

In re Application of

Shiao et al.

Application No. 12/263,742

Filed: November 3, 2008

Attorney Docket No. 22168.50007

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 C.F.R. § 1.36, filed January 25, 2011.

The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request was signed by Daniel A. Thomson on behalf of all attorneys of record associated with Customer Number 78340. However, since the practitioners were not appointed by a Customer Number upon filing of the instant application, petitioner may not withdraw the practitioners by Customer Number.

All future communications from the Office will continue to be directed to above-listed address until otherwise notified by applicant.

Currently, there is no outstanding Office action that requires a reply.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-7751.

Joan Olszewski Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

## EMERSON, THOMSON & BENNETT, LLC 1914 AKRON-PENINSULA ROAD AKRON OH 44313

MAILED

APR 12-2011

OFFICE OF PETITIONS

In re Application of

Shiao et al.

Application No. 12/263,742

Filed: November 3, 2008

Attorney Docket No. 22168.50007

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the renewed Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 24, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by Daniel A. Thomson on behalf of all attorneys of record.

All attorneys/agents of record have been withdrawn. Applicants are reminded that there is no attorney of record at this time.

All future correspondence will be directed to the first named inventor at the address indicated below.

Currently, there is an outstanding Office action mailed March 17, 2011 that requires a reply.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski
Petitions Examiner
Office of Petitions

cc: James Shihfu Shiao

Daw Shien Scientific Research & Development, Inc.

4367 Bunker Lane Stow, OH 44224



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

## EMERSON, THOMSON & BENNETT, LLC 1914 AKRON-PENINSULA ROAD **AKRON OH 44313**

MAILED

MAR 1 1 2011

**OFFICE OF PETITIONS** 

In re Application of

Shiao et al.

Application No. 12/263,775

Filed: November 3, 2008

Attorney Docket No. 22168.50006

**DECISION ON PETITION** 

TO WITHDRAW

FROM RECORD

This is a decision on the request to withdraw as attorney of record under 37 C.F.R. § 1.36, filed January 25, 2011.

The request is **NOT APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others.

The request was signed by Daniel A. Thomson on behalf of all attorneys of record associated with Customer Number 78340. However, since the practitioners were not appointed by a Customer Number upon filing of the instant application, petitioner may not withdraw the practitioners by Customer Number.

All future communications from the Office will continue to be directed to above-listed address until otherwise notified by applicant.

Currently, there is no outstanding Office action that requires a reply.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-7751.

Joan Olszewski **Petitions Examiner** Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

## EMERSON, THOMSON & BENNETT, LLC 1914 AKRON-PENINSULA ROAD AKRON OH 44313

MAILED

APR 012011

OFFICE OF PETITIONS

In re Application of

Shiao et al.

Application No. 12/263,775 Filed: November 3, 2008

Attorney Docket No. 22168.50006

DECISION ON PETITION
TO WITHDRAW FROM RECORD

This is a decision on the renewed Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed March 24, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by Daniel A. Thomson on behalf of all attorneys of record.

All attorneys/agents associated with the above-identified application have been withdrawn. Applicants are reminded that there is no attorney of record at this time.

All future correspondence will be directed to the first named inventor at the address indicated below.

Currently, there is no outstanding Office action that requires a reply.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Joan Olszewski Petitions Examiner Office of Petitions

cc: James Shihfu Shiao

Daw Shien Scientific Research & Development, Inc.

4367 Bunker Lane Stow, OH 44224



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Lempia Summerfield Katz LLC/CME One North LaSalle Street Suite 3150 Chicago IL 60602

MAILED

JUN 03 2011

OFFICE OF PETITIONS

In re Application of:

Callaway et al.

Application No. 12/263821

Filing or 371(c) Date: 11/03/2008

Attorney Docket Number:

4672/709

: ON REQUEST FOR

: RECONSIDERATION

: PATENT TERM ADJUSTMENT

This letter is in response to the "REQUEST FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT UNDER 36 CFR 1.705(b)," filed April 19, 2011. Applicants submit that the correct patent term adjustment should be 216 days, not 86 days as calculated by the Office as of the mailing of the initial determination of patent term adjustment. Applicant requests this correction based upon an assertion that the Office erred in calculating a reduction on 130 day pursuant to 37 CFR 1.704(b).

The application for patent term adjustment is **GRANTED**.

The Office has updated the PALM and PAIR screens to reflect that the Patent Term Adjustment (PTA) determination at the time of the mailing of the Notice of Allowance is two hundred sixteen (216) days. A copy of the updated PALM screen, showing the correct determination, is enclosed.

On January 21, 2011, the Office mailed the Determination of Patent Term Adjustment under 35 U.S.C. 154(b) in the above-identified application. The Notice stated that the patent term adjustment (PTA) to date is 86 days.

On April 19, 2011, applicant timely submitted the present application for patent term adjustment<sup>1</sup>. Applicants dispute the period of reduction of 130 days for the filing of an Information Disclosure Statement (IDS) on November 15, 2010.

<sup>&</sup>lt;sup>1</sup> Office records show that the Issue Fee payment was received in the Office on April 19, 2011.

Applicant's arguments have been carefully considered. A review of the record reveals that the IDS was filed after Applicant filed a reply to a non-final Office action on July 8, 2010. A further review of the application file reveals that the IDS included a statement that complies with 37 CFR 1.704(d). Finally, the application history confirms that the IDS was not otherwise filed under circumstances that constitute a failure to engage in reasonable efforts to conclude prosecution. The period of reduction of 130 days entered for the filing of the IDS is not warranted and is being removed.

In view thereof, the correct Patent Term Adjustment at the time of the mailing of the Notice of Allowance is two hundred sixteen (216) days, subject to any terminal disclaimer.

The Office acknowledges submission of the \$200.00 fee set forth in 37 CFR 1.18(e). No additional fees are required.

The application is being forwarded to the Publications Division for issuance of a patent. The patent term adjustment indicated on the patent (as shown on the Issue Notification mailed about three weeks prior to patent issuance) will include any additional adjustment accrued both for Office delay in issuing the patent more than four months after payment of the issue fee and satisfaction of all outstanding requirements, and for the Office taking in excess of three years to issue the patent (to the extent that the three-year period does not overlap with periods already accorded).

Telephone inquiries specific to this matter should be directed to the undersigned at (571) 272-3232.

/DLW/

Derek L. Woods Attorney Office of Petitions

Enclosure: Copy of Adjustment PAIR Calculations



# Patent

## Term

# **Adjustments**

PTA/PTE Information

Patent <u>T</u>erm Adjustment

Patent Term <u>E</u>xtension

Application Number\*: 12263821

Search Explanation of PTA Calculation Explanation of PTE Calculation

PTA Calculations for Application: 12263821

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Application Filing Date 11/03/2008	OverLapping Days Between (A and B) or (A and C)
Issue Date of Patent	Non-Overlapping USPTO Delays: 216
A Delays 216	PTO Manual Adjustment 130
· B Delays 0	Applicant Delay (APPL) 130
C Delays 0	Total PTA (days) 216

\* - Sorted Column

File Contents History

9

Action	Action Recorded			<u>Action</u> Description	PTO	Duration APPL	Parent Action Numbe
<u>umber</u>		<u>Date</u>	<u>Code</u>	Adjustment of PTA Calculation by PTO	130		0
	05/20/2011		P028		200		o
	01/21/2011		MN/=.	Mail Notice of Allowance			0
	01/20/2011		IREV	Issue Revision Completed			0
	01/20/2011		DVER	Document Verification			n
	01/18/2011		N/=.	Notice of Allowance Data Verification Completed			0
	01/18/2011		DOCK	Case Docketed to Examiner in GAU			Ö
	01/18/2011		CNTA	Allowability Notice			0
	01/07/2011		P574	Paralegal TD Accepted			Ö
	12/30/2010		FWDX	Date Forwarded to Examiner			42
	12/23/2010	12/23/2010		Terminal Disclaimer Filed			0
	12/23/2010		A.NE	Amendment after Final Rejection			31
	12/23/2010	11/08/2010		Mail Final Rejection (PTOL - 326)	<u>45</u>		
	12/20/2010		CTFR	Final Rejection			0
	11/17/2010		PA	Change in Power of Attorney (May Include Associate POA)			0
	11/16/2010		C.AD	Correspondence Address Change			0
	11/15/2010		IDSC	Information Disclosure Statement considered			0
	11/15/2010		RCAP	Reference capture on IDS			0
	11/15/2010	07/08/2010	M844	Information Disclosure Statement (IDS) Filed		<u>130</u>	31
	11/15/2010		WIDS	Information Disclosure Statement (IDS) Filed			0
	11/09/2010		FWDX	Date Forwarded to Examiner			0
	08/01/2010		P575	Paralegal TD Not accepted			0
	07/08/2010		A	Response after Non-Final Action			0
	07/08/2010		DIST	Terminal Disclaimer Filed			0
	06/23/2010	01/03/2010	MCTNF	Mail Non-Final Rejection	<u> 171</u>		0.5
	06/21/2010		CTNF	Non-Final Rejection			0
	01/13/2010		IDSC	Information Disclosure Statement considered			0
)	01/13/2010		RCAP	Reference capture on IDS			0
,	01/13/2010		M844	Information Disclosure Statement (IDS) Filed			0
1	01/13/2010		WIDS	Information Disclosure Statement (IDS) Filed			0 ,
,	12/29/2009		DOCK	Case Docketed to Examiner in GAU			0
Ł	11/06/2009		IDSC	Information Disclosure Statement considered			0
;	11/06/2009		RCAP	Reference capture on IDS			0
,	11/06/2009		M844	Information Disclosure Statement (IDS) Filed			0
,	11/06/2009		WIDS	Information Disclosure Statement (IDS) Filed			0
,			PG-ISSUE	PG-Pub Issue Notification			0
	04/23/2009		TSSCOMP	IFW TSS Processing by Tech Center Complete			0
!	03/19/2009		OIPE	Application Dispatched from OIPE			0
	01/14/2009		FLRCPT.U	••			0
	01/14/2009			• • •			0
•	01/08/2009		A.PE	Preliminary Amendment			0
	01/08/2009			Additional Application Filing Fees Applicant has submitted new drawings to correct Corrected Papers problems			0
	01/08/2009						0
	11/24/2008		FLRCPT.O	=			0
	11/24/2008		CPAP	Corrected Paper			0
	11/12/2008		L194	Cleared by OIPE CSR ·			0
	11/06/2008		SCAN	IFW Scan & PACR Auto Security Review			0
3	11/03/2008		IDSC	Information Disclosure Statement considered			0
	11/03/2008		WIDS	Information Disclosure Statement (IDS) Filed			0
	11/03/2008		IEXX	Initial Exam Team on			0

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OFFICE OF PETITIONS

In re Application of

Aaron MASTER et al.

Application No. 12/263,843

Filed: November 3, 2008

Attorney Docket No. MELD 1008-1

ON PETITION

This is in response to the petition, filed November 23, 2011, under 37 CFR 1.59(b) to expunge the Power of Attorney Request submitted on November 2, 2011.

The petition is **GRANTED**.

Petitioner requests that the Power of Attorney Request submitted on November 2, 2011 be expunged as it has been submitted a third party who is neither affiliated with the inventors or the previous attorneys of record. The Office approved the Power of Attorney Request on November 10, 2011. Accordingly, petitioner further requests the Power of Attorney and Correspondence Address are returned to that of Customer No. 22470.

A thorough review of USPTO records indicates that the there is no chain of title from the inventors to the party who submitted the Power of Attorney Request of November 2, 2011. The records of the USPTO indicate that the inventors have assigned their rights to Melodis Corporation, now Soundhound, Inc.

The information in question has been determined by the undersigned to not be material to the examination of the instant application.

In a paper file, the unintentionally submitted exhibits could, but not necessarily would, have been physically removed from the file wrapper and returned to applicant. In the IFW realm the corresponding action(s) is to close the document and also remove such from the listing of "Public[ly available] Documents." It is agreed that it would be appropriate in this instance to close the information that was erroneously filed in the above identified application, and also remove such from the listing of publicly available documents for this Image File Wrapper (IFW).

In view of the above, the papers filed November 2, 2011 will be removed from the file. Furthermore, the Power of Attorney and Correspondence Address will be returned to that of Customer No. 22470.

Telephone inquiries regarding this decision should be directed to David Bucci at (571) 272-7099.

Petitions Examiner
Office of Petitions

Cc: Haynes Beffel and Wolfeld LLP P.O. Box 366 Half Moon Bay, CA 94019



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

PAPER

06/23/2011

ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FIRST NAMED INVENTOR FILING DATE 12/263,886 31051-US1 9074 11/03/2008 Luis G. Cascao-Pereira 5100 7590 06/23/2011 **EXAMINER** DANISCO US INC. ATTENTION: LEGAL DEPARTMENT RAGHU, GANAPATHIRAM 925 PAGE MILL ROAD ART UNIT PAPER NUMBER PALO ALTO, CA 94304 1652 MAIL DATE DELIVERY MODE

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



## United States Patent and Trademark Office

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

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Steven G. Bacsi DANISCO US INC. ATTENTION: LEGAL DEPARTMENT 925 PAGE MILL ROAD PALO ALTO CA 94304

In re Application of

Cascao-Pereira et al :Decision on Petition

Serial No.: 12/263,886

Filed: 3 November 2008

Attorney Docket No.: 31051-US1

This letter is in response to the Petition under 37 C.F.R. 1.14 filed on 10 November 2010 requesting reconsideration of the restriction requirement mailed 14 January 2010.

#### **BACKGROUND**

This application was filed as a national application under 35 U.S.C. 111(a) and as such is entitled to restriction practice under Chapter 800.

On 14 January 2010, the examiner set forth a restriction requirement which divided claims 1-49 into 44 groups and required a further election of species.

On 11 March 2010, applicants elected Group XII and species variant 13 of alpha amylase, with traverse.

On 18 May 2010, the examiner mailed to applicants a non-final Office action in which the traversal was considered, and the requirement was made final. Claims 1-3, 6, 12, 13, 22-25, 35-40, 42 and 45-50 were withdrawn from consideration under 37 CFR 1.142(b) as being directed to non-elected subject matter. Claims 8-11 were examined on the merits. Claims 8-11 were objected to for comprising non-elected subject matter.

On 10 November 2010, applicants filed a response long with this petition.

On 25 March 2011, the examiner mailed to applicants a final Office action in which claims 8-11 were rejected and claims 1, 3, 6, 12, 13, 22-25, 35-40, 42, and 45-50 were withdrawn from consideration.

On 20 June 2011, applicants called and brought the petition to the deciding official's attention.

## **DISCUSSION**

The file history and petition have been considered carefully.

First, the filing of the petition should have prevented the mailing of any next action prior to the mailing of this petition decision. That did not happen here. Accordingly, the final Office action mailed 25 March 2011 was untimely.

Second, the petition requests rejoinder of the protein and the polynucleotide because, as they stated in the response filed 11 March 2010, it would have been obvious to express the nucleic acids of any of Groups 12-22 to product the polypeptides of any of Groups 1-11. This is persuasive. Applicants are reminded that should the examiner find one of the inventions unpatentable over the prior art, the admission may be used in a rejection under 35 U.S.C. 103 over the other invention.

Third, the petition points out that the examiner has placed Markush claims into groups for the purposes of restriction. Because applicant's claims are drafted in Markush-type format, the petition argues that they should be considered under the guidelines of MPEP 803.02. This is persuasive.

The Claims are rejoined into two groups, reciting original claim numbers, as follows:

Group A: claims 1-6, 7-11 12-24 and 47-49, drawn to a variant alpha amylase (original Groups 1-11) and polynucleotides encoding the amylases of Group A (original groups 12-22)

Group B: Claims 25-39 and 40-46, drawn to a method of using the amylases of Group A (original Groups 23-44)

Further, it is noted that on 9 February 2011, the Office issued A Federal Register Notice entitled "Supplemental Examination Guidelines for Determining Compliance with 35 U.S.C. 112 and for treatment of Related Issues in Patent Applications." Page 7166 sets forth guidelines for the treatment of Markush-type claims:

"Under principles of compact prosecution, the examiner should also require the applicant to elect a species or group of indistinct species for search and examination (i.e., an election of species). If the examiner does not find the species or group of indistinct species in the prior art, then the examiner should extend the search to those additional

species that fall within the scope of a permissible Markush claim. In other words, the examiner should extend the search to the species that share a single structural similarity and a common use. The improper Markush claim should be examined for patentability over the prior art with respect to the elected species or group of indistinct species, as well as the species that share a single structural similarity and a common use with the elected species or group of indistinct species (i.e., the species that would fall within the scope of a proper Markush claim)."

In view of these new guidelines, the restriction requirement between the embodiments of the Markush claims is unwarranted and is hereby replaced with a provisional election of species requirement.

Lastly, applicant has argued that the examiner has not followed the election and examination practice for Markush claims. MPEP 803.02 requires extended examination of other embodiments. See:

Following election, the Markush-type claim will be examined fully with respect to the elected species and further to the extent necessary to determine patentability. If the Markush-type claim is not allowable, the provisional election will be given effect and examination will be limited to the Markush-type claim and claims to the elected species, with claims drawn to species patentably distinct from the elected species held withdrawn from further consideration.

Lastly, it is noted that the examiner has objected to claims for reciting non-elected subject matter. This is counter to MPEP 803.02, which permits applicants to retain non-elected subject recited in the alternative of a Markush claim. It is noted that MPEP 809 also permits generic claims to encompass non-elected species.

### **DECISION**

For these reasons, the petition filed under 37 CFR 1.144 on 10 November 2010 is **GRANTED-IN-PART** follows.

The final Office action dated 25 March 2011 has been withdrawn.

The intra-claim restriction requirements have been withdrawn and replaced with an election of species requirement. The Claims are rejoined into two groups, reciting original claim numbers, as follows:

Group A: claims 1-6, 7-11, 12-24 and 47-49, drawn to a variant alpha amylase protein (original Groups 1-11) and polynucleotides encoding the amylases of Group A (original Groups 12-22)

Group B: Claims 25-39 and 40-46, drawn to a method of using the amylases of Group A (original Groups 23-44)

The restriction between the protein and polynucleotide is withdrawn in view of applicant's admissions. It is noted that should the examiner find one of the inventions unpatentable over the prior art, applicants admission may be used in a rejection under 35 U.S.C. 103 over the other invention.

The objection to claims 8-11 for reciting non-elected subject matter with withdrawn.

The request concerning the priority claim speaks to the merits of the examination and as such, is beyond the scope of this petition decision.

The application will be forwarded to the examiner to prepare a supplemental Office action consistent with this petition decision. Markush claims are to be examined according to the guidance in MPEP 803.02 and the FR Notice of February 2011. Should claims directed to allowable products become in condition for allowance, the examiner should consider any withdrawn process claims for rejoinder according to MPEP 821.04(b).

Should there be any questions regarding this decision, please contact Quality Assurance Specialist Julie Burke by mail addressed to Director, Technology Center 1600, PO BOX 1450, ALEXANDRIA, VA 22313-1450, or by telephone at (571) 272-0512 or by Official Fax at 703-272-8300.

Jackie Stone

Director, Technology Center 1600



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NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON VA 22203

OFFICE OF PETITIONS

In re Application of

Ruben PRYLUK

· DECIG

**DECISION REFUSING STATUS** 

Application No. 12/263,897

UNDER 37 CFR 1.47(b)

Filed: November 3, 2008

Attorney Docket No.

This is in response to the renewed petition under 37 CFR 1.47(b), filed May 6, 2010.

## The petition is **DISMISSED**.

Rule 47 applicant (applicant) is given TWO (2) MONTHS from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR 1.47(b)," and should only address the deficiencies noted below, except that the reply <u>may</u> include an oath or declaration executed by the non-signing inventor. **FAILURE TO RESPOND WILL RESULT IN ABANDONMENT OF THE APPLICATION.** Any extensions of time will be governed by 37 CFR 1.136(a).

A grantable petition under 37 CFR 1.47(b) requires:

- (1) proof that the non-signing inventor cannot be reached after diligent effort or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings);
- (2) an acceptable oath or declaration in compliance with 35 U.S.C. §§ 115 and 116;
- (3) the petition fee;
- (4) a statement of the last known address of the non-signing inventor.
- (5) proof of proprietary interest: and
- (6) proof of irreparable damage.

Applicant lacks items (1), (2) and (5) set forth above.

In regard to item (1), the declarations of Daniel Cohen (Cohen declarations) filed previously stated that the nonsigning inventor Mr. Pryluk "left Israel to the USA or Mexico and he works there, for an unknown period of time, while his address in the USA or in Mexico is also

unknown to us." However, there is no documented showing of any attempts at searching the location of Mr. Pryluk either inside Israel or outside of it. Applicant should note that where inability to find or reach a nonsigning inventor "after diligent effort" is the reason for filing under 37 CFR 1.47, a statement of facts should be submitted that fully describes the exact facts which are relied on to establish that a diligent effort was made. The statement of facts must be signed, where at all possible, by a person having firsthand knowledge of the facts recited therein. Statements based on hearsay will not normally be accepted. Copies of documentary evidence such as internet searches, certified mail return receipts, cover letters of instructions, telegrams, that support a finding that the nonsigning inventor could not be found or reached should be made part of the statement. The steps taken to locate the whereabouts of the nonsigning inventor should be included in the statement of facts. It is important that the statement contain facts as opposed to conclusions. The instant petition includes a declaration by Ofer Karsh that states (item 9) that there was a demand by the non-signing inventor for payment of a sum of money "in consideration for him to sign". The Karsh declaration refers to an "Annex G" for a copy of the letter that includes the alleged demand. However, the instant petition does not include an "Annex G". See MPEP § 409.03(d) for a discussion on the "Proof of Unavailability or Refusal."

In regard to item (2), petitioner should note that the declaration filed concurrently with the instant petition is not acceptable. It is noted (see Filing Receipt mailed April 3, 2009) that while the instant application is a continuation of the Application 11/866,221, the '221 application was not accorded status under 37 CFR 1.47 and thus a proper oath/declaration in compliance with 35 U.S.C. §§ 115 and 116 is required in the instant application. See MPEP § 409.03 (b)(A) for guidance on submitting an acceptable oath/declaration. The lack of an acceptable oath or declaration in compliance with 35 U.S.C. §§ 115 and 116 has also been noted in the Notice to file missing parts mailed November 20, 2008.

As to item (5), Rule 47 applicant has failed to show or provide **proof** that the petitioner has sufficient proprietary interest in the subject matter to justify the filing of the application (see MPEP 409.03(f)). Acceptable proof would include a copy of the employment agreement between the non-signing inventor and the Rule 47(b) applicant (company), a copy of an assignment agreement showing that the invention disclosed in the application is assigned to the Rule 47(b) applicant, or a legal memorandum signed by an attorney familiar with the law of the jurisdiction stating that a court of competent jurisdiction would by the weight of authority in that jurisdiction award the title of the invention to the Rule 47(b) applicant. Petitioner's reference to "a document m Hebrew that showed Mr. Pryluk assigned his rights to the present invention. It can be seen that this 2005 document, which contains "Assignment of Patent Rights" handwritten on it, identifies U.S. App. No. 60/661,512. The present application claims the benefit of priority to this provisional application" has been noted. However, as the document in question is not in English a translation is required. Petitioner should consult MPEP 409.03(f) for further guidance on this issue.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

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Alexandria, VA 22314

By fax:

(571) 273-8300

ATTN: Office of Petitions

Telephone inquiries should be directed to at the undersigned (571) 272 - 4914.

Ramesh Krishnamurthy

Petitions Examiner

Office of Petitions